

DATE: 6/8/2023

CONTRACT SOLICITATION NOTICE/PROJECT OVERVIEW

MTA-C&D IS NOW ADVERTISING FOR THE FOLLOWING:

SSE EVENT#: 0000438300

OPENING/DUE DATE: 7/6/2023

TYPE OF SOLICITATION: RFP

DOCUMENT AVAILABILITY DATE: 6/8/2023

Contract/Project Title: E30647 Design-Build Services for Replacement of Twenty-one (21) Escalators in Various Locations

DESCRIPTION: The Metropolitan Transportation Authority (“MTA”), by and through the MTA Construction and Development Company (“MTA C&D”), will be issuing a two-step Request for Proposals (“RFP”), in order to identify and engage a qualified Design-Builder to provide design-build services for the Replacement of Twenty-one Escalators in Various Locations (the “Contract”). In the first step, MTA C&D will issue a Request for Qualifications (“RFQ”) seeking Respondents who are qualified and prepared in all respects to perform the work on schedule and within budget. In the second step, MTA C&D will issue an RFP for the contract to the Respondents determined by MTA C&D to be qualified in the first step. Respondents with current contracts to perform work on MTA projects must be in good standing and satisfactorily progressing the work on such contracts to be deemed qualified to participate in the solicitation for the Contract. The Work for Contract E30647 is for the Replacement of Twenty-one (21) Escalators in Various Locations. The Work also includes the replacement of the equipment in the escalator machine rooms and “state of good repair” Work in the vicinity of the escalators. This Project will replace the escalators with new escalators, or with a new staircase. The Project will also replace existing equipment in associated escalator and machine rooms (EMR) with upgraded equipment that adheres to applicable code requirements and operational needs. The Project also calls for state of good repair Work to be performed at the site of the new escalators and associated EMRs. It is anticipated that the Contract will not be Federally funded.

Funding: 100% MTA Goals: 15% MBE; 15% WBE; 6% SDVOB Est \$ Range: Over \$100M Contract Term: 48 Months

PLEASE SEE ATTACHED PROJECT OVERVIEW FOR ADDITIONAL INFORMATION

(X) PRE-BID CONFERENCE LOCATION:

DATE: 6/22/2023

TIME: 10:00AM

Virtual via Microsoft Teams- Please reserve by emailing
tiffany.fernandez@mtacd.org

() SITE TOUR LOCATION:

DATE: N/A

TIME: N/A

FOR MORE INFORMATION, PLEASE CONTACT:

PROCUREMENT REPRESENTATIVE: Tiffany Fernandez

EMAIL: tiffany.fernandez@mtacd.org

REQUIREMENTS TO PARTICIPATE

SYSTEM FOR AWARD MANAGEMENT (SAM): VENDORS ARE REQUIRED TO REGISTER WITH SAM, A FEDERAL VENDOR DATABASE USED TO VALIDATE VENDOR INFORMATION, BEFORE REQUESTING BID DOCUMENTS. YOU CAN VISIT THEIR WEBSITE AT www.sam.gov TO REGISTER. A DUNS NUMBER IS REQUIRED FOR REGISTRATION.

*******WE CANNOT PROCESS DOCUMENT REQUESTS WITHOUT A MTA BIDDER/SUPPLIER NUMBER. PLEASE ACCESS THE MTA VENDOR PORTAL, WWW.MYMTA.INFO, TO REGISTER AS A BIDDER*******

**Contract No. E30647
Project Overview**

1. Introduction to the Project

The Work for Contract E30647 is for the Replacement of Twenty-one (21) Escalators in Various Locations. The Work also includes the replacement of the equipment in the escalator machine rooms and “state of good repair” Work in the vicinity of the escalators.

2. Contracting Methodology

The Design-Build contract will be awarded as a fixed lump sum contract to the proposer that submits the proposal that MTA C&D determines, in its sole discretion, to offer the best value based on an evaluation of qualitative factors, as well as cost and schedule, following a two-step solicitation process. This method of procurement is intended to encourage development of innovative designs, planning and logistics while also optimizing schedule and the sharing of risks related to the Work.

3. Background Information and Project Scope

This Project will replace the escalators listed below with new escalators, or with a new staircase. The Project will also replace existing equipment in associated escalator and machine rooms (EMR) with upgraded equipment that adheres to applicable code requirements and operational needs. The Project also calls for state of good repair Work to be performed at the site of the new escalators and associated EMRs.

The escalators to be replaced at each station are briefly described below:

51st STREET STATION

The 51st Street Station is located at 51st Street and Lexington Avenue in Manhattan and serves the E and the number 6. trains. There is one escalator in this station, ES252, that will be replaced with a stair.

PARK PLACE Station

The Park Place Station is located at the intersection of Broadway and Park Place in Manhattan and serves the number 2 and 3 trains. There are two escalators, ES301 and ES302, that will be replaced with new escalators.

HIGH STREET STATION

The High Street Station is located at the intersection of High Street and Adams Street in Brooklyn and serves the A and C trains. There is one escalator, ES350, that will be replaced with a new escalator.

FRANKLIN AVENUE (SHUTTLE) STATION

The Franklin Avenue Station is located at the intersection of Franklin Avenue and Fulton Street in Brooklyn and serves the Shuttle S, the A, and C trains. There is one escalator, ES352, that will be replaced with a new escalator.

LEXINGTON AVE - 63RD STREET STATION

The Lexington - 63rd Street Station is located at the intersection of Lexington Avenue and 63rd Street in Manhattan and serves the F and Q. There are ten escalators, ES401, ES402, ES403, ES404, ES405, ES406, ES407, ES408, ES409 and ES410, that will be replaced with new escalators.

21ST STREET - QUEENSBRIDGE STATION

The 21st Street - Queensbridge Station is located at the intersection of 21st Street and 41st Avenue in Queens and serves the F train all times. There are six escalators, ES421, ES422, ES423, ES424, ES425, ES426, that will be replaced with new escalators. Escalator ES422 will be replaced with a widened escalator.

Contract No. E30647
Project Overview

4. RFQ Availability

The RFQ documents will be made available to all prospective Respondents on or about **June 08, 2023**. In order to obtain the RFQ documents, prospective Respondents need to complete and submit the Contract Document Order Form to SolicitationDocs@mtacd.org. After the order form is processed, prospective Respondents will be notified and instructed to log in the MTA Vendor Portal, www.mymta.info, and download the RFQ documents.

IMPORTANT:

- 1) Vendors' contact information must be updated in the Supplier Portal in order to receive notifications regarding this solicitation.**
- 2) New Vendors must first complete the registration process in order to obtain the RFQ documents. To register log in the MTA Vendor Portal, www.mymta.info, selecting Vendor Sign-in & Registration, select New Bidder Registration and then follow the on-screen instructions.**

5. Evaluation of SOQs

As described in more detail in the RFQ, SOQs will be evaluated to establish a shortlist of qualified Respondents. Each Respondent's SOQ will be evaluated on a pass/fail basis for compliance with the SOQ submittal requirements, including:

i. Threshold Evaluation Criteria

- b. **Completeness**: The SOQ conforms to the RFQ requirements and includes all materials required by the RFQ.
- c. **Timeliness**: The SOQ was submitted on or before the SOQ Due Date.
- d. **Capacity**: The Respondent has presented evidence that its organization has the legal capacity to enter into and perform the Contract to design and build the Project and comply with New York licensing requirements.
- e. **Responsibility**: Neither the Respondent nor any Major Participant is currently disqualified, removed, debarred, or suspended from performing or bidding on Work for the United States government, any state or territory of the United States, or any New York local government; and demonstrates responsibility based on any publicly available reports and filings, reference checks as applicable, and company or court records or other internal MTA documents that are available to MTA C&D.
- f. **Financial Capability**: Respondent has demonstrated financial strength sufficient to complete the Project and has provided a letter from an eligible Surety to satisfy the financial requirements set forth in Section 9.9 of the RFQ.

ii. Substantive Evaluation Criteria.

- a. **Team, Key Personnel, and Organization**: The demonstrated qualifications and experience of the proposed Key Personnel; the demonstrated capability and experience of the proposed management team, including the ability to manage all aspects of the Contract and successfully integrate the various Major Participants; organizational capacity to ensure sufficient staffing to

Contract No. E30647**Project Overview**

perform the design and construction work; successful working relationships of Major Participants on previous projects.

- b. **Understanding of the Work:** The extent to which a Respondent demonstrates an understanding of, and experience with the development, design, and construction of similar projects and is able to identify issues, risks, and any challenges associated with the Project.
- c. **Plan Approach:** The extent and depth of each Major Participant's experience with comparable design-build projects.
- d. **Past Performance:** Demonstrated record of performance of all Major Participants including: completion of contracts on schedule; quality of work product; completion within budget; good standing and adequate progression of work; claims history including number of claims submitted that were ultimately disallowed or significantly reduced, number of disputes submitted to formal dispute resolution and disposition of such actions, claims brought against the firm under the False Claims Act); record of terminations for cause and defaults; disciplinary action, including suspension; safety record; client references; and awards, citations and commendations.
- e. **Diversity Compliance:** Respondent's record of successful MWBE, DBE, or SDVOB usage on past and current projects, and organizational commitment as demonstrated by policies, internal structures, and practices.

6. Experience and Performance of Respondent Teams

- A. During the RFQ process, MTA C&D will identify Respondents that include Lead Contractors and Designers with:
 - i. experience, individually and collectively, in successfully managing, designing and constructing projects of the size, type and complexity as reflected in the scope of Work and anticipated for the Project;
 - ii. a record of completing contracts on time and within budget;
 - iii. technical and management experience and expertise to plan, organize and execute the design and construction and assure the quality and safety of the Work; and
 - iv. qualified Key Personnel with experience managing and performing work in active rail and transit station environments, including without limitation, project managers, construction managers, design consultants, safety managers, quality managers and schedulers.
- B. The background and experience for the Lead Contractors and Designers are expected to be as follows:
 - i. relevant experience of successfully performing work as a prime or general contractor or Designer for the design and construction of projects of the size, type and complexity as reflected in the scope of Work and anticipated for the Project, particularly prior vertical transportation projects;
 - ii. record of completing contracts on time and within budget;
 - iii. qualified Key Personnel with experience managing and performing work in active rail and transit station environments and experience with vertical transportation project;
 - iv. sufficient organizational structure, management resources, equipment and labor, including an appropriate construction/design management team to be assigned to the Design-Build Contract, and the ability to assure MTA C&D that the Design-Build Contract will be properly coordinated and managed and will be completed on schedule.

Contract No. E30647**Project Overview****7. General Limitations on Respondent Team Membership**

- A. Subject to the Limitations on Exclusivity set forth in Section 10 below as it applies to Designers, and in addition to any other restriction or qualification on Respondent team membership and on the inclusion of Major Participants and Key Personnel as set forth in the RFQ:
- B.
 - i. no Major Participant shall participate on more than one (1) Respondent team;
 - ii. no Affiliate of any Major Participant shall participate on another Respondent's team;
 - iii. non-Major Participant Subcontractors and their Affiliates may participate on more than one (1) respondent team, subject to compliance with Section 5.3, this Section 6.2, and Section 6.4;
 - iv. no firm that employs one or more of the individuals named as Key Personnel on one (1) Respondent team may serve any role on another Respondent team; and
 - v. no individuals serving a Key Personnel role on one (1) Respondent team may serve any role on another Respondent team.
- C. Following the public announcement identifying the Shortlisted Respondents, members of each unsuccessful Respondent team (including Major Participants) may seek to join the team of a Shortlisted Respondents.

8. Proposed Engagements with Duplicate Major Participants

- A. Each Respondent may only identify and propose a single entity for each category of Major Participant in its SOQ, for which purposes a Joint Venture will be considered to be a single entity.
- B. Notwithstanding the foregoing restriction, a Respondent may include in its SOQ two (2) proposed Designers as alternatives, sect to the restrictions set forth in Section 10.B below.

9. Limitations on Exclusivity

- A. Non-Major Participants, Subcontractors, and other Persons which are not prohibited from participating on more than one (1) Respondent team under Section 9A above, may participate on more than one (1) Respondent team subject to compliance with the following requirements in order to protect the integrity of the procurement process:
 - i. Respondents may not team with or engage an entity if such teaming is conditioned on that entity or its Affiliates being on a Respondent's team on an exclusive basis.
 - ii. Any otherwise permitted engagement of a Person by two (2) or more Respondent teams shall be subject to: (i) the disclosure to all relevant Respondent teams that such entities and personnel are engaged by another Respondent team; (ii) the institution of Information Barriers acceptable to MTA C&D; and (iii) the prohibition of any such entity and their personnel sharing information (or being asked by a Respondent team to share information) regarding the procurement, and the Design-Build Contract between or among Respondent teams.
- B. If a Respondent elects to non-exclusively engage two (2) Designers for its SOQ, MTA C&D will treat such Designers as a Joint Venture. However, if MTA C&D determines that such arrangement does not represent a true Joint Venture and is not in the best interests of the RFQ and/or performance of the Work, MTA C&D may, in its discretion, require the Respondent to: (i) demonstrate that such arrangement reflects a true Joint Venture arrangement appropriate for Contract P36343; and/or (ii) finalize the engagement of one (1) but not both Designers with the revised engagement documented as an Organizational Change either under the terms of this RFQ or the equivalent terms of the RFP. In addition, Shortlisted Respondents and Proposers will be entitled, on their own initiative, to finalize

Contract No. E30647**Project Overview**

the engagement of one (1) Designer, but not both Designers with the revised engagement documented as an Organizational Change either under the terms of this RFQ or the equivalent terms of the RFP.