

VI. APPENDIX

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004-2007
MTA- WIDE NON-RECURRING REVENUES AND SAVINGS
2003 - 2007
(\$ in millions)

Non-recurring revenues and savings with a value of \$1 million or more in calendar years 2003 through 2007.

Agency	2003 Final Estimate		2004 Adopted Budget		2005 Plan		2006 Plan		2007 Plan	
Bridges & Tunnels	\$ 7.2	Additional revenues from greater than planned traffic levels.	\$ 3.3	Savings from rescheduling of bridge painting projects.	\$ 5.7	Savings from rescheduling of bridge painting projects.	None		None	
	\$ 2.0	Savings from rescheduling of bridge painting projects.	\$ 35.4	Reimbursements, recoveries and other cash adjustments.						
Subtotal	<u>\$ 9.2</u>		<u>\$ 38.7</u>		<u>\$ 5.7</u>		<u>\$ -</u>		<u>\$ -</u>	
Long Island Bus	None		\$ 1.0	Cash disbursement adjustment for claim expense, that has been reclassified into 2004 from 2003.	None		None		None	
Subtotal	<u>\$ -</u>		<u>\$ 1.0</u>		<u>\$ -</u>		<u>\$ -</u>		<u>\$ -</u>	
Long Island Rail Road	None		\$ 3.6	Reimbursements, recoveries and other cash adjustments.	\$ 30.0	Benefit of pension prepayment in 2003.	None		None	
Subtotal	<u>\$ -</u>		<u>\$ 3.6</u>		<u>\$ 30.0</u>		<u>\$ -</u>		<u>\$ -</u>	
Metro-North Railroad	None		\$ 3.7	Reimbursements, recoveries and other cash adjustments.	\$ 2.9	Cost savings M1 rehabilitation program.	\$2.5	Equipment replacement disposal cost.	\$2.2	Equipment replacement plan H&H lines.
Subtotal	<u>\$ -</u>		<u>\$ 3.7</u>		\$ 20.0	Benefit of pension prepayment in 2003.				\$2.8
					<u>\$ 22.9</u>		<u>\$2.5</u>		<u>\$5.0</u>	

Agency	2003 Final Estimate	2004 Adopted Budget	2005 Plan	2006 Plan	2007 Plan
MTA Headquarters	None	\$ 7.5 Reimbursements, recoveries and other cash adjustments.	None	None	None
Subtotal	\$ -	\$ 7.5	\$ -	\$ -	\$ -
New York City Transit	\$ 10.3 Drawdown of accumulated transit adjudication funds.	\$ 42.5 Timing of MABSTOA pension payments. \$ 10.8 Drawdown of accumulated stabilization fund interest income. \$ 13.5 Insurance reimbursement. \$ 95.2 Reimbursements, recoveries and other cash adjustments. \$ 1.1 Temporary closure of Amsterdam Depot.	\$ 70.0 Timing of MABSTOA pension payments.	None	None
Subtotal	\$ 10.3	\$ 163.1	\$ 70.0	\$ -	\$ -
Staten Island Railway	None	None	None	None	None
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
MTA Transactions		\$ 8.9 Additional Gov't Assistance.		\$ - None	\$ - None
	\$ 59.3 Corp. Account MRT-2.	\$ 91.3 Corp. Account MRT-2.	\$ 8.7 Corp. Account MRT-2.		
	\$ 229.6 NYCT Stabilization Fund.	\$ 30.0 NYCT Debt Prepayment in 2003.	\$ 16.7 NYCT Debt Prepayment in 2003.		
	\$ 60.0 CR Stabilization Fund.	\$ 65.0 CR Debt Prepayment in 2003.	\$ 15.3 CR Debt Prepayment in 2003.		
	\$ 50.9 Debt Prepayment from Restructuring in 2002.	\$ 43.5 Debt Prepayment from Restructuring in 2002.			
	\$ 164.0 Debt Service Cash Payments from 2002 B&T Debt Restructuring.				
	\$ 5.4 CR Additional State Aid/ AMTAP.				
Subtotal	\$ 569.2	\$ 238.7	\$ 40.7	\$ -	\$ -
Total Non-Recurring Resources (>or = \$1million)	\$ 588.7	\$ 456.3	\$ 169.3	\$ 2.5	\$ 5.0

METROPOLITAN TRANSPORTATION AUTHORITY
MTA-WIDE FEBRUARY FINANCIAL PLAN 2004 - 2007
MTA OPERATING BUDGET RESERVES
(\$ in millions)

	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>	<u>2007</u>
MTA General Reserve	-	\$30.000	\$30.000	\$30.000	\$30.000
Agency Reimbursement for Operating Budget Security Projects	\$38.600	\$26.400	\$29.300	\$31.400	\$33.400

METROPOLITAN TRANSPORTATION AUTHORITY
 FEBRUARY FINANCIAL PLAN 2004 - 2007
 MTA-Wide Reconciliation of the Program to Eliminate the Gap
 2003 - 2007
 (\$ in millions)

	Favorable/(Unfavorable)											
	2003		2004		Two-Year Total		2005 Plan		2006 Plan		2007 Plan	
	Positions ¹	Dollars	Positions ¹	Dollars	Dollars	Positions ¹	Dollars	Positions ¹	Dollars	Positions ¹	Dollars	
2003 October Forecast (October Plan)												
Grand Total	99	\$184.850	1,077	\$250.014	\$434.864	988	\$356.911	967	\$440.054	954	\$535.402	
Amendments:												
Administration:												
LIRR	16	\$9.145	0	\$2.170	\$11.315	0	\$0.000	0	\$0.000	0	\$0.000	
MNR	0	8.732	0	(8.732)	0.000	0	0.000	0	0.000	0	0.000	
NYCT	0	0.283	(15)	18.655	18.938	(15)	12.854	(15)	12.699	(15)	12.481	
SIR	<u>0</u>	<u>0.069</u>	<u>0</u>	<u>0.000</u>	0.069	<u>0</u>	<u>0.000</u>	<u>0</u>	<u>0.000</u>	<u>0</u>	<u>0.000</u>	
Sub-Total Administration	16	18.229	(15)	12.093	30.322	(15)	12.854	(15)	12.699	(15)	12.481	
Customer Convenience & Amenities:												
NYCT	<u>0</u>	<u>0.000</u>	<u>3</u>	<u>(0.011)</u>	(0.011)	<u>3</u>	<u>(0.011)</u>	<u>3</u>	<u>(0.011)</u>	<u>3</u>	<u>(0.011)</u>	
Sub-Total Customer Convenience & Amenities	0	0.000	3	(0.011)	(0.011)	3	(0.011)	3	(0.011)	3	(0.011)	
Maintenance:												
B&T	0	2.000	0	(6.179)	(4.179)	0	0.000	0	0.000	0	0.000	
LIRR	26	4.265	0	0.048	4.313	0	0.000	0	0.000	0	0.000	
NYCT	<u>0</u>	<u>3.608</u>	<u>(2)</u>	<u>(1.502)</u>	2.106	<u>0</u>	<u>(2.000)</u>	<u>0</u>	<u>(1.000)</u>	<u>0</u>	<u>0.000</u>	
Sub-Total Maintenance	26	9.873	(2)	(7.633)	2.240	0	(2.000)	0	(1.000)	0	0.000	
Other:												
B&T	0	0.818	0	(0.036)	0.782	0	0.000	0	0.000	0	0.000	
LIRR (Cash only and other adjustments)	0	(0.121)	0	0.000	(0.121)	0	0.000	0	0.000	0	0.000	
NYCT	<u>0</u>	<u>1.292</u>	<u>(24)</u>	<u>0.000</u>	1.292	<u>(24)</u>	<u>0.000</u>	<u>(24)</u>	<u>0.000</u>	<u>(24)</u>	<u>0.000</u>	
Sub-Total Other	0	1.989	(24)	(0.036)	1.953	(24)	0.000	(24)	0.000	(24)	0.000	
Revenue:												
B&T	0	7.240	0	0.000	7.240	0	0.000	0	0.000	0	0.000	
LIRR	<u>0</u>	<u>(0.324)</u>	<u>0</u>	<u>0.000</u>	(0.324)	<u>0</u>	<u>0.000</u>	<u>0</u>	<u>0.000</u>	<u>0</u>	<u>0.000</u>	
Sub-Total Revenue	0	6.916	0	0.000	6.916	0	0.000	0	0.000	0	0.000	
Total Amendments	42	\$37.007	(38)	\$4.413	\$41.420	(36)	\$10.843	(36)	\$11.688	(36)	\$12.470	
2004 Adopted Budget (December Plan)												
Grand Total	141	\$221.857	1,039	\$254.427	\$476.284	952	\$367.754	931	\$451.742	918	\$547.872	
Amendments	0	\$0.000	0	\$0.000	\$0.000	0	\$0.000	0	\$0.000	0	\$0.000	
2004 Adopted Budget/February Plan Grand Total	141	\$221.857	1,039	\$254.427	\$476.284	952	\$367.754	931	\$451.742	918	\$547.872	

¹ Reflects the impact of amendments on year-end positions.

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
OPERATING BUDGET PROJECTIONS BY CATEGORY AND AGENCY
PROGRAMS TO ELIMINATE THE GAP (PEGS)
2003 - 2007
(\$ in thousands)

Favorable / (Unfavorable)

	2003 Final Estimate		2004 Final Adopted Budget		2005 Plan		2006 Plan		2007 Plan	
	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>
MTA-WIDE										
New York City Transit	81	\$69,669	854	\$119,394	744	\$144,646	702	\$183,085	680	\$253,605
Long Island Rail Road	60	57,166	97	52,822	112	79,403	124	119,056	133	131,463
Metro-North Railroad	0	43,465	27	21,855	35	82,237	44	84,718	44	93,933
Bridges and Tunnels	0	45,599	61	56,757	61	57,960	61	61,307	61	65,223
Long Island Bus	0	4,105	0	1,243	0	1,330	0	1,343	0	1,356
Staten Island Railway	0	216	0	636	0	433	0	433	0	433
MTA Headquarters	0	1,637	0	1,690	0	1,745	0	1,800	0	1,859
Total MTA-WIDE	141	\$221,857	1,039	\$254,397	952	\$367,754	931	\$451,742	918	\$547,872
MTA-Wide By Category										
Administrative	55	\$110,316	292	\$108,646	279	\$119,386	285	\$119,243	285	\$122,614
Customer Service and Amenities	34	6,564	142	22,370	104	20,816	120	21,694	117	22,207
Service	17	5,443	94	24,546	94	34,881	94	51,465	94	77,384
Maintenance Effeciencies	35	51,319	450	38,214	412	47,275	369	50,602	359	61,821
Revenue	0	43,787	0	49,188	0	58,346	0	72,330	0	82,272
Other	0	4,428	61	11,432	63	6,981	63	7,376	63	7,193
Unspecified PEGs *	0	0	0	0	0	80,069	0	129,032	0	174,380
Total MTA-Wide By Category	141	\$221,857	1,039	\$254,397	952	\$367,754	931	\$451,742	918	\$547,872

* Agencies' Financial Plan PEG targets for 2005-2007 reflect internal actions - Unspecified PEGs- that will be required to contain controllable expense growth to inflation.

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
OPERATING BUDGET PROJECTIONS BY CATEGORY AND AGENCY
PROGRAMS TO ELIMINATE THE GAP (PEGS)

2003 - 2007

(\$ in thousands)

Favorable / (Unfavorable)

	2003 Final Estimate		2004 Final Adopted Budget		2005 Plan		2006 Plan		2007 Plan	
	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>
Administration										
New York City Transit	30	\$44,184	275	\$68,355	262	\$62,860	268	\$61,171	268	\$60,698
Long Island Rail Road	25	24,919	17	17,652	13	7,857	13	7,633	13	7,800
Metro-North Railroad	0	22,816	0	(1,940)	4	29,099	4	29,742	4	34,901
Bridges and Tunnels	0	12,439	0	21,244	0	16,296	0	17,355	0	15,801
Long Island Bus	0	4,105	0	1,243	0	1,330	0	1,343	0	1,356
Staten Island Railway	0	216	0	402	0	199	0	199	0	199
MTA Headquarters	0	1,637	0	1,690	0	1,745	0	1,800	0	1,859
Total Administration	55	\$110,316	292	\$108,646	279	\$119,386	285	\$119,243	285	\$122,614
Customer Convenience and Amenities										
New York City Transit	34	\$3,053	114	\$14,252	78	\$13,014	97	\$13,689	97	\$14,128
Long Island Rail Road	0	3,511	28	7,921	26	7,168	23	7,077	20	7,004
Metro-North Railroad	0	0	0	197	0	634	0	928	0	1,075
Bridges and Tunnels	0	0	0	0	0	0	0	0	0	0
Long Island Bus	0	0	0	0	0	0	0	0	0	0
Staten Island Railway	0	0	0	0	0	0	0	0	0	0
MTA Headquarters	0	0	0	0	0	0	0	0	0	0
Total Customer Convenience and Amenities	34	\$6,564	142	\$22,370	104	\$20,816	120	\$21,694	117	\$22,207
Service										
New York City Transit	17	\$5,443	94	\$24,477	94	\$34,811	94	\$51,393	94	\$77,311
Long Island Rail Road	0	0	0	69	0	70	0	72	0	73
Metro-North Railroad	0	0	0	0	0	0	0	0	0	0
Bridges and Tunnels	0	0	0	0	0	0	0	0	0	0
Long Island Bus	0	0	0	0	0	0	0	0	0	0
Staten Island Railway	0	0	0	0	0	0	0	0	0	0
MTA Headquarters	0	0	0	0	0	0	0	0	0	0
Total Service	17	\$5,443	94	\$24,546	94	\$34,881	94	\$51,465	94	\$77,384

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
OPERATING BUDGET PROJECTIONS BY CATEGORY AND AGENCY
PROGRAMS TO ELIMINATE THE GAP (PEGS)

2003 - 2007

(\$ in thousands)

Favorable / (Unfavorable)

	2003		2004 Final		2005		2006		2007	
	Final Estimate		Adopted Budget		Plan		Plan		Plan	
	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>
Maintenance Efficiencies										
New York City Transit	0	\$16,731	371	\$12,253	310	\$8,166	243	\$6,837	221	\$8,973
Long Island Rail Road	35	24,770	52	18,222	73	22,827	88	30,503	100	37,295
Metro-North Railroad	0	7,124	27	3,345	29	9,655	38	12,445	38	14,889
Bridges and Tunnels	0	2,694	0	4,160	0	6,393	0	583	0	430
Long Island Bus	0	0	0	0	0	0	0	0	0	0
Staten Island Railway	0	0	0	234	0	234	0	234	0	234
MTA Headquarters	0	0	0	0	0	0	0	0	0	0
Total Maintenance Efficiencies	35	\$51,319	450	\$38,214	412	\$47,275	369	\$50,602	359	\$61,821
Revenue										
New York City Transit	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
Long Island Rail Road	0	869	0	556	0	1,469	0	1,717	0	1,291
Metro-North Railroad	0	13,006	0	19,936	0	24,412	0	30,140	0	34,977
Bridges and Tunnels	0	29,912	0	28,696	0	32,465	0	40,473	0	46,004
Long Island Bus	0	0	0	0	0	0	0	0	0	0
Staten Island Railway	0	0	0	0	0	0	0	0	0	0
MTA Headquarters	0	0	0	0	0	0	0	0	0	0
Total Revenue	0	\$43,787	0	\$49,188	0	\$58,346	0	\$72,330	0	\$82,272
Other										
New York City Transit	0	\$258	0	\$57	0	\$395	0	\$395	0	\$395
Long Island Rail Road	0	3,097	0	8,401	0	1,769	0	1,184	0	801
Metro-North Railroad	0	519	0	317	2	2,011	2	2,901	2	3,009
Bridges and Tunnels	0	554	61	2,657	61	2,806	61	2,896	61	2,988
Long Island Bus	0	0	0	0	0	0	0	0	0	0
Staten Island Railway	0	0	0	0	0	0	0	0	0	0
MTA Headquarters	0	0	0	0	0	0	0	0	0	0
Total Other	0	\$4,428	61	\$11,432	63	\$6,981	63	\$7,376	63	\$7,193

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
OPERATING BUDGET PROJECTIONS BY CATEGORY AND AGENCY
PROGRAMS TO ELIMINATE THE GAP (PEGs)

2003 - 2007

(\$ in thousands)

Favorable / (Unfavorable)

	2003 Final Estimate		2004 Final Adopted Budget		2005 Plan		2006 Plan		2007 Plan	
	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>	<u>Positions</u>	<u>Dollars</u>
Unspecified PEGs										
New York City Transit	0	\$0	0	\$0	0	\$25,400	0	\$49,600	0	\$92,100
Long Island Rail Road (Unspecified PEG)	0	0	0	0	0	28,471	0	51,266	0	53,082
Long Island Rail Road (Pension Shortfall Make-up) *	0	0	0	0	0	9,772	0	19,604	0	24,116
Metro-North Railroad	0	0	0	0	0	16,426	0	8,562	0	5,082
Bridges and Tunnels	0	0	0	0	0	0	0	0	0	0
Long Island Bus	0	0	0	0	0	0	0	0	0	0
Staten Island Railway	0	0	0	0	0	0	0	0	0	0
MTA Headquarters	0	0	0	0	0	0	0	0	0	0
Total Unspecified PEGs	0	\$0	0	\$0	0	\$80,069	0	\$129,032	0	\$174,380

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
TOTAL INCUMBENTS AND POSITIONS 2003 - 2007
Full-Time and Full-Time Equivalents (FTEs)
NON-REIMBURSABLE AND REIMBURSABLE

	2003 Final Estimate			2004 Adopted Budget			2005 Plan			2006 Plan			2007 Plan		
	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>
Bridges and Tunnels	1,319	428	1,747	1,377	434	1,811	1,377	435	1,812	1,377	435	1,812	1,377	435	1,812
Long Island Bus	996	182	1,178	1,008	186	1,194	1,026	186	1,212	1,035	186	1,221	1,044	186	1,230
Long Island Rail Road	5,687	724	6,411	5,833	763	6,596	5,894	768	6,662	5,891	768	6,659	5,881	768	6,649
Metro-North Railroad	4,949	1,044	5,993	4,986	1,046	6,032	5,089	1,072	6,161	5,094	1,075	6,169	5,107	1,075	6,182
MTA Headquarters ¹	634	734	1,368	634	734	1,368	634	734	1,368	634	734	1,368	634	734	1,368
New York City Transit	42,822	5,411	48,233	42,397	4,979	47,376	42,294	4,973	47,267	42,174	4,963	47,137	42,274	4,964	47,238
Staten Island Railway	280	33	313	280	33	313	280	33	313	280	33	313	280	33	313
Total	56,687	8,556	65,243	56,515	8,175	64,690	56,594	8,201	64,795	56,485	8,194	64,679	56,597	8,195	64,792

¹ MTA Headquarters positions are non-reimbursable only.

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
TOTAL INCUMBENTS AND POSITIONS 2003 - 2007
Full-Time and Full-Time Equivalents (FTEs)
NON-REIMBURSABLE

	2003 Final Estimate			2004 Adopted Budget			2005 Plan			2006 Plan			2007 Plan		
	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>
Bridges and Tunnels	1,319	312	1,631	1,377	318	1,695	1,377	319	1,696	1,377	319	1,696	1,377	319	1,696
Long Island Bus	996	168	1,164	1,008	172	1,180	1,026	172	1,198	1,035	172	1,207	1,044	172	1,216
Long Island Rail Road	5,171	539	5,710	5,290	571	5,861	5,264	574	5,838	5,263	574	5,837	5,254	574	5,828
Metro-North Railroad	4,448	840	5,288	4,505	844	5,349	4,608	870	5,478	4,613	873	5,486	4,626	873	5,499
MTA Headquarters	634	734	1,368	634	734	1,368	634	734	1,368	634	734	1,368	634	734	1,368
New York City Transit	38,227	4,015	42,242	38,116	3,658	41,774	38,354	3,669	42,023	38,332	3,662	41,994	38,468	3,664	42,132
Staten Island Railway	274	33	307	274	33	307	274	33	307	274	33	307	274	33	307
Total	51,069	6,641	57,710	51,204	6,330	57,534	51,537	6,371	57,908	51,528	6,367	57,895	51,677	6,369	58,046

METROPOLITAN TRANSPORTATION AUTHORITY
FEBRUARY FINANCIAL PLAN 2004 - 2007
TOTAL INCUMBENTS AND POSITIONS 2003 - 2007
Full-Time and Full-Time Equivalents (FTEs)
REIMBURSABLE

	2003 Final Estimate			2004 Adopted Budget			2005 Plan			2006 Plan			2007 Plan		
	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>	<u>Represented</u>	<u>Non- Represented</u>	<u>Total</u>
Bridges and Tunnels	0	116	116	0	116	116	0	116	116	0	116	116	0	116	116
Long Island Bus	0	14	14	0	14	14	0	14	14	0	14	14	0	14	14
Long Island Rail Road	516	185	701	543	192	735	630	194	824	628	194	822	627	194	821
Metro-North Railroad	501	204	705	481	202	683	481	202	683	481	202	683	481	202	683
MTA Headquarters	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
New York City Transit	4,595	1,396	5,991	4,281	1,321	5,602	3,940	1,304	5,244	3,842	1,301	5,143	3,806	1,300	5,106
Staten Island Railway	6	0	6	6	0	6	6	0	6	6	0	6	6	0	6
Total	5,618	1,915	7,533	5,311	1,845	7,156	5,057	1,830	6,887	4,957	1,827	6,784	4,920	1,826	6,746