DATE: 7/11/25

CONTRACT SOLICITATION NOTICE/PROJECT OVERVIEW

MTA-C&D IS NOW ADVERTISING FOR THE FOLLOWING:

<u>SE EVENT</u> #:0000516008 <u>OPENING/DUE DATE</u> : 8/28/25		
TYPE OF SOLICITATION: RFP	DOCUMENT AVAILABILITY DATE: 7/11/25	
SOLICITATION TITLE: C26303: Design-Build Services for Second Avenue Subway, Phase 2 – Excavation, Tunneling and Structural Shells for the 106th Street Station and Connecting Tunnels		
<u>DESCRIPTION</u> : The Metropolitan Transportation Authority ("MTA"), by and through the MTA Construction and Development Company ("MTA C&D"), is conducting a two-step Request for Proposals solicitation, to identify and engage a qualified Design-Builder to provide design-build services under Contract C26203 Second Avenue Subway, Phase 2 - Excavation, Tunneling and Structural Shells for the 106th Street Station and Connecting Tunnels (the "Contract"). Respondents that are selected in the first step of the solicitation, the Request for Qualification ("RFQ") process, will be eligible to participate in the upcoming second step, the Request for Proposal ("RFP") process. MTA C&D intends to award a design-build contract at the end of the RFP process.		
Funding: 100% FTA Goals: DBE: 10% Est Over \$100M+ Contract Term: 50 months		
PLEASE SEE ATTACHED PROJECT OVERVIEW FOR ADDITIONAL INFORMATION		
(X) PRE-BID CONFERENCE LOCATION: Virtual via Microsoft Teams- Please contact the assigned procurement representative at alexander.wanless@mtacd.org to register	DATE: 7/25/2025	TIME: 10:00AM
() SITE TOUR LOCATION:	DATE:	TIME:
FOR MORE INFORMATION, PLEASE CONTACT:		
PROCUREMENT REPRESENTATIVE: Alexander Wanless		EMAIL: alexander.wanless@mtacd.org
REQUIREMENTS TO PARTICIPATE		

SYSTEM FOR AWARD MANAGEMENT (SAM): VENDORS ARE REQUIRED TO REGISTER WITH SAM, A FEDERAL VENDOR DATABASE USED TO VALIDATE VEDNDOR INFORMATION, BEFORE REQUESTING BID DOCUMENTS. YOU CAN VISIT THEIR WEBSITE AT www.sam.gov TO REGISTER. A DUNS NUMBER IS REQUIRED FOR REGISTRATION.

*****WE CANNOT PROCESS DOCUMENT REQUESTS WITHOUT A MTA BIDDER/SUPPLIER NUMBER. PLEASE ACCESS THE MTA VENDOR PORTAL, WWW.MYMTA.INFO/VENDOR, TO REGISTER AS A BIDDER*****



1. Introduction to the Project

This Contract is the third contract of Phase 2 of the Second Avenue Subway Program (the "Program"). Phase 1 of the Program extended the Q Line subway service from 63rd Street and Lexington Avenue to 96th Street and 2nd Avenue and has been open to the public since 2017. Phase 2 of the Program will extend the Q Line subway service from near 96th Street to 125th Street and Lexington Avenue.

The first contract (C26201) for Phase 2 was awarded in January of 2024 to relocate existing utilities at the site of the future 106th Street Station in order to facilitate the construction of the station. The second contract (C26202) will be for the construction of the structural shells for the 116th and 125th Street Stations and twin bored tunnels and the rehabilitation of the existing tunnel north of 115th Street. The fourth and final contract of Phase 2 (C26204) will complete the construction of station entrances and ancillary buildings, fit out the new stations and tunnels with architectural finishes and mechanical, electrical, plumbing, vertical circulation elements, and the installation of track, traction power, communication, and signal and train control systems.

2. Contracting Methodology

Following the two-step solicitation process described in Section 1.3 of the RFQ, the Shortlisted Respondent, in its capacity as a Proposer under the terms of the RFP, that submits the Proposal that MTA C&D determines, in its sole discretion, offers the best value, based on an evaluation of a variety of factors, will be awarded a fixed lump sum price design-build contract. Contracting on a design-build basis is intended to encourage development of innovative designs, planning, and logistics while also optimizing the sharing of risk related to the Work.

3. Project Scope/Description

Under this Contract (C26203), the Design-Builder will be responsible for the design and construction of a new subway tunnel and station structural shells between 105th Street and 110th Street to accommodate the new 106th Street Station. The Design-Builder will design and construct the new subway tunnel structure between 105th Street and 110th Street with structural tie-ins to the Section 11 tunnel near 105th Street and to the Section 13 tunnel near 110th Street. The Design-Builder will also perform rehabilitation work within the Section 13 tunnel from 110th Street to approximately 115th Street.

The Design-Builder will also be responsible for the design and construction of support of excavation and excavation at two ancillary building sites, one of which will be located at the southeast corner of 2nd Avenue and 106th Street, and the other at the northeast corner of 2nd Avenue and 109th Street. These excavated sites will be used for the permanent ancillary buildings to be constructed under Contract C26204. The Design-Builder will also be responsible for the design and construction of support of excavation, excavation and design and construction of the at- and below-grade structures for two station entrance sites, one of which will be located at the northeast corner of 2nd Avenue and 106th Street, and the another at the southeast corner of 2nd Avenue and 108th Street. The above-grade entrance structures will be constructed under Contract C26204.

Finally, the Design-Builder will be responsible for the installation of certain permanent utilities and for restoring any disturbed property along 2nd Avenue between 104th Street and 111th Street and accompanying side streets in the project area.

4. Procurement Process

As noted above, the procurement process for the Contract consists of two steps: (i) the Request for Qualifications and (ii) the Request for Proposals, as described in further detail below:



- i) This Step 1, the RFQ, invites interested teams (each, a "Respondent") to submit statements of qualifications ("SOQs") that detail, among other things, preparedness to formally submit a Proposal ("Proposal") for the Contract and qualifications to perform the Work.
- ii) After receipt of SOQs from Respondents, MTA C&D reserves to right to conduct one-on-one meetings with some or all Respondents to discuss that Respondent's SOQ. If one-on-one meetings are held with a Respondent, the following rules will apply:
 - (1) While MTA C&D intends that the discussions in any one-on-one meeting will be confidential to the meeting participants, MTA C&D reserves the right to share information, comments or feedback received during any one-on-one meeting with other governmental authorities without disclosing the identity of the Respondent(s) that provided such information or comments.
 - (2) All one-on-one meetings, and any other meetings held in accordance with this RFQ, are subject to standard rules of attendance and conduct issued by MTA C&D in advance of such meetings.
 - (3) MTA C&D reserves the right to schedule one-on-one meetings to be held in-person or virtually, including by teleconference or videoconference. MTA C&D may require Respondents to host teleconference or videoconference meetings if it reasonably determines that such arrangement will provide the best outcomes for such meeting.
- iii) MTA C&D will evaluate all SOQs received in accordance with the criteria set out in this RFQ. Based on its evaluation, MTA C&D will select up to four (4) Respondents (the "Shortlisted Respondents") that it has deemed, in its sole discretion, to possess the capability, capacity, and experience necessary to undertake and successfully complete the Work. Only the Shortlisted Respondents will be eligible to participate in the second step of the procurement process, the Request for Proposals ("RFP").
- iv) In Step 2, MTA C&D will issue the RFP to invite the Shortlisted Respondents as Proposers ("Proposers") to submit Proposals. MTA C&D will evaluate each Proposal received in accordance with the RFP requirements and select the Proposal that, in MTA C&D's sole discretion, represents the best value to MTA C&D. While price will be a factor in the evaluation, other qualitative factors such as design and technical approach will also be considered. Additionally, schedule will be a major determinative element in awarding the Design-Build Contract.
- v) The RFP will provide specific instructions on the submission requirements, the evaluation factors, and rating guidelines.
- vi) Prior to issuing the RFP, MTA C&D may, in its discretion, issue a draft of the RFP to the Shortlisted Respondents to solicit feedback. MTA C&D reserves the right to conduct one-on-one discussions with each Shortlisted Respondent to obtain such feedback.
- vii) During Step 2, the Shortlisted Respondents may be given the opportunity to attend a Site Tour at the location(s) where the Work will be performed. MTA NYC Transit Track Safety Certification is not required for the Site Tours.
- viii) During Step 2, MTA C&D will offer a stipend to Shortlisted Respondents that submit Proposals that are responsive to the RFP requirements but are not awarded the Contract.



All SOQs shall be submitted electronically to MTA C&D by the SOQ Due Date set forth in Section 1.5 of the RFQ in accordance with the instructions for electronic submittals set forth in Section 9.3.4 and Part C of the RFQ.

5. RFQ Availability

The RFQ documents will be made available to all prospective Respondents on or about July 11, 2025. In order to obtain the RFQ documents, prospective Respondents need to complete and submit the Contract Document Order Form to SolicitationDocs@mtacd.org. After the order form is processed, prospective Respondents will be notified and instructed to log in the MTA Vendor Portal, www.mymta.info, and download the RFQ documents.

IMPORTANT:

Vendors' contact information must be updated in the Supplier Portal in order to receive notifications regarding this solicitation.

New Vendors must first complete the registration process in order to obtain the RFQ documents. To register log in the MTA Vendor Portal, www.mymta.info, selecting Vendor Sign-in & Registration, select New Bidder Registration and then follow the on-screen instructions.

6. Evaluation of SOQs

As described in more detail in the RFQ, SOQs will be evaluated to establish a shortlist of qualified Respondents. Each Respondent's SOQ will be evaluated on a pass/fail basis for compliance with the SOQ submittal requirements, including:

- a) Threshold Evaluation Criteria
 - <u>Completeness</u>: The SOQ conforms to the RFQ requirements and includes all materials required by the RFQ.
 - ii) **Timeliness**: The SOQ was submitted on or before the SOQ Due Date and time.
 - iii) <u>Capacity</u>: The Respondent has presented evidence that its organization has the legal capacity to enter into and perform the Contract to design and build the Contract and comply with New York licensing requirements.
 - iv) Responsibility: Neither the Respondent nor any Major Participant is currently disqualified, removed, debarred, or suspended from performing or bidding on Work for the United States government, any state or territory of the United States, or any New York local government; and demonstrates responsibility based on any publicly available reports and filings, reference checks as applicable, and company or court records or other internal MTA documents that are available to MTA C&D.
 - v) <u>Financial Capability</u>: Respondent has demonstrated financial strength sufficient to complete the Contract and has provided a letter from an eligible Surety to satisfy the financial requirements set forth in the RFQ.

b) Substantive Evaluation Criteria

i) <u>Team, Key Personnel and Organization</u>: The demonstrated qualifications and experience of the proposed Key Personnel; the demonstrated capability and experience of the proposed management team, including the ability to manage all aspects of the Contract and successfully integrate the various



Major Participants; organizational capacity to ensure sufficient staffing to perform the design and construction work; successful working relationships of Major Participants on previous projects.

- ii) <u>Project Approach</u>: The extent to which a Respondent demonstrates an understanding of, and approach to, the development, design, and construction of the project, including unique issues, specific risks and any challenges associated with the Contract.
- iii) **Prior Design-Build Experience**: The extent and depth of each Major Participant's experience with comparable design-build projects, in terms of value, complexity, or location restraints.
- iv) Past Performance: Demonstrated record of performance of all Major Participants including: quality of work product; good standing and adequate progression of work; claims history including number of claims submitted that were ultimately disallowed or significantly reduced, number of disputes submitted to formal dispute resolution and disposition of such actions, claims brought against the firm under the False Claims Act; record of terminations for cause and defaults; disciplinary action, including suspension; safety record; client references; and awards, citations and commendations.
- v) <u>Diversity Compliance</u>: Respondent's record of successful MWBE, DBE, or SDVOB usage on past and current projects, and organizational commitment as demonstrated by policies, internal structures, and practices.

7. Experience and Performance of Respondent Teams

- a) During the RFQ process, MTA C&D will identify Respondent Teams comprised of design and construction firms available, with demonstrated experience, expertise, capacity, and record of producing quality work on projects similar in nature to the Contract.
 - i) To identify Respondents that include a Lead Contractor and Designer with:
 - A. experience in successfully managing, designing and constructing projects of the size, type and complexity as anticipated for the Contract and performing cut and cover construction and structural rehabilitation work;
 - B. technical and management experience and expertise to plan, organize and execute the design and construction and assure the quality and safety of the Work;
 - C. qualified key personnel with experience managing and performing work in active rail and transit environments, including without limitation, project managers, designers, safety managers, quality managers, environmental managers and schedulers; and
 - D. a record of completing contracts on time and within budget

8. General Limitations on Respondent Team Membership

- a) Subject to Section 6.3 of the RFQ, and in addition to any other restriction or qualification on Respondent team membership and on the inclusion of Major Participants and Key Personnel:
 - A. no Major Participant, including its affiliates, shall participate on more than one (1) Respondent team;
 - B. non-Major Participant Subcontractors and their Affiliates may participate on more than one (1) Respondent team, subject to compliance with Section 5.3, Section 6.2, and Section 6.4 of the RFQ:
 - C. no firm that employs one or more of the individuals named as Key Personnel on one Respondent team may serve any role on another Respondent team; and
 - D. no individuals serving a Key Personnel role on one (1) Respondent team may serve any role on another Respondent team.

b) Respondents and Major Participants that are not selected as a Shortlisted Respondent are not restricted under Section 6.2 of the RFQ solely on the basis of having been a member of such unsuccessful Respondent team. Following the public announcement identifying the Shortlisted Respondents, members of each unsuccessful Respondent team (including Major Participants) may seek to join the team of a Shortlisted Respondents, subject to the restrictions set forth above.

9. Proposed Engagements with Duplicate Major Participants

- a) Each Respondent will only identify and propose a single entity for each category of Major Participant in its SOQ, for which purposes a Joint Venture will be considered to be a single entity.
- b) Notwithstanding the foregoing restriction, a Respondent may include in its SOQ two (2) proposed Designers as alternatives. Such arrangements will be subject to the restrictions set forth in Section 6.4.b of the RFQ.

10. Limitations on Exclusivity

- a) Non-Major Participants, Subcontractors, and other Persons which are not prohibited from participating on more than one (1) Respondent team under Section 6.2 of the RFQ, may participate on more than one (1) Respondent team subject to compliance with the following requirements in order to protect the integrity of the procurement process:
 - i. Respondents may not team with or engage an entity if such teaming is conditioned on that entity or its Affiliates being on a Respondent's team on an exclusive basis.
 - ii. Any otherwise permitted engagement of a Person by two (2) or more Respondent teams shall be subject to: (i) the disclosure to all relevant Respondent teams that such entities and personnel are engaged by another Respondent team; (ii) the institution of Information Barriers acceptable to MTA C&D; and (iii) the prohibition of any such entity and their personnel sharing information (or being asked by a Respondent team to share information) regarding the procurement and the Design-Build Contract between or among Respondent teams.
- b) If a Respondent elects to non-exclusively engage two (2) Designers for its SOQ, MTA C&D will treat such Designers as a Joint Venture in accordance with Paragraph 9.2 of the RFQ. However, if MTA C&D determines that such arrangement does not represent a true Joint Venture and is not in the best interests of the RFQ and/or performance of the Work, MTA C&D may, in its discretion, require the Respondent to: (i) demonstrate that such arrangement reflects a true Joint Venture arrangement appropriate for the Contract; and/or (ii) finalize the engagement of one (1) but not both Designers with the revised engagement documented as an Organizational Change either under the terms of this RFQ or the equivalent terms of the RFP. In addition, Shortlisted Respondents and Proposers will be entitled, at their own initiative, to finalize the engagement of one (1) Designer, but not both Designers with the revised engagement documented as an Organizational Change either under the terms of this RFQ or the equivalent terms of the RFP.