



Enterprise Risk Management Committee Status Report

*Report to the Audit Committee
May 20th, 2019*



Period Snapshot

All Agencies are Currently at Various Stages of Documenting Risks, Controls and Testing for 2018-19 Annual Internal Control Program

All Agencies Working on Closing Open Material Weakness / Significant Deficiencies

ERM Committee Met During the Period to Discuss Significant Issues and MTA Organizational Changes

Agencies Continue to Document Their Vulnerability Assessments in the Oracle Governance Risk and Compliance (GRC) System

GRC (RSA Archer) System Replacement Phase 1 Scheduled to Go-Live 5/29/19

Summary of Control Activities

1,904 Total Business Processes

1,003 Total Significant Business Processes of which 503 were Reviewed

Approximately 4,782 Total Risks (all business processes)

Approximately 6,069 Total Controls (all business processes)

6 Total Material Weakness / Significant Deficiencies Are Still Pending

Strategy/Internal Driven Risk Change

Transformation

GRC Migration

New Initiatives

External Driven Risk Change

COSO Enterprise Risk Management



	May 2019	July 2018	Change (+/-)	Change (+/-)
Significant Business Processes	1,003	903	100	11.1%
Total Activities / Business Process	1,904	1,924	-20	-1.0%
Total Risks	4,782	4,807	-25	-0.5%
Total Controls	6,069	6,178	-109	-1.8%



Material Weakness / Significant Deficiencies

	January 2019 Reported	Closed	New	May 2019 Open
Total	21	15	0	6



Total Controls vs. % of Material Weakness / Significant Deficiencies

Agency	Total Controls	Open Material Weakness / Significant Deficiencies May 2019	% Total Material Weakness / Significant Deficiencies to Total Controls
B&T	348	-	0.00%
MTA HQ	529	-	0.00%
LIRR	1,422	1	0.10%
MNR	735	4	0.54%
MTA Bus	246	-	0.00%
MTA CC	514	1	0.2%
NYCT	2,275	-	0.00%
Enterprise	6,069	6	0.4%



Top Agency Risks

Risk Process
Cyber Security
Infrastructure and Equipment Maintenance
Reputational
Safety - Employee and Customer
Security
Succession Planning

Enterprise-Wide Risks

Risk Process
Cyber Security
Institutional Transformation
Reputational
Safety
Succession Planning