

Exhibit Book
MTA Board Meeting
3/17/2021

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TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY

**PAYROLL MOBILITY TAX
OBLIGATION RESOLUTION**

Adopted _____, 2021

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PAYROLL MOBILITY TAX OBLIGATION RESOLUTION

BE IT RESOLVED by the Members of the Triborough Bridge and Tunnel Authority as follows:

ARTICLE I STANDARD RESOLUTION PROVISIONS; DEFINITIONS

Section 101. Standard Resolution Provisions. Except as otherwise specifically provided herein or by Supplemental Resolution, the Standard Resolution Provisions Applicable to MTA and TBTA PMT Obligations and Parity Debt appended hereto as Annex A (the “**Senior Lien Standard Resolution Provisions**”), the Standard Resolution Provisions Applicable to MTA and TBTA Second Lien PMT Obligations and Second Lien Parity Debt appended hereto as Annex B (the “**Second Lien Standard Resolution Provisions**”) and the Additional Resolution Provisions Applicable to MTA and TBTA Senior Lien PMT Obligations and Second Lien PMT Obligations appended hereto as Annex C (the “**PMT Standard Resolution Provisions**”) constitute an integral part of the Resolution and have the same force and effect as if set forth in the forepart of the Resolution.

Section 102. Definitions. Except as the context shall otherwise require, capitalized terms used but not otherwise defined herein shall have the respective meanings set forth in the Senior Lien Standard Resolution Provisions, the Second Lien Standard Resolution Provisions or the PMT Standard Resolution Provisions, as applicable. In the event any such term is defined in one or more of the Senior Lien Standard Resolution Provisions, the Second Lien Standard Resolution Provisions, and the PMT Standard Resolution Provisions, the definition contained in the Senior Lien Standard Resolution Provisions shall control in respect of Obligations and Parity Debt and the definition contained in the Second Lien Standard Resolution Provisions shall control in respect of Second Lien Obligations and Second Lien Parity Debt. In addition, to the extent that the State, by law and consistent with their agreements set forth herein and in the Financing Agreement, changes any funds and accounts referred to herein or in the Financing Agreement, such definitions shall be deemed to include any successor provisions. The following terms shall, for all purposes of the Resolution, have the following meanings:

Authorized Purpose shall mean to pay or to provide for the payment of all or part of the Capital Costs included in a Capital Program Plan (within the meaning of Section 1269-b of the MTA Act or any successor provision) if a Capital Program Plan is then required.

Issuer shall mean TBTA.

Obligations shall mean any bonds, notes, commercial paper or other form of indebtedness of the Issuer payable from the Senior Lien Debt Service Fund, authorized by Section 201 and delivered pursuant to Section 202 or authorized pursuant to Section A-203 of Annex A, but *excluding* Obligation Anticipation Notes to the extent payable from, and expected by an Authorized Officer to be paid from, the proceeds of Obligations or other Obligation Anticipation Notes.

Obligations COI Subaccount shall mean the applicable Subaccount by that name established in the Obligations Proceeds Fund for a Series of Obligations pursuant to Section 503.

Obligations Event of Default shall mean the events defined as such in Section C-401 of Annex C.

Obligations Proceeds Account shall have the meaning given such term in Section 503.

Obligations Proceeds Fund shall mean the fund by that name established in Section 502.

Obligations Trust Estate shall mean, collectively, but subject to the terms and provisions of Section 501 and the proviso contained in Section 604 hereof, all right, title and interest of the Issuer in:

- (i) the proceeds of the sale of the Obligations;
- (ii) (x) the Financing Agreement, including the right of the Issuer to receive the PMT Receipts thereunder and (y) the funds and accounts established under the Financing Agreement into which the PMT Receipts are to be deposited;
- (iii) the Obligations Proceeds Fund and the Senior Lien Debt Service Fund, any money on deposit therein and any money received and held by the Issuer which is required to be deposited therein;
- (iv) all Funds, Accounts and Subaccounts established by the Resolution (other than (a) the Second Lien Obligations Proceeds Fund and the Second Lien Debt Service Fund, and any accounts and subaccounts therein and (b) funds and any accounts and subaccounts therein established pursuant to a Supplemental Resolution in connection with Variable Interest Rate Obligations, Put Obligations or Parity Debt; provided, however, that, in the case of funds described in clause (b) hereof, such funds, accounts and subaccounts are specifically excepted from the Obligations Trust Estate by the Supplemental Resolution authorizing such Variable Interest Rate Obligations, Put Obligations or Parity Debt), including the investments, if any, thereof; and
- (v) all funds, moneys and securities and any and all other rights and interests in property, whether tangible or intangible, from time to time hereafter by delivery or by writing of any kind conveyed, mortgaged, pledged, assigned or transferred as and for additional security hereunder for the Obligations by the Issuer, or by anyone on its behalf, or with its written consent, to the Trustee, which is hereby authorized to receive any and all such property at any and all times, and to hold and apply the same subject to the terms hereof.

Other Subordinated Obligations shall mean any payment obligation (other than a payment obligation constituting Parity Debt or Second Lien Parity Debt) arising under any other contract, agreement or other obligation of the Issuer designated as “Other Subordinated Obligations” in a certificate of an Authorized Officer of the Issuer payable from amounts available to be transferred in accordance with this Resolution or the Financing Agreement and shall include, without limitation, swap termination or other fees, expenses, indemnification or other such obligations, Reimbursement Obligations not constituting Parity Reimbursement

Obligations, and Reimbursement Second Lien Obligations not constituting Parity Reimbursement Second Lien Obligations.

PMT Receipts shall mean the Mobility Tax Receipts and the ATA Receipts.

Principal Payment Date shall mean the dates specified as such in the applicable Supplemental Resolution.

Refunding Obligations shall mean all Obligations authenticated and delivered on original issuance pursuant to Section C-202 of Annex C.

Refunding Second Lien Obligations shall mean all Second Lien Obligations authenticated and delivered upon original issuance pursuant to Section C-204 of Annex C.

Resolution shall mean this Payroll Mobility Tax Obligation Resolution (including the Senior Lien Standard Resolution Provisions appended hereto as Annex A, the Second Lien Standard Resolution Provisions appended hereto as Annex B and the PMT Standard Resolution Provisions appended hereto as Annex C), as from time to time amended or supplemented by Supplemental Resolutions in accordance with the terms hereof.

Second Lien Debt Service Fund shall mean the Fund by that name established in Section 502.

Second Lien Debt Service Fund Requirement shall mean, as of any date, the greater of (1) an amount equal to the sum of the Monthly Second Lien Deposit Requirements required to have been deposited under the Financing Agreement attributable to all Second Lien Obligations and Second Lien Parity Debt payable over the immediately succeeding 12-month period or (2) an amount equal to the sum of the amounts of accrued and unpaid Second Lien Obligation Debt Service with respect to all Second Lien Obligations and Second Lien Parity Debt, calculating the accrued Second Lien Obligation Debt Service with respect to Outstanding Second Lien Obligations at an amount equal to the sum of (i) as estimated by an Authorized Officer, interest on the Second Lien Obligations and interest components of Second Lien Parity Debt accrued and unpaid and to accrue to the end of the then current calendar month, and (ii) Second Lien Obligation Principal Installments due and unpaid and that portion of the Second Lien Obligation Principal Installment for such Second Lien Obligations and Second Lien Parity Debt next due which would have accrued (if deemed to accrue in the manner set forth in the definition of Debt Service) to the end of such calendar month. For purposes of calculating deposits relating to the Second Lien Debt Service Fund, Second Lien Obligation Principal Installments shall not include amounts that an Authorized Officer has notified the Second Lien Trustee are to be paid from sources other than Mobility Tax Receipts and ATA Tax Receipts, nor shall Accrued Second Lien Debt Service include any amounts that, as certified by an Authorized Officer, have been set aside hereunder or otherwise in trust for the payment thereof; *provided, however, that* the amount calculated pursuant to clause (i) above for any Series of Second Lien Obligations shall never exceed the interest due and payable on such next succeeding Interest Payment Date (including additional interest pursuant to any related Second Lien Parity Debt) and the amount calculated pursuant to clause (ii) shall never exceed the Principal Installment due on the next succeeding Principal Payment Date; and *provided further that*, with respect to each Series of Second Lien

Obligations, commencing five Business Days prior to each Debt Service Payment Date, the Second Lien Debt Service Requirement shall be no less than the interest and Principal Installments, if any, payable on such Debt Service Payment Date with respect to such Series. For purposes of computing the Second Lien Debt Service Fund Requirement, the Second Lien Obligations of a Series and any Second Lien Parity Debt payable on each different Interest Payment Date shall be treated as a separate Series, and if Second Lien Obligations of any Series or any Second Lien Parity Debt have different Interest/and or Principal Payment Dates, such computation shall be done separately in respect of each such Interest and/or Principal Payment Date.

Second Lien Obligations shall mean any bonds, notes, commercial paper or other forms of indebtedness of the Issuer, payable from the Second Lien Debt Service Fund, authorized by Section 203 and delivered pursuant to Section 204 or authorized pursuant to Section B-203 of Annex B, but *excluding* Second Lien Obligation Anticipation Notes to the extent payable from, and expected by an Authorized Officer to be paid from, the proceeds of Second Lien Obligations or other Second Lien Obligation Anticipation Notes. All Second Lien Obligations shall be payable and secured in the manner permitted by Article V, and any lien on and pledge of any portion of the Second Lien Obligations Trust Estate securing Second Lien Obligations shall be junior and inferior to the lien on and a pledge of the Obligations Trust Estate herein created for the payment of the Obligations and Parity Debt.

Second Lien Obligations COI Subaccount shall mean the applicable Subaccount by that name established in the Second Lien Obligations Proceeds Fund for a Series of Second Lien Obligations pursuant to Section 504.

Second Lien Obligations Event of Default shall mean the events defined as such in Section C-404 of Annex C.

Second Lien Obligations Proceeds Fund shall mean the Fund by that name established in Section 502.

Second Lien Obligations Trust Estate shall mean, collectively, but subject to the terms and provisions of subordination set forth in Article V and the proviso contained in Section 604 hereof, all right, title and interest of the Issuer in:

- (i) the proceeds of the sale of the Second Lien Obligations;
- (ii) (x) the Financing Agreement, including the right of the Issuer to receive the PMT Receipts thereunder and (y) the funds and accounts established under the Financing Agreement into which the PMT Receipts are to be deposited;
- (iii) the Second Lien Obligations Proceeds Fund and the Second Lien Debt Service Fund, any money on deposit therein and any money received and held by the Issuer which is required to be deposited therein, subject to the subordination provisions set forth in Article V of the Resolution;
- (iv) all Funds, Accounts and Subaccounts established by the Resolution (other than (a) the Obligations Proceeds Fund and the Senior Lien Debt Service

Fund, and any accounts and subaccounts therein and (b) funds and any accounts and subaccounts therein established pursuant to a Supplemental Resolution in connection with Variable Interest Rate Second Lien Obligations, Second Lien Put Obligations or Second Lien Parity Debt; provided, however, that, in the case of funds described in clause (b) hereof, such funds, accounts and subaccounts are specifically excepted from the Second Lien Obligations Trust Estate by the Supplemental Resolution authorizing such Variable Interest Rate Second Lien Obligations, Second Lien Put Obligations or Second Lien Parity Debt), including the investments, if any, thereof, subject to the subordination provisions set forth in Article V of the Resolution; and

- (v) all funds, moneys and securities and any and all other rights and interests in property, whether tangible or intangible, from time to time hereafter by delivery or by writing of any kind conveyed, mortgaged, pledged, assigned or transferred as and for additional security hereunder for the Second Lien Obligations by the Issuer, or by anyone on its behalf, or with its written consent, to the Second Lien Trustee, which is hereby authorized to receive any and all such property at any and all times, and to hold and apply the same subject to the terms hereof.

Second Lien Parity Owners shall have the meaning set forth in Annex C.

Second Lien Trustee shall mean the Trustee or, if so provided in the Supplemental Resolution creating any Second Lien Obligations or Second Lien Parity Debt, the entity meeting the requirements of subdivision 3 of Section B-710 of Annex B and designated as Second Lien Trustee in a Supplemental Resolution.

Senior Lien Debt Service Fund shall mean the Fund by that name established in Section 502.

Senior Lien Debt Service Fund Requirement shall mean, as of any date, the greater of (1) an amount equal to the sum of the Monthly Senior Lien Deposit Requirements required to have been deposited under the Financing Agreement attributable to all Obligations and Parity Debt payable over the immediately succeeding 12-month period or (2) an amount equal to the sum of the amounts of accrued and unpaid Obligation Debt Service with respect to all Outstanding Obligations and Parity Debt, calculating the accrued Debt Service with respect to Outstanding Obligations at an amount equal to the sum of (i) as estimated by an Authorized Officer, the interest on the Obligations and interest components of Parity Debt accrued and unpaid and to accrue to the end of the then current calendar month, and (ii) the Principal Installments due and unpaid and that portion of the Principal Installment for such Obligations and Parity Debt next due which would have accrued (if deemed to accrue in the manner set forth in the definition of Debt Service) to the end of such calendar month. For purposes of calculating deposits relating to the Senior Lien Debt Service Fund, Principal Installments shall not include amounts that an Authorized Officer has notified the Trustee are to be paid from sources other than Mobility Tax Receipts and ATA Tax Receipts, nor shall Accrued Senior Lien Debt Service include any amounts that, as certified by an Authorized Officer, have been set aside hereunder or otherwise in trust for the payment thereof; *provided, however, that* the amount calculated

pursuant to clause (i) above for any Series of Obligations shall never exceed the interest due and payable on such next succeeding Interest Payment Date (including additional interest pursuant to any related Parity Debt) and the amount calculated pursuant to clause (ii) shall never exceed the Principal Installment due on the next succeeding Principal Payment Date; and *provided further that*, with respect to each Series of Obligations, commencing five Business Days prior to each Debt Service Payment Date, the Senior Lien Debt Service Fund Requirement shall be no less than the interest and Principal Installments, if any, payable on such interest payment date with respect to such Series. For purposes of computing the Senior Lien Debt Service Fund Requirement, the Obligations of a Series and any Parity Debt payable on each different Debt Service Payment Date shall be treated as a separate Series, and if Obligations of any Series or any Parity Debt have different Interest and/or Principal Payment Dates, such computation shall be done separately in respect of each such Interest and/or Principal Payment Date.

Senior Lien Parity Owners shall have the meaning set forth in Annex C.

Subaccount or **Subaccounts** shall mean each subaccount or all of the subaccounts established in Article V, as the case may be.

Section 103. Interpretation; Amendments to Certain Standard Resolution Provisions.

1. Second Lien Obligations shall constitute Subordinated Indebtedness and Second Lien Parity Debt shall constitute Subordinated Contract Obligations for purposes of Annex A hereto.
2. Subsection 4 of Section A-202 of Annex A is hereby amended to delete all references therein to “Subordinated Contract Obligations and inserting “Other Subordinated Obligations” in replacement thereof.
3. Notwithstanding any other provisions of the Resolution, including Annexes A, B and C, to the extent the consent of Owners of Obligations and Second Lien Owners is at any time required, the existence of the consent of the required percentages of Owners of Obligations and of Second Lien Owners shall be determined separately for Obligations and for Second Lien Obligations.

ARTICLE II
AUTHORIZATION AND ISSUANCE OF OBLIGATIONS AND SECOND LIEN
OBLIGATIONS

Section 201. Authorization of the Obligations and Parity Debt.

1. The Resolution hereby authorizes Obligations of the Issuer designated as “Payroll Mobility Tax Obligations”, which Obligations, if and when authorized by the Issuer pursuant to one or more Supplemental Resolutions, may be issued in one or more Series or subseries. Obligations may be issued as Tax-Exempt Obligations, as Taxable Obligations, as obligations which convert on a particular date or dates from Taxable Obligations to Tax-Exempt Obligations, or as Taxable Obligations which are mandatorily exchangeable on a particular date or dates for Tax-Exempt Obligations, or otherwise as determined by Supplemental Resolution and not contrary to the Resolution as then in effect. The Obligations shall be *special obligations* of the Issuer payable solely from the Obligations Trust Estate pledged to the payment thereof pursuant to subsection 1 of Section 501, but subject to the proviso contained in Section 604 hereof. The aggregate principal amount of the Obligations which may be executed, authenticated and delivered under the Resolution is not limited except as provided in the Resolution or as may from time to time be limited by law.

2. The Obligations may, if and when authorized by the Issuer pursuant to one or more Supplemental Resolutions, be issued in one or more Series or subseries, and the designations thereof, in addition to the name “Payroll Mobility Tax Bonds”, shall include such further or different designations in such title for the Obligations of any particular Series or subseries as the Issuer may determine. Each Obligation shall bear upon its face the designation so determined for the Series or subseries to which it belongs.

3. Nothing in the Resolution shall be deemed to prevent the consolidation into a single Series or subseries for purposes of issuance and sale of Obligations otherwise permitted by the Resolution to be issued at the same time in two or more separate Series or subseries. In the event that separate Series or subseries are combined for purposes of issuance and sale, they may be issued under a single Supplemental Resolution notwithstanding any other provision of the Resolution. Nothing in the Resolution (except to the extent required by Supplemental Resolution) shall be deemed to prevent the separation into separate Series or subseries for purposes of issuance and sale of Obligations otherwise permitted by the Resolution to be issued in one Series or subseries.

4. Obligations may be issued for any Authorized Purpose.

Section 202. General Provisions for Issuance of Obligations. Obligations may be issued for any Authorized Purpose pursuant to a Supplemental Resolution upon (a) satisfaction of the provisions of Section A-201 of Annex A, except that the Opinion of Bond Counsel required by Section A-201.2(a)(iii) of Annex A shall be to the effect that the Obligations are valid, binding, special obligations of the Issuer, enforceable in accordance with their terms and the terms of the Resolution and entitled to the benefits of the Issuer Act and the Resolution, as

amended to the date of such Opinion of Bond Counsel, (b) satisfaction of the provisions of Section C-201 of Annex C, and (c) receipt by the Trustee of a copy of the Financing Agreement, certified by an Authorized Officer of the Issuer.

Section 203. Authorization of Second Lien Obligations.

1. The Resolution hereby authorizes Second Lien Obligations of the Issuer designated as “Payroll Mobility Tax Second Lien Obligations”, which Second Lien Obligations, if and when authorized by the Issuer pursuant to one or more Supplemental Resolutions, may be issued in one or more Series or subseries. Second Lien Obligations may be issued as Tax-Exempt Second Lien Obligations, as Taxable Second Lien Obligations, as obligations which convert on a particular date or dates from Taxable Second Lien Obligations to Tax-Exempt Second Lien Obligations, or as Taxable Second Lien Obligations which are mandatorily exchangeable on a particular date or dates for Tax-Exempt Second Lien Obligations, or otherwise as determined by Supplemental Resolution and not contrary to the Resolution as then in effect. The Second Lien Obligations shall be *special obligations* of the Issuer payable solely from the Second Lien Obligations Trust Estate pledged to the payment thereof pursuant to subsection 5 of Section 501, but subject to the proviso contained in Section 604 hereof. The aggregate principal amount of the Second Lien Obligations which may be executed, authenticated and delivered under the Resolution is not limited except as provided in the Resolution or as may from time to time be limited by law.

2. The Second Lien Obligations may, if and when authorized by the Issuer pursuant to one or more Supplemental Resolutions, be issued in one or more Series or subseries, and the designations thereof, in addition to the name “Payroll Mobility Tax Second Lien Obligations”, shall include such further or different designations in such title for the Second Lien Obligations of any particular Series or subseries as the Issuer may determine. Each Second Lien Obligation shall bear upon its face the designation so determined for the Series or subseries to which it belongs.

3. Nothing in the Resolution shall be deemed to prevent the consolidation into a single Series or subseries for purposes of issuance and sale of Second Lien Obligations otherwise permitted by the Resolution to be issued at the same time in two or more separate Series or subseries. In the event that separate Series or subseries are combined for purposes of issuance and sale, they may be issued under a single Supplemental Resolution notwithstanding any other provision of the Resolution. Nothing in the Resolution (except to the extent required by Supplemental Resolution) shall be deemed to prevent the separation into separate Series or subseries for purposes of issuance and sale of Second Lien Obligations otherwise permitted by the Resolution to be issued in one Series or subseries.

4. Second Lien Obligations may be issued for any Authorized Purpose.

Section 204. General Provisions for Issuance of Second Lien Obligations. Second Lien Obligations may be issued pursuant to a Supplemental Resolution upon (a) satisfaction of the provisions of Section B-201 of Annex B, except that the Opinion of Bond Counsel required by Section B-201.2(a)(iii) of Annex B shall be to the effect that the Second Lien Obligations are valid and binding special obligations of the Issuer, enforceable in accordance with their terms

and the terms of the Resolution and entitled to the benefits of the Issuer Act and the Resolution, each as amended to the date of such Opinion of Bond Counsel, (b) satisfaction of the provisions of Section C-203 of Annex C, and (c) receipt by the Second Lien Trustee of a copy of the Financing Agreement, certified by an Authorized Officer of the Issuer.

ARTICLE III FORM OF OBLIGATIONS AND SECOND LIEN OBLIGATIONS

Section 301. Form of Obligations. Subject to the provisions of the Resolution and except as otherwise provided pursuant to a Supplemental Resolution, each Series of Obligations shall be issued as fully registered securities in substantially the form provided in Exhibit One appended hereto. Any Authorized Officer executing and delivering any such Obligations may make such changes in the form thereof as deemed necessary or convenient by such Authorized Officer, including changes to conform with (i) the terms of sale, (ii) the provisions of the related Supplemental Resolution, (iii) the requirements of the related Securities Depository, provider of a Credit Facility or Rating Agency, (iv) industry practice or (v) federal, State or City regulatory requirements, and the execution (whether manual or by facsimile) and delivery of any such obligations shall be conclusive evidence of the approval of all terms thereof by such Authorized Officer.

Section 302. Form of Second Lien Obligations. Subject to the provisions of the Resolution and except as otherwise provided pursuant to a Supplemental Resolution, each Series of Second Lien Obligations shall be issued as fully registered securities in substantially the form provided in Exhibit Two appended hereto. Any Authorized Officer executing and delivering any such Second Lien Obligations may make such changes in the form thereof as deemed necessary or convenient by such Authorized Officer, including changes to conform with (i) the terms of sale, (ii) the provisions of the related Supplemental Resolution, (iii) the requirements of the related Securities Depository, provider of a Second Lien Obligation Credit Facility or Rating Agency, (iv) industry practice or (v) federal, State or City regulatory requirements, and the execution (whether manual or by facsimile) and delivery of any such obligations shall be conclusive evidence of the approval of all terms thereof by such Authorized Officer.

ARTICLE IV
REDEMPTION AT DEMAND OF THE STATE OR THE CITY

Section 401. Redemption at Demand of the State or the City. Except as otherwise provided pursuant to a Supplemental Resolution, either the State or the City may, upon furnishing sufficient funds therefor, require the Issuer to redeem all or any portion of the Obligations or Second Lien Obligations as provided in the Issuer Act as in effect on the date any such Obligations or Second Lien Obligations were issued.

ARTICLE V
PLEDGE; MAINTENANCE AND ESTABLISHMENT OF FUNDS AND
ACCOUNTS AND APPLICATION THEREOF

Section 501. The Pledge Effected by the Resolution.

1. There are hereby pledged for the payment of the principal and Redemption Price of, and interest on, and Sinking Fund Installments for, the Obligations and, on a parity basis, the Parity Debt, in accordance with their terms and the provisions of the Resolution, subject only to the provisions of the Resolution permitting the application thereof for the purposes and on the terms and conditions set forth in the Resolution, all right, title and interest of the Issuer in the Obligations Trust Estate.

2. The pledge created by subsection 1 of this Section 501 shall in all respects secure on a *pari passu* basis all of the Obligations and Parity Debt and, except as expressly so provided, nothing contained in the Resolution shall be deemed to confer on the Owners of any Obligations or Parity Debt any rights in the Obligations Trust Estate superior or inferior to the Owners of any other Obligations or Parity Debt. The Obligations and Parity Debt are payable solely from the Obligations Trust Estate.

3. The pledge created by subsection 1 of this Section 501 shall be valid and binding from and after the date of issuance and delivery of the first Obligations, and the Obligations Trust Estate shall immediately be subject to the lien of such pledge without any physical delivery thereof or further act, and the lien of such pledge shall be valid and binding as against all parties having claims of any kind in tort, contract or otherwise against the Issuer irrespective of whether such parties have notice thereof.

4. Subject to the provisions of subsections 1 and 9 of this Section 501, the Obligations Trust Estate is and shall be free and clear of any pledge, lien, charge or encumbrance thereon or with respect thereto prior to, or of equal rank with, the pledge created by the Resolution, and all corporate action on the part of the Issuer to that end has been duly and validly taken. Notwithstanding the foregoing, all right, title and interest of the Issuer in and to the Financing Agreement and receipt of amounts payable thereunder for the benefit of Obligations and Parity Debt, is of equal rank with the all right, title and interest of the MTA in and to the Financing Agreement and receipt of amounts payable thereunder for the benefit of MTA Obligations and MTA Parity Debt.

5. The Second Lien Obligations and Second Lien Parity Debt constitute Subordinated Indebtedness (as defined in Annex A) and the rights of Second Lien Owners and holders of Second Lien Parity Debt to payment of principal of and interest on the Second Lien Obligations and Second Lien Parity Debt are subordinated to the rights of Owners of Obligations and Parity Debt to the extent and in the manner provided in this Article V and the Financing Agreement. There are hereby pledged for the payment of the principal and Redemption Price of, interest on, and Second Lien Sinking Fund Installments for, the Second Lien Obligations and, on a parity basis, Second Lien Parity Debt, in accordance with their terms and the provisions of the

Resolution, subject only to the provisions of the Resolution permitting the application thereof for the purposes and on the terms and conditions set forth in the Resolution, all right, title and interest of the Issuer in the Second Lien Obligations Trust Estate.

6. The Second Lien Obligations and Second Lien Parity Debt are payable solely from the Second Lien Obligations Trust Estate which Second Lien Obligations Trust Estate is subject, subordinate and junior to the Obligations Trust Estate with respect to payments to be made on account of Obligations and Parity Debt as set forth in paragraph 8 below. The Second Lien Obligations and Second Lien Parity Debt shall be payable from such amounts as shall from time to time be available for transfer pursuant to the Financing Agreement, and any amounts so transferred shall thereafter be free and clear of the lien of the Obligations Trust Estate. The pledge created by this subsection 5 shall in all respects secure on a *pari passu* basis all of the Second Lien Obligations and Second Lien Parity Debt and, except as expressly so provided, nothing contained in the Resolution shall be deemed to confer on any Second Lien Owners and the holders of any Second Lien Parity Debt any rights in the Second Lien Obligations Trust Estate superior or inferior to the Second Lien Owners of any other Second Lien Obligations and the holders of any Second Lien Parity Debt.

7. The pledge created by subsection 5 of this Section 501 shall be valid and binding from and after the date of issuance and delivery of the first Second Lien Obligations, and the Second Lien Obligations Trust Estate shall immediately be subject to the lien of such pledge without any physical delivery thereof or further act, and the lien of such pledge shall be valid and binding as against all parties having claims of any kind in tort, contract or otherwise against the Issuer irrespective of whether such parties have notice thereof.

8. Subject to the provisions of subsections 1 and 5 of this Section 501, the Second Lien Obligations Trust Estate is and shall be free and clear of any pledge, lien, charge or encumbrance thereon or with respect thereto prior to, or of equal rank with, the pledge created by the Resolution, and all corporate action on the part of the Issuer to that end has been duly and validly taken. Notwithstanding the foregoing, all right, title and interest of the Issuer in and to the Financing Agreement and receipt of amounts payable thereunder for the benefit of Second Lien Obligations and Second Lien Parity Debt, is (a) subordinate to (i) the right, title and interest of the Issuer in and to the Financing Agreement and receipt of amounts payable thereunder for the benefit of Obligations and Parity Debt and (ii) the right, title and interest of the MTA in and to the Financing Agreement and receipt of amounts payable thereunder for the benefit of MTA Obligations and MTA Parity Debt and (b) of equal rank with the right, title and interest of the MTA in and to the Financing Agreement and receipt of amounts payable thereunder for the benefit of MTA Second Lien Obligations and MTA Second Lien Parity Debt.

9. Nothing contained in this Section 501 shall be construed as limiting any authority granted to the Issuer elsewhere in the Resolution to issue or incur Obligation Anticipation Notes or Second Lien Obligation Anticipation Notes or shall be deemed a limitation upon the authority of the Issuer to issue any other bonds, notes or other obligations under the Issuer Act secured by any income and funds other than the Obligations Trust Estate or the Second Lien Obligations Trust Estate, including Subordinated Indebtedness or Subordinated Contract Obligations. In accordance with Section A-203 of Annex A in connection with Obligation Anticipation Notes and in accordance with Section B-203 of Annex B in connection with Second Lien Obligation

Anticipation Notes, the proceeds and other amounts from Obligation Anticipation Notes renewals and Second Lien Obligation Anticipation Notes renewals, respectively, and bonds or other obligations issued to refinance such Obligation Anticipation Notes and Second Lien Obligation Anticipation Notes, respectively, may be pledged for the payment of the principal, premium, and interest on such Obligation Anticipation Notes and Second Lien Obligation Anticipation Notes, respectively, and any such pledge of proceeds and other amounts related to such renewals and refinancing bonds or other obligations shall have priority over any other pledge created by the Resolution, including this Section 501.

Section 502. Establishment of Funds, Accounts and Subaccounts.

1. The following Funds are hereby established:

(a) The Obligations Proceeds Fund, which shall be held and administered by the Issuer;

(b) The Second Lien Obligations Proceeds Fund, which shall be held and administered by the Issuer;

(c) The Senior Lien Debt Service Fund, which shall be held and administered by the Trustee; and

(d) The Second Lien Debt Service Fund, which shall be held and administered by the Trustee or, if so provided in a Supplemental Resolution, the Second Lien Trustee.

2. Amounts held at any time by the Issuer, the Trustee or the Second Lien Trustee in any of the Funds or Accounts established pursuant to this Section shall be held in trust separate and apart from all other funds. Additional funds, accounts or subaccounts may be established by the Issuer in its discretion pursuant to this Section upon the delivery of a certificate to the Trustee or Second Lien Trustee, as applicable, or by Supplemental Resolution.

3. Except as otherwise provided herein or in a Supplemental Resolution, all investment income earned on amounts on deposit in the Funds, Accounts or Subaccounts established under the Resolution shall remain on deposit in such Funds, Accounts or Subaccounts and applied in accordance with the provisions applicable to such Funds, Accounts or Subaccounts.

Section 503. Obligations Proceeds Fund and Application Thereof.

1. There shall be established within the Obligations Proceeds Fund a separate account (“Obligations Proceeds Account”) for each Series of Obligations and within each such Account a separate Obligations COI Subaccount. Additional Subaccounts may be established by certificate of an Authorized Officer within each such Obligations Proceeds Account.

2. The Issuer shall pay into the Obligations Proceeds Fund and each Obligations Proceeds Account, if any, and each Subaccount, if any, such amounts as shall be provided for by Supplemental Resolution or in the resolution authorizing Obligation Anticipation Notes. The portion of any such amount determined by Supplemental Resolution to be used for the payment

of Costs of Issuance shall be paid into and disbursed from the related Obligations COI Subaccount. Excess amounts in any Obligation COI Subaccount may be transferred by the Issuer to the related Obligations Proceeds Account and applied for an Authorized Purpose in the manner and upon such conditions, if any, as the Issuer may provide in the Supplemental Resolution authorizing such Obligations or transferred by the Issuer to the Senior Lien Debt Service Fund and applied to the payment of interest on the related Obligations.

3. Unless otherwise provided for in a Supplemental Resolution or in the resolution authorizing Obligation Anticipation Notes, amounts in each Obligations Proceeds Account and each Subaccount shall be applied for an Authorized Purpose in the manner and upon such conditions, if any, as the Issuer may provide in such Supplemental Resolution or in the resolution authorizing Obligation Anticipation Notes; *provided, however, that*, subject to any priority for Obligation Anticipation Notes, if on any Interest Payment Date or Principal Payment Date the amounts in the Senior Lien Debt Service Fund shall be less than Debt Service payable on such date, the Issuer shall apply amounts from the Obligations Proceeds Fund to the extent necessary to make up the deficiency; *provided, further, however that* if the amounts to be applied to make up any such deficiency are proceeds of Tax-Exempt Obligations, such amounts shall not be so applied unless there shall be filed with the Trustee and the Issuer an opinion of Bond Counsel to the effect that such action will not adversely affect the exclusion of interest on any Series of Tax-Exempt Obligations.

Section 504. Second Lien Obligations Proceeds Fund and Application Thereof.

1. There shall be established within the Second Lien Obligations Proceeds Fund a separate account (“Second Lien Obligations Proceeds Account”) for each Series of Second Lien Obligations and within each such Account a separate Second Lien Obligations COI Subaccount. Additional Subaccounts may be established by certificate of an Authorized Officer within each such Second Lien Proceeds Account.

2. The Issuer shall pay into the Second Lien Obligations Proceeds Fund and each Second Lien Proceeds Account and each Second Lien Obligations COI Subaccount, such amounts as shall be provided for by Supplemental Resolution or in the resolution authorizing Second Lien Obligation Anticipation Notes. The portion of any such amount determined by Supplemental Resolution to be used for the payment of Second Lien Costs of Issuance shall be paid into and disbursed from the related Second Lien Obligations COI Subaccount. Excess amounts in any Second Lien Obligations COI Subaccount may be transferred by the Issuer to the related Second Lien Obligation Proceeds Account and applied for an Authorized Purpose in the manner and upon such conditions, if any, as the Issuer may provide in the Supplemental Resolution authorizing such Second Lien Obligations or transferred by the Issuer to the Second Lien Debt Service Fund and applied to the payment of interest on the related Second Lien Obligations.

3. Unless otherwise provided for in a Supplemental Resolution or in the resolution authorizing Second Lien Obligation Anticipation Notes, amounts in each Second Lien Proceeds Account, if any, and each Subaccount, if any, shall be applied for an Authorized Purpose in the manner and upon such conditions, if any, as the Issuer may provide in such Supplemental Resolution or in the resolution authorizing Second Lien Obligation Anticipation Notes; *provided,*

however, that, subject to any priority for Second Lien Obligation Anticipation Notes, if on any Interest Payment Date or Second Lien Obligations Principal Payment Date the amounts in the Second Lien Debt Service Fund shall be less than Second Lien Debt Service payable on such date, the Issuer shall apply amounts from the Second Lien Obligations Proceeds Fund to the extent necessary to make up the deficiency; *provided, further, however that* if the amounts to be applied to make up any such deficiency are proceeds of Tax-Exempt Obligations, such amounts shall not be so applied unless there shall be filed with the Trustee and the Issuer an opinion of Bond Counsel to the effect that such action will not adversely affect the exclusion of interest on any Series of Tax-Exempt Obligations.

Section 505. Senior Lien Debt Service Fund.

1. The Trustee shall deposit, upon receipt thereof, all amounts transferred to the Trustee for deposit in the Senior Lien Debt Service Fund in accordance with the Financing Agreement.

2. The Trustee shall pay out of the Senior Lien Debt Service Fund to the respective Paying Agents (i) on or before each Interest Payment Date for any of the Obligations and any related Parity Debt, the amount required for the interest payable on such date; (ii) on or before each Principal Payment Date, the amount required for the Principal Installment (including the portion thereof payable in respect of Parity Debt) payable on such due date; and (iii) on or before any redemption date for the Obligations or Parity Debt which occurs on any date other than an Interest Payment Date, the amount required for the payment of interest on the Obligations or Parity Debt then to be redeemed. Such amounts shall be applied by the Paying Agents to such payments on and after the due dates thereof.

3. If on any date the sum of the amounts on deposit in the Senior Lien Debt Service Fund exceeds the Senior Lien Debt Service Fund Requirement calculated as of such date, the Trustee shall, upon direction of the Issuer, first apply any or all of such excess to cure or reduce any deficiency then existing in any Fund or Account under the Resolution and then transfer any and all of the remaining amount of such excess to the Issuer or as otherwise required or permitted by law free and clear of any lien, pledge and claim under the Resolution.

4. In the event of the refunding (including in connection with any payment at maturity, redemption, purchase, tender or exchange) of any Obligations, the Trustee shall, upon the direction of the Issuer, (a) withdraw from the Senior Lien Debt Service Fund all or any portion of the amounts accumulated therein with respect to the Debt Service on the Obligations being refunded and deposit such amounts, free and clear of any lien, pledge or claim of the Resolution, with itself as Trustee or with an escrow agent to be held in trust solely for the purchase, exchange or payment of the principal or Redemption Price, if applicable, of and interest on the Obligations being refunded, (b) apply such amounts to pay the Costs of Issuance of the Refunding Obligations, (c) deposit or transfer to the Trustee to deposit such amounts in any Fund or Account established hereunder, or (d) pay such amounts over to the Issuer or to the MTA free and clear of any lien, pledge or claim under the Resolution for deposit, in the discretion of the Issuer, into any fund or account as otherwise required or permitted by law; provided, however, that no such withdrawal or deposit shall be made unless (i) upon such refunding, the Obligations being refunded shall be deemed to have been paid within the meaning

and with the effect provided in Section A-901 of Annex A, and (ii) at the time of and giving effect to such withdrawal and refunding, there shall exist no deficiency in any Fund or Account established under the Resolution.

5. Notwithstanding the foregoing provisions of subsections 3 and 4 of this Section 505, in the event the Trustee transfers to the Issuer any excess amounts in the Senior Lien Debt Service Fund under subsection 3 or the Trustee pays to the Issuer any amount under clause (d) of subsection 4, the Issuer shall, before using such moneys for any other purpose, determine that there are no debt service fund deficiencies relating to (i) MTA Obligations or MTA Parity Debt, or (ii) (a) MTA Second Lien Obligations or MTA Second Lien Parity Debt or (b) TBTA Second Lien Obligations or TBTA Second Lien Parity Debt, in which case the Issuer shall transfer such moneys to the Applicable Trustees in the following order of priority: first, in such amount as required to cure any deficiencies with respect to MTA Obligations or MTA Parity Debt, and second, in such amounts as required to cure any such deficiencies with respect to MTA Second Lien Obligations and MTA Second Lien Parity Debt and TBTA Second Lien Obligations and TBTA Second Lien Parity Debt (provided, however, that if the excess amounts shall be insufficient to cure all such Second Lien deficiencies then such amounts shall be transferred to the Second Lien Trustee pro rata (in proportion to the amount of any deficiencies relative to each other) on a *pari passu* basis).

Section 506. Second Lien Debt Service Fund.

1. The Second Lien Trustee shall deposit, upon receipt thereof, all amounts transferred to the Second Lien Trustee for deposit in the Second Lien Debt Service Fund in accordance with the Financing Agreement.

2. The Second Lien Trustee shall pay out of the Second Lien Debt Service Fund to the respective Paying Agents (i) on or before each Interest Payment Date for any of the Second Lien Obligations and any related Second Lien Parity Debt, the amount required for the interest payable on such date; (ii) on or before each Second Lien Principal Payment Date, the amount required for the Second Lien Principal Installment (including the portion thereof payable in respect of a Second Lien Parity Debt) payable on such due date; and (iii) on or before any redemption date for the Second Lien Obligations or Second Lien Parity Debt which occurs on any Interest Payment Date, the amount required for the payment of interest on the Second Lien Obligations or Second Lien Parity Debt then to be redeemed. Such amounts shall be applied by the Paying Agents to such payments on and after the due dates thereof.

3. If on any date the sum of the amounts on deposit in the Second Lien Debt Service Fund exceeds the Second Lien Debt Service Fund Requirement calculated as of such date, the Second Lien Trustee shall, upon direction of the Issuer, first apply or transfer to the Trustee or the Second Lien Trustee, as appropriate, to apply any or all of such excess to cure or reduce any deficiency then existing in any Fund or Account under this Resolution and then transfer any and all of the remaining amount of such excess to the Issuer free and clear of any lien, pledge and claim under the Resolution.

4. In the event of the refunding (including in connection with any payment at maturity, redemption, purchase, tender or exchange) of any Second Lien Obligations, the Second

Lien Trustee shall, upon the direction of the Issuer, (a) withdraw from the Second Lien Debt Service Fund all or any portion of the amounts accumulated therein with respect to the Debt Service on the Second Lien Obligations being refunded and deposit such amounts, free and clear of any lien, pledge or claim of this Resolution, with itself as Second Lien Trustee or with an escrow agent to be held in trust solely for the purchase, exchange or payment of the principal or Redemption Price, if applicable, of and interest on the Second Lien Obligations being refunded, (b) apply such amounts to pay the Costs of Issuance of the Refunding Second Lien Obligations, (c) deposit or transfer to the Second Lien Trustee to deposit such amounts in any Fund or Account established hereunder, or (d) pay such amounts over to the Issuer or to the MTA free and clear of any lien, pledge or claim under the Resolution for deposit, in the discretion of the Issuer, into any fund or account or as otherwise required or permitted by law; provided, however, that no such withdrawal or deposit shall be made unless (i) upon such refunding, the Second Lien Obligations being refunded shall be deemed to have been paid within the meaning and with the effect provided in Section A-901 of Annex A, and (ii) at the time of and giving effect to such withdrawal and refunding, there shall exist no deficiency in any Fund or Account established under the Resolution.

5. The Issuer shall have the right to covenant with Persons to whom Second Lien Obligations and Second Lien Parity Debt run and with the Second Lien Owners or holders from time to time of Second Lien Obligations and Second Lien Parity Debt in order to add to the conditions, limitations and restrictions under which any Additional Obligations or Refunding Obligations may be issued or Parity Debt may be incurred; provided, however, that the Supplemental Resolution or indenture or other agreement providing for the issuance of such Second Lien Obligations and Second Lien Parity Debt or the incurrence of such Second Lien Obligations and Second Lien Parity Debt shall not permit the holders of such obligations to declare the same, nor to instruct such holders' trustee to declare the same, to be immediately due and payable prior to any time that all Obligations and Parity Debt have become due and payable.

6. The Issuer shall have the right to covenant with Persons to whom Other Subordinated Obligations run and with the holders from time to time of Other Subordinated Obligations in order to add to the conditions, limitations and restrictions under which any Additional Obligations, Refunding Obligations, Additional Second Lien Obligations or Refunding Obligations may be issued or Parity Debt or Second Lien Parity Debt may be incurred; provided, however, that the Supplemental Resolution or indenture or other agreement providing for the issuance of such Other Subordinated Obligations or the incurrence of such Other Subordinated Obligations shall not permit the holders of such obligations to declare the same, nor to instruct such holders' trustee to declare the same, to be immediately due and payable prior to any time that all Obligations, Second Lien Obligations, Parity Debt and Second Lien Parity Debt have become due and payable.

7. Notwithstanding the foregoing provisions of subsections 3 and 4 of this Section 506, in the event the Second Lien Trustee transfers to the Issuer any excess amounts in the Second Lien Debt Service Fund under subsection 3 or the Second Lien Trustee pays to the Issuer any amount under clause (d) of subsection 4, the Issuer shall, before using such moneys for any other purpose, determine that there are no debt service deficiencies relating to (a)(i) TBTA Obligations or TBTA Parity Debt or (ii) MTA Obligations or MTA Parity Debt or (b) MTA Second Lien Obligations or MTA Second Lien Parity Debt, in which case the Issuer shall

transfer such moneys to the Applicable Trustees thereunder in the following order of priority: first, in such amount as required to cure any deficiencies with respect to TBTA Obligations or TBTA Parity Debt and MTA Obligations or MTA Parity Debt (provided, however, that if the excess amounts shall be insufficient to cure all such deficiencies then such amounts shall be transferred to the Applicable Trustee pro rata (in proportion to the amount of any deficiencies relative to each other) on a *pari passu* basis), and second, in such amounts as required to cure any such deficiencies with respect to MTA Second Lien Obligations and MTA Second Lien Parity Debt.

ARTICLE VI PARTICULAR COVENANTS OF THE ISSUER

The Issuer covenants and agrees as follows:

Section 601. Power to Issue Obligations and Effect Pledge of Obligations Trust Estate.

1. Subject to Section 703 hereof, the Issuer is duly authorized under all applicable laws to create and issue the Obligations, adopt the Resolution and pledge the Obligations Trust Estate in the manner and to the extent provided in the Resolution. The Obligations Trust Estate is and shall be free and clear of any pledge, lien, charge or encumbrance thereon or with respect thereto prior to, or of equal rank with, the pledge created by the Resolution, and all corporate action on the part of the Issuer to that end has been and will be duly and validly taken. The Obligations and the provisions of the Resolution are and shall be the legally valid and binding special obligations of the Issuer enforceable in accordance with their terms and the terms of the Resolution. The Issuer shall at all times, to the extent permitted by law, defend, preserve and protect the pledge of the Obligations Trust Estate and all the rights of the Owners of Obligations under the Resolution against all claims and demands of all Persons whomsoever.

2. Until the pledge created in subsection 1 of Section 501 of the Resolution shall be discharged and satisfied as provided in Section A-901 of Annex A, the Issuer shall not issue any bonds or other evidences of indebtedness, other than the Obligations and Parity Debt, secured by an equal or prior pledge of the payments from the PMT Receipts being made under the Financing Agreement or the Obligations Trust Estate, nor create or cause to be created any equal or prior pledge of, or lien, charge or encumbrance on, any of the payments from the Mobility Tax Receipts or the ATA Receipts being made under the Financing Agreement or the items comprising the Obligations Trust Estate; *provided, however*, that nothing contained in the Resolution shall prevent the Issuer from at any time incurring any Parity Debt in accordance with Section A-201 of Annex A and Section C-201 of Annex C or issuing Obligation Anticipation Notes secured as provided in Section A-203 of Annex A, Second Lien Obligations in accordance with Section 203 hereof, Second Lien Parity Debt in accordance with Section B-202 of Annex B and Section C-203 of Annex C, or Second Lien Obligation Anticipation Notes secured as provided in Section B-203 of Annex B; and *provided, further however*, that nothing shall prevent MTA from issuing MTA PMT Senior Lien Indebtedness under the MTA PMT Resolution which shall be secured on a *pari passu* basis with all right, title and interest of TBTA in and to the Financing Agreement and the receipt of amounts payable thereunder for the benefit of TBTA Obligations and TBTA Parity Debt.

Section 602. Compliance with and Enforcement of the Financing Agreement. The Issuer shall, at all times, use its best efforts to require the MTA to comply with its obligations under the Financing Agreement, as set forth therein including, without limitation, the obligation to make all transfers and pay all payments payable thereunder, as the same shall become due and payable and to comply with all covenants contained therein, and to comply with any directions of the Applicable Trustee (as defined in the Financing Agreement) with respect to the enforcement of the Financing Agreement; provided, however, that the Issuer may delay, defer or waive enforcement of one or more provisions of said Financing Agreement (other than provisions requiring the payment of moneys to any Fund or Account established hereunder), if the Issuer determines such delay, deferment or waiver will not materially adversely affect the right, security and interest of the Owners of Obligations and the Parity Debt and the Second Lien Obligations and the Second Lien Parity Debt, as applicable.

Section 603. Amendments to the Financing Agreement.

1. (a) The Issuer shall not alter, change, modify or amend any provision of the Financing Agreement so as to affect adversely in a material way (i) the interest of all of the Senior Lien Parity Owners without the prior written consent of the Senior Lien Parity Owners of at least a majority in aggregate principal amount of the Obligations then Outstanding under this Resolution and the MTA PMT Resolution, or (ii) in case less than all of such Obligations then Outstanding are affected by the alteration, change, modification or amendment, the Senior Lien Parity Owners of not less than a majority in aggregate principal amount of such Obligations so affected then Outstanding; provided, however, that if such alteration, change, modification or amendment will, by its terms, not take effect so long as any such Obligations of any specified maturity remain Outstanding, the consent of the Senior Lien Parity Owners of such Obligations shall not be required, and such Obligations shall not be deemed to be Outstanding for the purposes of any calculation of Outstanding Obligations under this Section; and provided further, that no such alteration, change, modification or amendment shall decrease the amount of any payment required to be made under the Financing Agreement or extend the time of payment thereof. The Financing Agreement may be altered, changed, modified or amended in a manner that will not adversely affect in a material way the Senior Lien Parity Owners of the Outstanding Obligations without the consent of the Senior Lien Parity Owners of such Outstanding Obligations. For the purposes of this paragraph (a) of subsection 1 of Section 603, Obligations under this Resolution shall be deemed to be affected by an alteration, change, modification or amendment of the Financing Agreement if the same adversely affects or diminishes in a material way the rights of the Senior Lien Parity Owners of such Obligations. The Trustee under this Resolution and the Trustee under the MTA PMT Resolution may, in their discretion, determine whether or not, in accordance with the foregoing powers of alteration, change, modification or amendment, any particular Obligations would be affected by any alteration, change, modification or amendment of the Financing Agreement. In any event, the Issuer shall not consent to any material adverse alteration, change, modification or amendment to the Financing Agreement without the consent of such Trustee.

(b) If any alteration, change, modification or amendment to the Financing Agreement would adversely affect in a material way the interests of all or a portion of the Second Lien Parity Owners, then the Issuer shall not alter, change, modify or amend any provision of the Financing Agreement without the prior written consent of the Second Lien Parity Owners of at

least a majority in aggregate principal amount of the Second Lien Obligations then Outstanding affected by alteration, change, modification or amendment in addition to obtaining the consent of the Senior Lien Parity Owners required by clause (i) of paragraph (a) of subsection 1 of this Section 603 to the extent applicable; provided, however, that if such alteration, change, modification or amendment will, by its terms, not take effect so long as any such Second Lien Obligations of any specified maturity remain Outstanding, the consent of the Second Lien Parity Owners of such Second Lien Obligations shall not be required, and such Second Lien Obligations shall not be deemed to be Outstanding for the purposes of any calculation of Outstanding Second Lien Obligations under this Section. The Financing Agreement may be altered, changed, modified or amended in a manner that will not adversely affect in a material way the Second Lien Parity Owners of the Outstanding Second Lien Obligations without the consent of the Second Lien Parity Owners of such Outstanding Second Lien Obligations. For the purposes of this paragraph (b) of subsection 1 of Section 603, Second Lien Obligations shall be deemed to be affected by an alteration, change, modification or amendment of the Financing Agreement if the same adversely affects or diminishes in a material way the rights of the Second Lien Parity Owners of such Obligations. The Second Lien Trustee under this Resolution and the Second Lien Trustee under the MTA PMT Resolution may, in their discretion, determine whether or not, in accordance with the foregoing powers of alteration, change, modification or amendment, any particular such Second Lien Obligations would be affected by any alteration, change, modification or amendment of the Financing Agreement. In any event, the Issuer shall not consent to any material adverse alteration, change, modification or amendment to the Financing Agreement without the consent of such Second Lien Trustee.

2. Obligations or Second Lien Obligations owned or held by or for the account of the Issuer or any Related Entity shall not be deemed Outstanding for the purpose of consent or other action or any calculation of Outstanding Obligations or Second Lien Obligations provided for in this Section 603 and the Issuer shall not be entitled with respect to such Obligations or Second Lien Obligations to give any consent or take any other action provided for in this Section 603. At the time of any consent or other action taken under this Section 603, the Issuer shall furnish the Trustee and/or the Second Lien Trustee, as applicable, a certificate of an Authorized Officer of the Issuer, upon which the Trustee and/or Second Lien Trustee may rely, describing all Obligations and Second Lien Obligations so to be excluded.

3. Consents under this Section 603 shall be obtained in the same manner as modifications and amendments under Article C-VI of Annex C.

Section 604. Agreement of the State; Limited Waiver by Owners.

1. The Issuer does hereby incorporate herein the pledges, covenants and agreements of the State with the Owners of the Obligations and Parity Debt and the Second Lien Obligations and Second Lien Parity Debt set forth in Sections 563 and 566-a of the Issuer Act as though set forth in full herein; provided, however, nothing contained in this Section or elsewhere in the Resolution shall be deemed to restrict the right of the State to amend, repeal, modify or otherwise alter statutes imposing or relating to the taxes or fees producing revenues for deposit by the MTA in the Mobility Tax Receipts Subaccount of the MTA Finance Fund and/or the ATA Receipts Subaccount of the Corporate Transportation Account of the MTA Special Assistance Fund or, if applicable, the appropriations relating thereto.

2. Notwithstanding the provisions of the agreement of the State contained in subdivisions 2 and 3 of Section 563 of the Issuer Act, all Owners, by their acceptance and holding of the Obligations and Parity Debt and the Second Lien Obligations and Second Lien Parity Debt, consent to the construction and operation by the Issuer (or to the construction by Persons other than the Issuer if the Issuer shall have assumed the operation thereof), and waive any and all rights under said subdivisions of said Section 563 with respect to such construction and operation, of any vehicular toll bridge or toll tunnel crossing the East River in the City.

Section 605. Power to Issue Second Lien Obligations and Effect Pledge of Second Lien Obligations Trust Estate.

1. Subject to Section 703 hereof, the Issuer is duly authorized under all applicable laws to create and issue the Second Lien Obligations, adopt the Resolution and pledge the Second Lien Obligations Trust Estate in the manner and to the extent provided in the Resolution. The Second Lien Obligations Trust Estate, except to the extent provided in Section 501 and 601, is and shall be free and clear of any pledge, lien, charge or encumbrance thereon or with respect thereto prior to, or of equal rank with, the pledge created by the Resolution, and all corporate action on the part of the Issuer to that end has been duly and validly taken. The Second Lien Obligations and the provisions of the Resolution are and shall be the legally valid and binding special obligations of the Issuer enforceable in accordance with their terms and the terms of the Resolution. The Issuer shall at all times, to the extent permitted by law, defend, preserve and protect the pledge of the Second Lien Obligations Trust Estate and all the rights of the Second Lien Owners under the Resolution against all claims and demands of all Persons whomsoever. Notwithstanding the foregoing, nothing shall prohibit the Issuer from creating and issuing the Obligations and Parity Debt, and pledging the separate lien on the Obligations Trust Estate securing Obligations and Parity Debt all as set forth herein, which Obligations and Parity Debt are secured on a superior basis with respect to amounts payable under the Financing Agreement.

2. Until the pledge created in subsection 5 of Section 501 of the Resolution shall be discharged and satisfied as provided in Section B-901 of Annex B, the Issuer shall not issue any bonds or other evidences of indebtedness, other than the Obligations, Parity Debt, Second Lien Obligations and Second Lien Parity Debt secured by an equal or prior pledge of the payments from the Mobility Tax Receipts or the ATA Receipts being made under the Financing Agreement or the Second Lien Obligations Trust Estate, nor create or cause to be created any equal or prior pledge of, or lien, charge or encumbrance on, any of the payments from the Mobility Tax Receipts or the ATA Receipts being made under the Financing Agreement or the items comprising the Second Lien Obligations Trust Estate; *provided, however, that* nothing contained in the Resolution shall prevent the Issuer from at any time incurring any Obligations or Parity Debt in accordance with Section A-201 of Annex A and C-201 of Annex C or issuing Obligation Anticipation Notes secured as provided in Section A-203 of Annex A, Second Lien Obligations in accordance with Section 203 hereof, Second Lien Parity Debt in accordance with Section B-202 of Annex B and C-203 of Annex C, or Second Lien Obligation Anticipation Notes secured as provided in Section B-203 of Annex B; and *provided, further however,* that nothing shall prevent the MTA from issuing MTA PMT Second Lien Indebtedness under the MTA PMT Resolution which shall be secured on a *pari passu* basis with all right, title and interest of TBTA in and to the Financing Agreement and the receipt of amounts payable thereunder for the benefit of TBTA Second Lien Obligations and TBTA Second Lien Parity Debt.

Section 606. Creation of Liens, Issuance of Subordinated Indebtedness, Subordinated Contract Obligations and Other Debt. The Issuer shall not issue any bonds or other evidences of indebtedness, other than the Obligations and Parity Debt and the Second Lien Obligations and Second Lien Parity Debt as provided herein, secured by a pledge of the Obligations Trust Estate and the Second Lien Obligations Trust Estate, respectively, and shall not create or cause to be created any lien or charge on the Obligations Trust Estate or the Second Lien Obligations Trust Estate, except to the extent provided in Section 501; provided, however, that the Issuer may, at any time, or from time to time, incur Subordinated Indebtedness or enter into Subordinated Contract Obligations payable out of and which may be secured by a pledge of, such amounts as may from time to time be available for the purpose of the payment thereof in accordance with the Financing Agreement and such pledge shall be, and shall be expressed to be, subordinate in all respects to the pledge created by the Resolution as security for payment of the Obligations and Parity Debt and Second Lien Obligations and Second Lien Parity Debt; and provided further that nothing contained in the Resolution shall prevent the Issuer from issuing (i) bonds, notes, or other obligations or evidences of indebtedness under another and separate resolution to finance Other Subordinated Obligations, or (ii) other bonds, notes, or other obligations or evidences of indebtedness under another and separate resolution payable from, among other sources, money released from the lien of this Resolution pursuant to the Financing Agreement.

**ARTICLE VII
MISCELLANEOUS; REMEDIES**

Section 701. Authority to Deliver this Resolution. An Authorized Officer of the Issuer is hereby authorized and directed to deliver this Resolution with such changes, insertions, and omissions as may be approved by such Authorized Officer and as may be required and approved by the CPRB or by such Authorized Officer prior to the issuance of the initial Series of Obligations authenticated and delivered hereunder; such delivery being conclusive evidence of such approvals; and provided, however, that such changes, insertions, and omissions shall be necessary to effectuate the intent of this Resolution and shall not have a material adverse impact on the MTA or its obligations under the Financing Agreement or on the Owners of Obligations and Second Lien Obligations under the MTA PMT Resolution.

Section 702. Appointment of Receiver Abrogated. The right of the Trustee to the appointment of a receiver as provided in Section 567 of the Issuer Act is hereby abrogated.

Section 703. Effective Date. The effectiveness of the provisions of this Resolution relating to the authority of the Issuer to finance the PMT Transit and Commuter Project are subject to the receipt of the approval (or deemed approval) of the CPRB pursuant to the Issuer Act.

ANNEX A

STANDARD RESOLUTION PROVISIONS

APPLICABLE TO MTA AND TBTA PMT OBLIGATIONS AND PARITY DEBT

ANNEX B

**STANDARD RESOLUTION PROVISIONS APPLICABLE TO MTA AND TBTA
SECOND LIEN PMT OBLIGATIONS AND SECOND LIEN PARITY DEBT**

ANNEX C

**ADDITIONAL RESOLUTION PROVISIONS APPLICABLE TO MTA AND TBTA
SENIOR LIEN PMT OBLIGATIONS AND SECOND LIEN PMT OBLIGATIONS**

EXHIBIT ONE

FORM OF OBLIGATIONS

THE BONDS SHALL NOT BE A DEBT OF THE STATE OF NEW YORK OR THE CITY OF NEW YORK AND NEITHER THE STATE NOR THE CITY SHALL BE LIABLE THEREON.

Unless this certificate is presented by an authorized representative of The Depository Trust Company, a New York corporation (“DTC”), to the issuer or its agent for registration of transfer, exchange or payment, and any certificate issued is registered in the name of Cede & Co. or such other name as requested by an authorized representative of DTC (and any payment is made to Cede & Co. or to such other entity as is requested by an authorized representative of DTC), ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL inasmuch as the registered owner hereof, Cede & Co., has an interest herein.

As provided in the Resolution referred to herein, until the termination of the system of book-entry-only transfers through DTC and notwithstanding any other provision of the Resolution to the contrary, a portion of the principal amount of this Bond may be paid or redeemed without surrender hereof to the Paying Agent. DTC or a nominee, transferee or assignee of DTC of this Bond may not rely upon the principal amount indicated hereon as the principal amount hereof outstanding and unpaid. The principal amount hereof outstanding and unpaid shall for all purposes be the amount determined in the manner provided in the Resolution.

**TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY
PAYROLL MOBILITY TAX BOND,
SERIES _____**

No. _____

\$

Interest Rate

Maturity Date

Dated Date

CUSIP

Registered Owner: Cede & Co.

Principal Amount: _____ Dollars

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY (herein called “TBTA”), a body corporate and politic constituting a public benefit corporation, organized and existing under and by virtue of the laws of the State of New York, acknowledges itself indebted to, and for value received hereby promises to pay to the Registered Owner stated above, or registered

assigns, on the Maturity Date set forth above, but solely from the Obligations Trust Estate defined below, upon presentation and surrender of this Bond at the office or agency of TBTA designated for such payment in the Borough of Manhattan, City and State of New York, or, at the option of the Registered Owner hereof, at any other office or agency of TBTA designated by TBTA for such payment, the Principal Amount set forth above in any coin or currency of the United States of America which at the time of payment is legal tender for the payment of public and private debts, and to pay to the Registered Owner hereof interest on such Principal Amount, such payment to be made by The Bank of New York Mellon, as Paying Agent, from the Dated Date set forth above or such later date to which interest has been paid, at the Interest Rate per annum set forth above, payable on _____ and semi-annually thereafter on the _____ day of _____ and _____ in each year, until TBTA's obligation with respect to the payment of such Principal Amount shall be discharged. Interest on the Series _____ Bonds shall be computed on the basis of a 360-day year consisting of twelve 30-day months. Interest will be paid by check mailed on the interest payment date by the Paying Agent to the Registered Owner at his address as it appears on the registration records or, at the option of any Owner of at least one million dollars (\$1,000,000) in principal amount of the Series _____ Bonds, by wire transfer in immediately available funds on each interest payment date to such Owner, provided such Owner has notified the Trustee (as hereinafter defined) in writing of such Owner's wire transfer address (which shall be in the continental United States) at least 15 days prior to the relevant payment date. *In the event that any payment date is not a business day, payment will be made on the next business day with the same force and effect as if made on the nominal date provided in the Resolution and no interest shall accrue during the intervening period with respect to any payment so deferred.*

This Bond is one of a duly authorized issue of obligations of TBTA designated as its "Payroll Mobility Tax Obligations" (herein called the "Bonds") issued under and pursuant to the Triborough Bridge and Tunnel Authority Act, being Title 3 of Article 3 of the New York Public Authorities Law, Chapter 43-A of the Consolidated Laws of the State of New York, as amended (herein called the "TBTA Act"), and under and pursuant to a resolution of TBTA adopted on _____, 2021, entitled "Payroll Mobility Tax Obligation Resolution", as supplemented. Said resolution, as supplemented and amended, is herein called the "Resolution". This Bond is one of a series of Bonds designated as "Payroll Mobility Tax Bonds, Series _____" (herein called the "Series _____ Bonds"), issued in the aggregate principal amount of \$ _____ under said Resolution. All capitalized terms used but not otherwise defined have the respective meanings ascribed by the Resolution.

Copies of the Resolution are on file at the office of TBTA and at the principal corporate trust office of The Bank of New York Mellon, New York, New York, as Trustee under the Resolution, or its successor as Trustee (herein called the "Trustee"), in the Borough of Manhattan, City and State of New York, and reference to the Resolution and any and all supplements thereto and modifications and amendments thereof and to the TBTA Act is hereby made for a complete description of the pledge and covenants securing the Series _____ Bonds, the nature, extent and manner of enforcement of, and limitations with respect to, such pledge, the rights and remedies of the registered owners of the Series _____ Bonds with respect thereto, and the terms and conditions upon which the Bonds are issued and may be issued thereunder.

This Series ____ Bond is a special obligation of TBTA, secured by a pledge, subject only to the provisions of the Resolution and the MTA PMT Resolution referred to below permitting the application thereof for the purposes and on the terms and conditions set forth in the Resolution and the MTA PMT Resolution, of all right, title and interest of TBTA in the “Obligations Trust Estate”, being (i) the proceeds of the sale of the Obligations; (ii) all right, title and interest of TBTA in and to the Financing Agreement and TBTA’s *pro rata* share of the payments of ATA Receipts and the Mobility Tax Receipts from the funds and accounts established under the Financing Agreement into which the ATA Receipts and the Mobility Tax Receipts are to be deposited, (iii) all Funds, Accounts and Subaccounts established by the Resolution (other than the Second Lien Obligations Proceeds Fund and the Second Lien Debt Service Fund and subject to specified provisions of the Resolution) including the investments, if any, thereof, and (iv) certain funds, moneys and securities and any all other rights and interests in property, whether tangible or intangible, from time to time hereafter by delivery or by writing of any kind conveyed, mortgaged, pledged, assigned or transferred as and for additional security for the Bonds as provided in the Resolution.

To the extent provided in the Resolution, Bonds may be issued from time to time pursuant to Supplemental Resolutions in one or more series, in various principal amounts, may mature at different times, may bear interest at different rates and may otherwise vary as provided in the Resolution. The aggregate principal amount of Bonds which may be issued under the Resolution is not limited except as provided in the Resolution and the TBTA Act, and all Bonds issued and to be issued under the Resolution are and shall be equally secured by the pledge and covenants made therein, except as otherwise expressly provided or permitted in the Resolution. The Bondholders, by their acceptance and holding of the Bonds, waive, to the extent provided in the Resolution, all rights under subdivisions 2 and 3 of Section 563 of the TBTA Act with respect to certain competitive connections for vehicular traffic across the East River in The City of New York.

On November 18, 2020, the Metropolitan Transportation Authority (“MTA”) adopted and delivered a resolution (the “MTA PMT Resolution”) that authorizes the issuance of bonds, notes and other obligations secured by all right, title and interest of MTA in, to and under the MTA PMT Resolution or the Financing Agreement, as applicable, and including MTA’s right to payment of the ATA Receipts and the Mobility Tax Receipts and the funds and accounts established under the MTA PMT Resolution or the Financing Agreement, as applicable, into which the ATA Receipts and the Mobility Tax Receipts are to be deposited on parity with the Bonds. The Bonds and the obligations issued by MTA under the MTA PMT Resolution are collectively referred to herein as the “Parity Bonds”.

The events specified in the Resolution as such shall constitute Obligations Event of Default and the Trustee and the Owners shall have the rights and remedies provided by the Resolution. Neither the Trustee nor the Owners of the Series __ Bonds shall have the right to declare all of the Bonds to be immediately due and payable in the event of a default with respect to the Resolution or any of the Parity Bonds.

To the extent provided in the Resolution, Parity Debt, secured on a parity with the Bonds with respect to all right, title and interest of TBTA in the Obligations Trust Estate may be issued or entered into by TBTA. The aggregate principal amount of Parity Debt which may be issued

or entered into under the Resolution is not limited except as provided in the Resolution and the TBTA Act. Similarly, to the extent provided in the MTA PMT Resolution, the MTA may issue parity debt on a parity with obligations issued under the MTA PMT Resolution that is not limited except as provided in the Resolution.

To the extent and in the manner permitted by the terms of the Resolution, modification or amendment of the Resolution and of the rights and obligations of TBTA and of the Owners of the Bonds may be made by a Supplemental Resolution, in certain instances without the written consent of the Owners, of the Bonds. Reference is made to the Resolution for the terms and provisions thereof relating to amendments and supplements.

The Series _____ Bonds are issued by means of a book-entry-only system, with no physical distribution of bond certificates to be made except as provided in the Resolution. Transfers of ownership shall be effected on the records of the Securities Depository and its participants pursuant to rules and procedures established by the Securities Depository and its participants. TBTA and the Trustee will recognize the Securities Depository Nominee, while the Registered Owner of this Bond, as the owner of this Bond for all purposes, including payments of principal of and Redemption Price and interest on this Bond, notices and voting. In the event the Series _____ Bonds are no longer held in book-entry-only form, the Series _____ Bonds would be issuable in the form of fully registered Bonds without coupons in the denomination of \$5,000 or any integral multiple thereof.

The Series _____ Bonds are subject to redemption as provided in the Certificate of Determination relating to the Series _____ Bonds.

[Provisions relating to conditional redemption or mandatory tender in lieu of optional redemption to be added if applicable.]

Notice of redemption shall be given not less than twenty (20) days before the redemption date, to the Registered Owners of any Series _____ Bonds or portions of Series _____ Bonds which are to be redeemed, at their last addresses, if any, appearing upon the registry records of TBTA, and otherwise, all in the manner, and upon the terms and conditions set forth in the Resolution. If notice of redemption shall have been given as aforesaid, then the Series _____ Bonds or portions thereof so called for redemption shall become due and payable on the redemption date so designated at the Redemption Price, plus interest accrued and unpaid up to but not including the redemption date, and, upon presentation and surrender thereof at the office specified in such notice, such Series _____ Bonds or portions thereof shall be paid at the Redemption Price plus interest accrued and unpaid up to but not including the redemption date, and, from and after the redemption date interest on such Series _____ Bonds or portions thereof so called for redemption shall cease to accrue and be payable. Any redemption notice or other notices required by the Resolution shall be sent only to the Securities Depository Nominee, initially Cede & Co., as nominee of DTC, and will not be published so long as the Series _____ Bonds are held in book-entry-only form.

The TBTA Act provides that neither the members of TBTA nor any person executing the Bonds shall be liable personally on the Bonds or be subject to any personal liability or accountability by reason of the issuance thereof.

It is hereby certified and recited that all conditions, acts and things required by law and the Resolution to exist, to have happened and to have been performed precedent to and in the issuance of this Bond, exist, have happened and have been performed and that the issue of the Series _____ Bonds, together with all other indebtedness of TBTA, is within every debt and other limit prescribed by the laws of the State of New York.

This Bond shall not be entitled to any benefit under the Resolution or be valid or become obligatory for any purpose until this Bond shall have been authenticated by the execution by the Trustee of the Trustee's Certificate of Authentication hereon.

IN WITNESS WHEREOF, TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY has caused this Bond to be signed in its name and on its behalf by the manual or facsimile signature of its Authorized Officer as of the Dated Date.

**TRIBOROUGH BRIDGE AND TUNNEL
AUTHORITY**

By: _____
[Authorized Officer]

TRUSTEE'S CERTIFICATE OF AUTHENTICATION

This Bond is one of the Bonds described in the within-mentioned Resolution.

Date of Authentication: _____

The Bank of New York Mellon, as Trustee

By: _____
Authorized Signatory

[Form of Assignment]

ASSIGNMENT

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto

[PLEASE INSERT SOCIAL SECURITY NUMBER OR OTHER IDENTIFYING NUMBER OF ASSIGNEE]

[PLEASE PRINT OR TYPEWRITE NAME AND ADDRESS OF TRANSFEREE]

the within Bond and all rights thereunder, and hereby irrevocably constitutes and appoints _____ attorney to transfer the within Bond on the books kept for registration thereof with full power of substitution in the premises.

Date: _____

In the Presence of:

NOTICE: The signature must be guaranteed by an "eligible guarantor Institution" meeting the requirements of membership or participation in the Security Transfer Agent Medallion Program ("STAMP") or such other "signature guarantee program" as may be determined by the Trustee in addition to, or in substitution for, STAMP, all in accordance with the Securities Exchange Act of 1934, as amended.

NOTE: The signature to this assignment must correspond with the name as written on the face of the within Bond in every particular, without alteration or enlargement or any change whatsoever.

Signature Guaranteed:

EXHIBIT TWO

FORM OF SECOND LIEN OBLIGATIONS

THE BONDS SHALL NOT BE A DEBT OF THE STATE OF NEW YORK OR THE CITY OF NEW YORK AND NEITHER THE STATE NOR THE CITY SHALL BE LIABLE THEREON.

Unless this certificate is presented by an authorized representative of The Depository Trust Company, a New York corporation (“DTC”), to the issuer or its agent for registration of transfer, exchange or payment, and any certificate issued is registered in the name of Cede & Co. or such other name as requested by an authorized representative of DTC (and any payment is made to Cede & Co. or to such other entity as is requested by an authorized representative of DTC), ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL inasmuch as the registered owner hereof, Cede & Co., has an interest herein.

As provided in the Resolution referred to herein, until the termination of the system of book-entry-only transfers through DTC and notwithstanding any other provision of the Resolution to the contrary, a portion of the principal amount of this Bond may be paid or redeemed without surrender hereof to the Paying Agent. DTC or a nominee, transferee or assignee of DTC of this Bond may not rely upon the principal amount indicated hereon as the principal amount hereof outstanding and unpaid. The principal amount hereof outstanding and unpaid shall for all purposes be the amount determined in the manner provided in the Resolution.

**TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY
PAYROLL MOBILITY TAX SECOND LIEN BOND,
SERIES _____**

No. _____

\$

Interest Rate

Maturity Date

Dated Date

CUSIP

Registered Owner: Cede & Co.

Principal Amount: _____ Dollars

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY (herein called “TBTA”), a body corporate and politic constituting a public benefit corporation, organized and existing under and by virtue of the laws of the State of New York, acknowledges itself indebted to, and for value received hereby promises to pay to the Registered Owner stated above, or registered

assigns, on the Maturity Date set forth above, but solely from the Second Lien Obligations Trust Estate defined below, upon presentation and surrender of this Bond at the office or agency of TBTA designated for such payment in the Borough of Manhattan, City and State of New York, or, at the option of the Registered Owner hereof, at any other office or agency of TBTA designated by TBTA for such payment, the Principal Amount set forth above in any coin or currency of the United States of America which at the time of payment is legal tender for the payment of public and private debts, and to pay to the Registered Owner hereof interest on such Principal Amount, such payment to be made by The Bank of New York Mellon, as Paying Agent, from the Dated Date set forth above or such later date to which interest has been paid, at the Interest Rate per annum set forth above, payable on _____ and semi-annually thereafter on the _____ day of _____ and _____ in each year, until TBTA's obligation with respect to the payment of such Principal Amount shall be discharged. Interest on the Series _____ Bonds shall be computed on the basis of a 360-day year consisting of twelve 30-day months. Interest will be paid by check mailed on the interest payment date by the Second Lien Paying Agent to the Registered Owner at his address as it appears on the registration records or, at the option of any Owner of at least one million dollars (\$1,000,000) in principal amount of the Series _____ Bonds, by wire transfer in immediately available funds on each interest payment date to such Second Lien Owner, provided such Second Lien Owner has notified the Second Lien Trustee (as hereinafter defined) in writing of such Second Lien Owner's wire transfer address (which shall be in the continental United States) at least 15 days prior to the relevant payment date. *In the event that any payment date is not a business day, payment will be made on the next business day with the same force and effect as if made on the nominal date provided in the Resolution and no interest shall accrue during the intervening period with respect to any payment so deferred.*

This Bond is one of a duly authorized issue of obligations of TBTA designated as its "Payroll Mobility Tax Second Lien Obligations" (herein called the "Bonds") issued under and pursuant to the Triborough Bridge and Tunnel Authority Act, being Title 3 of Article 3 of the New York Public Authorities Law, Chapter 43-A of the Consolidated Laws of the State of New York, as amended (herein called the "TBTA Act"), and under and pursuant to a resolution of TBTA adopted on _____, 2021, entitled "Payroll Mobility Tax Obligation Resolution", as supplemented. Said resolution, as supplemented and amended, is herein called the "Resolution". This Bond is one of a series of Bonds designated as "Payroll Mobility Tax Second Lien Bonds, Series _____" (herein called the "Series _____ Bonds"), issued in the aggregate principal amount of \$_____ under said Resolution. All capitalized terms used but not otherwise defined have the respective meanings ascribed by the Resolution.

Copies of the Resolution are on file at the office of TBTA and at the principal corporate trust office of The Bank of New York Mellon, New York, New York, as Second Lien Trustee under the Resolution, or its successor as Trustee (herein called the "Second Lien Trustee"), in the Borough of Manhattan, City and State of New York, and reference to the Resolution and any and all supplements thereto and modifications and amendments thereof and to the TBTA Act is hereby made for a complete description of the pledge and covenants securing the Series _____ Bonds, the nature, extent and manner of enforcement of, and limitations with respect to, such pledge, the rights and remedies of the registered owners of the Series _____ Bonds with respect thereto, and the terms and conditions upon which Second Lien Bonds are issued and Second Lien Bonds may be issued thereunder.

This Bond is a special obligation of TBTA, secured by a pledge, subject only to the provisions of the Resolution and the MTA PMT Resolution referred to below permitting the application thereof for the purposes and on the terms and conditions set forth in the Resolution and the MTA PMT Resolution, of all right, title and interest of TBTA in the “Second Lien Obligations Trust Estate”, being (i) the proceeds of the sale of the Second Lien Obligations; (ii) all right, title and interest of TBTA in and to the Financing Agreement and TBTA’s *pro rata* share of the payments of ATA Receipts and Mobility Tax Receipts from the funds and accounts established under the Financing Agreement into which the ATA Receipts and the Mobility Tax Receipts are to be deposited, (iii) the Second Lien Obligations Proceeds Fund and the Second Lien Debt Service Fund, including the investments, if any, thereof, and (iv) certain funds, moneys and securities and any all other rights and interests in property, whether tangible or intangible, from time to time hereafter by delivery or by writing of any kind conveyed, mortgaged, pledged, assigned or transferred as and for additional security for the Bonds as provided in the Resolution. *Any lien on and pledge of any portion of the Second Lien Obligations Trust Estate securing Second Lien Obligations is, and is hereby expressly declared to be, junior and inferior to the lien on and pledge of the Obligations Trust Estate created in the Resolution for the payment of the Obligations and Parity Debt.*

To the extent provided in the Resolution, the Second Lien Bonds may be issued from time to time pursuant to Supplemental Resolutions in one or more series, or subseries, in various principal amounts, may mature at different times, may bear interest at different rates and may otherwise vary as provided in the Resolution. The aggregate principal amount of Second Lien Bonds which may be issued under the Resolution is not limited except as provided in the Resolution and the TBTA Act, and all Second Lien Bonds issued and to be issued under the Resolution are and shall be equally secured by the pledge and covenants made therein, except as otherwise expressly provided or permitted in the Resolution. The Bondholders, by their acceptance and holding of the Bonds, waive, to the extent provided in the Resolution, all rights under subdivisions 2 and 3 of Section 563 of the TBTA Act with respect to certain competitive connections for vehicular traffic across the East River in The City of New York.

On November 18, 2020, the Metropolitan Transportation Authority (“MTA”) adopted and delivered a resolution (the “MTA PMT Resolution”) that authorizes the issuance of bonds, notes and other obligations secured by all right, title and interest of MTA in, to and under the MTA PMT Resolution or the Financing Agreement, as applicable, and including MTA’s right to payment of the ATA Receipts and the Mobility Tax Receipts and the funds and accounts established under the MTA PMT Resolution or the Financing Agreement, as applicable, into which the ATA Receipts and the Mobility Tax Receipts are to be deposited on parity with the Bonds. The Bonds and the obligations issued by MTA under the MTA PMT Resolution are collectively referred to herein as the “Parity Bonds”.

The events specified in the Resolution as such shall constitute Second Lien Obligations Events of Default and the Second Lien Trustee and the Second Lien Owners shall have the rights and remedies provided by the Resolution. Neither the Second Lien Trustee nor the Second Lien Owners of the Bonds shall have the right to declare all of the Parity Bonds to be immediately due and payable in the event of a default with respect to the Resolution or any of the Bonds.

To the extent provided in the Resolution, Second Lien Parity Debt, secured on a parity with the Bonds with respect to all right, title and interest of TBTA in the Second Lien Obligations Trust Estate may be issued or entered into by TBTA. The aggregate principal amount of Second Lien Parity Debt which may be issued or entered into under the Resolution is not limited except as provided in the Resolution and the TBTA Act. Similarly, to the extent provided in the MTA PMT Resolution, the MTA may issue parity debt on a parity with obligations issued under the MTA PMT Resolution that is not limited except as provided in the Resolution.

To the extent and in the manner permitted by the terms of the Resolution, modification or amendment of the Resolution and of the rights and obligations of TBTA and of the Second Lien Owners of the Bonds may be made by a Supplemental Resolution, in certain instances without the written consent of the Second Lien Owners, of the Bonds. Reference is made to the Resolution for the terms and provisions thereof relating to amendments and supplements.

The Series _____ Bonds are issued by means of a book-entry-only system, with no physical distribution of bond certificates to be made except as provided in the Resolution. Transfers of ownership shall be effected on the records of the Securities Depository and its participants pursuant to rules and procedures established by the Securities Depository and its participants. TBTA and the Trustee will recognize the Securities Depository Nominee, while the Registered Owner of this Bond, as the owner of this Bond for all purposes, including payments of principal of and Redemption Price and interest on this Bond, notices and voting. In the event the Series _____ Bonds are no longer held in book-entry-only form, the Series _____ Bonds would be issuable in the form of fully registered Bonds without coupons in the denomination of \$5,000 or any integral multiple thereof.

The Series _____ Bonds are subject to redemption as provided in the Certificate of Determination relating to the Series _____ Bonds.

[Provisions relating to conditional redemption or mandatory tender in lieu of optional redemption to be added if applicable.]

This Bond is payable upon redemption at the above mentioned office or agency of TBTA. So long as DTC is the securities depository for the Series _____ Bonds, the Trustee must mail redemption notices to DTC at least 20 days before the redemption date. If the Series _____ Bonds are not held in book-entry-only form, then the Trustee must mail redemption notices directly to bondholders within the same time frame. Any redemption of the Series _____ Bonds is valid and effective even if DTC's procedures for notice should fail. Any notice of optional redemption may state that it is conditional upon receipt by the Trustee of money sufficient to pay the Redemption Price or upon the satisfaction of any other condition, or that it may be rescinded upon the occurrence of any other event, and any conditional notice so given may be rescinded at any time before the payment of the Redemption Price if any such condition so specified is not satisfied or if any such other event occurs. All redemptions are final - even if beneficial owners did not receive their notice, and even if that notice had a defect.

The TBTA Act provides that neither the members of TBTA nor any person executing the Bonds shall be liable personally on the Bonds or be subject to any personal liability or accountability by reason of the issuance thereof.

It is hereby certified and recited that all conditions, acts and things required by law and the Resolution to exist, to have happened and to have been performed precedent to and in the issuance of this Bond, exist, have happened and have been performed and that the issue of the Series _____ Bonds, together with all other indebtedness of TBTA, is within every debt and other limit prescribed by the laws of the State of New York.

This Bond shall not be entitled to any benefit under the Resolution or be valid or become obligatory for any purpose until this Bond shall have been authenticated by the execution by the Second Lien Trustee of the Second Lien Trustee's Certificate of Authentication hereon.

IN WITNESS WHEREOF, TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY has caused this Bond to be signed in its name and on its behalf by the manual or facsimile signature of its Authorized Officer as of the Dated Date.

**TRIBOROUGH BRIDGE AND TUNNEL
AUTHORITY**

By: _____
[Authorized Officer]

SECOND LIEN TRUSTEE'S CERTIFICATE OF AUTHENTICATION

This Bond is one of the Bonds described in the within mentioned Resolution.

Date of Authentication: _____

The Bank of New York Mellon,
as Second Lien Trustee

By: _____
Authorized Signatory

[Form of Assignment]

ASSIGNMENT

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto

[PLEASE INSERT SOCIAL SECURITY NUMBER OR OTHER IDENTIFYING NUMBER OF ASSIGNEE]

[PLEASE PRINT OR TYPEWRITE NAME AND ADDRESS OF TRANSFEREE]

the within Bond and all rights thereunder, and hereby irrevocably constitutes and appoints _____ attorney to transfer the within Bond on the books kept for registration thereof with full power of substitution in the premises.

Date: _____

In the Presence of:

NOTICE: The signature must be guaranteed by an "eligible guarantor Institution" meeting the requirements of membership or participation in the Security Transfer Agent Medallion Program ("STAMP") or such other "signature guarantee program" as may be determined by the Trustee in addition to, or in substitution for, STAMP, all in accordance with the Securities Exchange Act of 1934, as amended.

NOTE: The signature to this assignment must correspond with the name as written on the face of the within Bond in every particular, without alteration or enlargement or any change whatsoever.

Signature Guaranteed:

**STANDARD RESOLUTION PROVISIONS
APPLICABLE TO MTA AND TBTA
PMT OBLIGATIONS AND PARITY DEBT**

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STANDARD RESOLUTION PROVISIONS

FOR MTA AND TBTA PAYROLL MOBILITY TAX OBLIGATION RESOLUTION

ARTICLE A-I.

DEFINITIONS AND STATUTORY AUTHORITY

Section A-101. Definitions. Capitalized terms used but not otherwise defined in this Annex A shall have the meanings set forth in the Resolution to which this Annex A is appended. The following terms shall, for all purposes herein and (except as the context may otherwise require) in the Resolution to which this Annex A is appended, have the following meanings:

Account or **Accounts** shall mean each account or all of the accounts established in Article V of the Resolution.

Accreted Value shall mean with respect to any Capital Appreciation Obligations (i) as of any Valuation Date, the amount set forth for such date in the Supplemental Resolution authorizing such Capital Appreciation Obligations and (ii) as of any date other than a Valuation Date, the sum of (a) the Accreted Value on the preceding Valuation Date and (b) the product of (1) a fraction, the numerator of which is the number of days having elapsed from the preceding Valuation Date and the denominator of which is the number of days from such preceding Valuation Date to the next succeeding Valuation Date and (2) the difference between the Accreted Values for such Valuation Dates. For purposes of this definition, the number of days having elapsed from the preceding Valuation Date and the number of days from the preceding Valuation Date to the next succeeding Valuation Date shall be calculated on the basis of a 360-day year of twelve 30-day months, unless otherwise provided pursuant to a Supplemental Resolution.

Accrued Debt Service shall mean, as of any date of calculation, an amount equal to the sum of the amounts of accrued and unpaid Debt Service with respect to all Obligations and Parity Debt, calculating the accrued Debt Service with respect to each obligation at an amount equal to the sum of (i) as estimated by an Authorized Officer, interest on the Obligations and interest components of Parity Debt accrued and unpaid and to accrue to the end of the then current calendar month, and (ii) Principal Installments due and unpaid and that portion of the Principal Installment for such Obligations and Parity Debt next due which would have accrued (if deemed to accrue in the manner set forth in the definition of "Debt Service") to the end of such calendar month. For purposes of calculating Senior Debt Service Fund deposits, Principal Installments shall not include amounts that an Authorized Officer has notified the Trustee are to be paid from sources other than Mobility Tax Receipts and ATA Receipts, nor shall Accrued Debt Service include any amounts that, as certified by an Authorized Officer, have been set aside hereunder or otherwise in trust for the payment thereof.

Amortized Value, when used with respect to Authorized Investments purchased at a premium above or a discount below par, shall mean the value of such Authorized Investments computed by using an industry standard constant yield method selected by an Authorized Officer of the Issuer.

Appreciated Value shall mean with respect to any Deferred Income Obligations (i) as of any Valuation Date, the amount set forth for such date in the Supplemental Resolution authorizing such Deferred Income Obligations, (ii) as of any date prior to the Interest Commencement Date other than a Valuation Date, the sum of (a) the Appreciated Value on the preceding Valuation Date and (b) the product of (1) a fraction, the numerator of which is the number of days having elapsed from the preceding Valuation Date and the denominator of which is the number of days from such preceding Valuation Date to the next succeeding Valuation Date and (2) the difference between the Appreciated Values for such Valuation Dates, and (iii) as of any date on and after the Interest Commencement Date, the Appreciated Value on the Interest Commencement Date. For purposes of this definition, the number of days having elapsed from the preceding Valuation Date and the number of days from the preceding Valuation Date to the next succeeding Valuation Date shall be calculated on the basis of a 360-day year of twelve 30-day months, unless otherwise provided pursuant to a Supplemental Resolution.

Authorized Investments shall mean and include any of the following, to the extent the same are legal for investment of the Issuer's funds:

- (i) obligations of the State or the United States government;
- (ii) obligations the timely payment of the principal and interest on which are unconditionally guaranteed by the State or the United States government;
- (iii) certificates of deposit of banks or trust companies in the State, secured, if the Issuer shall so require, by obligations of the United States or of the State of a market value equal at all times to the amount of the deposit;
- (iv) banker's acceptances with a maturity of 90 days or less which are eligible for purchase by the federal reserve banks and whose rating at the time of purchase is in the highest Rating Category of each of the Rating Agencies that then rates such banker's acceptances;
- (v) obligations of any bank or corporation created under the laws of either the United States or any state of the United States maturing within 270 days, provided that such obligations are rated in the highest Rating Category of each of the Rating Agencies that then rates such obligations;
- (vi) notes, bonds, debentures, mortgages and other evidences of indebtedness, issued or guaranteed at the time of the investment by the United States Postal Service, the Federal National Mortgage Association, the Federal Home Loan Mortgage Corporation, the Student Loan Marketing Association, the Federal Farm Credit System, or any other United States government sponsored agency, provided that at the time of the investment such agency or its obligations are rated and the agency receives, or its obligations receive, ratings in the highest Rating Category of each of the Rating Agencies that then rates such agency or its obligations;
- (vii) (A) general obligation bonds and notes of any state other than the State, provided that such bonds and notes are rated in the highest Rating Category of each of the Rating Agencies that then rates such bonds and notes, and (B) bonds and notes of any

county, town, city, village, fire district or school district of the State, provided that such bonds and notes are rated in either of the 2 highest Rating Categories of each of the Rating Agencies that then rates such bonds and notes;

(viii) mutual funds registered with the United States Securities and Exchange Commission, whose investments are limited to obligations of the State described in clause (i) above, obligations the principal and interest of which are guaranteed by the State as described in clause (ii) above, and those securities described in clause (vii) above, and that are rated in the highest Rating Category of each of the Rating Agencies that then rates such funds;

(ix) repurchase agreements with any dealer or bank, which agreement is secured by any one or more of the securities described in clauses (i), (ii) or (vi) above, which securities shall (A) at all times have a market value of not less than the full amount held or invested pursuant to the agreement and (B) be delivered to a Bank as defined in clause (i) or (ii) of the definition thereof, as custodian, that is independent from the dealer or bank with whom the repurchase agreement is executed; and

(x) any other investment in which the Issuer is authorized from time to time to invest under applicable law with respect to which an Authorized Officer has, on or before the date thereof, delivered to the Trustee (A) a certificate to the Trustee designating the additional investment as an Authorized Investment and (B) Rating Confirmation.

In addition to the foregoing, in the case of any money held in reserve and sinking funds “Authorized Investments” shall include any other securities in which the trustee or trustees of any public retirement system or pension fund has the power to invest the money thereof pursuant to Article four-a of the Retirement and Social Security Law of the State, each such reserve and sinking fund being treated as a separate fund for the purposes of Article four-a of the Retirement and Social Security Law of the State.

Any investment in any of the foregoing obligations may be made in the form of an entry made on the records of the issuer of the particular obligations or of a recognized securities depository.

Authorized Officer shall mean (i) the Chairman and the Vice Chairman, (ii) the Executive Director, the Comptroller, the Chief Financial Officer, the Secretary and any Assistant Secretary of the Issuer, (iii) the Chief Financial Officer, the Director of Finance, and the Director of Budget and Financial Management of the MTA, and (iv) any other Person authorized by the Issuer to perform the act or sign the document in question

Balloon Obligations shall mean Obligations which have been identified in a Certificate of Determination as a Balloon Obligation. Such Certificate of Determination shall set forth the expected refinancing, including the expected ultimate final maturity and amortization schedule of the refinancing or refinancings of such Balloon Obligation (including successor Balloon Obligations) and the Estimated Average Interest Rate for purposes of determining Calculated Debt Service of such Balloon Obligation.

Bank shall mean any (i) bank or trust company organized under the laws of any state of the United States of America, (ii) national banking association, (iii) savings bank or savings and loan association chartered or organized under the laws of any state of the United States of America, or (iv) federal branch or agency pursuant to the International Banking Act of 1978 or any successor provisions of law, or domestic branch or agency of a foreign bank which branch or agency is duly licensed or authorized to do business under the laws of any state or territory of the United States of America.

Business Day shall mean any day of the year other than (i) Saturday or Sunday, (ii) any day on which Banks located in New York, New York or the city in which the Principal Office of the Trustee is located are required or authorized by law to remain closed, or (iii) any day on which the New York Stock Exchange is closed.

Calculated Debt Service for any period shall mean, as of any date of calculation and with respect to any Series of Obligations or any Parity Debt, the sum of Debt Service for such period determined by the Issuer based on the following adjustments:

(1) Interest on Variable Interest Rate Obligations shall be based on the Estimated Average Interest Rate applicable thereto.

(2) Interest on any Obligation or Parity Debt in respect of which the Issuer has entered into a Qualified Swap shall be based on:

(a) the fixed rate or rates of the Qualified Swap if the Issuer has entered into what is generally referred to as a “floating-to-fixed” Qualified Swap (where the Issuer pays a fixed rate and receives a floating rate); or

(b) the lower of (i) the Estimated Average Interest Rate and (ii) the effective capped rate of any Obligation or Parity Debt if the Issuer has entered into a Qualified Swap that is generally referred to as an “interest rate cap” (where the Issuer receives a payment if a variable rate exceeds a certain amount); or

(c) the Estimated Average Interest Rate of the Qualified Swap if the Issuer has entered into either what is generally referred to as a “fixed-to-floating” Qualified Swap (where the Issuer pays a variable rate and receives a fixed rate) or a “floating-to-floating” Qualified Swap (where the Issuer pays a variable rate and receives a different variable rate).

(3) With respect to Put Obligations and Balloon Obligations of a Series, (i) Principal Installments shall be deemed to amortize over a 30-year period from their date of issuance based on substantially level debt service as estimated by the Issuer, and (ii) interest shall be based on the actual interest rate or the Estimated Average Interest Rate, as applicable, or for both (i) and (ii) above as otherwise set forth in a Supplemental Resolution or Certificate of Determination with respect to the issuance of such Obligations.

(4) If the Issuer has irrevocably deposited Authorized Investments or money with the Trustee (or otherwise in trust) for the payment of any portion of Debt Service, the

expected future cash flow from such Authorized Investments and money shall be deducted from Debt Service.

(5) If the Issuer has, at any time, irrevocably called for redemption one or more Series of Obligations, including pursuant to a covenant to apply any portion of the Obligations Trust Estate to redeem Obligations or Parity Debt (which particular Obligations or Parity Debt need not be specifically identified in advance, except as to interest rate and maturity), the Issuer shall take into account such redemption for purposes of determining Calculated Debt Service.

(6) With respect to Parity Reimbursement Obligations, accelerated payments of principal shall only be taken into account if, at the time of calculation, such amounts are payable due to a draw under a credit or liquidity facility.

Capital Appreciation Obligations shall mean any Obligations denominated as such and issued as to which interest is payable only at the maturity or prior redemption of such Obligations. Except as otherwise provided by Supplemental Resolution, for the purposes of (i) receiving payment of the Redemption Price if a Capital Appreciation Obligation is redeemed prior to maturity, (ii) computing the principal amount of Obligations held by the registered owner of a Capital Appreciation Obligation in giving to the Issuer or the Trustee any notice, consent, request, or demand pursuant to the Resolution for any purpose whatsoever or (iii) computing Debt Service, the principal amount of a Capital Appreciation Obligation shall be deemed to be its Accreted Value (which in the case of clause (ii) may be the Accreted Value as of the immediately preceding Valuation Date).

Certificate of Determination shall mean a certificate of an Authorized Officer of the Issuer fixing terms, conditions and other details of Obligations, Parity Debt, Credit Facilities, Subordinated Indebtedness or Subordinated Contract Obligations in accordance with the delegation of power to do so under a Supplemental Resolution.

City shall mean The City of New York.

Costs of Issuance shall mean the costs of the authorization, sale and issuance of a Series of Obligations, Obligation Anticipation Notes, Subordinated Indebtedness, Parity Debt, Subordinated Contract Obligations or other obligations authorized under the Resolution, including with respect to any party to a transaction State bond issuance charges, document printing and reproduction costs, filing and recording fees, costs of credit ratings, fees and charges of the Trustee and other Fiduciaries and agents, legal fees and charges, professional consultants' fees, underwriting fees, fees and charges for execution, transportation and safekeeping of Obligations, premiums, fees and charges in order to obtain, renew, extend or terminate Credit Facilities and Qualified Swaps and other financial arrangements, costs and expenses of refunding such Obligations, Obligation Anticipation Notes, Subordinated Indebtedness, Parity Debt, Subordinated Contract Obligations or other obligations authorized under this Resolution, and other costs, charges and fees, including those of the Issuer and any other Related Entities, in connection with the foregoing.

Counsel's Opinion or **Opinion of Counsel** or **Opinion** shall mean an opinion signed by an attorney or firm of attorneys of recognized standing (who may be counsel to the Issuer) selected by the Issuer.

Credit Facility shall mean any letter of credit, standby bond purchase agreement, line of credit, policy of bond insurance, surety bond, guarantee or similar instrument, or any agreement relating to the reimbursement of any payment thereunder (or any combination of the foregoing), which is obtained by the Issuer and is issued by a financial institution, insurance provider or other Person and which provides security or liquidity in respect of any Outstanding Obligations, Parity Debt or Obligation Anticipation Notes.

Debt Service for any period shall mean, as of any date of calculation and with respect to any Series of Obligations or any Parity Debt outstanding, the sum of (i) interest on the Obligations of such Series and the interest components of Parity Debt accruing during such period and (ii) that portion of each Principal Installment for such Obligations and Parity Debt that would accrue during such period if such Principal Installment were deemed to accrue daily in equal amounts from the preceding Principal Installment payment date on Outstanding Obligations and Parity Debt; provided, however, that, unless otherwise set forth in a Supplemental Resolution, no Principal Installment shall be deemed to begin accruing until the later of one year prior to such Principal Installment's due date and the date of issuance or incurrence of the related Obligation or Parity Debt.

Debt Service Payment Date shall mean, with respect to any portion of Debt Service, the date on which the Debt Service shall be payable.

Defeasance Security shall mean

(i) an Authorized Investment as specified in clause (i) of the definition thereof (other than an obligation of the State), which is not redeemable at the option of the issuer thereof,

(ii) an Authorized Investment as specified in clause (i) (which is an obligation of the State), (ii), (iii), (vi) or (vii) of the definition thereof, which is not redeemable at the option of the issuer thereof and which shall be rated at the time of the investment in the highest long-term Rating Category by each Rating Agency,

(iii) any depositary receipt issued by a Bank as custodian with respect to any Defeasance Security which is specified in clause (a) above and held by such Bank for the account of the holder of such depositary receipt, or with respect to any specific payment of principal of or interest on any such Defeasance Security which is so specified and held, provided that (except as required by law) such custodian is not authorized to make any deduction from the amount payable to the holder of such depositary receipt from any amount received by the custodian in respect of the Defeasance Security or the specific payment of principal or interest evidenced by such depositary receipt,

(iv) any certificate of deposit specified in clause (iii) of the definition of Authorized Investments in Section A-101, including certificates of deposit issued by the Trustee or by a Paying Agent, secured by obligations specified in clause (a) above of a

market value equal at all times to the amount of the deposit, which shall be rated at the time of the investment in the highest long-term Rating Category by each Rating Agency, or

(v) any other Authorized Investment designated in a Supplemental Resolution as a Defeasance Security for purposes of defeasing the Obligations authorized by such Supplemental Resolution, which is not redeemable at the option of the issuer thereof and which shall be rated at the time of the investment in the highest long-term Rating Category by each Rating Agency.

Deferred Income Obligation shall mean any Obligation (A) as to which interest accruing thereon prior to the Interest Commencement Date of such Obligation is (i) compounded on each Valuation Date for such Deferred Income Obligation and (ii) payable only at the maturity or prior redemption of such Obligations and (B) as to which interest accruing after the Interest Commencement Date is payable on the first interest payment date succeeding the Interest Commencement Date and periodically thereafter on the dates specified in or determined by Supplemental Resolution. Except as otherwise provided by Supplemental Resolution, for the purposes of (i) receiving payment of the Redemption Price if a Deferred Income Obligation is redeemed prior to maturity, (ii) computing the principal amount of Obligations held by the registered owner of a Deferred Income Obligation in giving to the Issuer or the Trustee any notice, consent, request, or demand pursuant to the Resolution for any purpose whatsoever or (iii) computing Debt Service, the principal amount of a Deferred Income Obligation shall be deemed to be its Appreciated Value (which in the case of clause (ii) may be the Appreciated Value as of the immediately preceding Valuation Date).

Estimated Average Interest Rate shall mean, as to any Variable Interest Rate Obligations or Qualified Swap and as of any date of calculation, the average interest rate or rates anticipated to be borne by such Obligations or Qualified Swap, or by the combination of such arrangements, over the period or periods for which such rate or rates are anticipated to be in effect, all as estimated by an Authorized Officer.

Fiduciary or Fiduciaries shall mean the Trustee, any Registrar, any Paying Agent, any tender agent or any or all of them, as may be appropriate, or any Person appointed to act as a Fiduciary as provided in the Resolution.

Final Judgment shall mean any judgment or order of any court of competent jurisdiction, or of any arbitrator or panel of arbitrators, as to which all appeals have been exhausted.

Fund or Funds shall mean each fund or all of the funds established in or pursuant to Article V of the Resolution.

Interest Commencement Date shall mean, with respect to any particular Deferred Income Obligation, the date determined by Supplemental Resolution after which interest accruing on such Obligation shall be payable on the first interest payment date succeeding such Interest Commencement Date and periodically thereafter on the dates determined pursuant to such Supplemental Resolution.

Issuer Act shall mean the MTA Act in the event that the MTA is defined in the Resolution as the Issuer or the TBTA Act in the event that the TBTA is defined in the Resolution as the Issuer,

together with any other applicable law of the State authorizing the issuance of the related Obligations by the Issuer or expressly limiting the issuance thereof or governing the security therefor.

LIRR shall mean The Long Island Rail Road Company and any successor thereto.

MaBSTOA shall mean the Manhattan and Bronx Surface Transit Operating Authority and any successor thereto.

MNCRC shall mean the Metro-North Commuter Railroad Company and any successor thereto.

MTA shall mean the Metropolitan Transportation Authority, the corporation organized and existing under the MTA Act, and any successor thereto.

MTA Act shall mean the Metropolitan Transportation Authority Act, being Title 11 of Article 5 of the New York Public Authorities Law, as from time to time amended.

MTA Bus shall mean MTA Bus Company and any successor thereto.

Obligation Anticipation Notes shall mean any such notes issued and delivered pursuant to Section A-203, except to the extent (but only to the extent) that all or any portion of such notes either are not payable, or are anticipated by the Issuer not to be paid, from the proceeds of the Obligations in anticipation of which such notes are being issued.

Opinion of Bond Counsel shall mean an opinion signed by Nixon Peabody LLP, D. Seaton and Associates, P.A., P.C., Orrick, Herrington & Sutcliffe LLP, and Bryant Rabbino LLP, or any other attorney or firm of attorneys of nationally recognized standing in the field of law relating to the issuance of obligations by state and municipal entities, selected by the Issuer.

Outstanding, when used with reference to Obligations or Obligations of a Series, shall mean, as of any date, Obligations or Obligations of such Series theretofore or thereupon to be delivered under the Resolution except:

- (i) Any Obligations canceled at or prior to such date;
- (ii) Obligations the principal and Redemption Price, if any, of and interest on which have been paid in accordance with the terms thereof;
- (iii) Obligations in lieu of or in substitution for which other Obligations shall have been delivered pursuant to Article A-III or Section A-406 or Section C-606;
- (iv) Obligations deemed to have been paid as provided in subsection 2 of Section A-901;
- (v) Put Obligations tendered or deemed tendered in accordance with the provisions of the Supplemental Resolution authorizing such Obligations on the applicable tender date, if the Purchase Price thereof and interest thereon shall have been paid or

amounts are available and set aside for such payment as provided in such Supplemental Resolution, except to the extent such tendered Put Obligations thereafter may be resold pursuant to the terms thereof and of such Supplemental Resolution; and

(vi) For the purpose of any consent to be given or other action to be taken by or upon the direction of Owners of a specified portion of Obligations Outstanding, Obligations excluded pursuant to Section A-911.

The principal component of any Parity Debt shall be deemed to be Outstanding in a principal amount equal to the principal amount of the obligation then owed by the Issuer thereunder in lieu of the related Obligation, regardless of the authorized amount of the principal component of such Parity Debt or the related Obligation and provided that, unless otherwise required pursuant to the related Supplemental Resolution, the principal component of such Parity Debt shall not by itself increase the Outstanding principal amount of Obligations.

Owner, or any similar terms, shall mean the registered owner of any Obligation as shown on the books for the registration and transfer of Obligations maintained in accordance with Section A-305.

Parity Debt shall mean any Parity Reimbursement Obligation, any Parity Swap Obligation or any other contract, agreement or other obligation of the Issuer designated as constituting “Parity Debt” in a certificate of an Authorized Officer delivered to the Trustee; *provided, however, that* any such Parity Reimbursement Obligation, Parity Swap Obligation, or other contract, agreement or other obligation shall not constitute Parity Debt solely to the extent of any obligations to pay termination or other fees, expenses, indemnification or other similar payments to the counterparty to such arrangement; *provided further that* Parity Reimbursement Obligations may include accelerated principal amortization provisions to the extent permitted by subsection 4 of Section A-202.

Parity Reimbursement Obligation has the meaning provided in subsection 4 of Section A-202.

Parity Swap Obligation has the meaning provided in subsection 6 of Section A-202.

Paying Agent shall mean any paying agent for the Obligations of any Series and its successor or successors and any other Person which may at any time be substituted in its place pursuant to the Resolution.

Person shall mean any individual, corporation, firm, partnership, joint venture, association, joint-stock company, trust, unincorporated association, limited liability company or other legal entity or group of entities, including any public benefit corporation, public instrumentality, quasi-governmental or governmental entity or any agency or subdivision thereof.

Principal Installments shall mean, as of any date of calculation and with respect to any Series of Obligations or any Parity Debt, as applicable, (a) the principal amount of Outstanding Obligations of such Series, due on the dates and in the amounts specified by Supplemental Resolution, reduced by the principal amount of such Obligations which would be retired by reason of the payment when due and application in accordance with the Resolution of Sinking Fund

Installments payable before such dates, plus the unsatisfied balance (determined as provided in Section A-502 of the Resolution) of any Sinking Fund Installments due on any certain future date for Obligations of such Series, together with such redemption premiums, if any, applicable on any such future date, and (b) with respect to any Parity Debt, the amount due thereunder on the dates and in the amounts established in accordance with Section A-202 as a principal component of such Parity Debt payable on a parity with the Obligations.

Principal Office of the Trustee shall mean the designated corporate trust office of the Trustee.

Purchase Price shall mean, with respect to any Obligation, 100% of the principal amount thereof plus accrued interest, if any, plus in the case of an Obligation subject to mandatory tender for purchase on a date when such Obligation is also subject to optional redemption at a premium, an amount equal to the premium that would be payable on such Obligation if redeemed on such date.

Put Obligations shall mean Obligations which by their terms may be tendered at the option of the Owner thereof, or are subject to a mandatory tender other than at the election of the Issuer or a Related Entity, for payment or purchase prior to the stated maturity or redemption date thereof.

Qualified Swap shall mean, to the extent from time to time permitted by law, with respect to Obligations, any financial arrangement (i) which is entered into by the Issuer with an entity that is a Qualified Swap Provider at the time the arrangement is entered into, (ii) which is a cap, floor or collar; forward rate; future rate; swap (such swap may be based on an amount equal either to the principal amount of such Obligations of the Issuer as may be designated or a notional principal amount relating to all or a portion of the principal amount of such Obligations); asset, index, price or market-linked transaction or agreement; other exchange or rate protection transaction agreement; other similar transaction (however designated); or any combination thereof; or any option with respect thereto, in each case executed by the Issuer for the purpose of moderating interest rate fluctuations, reducing debt service costs or creating either fixed interest rate Obligations or Variable Interest Rate Obligations on a synthetic basis or otherwise, and (iii) which has been designated in writing to the Trustee by an Authorized Officer as a Qualified Swap with respect to such Obligations.

Qualified Swap Provider shall mean an entity whose senior long term obligations, other senior unsecured long term obligations, financial program rating, counterparty rating, or claims paying ability, or whose payment obligations under an interest rate exchange agreement are guaranteed by an entity whose senior long term debt obligations, other senior unsecured long term obligations, financial program rating, counterparty rating, or claims paying ability, are rated either at least as high as (i) the third highest Rating Category of each Rating Agency then maintaining a rating for the Qualified Swap Provider or (ii) any such lower Rating Categories which each such Rating Agency indicates in writing to the Issuer and the Trustee will not, by itself, result in a reduction or withdrawal of its rating on the Outstanding Obligations subject to such Qualified Swap that is in effect prior to entering into such Qualified Swap.

Rating Agency shall mean each nationally recognized statistical rating organization then maintaining a rating on the Obligations at the request of the Issuer.

Rating Category shall mean one of the generic rating categories of any Rating Agency without regard to any refinement or gradation of such rating by a numerical modifier or otherwise.

Rating Confirmation shall mean evidence that no rating then in effect from a Rating Agency will be withdrawn or reduced solely as the result of an action to be taken hereunder; provided, however, that no action requiring Rating Confirmation shall be undertaken unless at least one Rating Agency at that time maintains a rating on Obligations.

Record Date, except as otherwise provided by Supplemental Resolution or a certificate of an Authorized Officer, shall mean the last Business Day preceding a payment date or other date on which an action is to be taken.

Redemption Price shall mean, with respect to any Obligation, 100% of the principal amount thereof plus the applicable premium, if any, payable upon the redemption thereof pursuant to the Resolution.

Registrar shall mean any registrar for the Obligations of any Series and its successor or successors and any other Person which may at any time be substituted in its place pursuant to the Resolution.

Reimbursement Obligation has the meaning provided in subsection 4 of Section A-202.

Related Entity shall mean any of the MTA, TBTA, MaBSTOA, the Transit Authority, MNCRC, LIRR, SIRTOA, MTA Bus and any affiliate or subsidiary of any of the foregoing now or hereafter established and designated as a Related Entity by an Authorized Officer.

Responsible Officer shall mean any officer assigned to the corporate trust office of the Trustee, or any other officer of the Trustee customarily performing functions similar to those performed by any of such officers and who has direct responsibility for the administration of the Resolution, and also, with respect to a particular matter, any other officer, to whom such matter is referred because of such officer's knowledge of and familiarity with the particular subject.

Securities Depository shall mean a recognized securities depository selected by the Issuer to maintain a book-entry system in respect to all or any portion of a Series of Obligations (including, as appropriate, any nominee thereof), and shall include any substitute for or successor to the Securities Depository initially acting as Securities Depository.

Series shall mean all of the Obligations delivered on original issuance pursuant to a single Supplemental Resolution and denominated therein a single series, and any Obligations thereafter delivered in lieu of or in substitution therefor pursuant to Article A-III or Section A-406 or Section C-606, regardless of variations in maturity, interest rate or other provisions.

Sinking Fund Installment shall mean, as of a particular date, any Sinking Fund Installment established pursuant to paragraph (m) of subsection 1 of Section A-201.

SIRTOA shall mean the Staten Island Rapid Transit Operating Authority and any successor thereto.

State shall mean the State of New York.

Subordinated Contract Obligation shall mean any payment obligation (other than a payment obligation constituting Parity Debt or Subordinated Indebtedness) arising under (a) any Credit Facility which has been designated as constituting a “Subordinated Contract Obligation” in a certificate of an Authorized Officer delivered to the Trustee, (b) any Qualified Swap or portion thereof which has been designated as constituting a “Subordinated Contract Obligation” in a certificate of an Authorized Officer delivered to the Trustee, and (c) any other contract, agreement or other obligation of the Issuer designated as constituting a “Subordinated Contract Obligation” in a certificate of an Authorized Officer delivered to the Trustee. Each Subordinated Contract Obligation shall be payable and secured in a manner permitted by Article V, and any lien on and pledge of any portion of the Obligations Trust Estate securing Subordinated Contract Obligations shall be junior and inferior to the lien on and pledge of the Obligations Trust Estate herein created for the payment of the Obligations and Parity Debt.

Subordinated Indebtedness shall mean any bond, note or other indebtedness authorized by Supplemental Resolution or other resolution of the Issuer and designated as constituting “Subordinated Indebtedness” in a certificate of an Authorized Officer delivered to the Trustee, which shall be payable and secured in a manner permitted by Article V of the Resolution, and any lien on and pledge of any portion of the Obligations Trust Estate securing Subordinated Indebtedness shall be junior and inferior to the lien on and pledge of the Obligations Trust Estate herein created for the payment of the Obligations and Parity Debt.

Supplemental Resolution shall mean any resolution supplemental to or amendatory of the Resolution adopted by the Issuer in accordance with the Resolution and, except as the context may otherwise require, including any related Certificate of Determination.

TA Act shall mean the New York City Transit Authority Act being Title 9 of Article 5 of the New York Public Authorities law, as amended from time to time.

Taxable Obligations shall mean any Obligations which are not Tax-Exempt Obligations.

Tax-Exempt Obligations shall mean any Obligations the interest on which is intended by the Issuer to be generally excluded from gross income for federal income tax purposes and which are designated as Tax-Exempt Obligations in the Supplemental Resolution authorizing such obligations.

TBTA shall mean the Triborough Bridge and Tunnel Authority, the corporation organized and existing under the TBTA Act, and any successor thereto.

TBTA Act shall mean the Triborough Bridge and Tunnel Authority Act, being Title 3 of Article 3 of the New York Public Authorities Law, as amended from time to time.

Transit Authority shall mean the New York City Transit Authority, the corporation organized and existing under the TA Act, and any successor thereto.

Transportation District shall mean the Metropolitan Commuter Transportation District created by Section 1262 of the MTA Act.

Transportation District Project shall mean any project, program or facility that the Issuer or any other Related Entity (in either case, by itself or with any other Person) is authorized from time to time by law to plan, design, acquire, establish, construct, effectuate, operate, maintain, renovate, improve, extend, rehabilitate or repair within, or for the benefit of, the Transportation District.

Trustee shall mean the trustee appointed by the Issuer pursuant to Section A-701, and its successor or successors and any other Person which may at any time be substituted in its place pursuant to the Resolution.

Valuation Date shall mean (i) with respect to any Capital Appreciation Obligations the date or dates set forth in the Supplemental Resolution authorizing such Obligations on which specific Accreted Values are assigned to the Capital Appreciation Obligations and (ii) with respect to any Deferred Income Obligations, the date or dates on or prior to the Interest Commencement Date set forth in the Supplemental Resolution authorizing such Obligations on which specific Appreciated Values are assigned to the Deferred Income Obligations.

Variable Interest Rate shall mean a variable interest rate to be borne by any Obligation. The method of computing such variable interest rate shall be specified in the Supplemental Resolution authorizing such Series of Obligations.

Variable Interest Rate Obligations shall mean Obligations which bear a Variable Interest Rate.

Section A-102. Rules of Construction.

1. Words of one gender shall be deemed and construed to include correlative words of any other gender. Except where the context otherwise requires, words importing the singular number shall include the plural number and vice versa, and words importing persons shall include firms, associations and corporations.

2. Except as otherwise specified herein, all references in the Resolution (including this Annex A) to Articles, Sections, and other subdivisions are to the corresponding Articles, Sections or subdivisions of the Resolution (including this Annex A), and the words herein, hereof, hereunder and other words of similar import refer to the Resolution as a whole (including this Annex A) and not to any particular Article, Section or subdivision of the Resolution or of this Annex A. References in the Resolution to Articles or Sections with "A-" preceding the number of an Article or Section are to such Article or Section of this Annex A.

3. This Annex A constitutes an integral part of the Resolution and, except to the extent provided in the next 2 sentences, has the same force and effect as if set forth in the forepart of the Resolution. To the extent expressly provided in the Resolution (not including this Annex A), the Issuer may negate, amend or modify any provision of this Annex A. In the event of any conflict between this Annex A and the forepart of the Resolution, the forepart of the Resolution shall control.

4. The headings or titles of the several Articles and Sections hereof, and any table of contents appended to copies hereof (including any table of contents in the Resolution), shall be

solely for convenience of reference and shall not limit or otherwise affect the meaning, construction or effect of the Resolution (including this Annex A) or describe the scope or intent of any provisions hereof.

5. All accounting terms not otherwise defined herein have the meanings assigned to them in accordance with applicable generally accepted accounting principles as in effect from time to time.

6. All references herein to resolutions, contracts or other agreements shall be deemed to include any amendments to such documents that are approved in accordance with the terms thereof and hereof.

7. Every “request,” “order,” “demand,” “application,” “appointment,” “notice,” “statement,” “certificate,” “consent,” or similar action hereunder by any party shall, unless the form thereof is specifically provided, be in writing signed by a duly authorized representative of such party with a duly authorized signature, which may be delivered and “signed” pursuant to facsimile or other electronic-mail transmission in accordance with applicable law and practice.

8. The word “or” is not exclusive.

9. The word “including” means including without limitation.

Section A-103. Authority for the Resolution. The Resolution is adopted pursuant to the Issuer Act.

Section A-104. Resolution to Constitute Contract. In consideration of the purchase and acceptance of any and all of the Obligations and Parity Debt authorized to be issued hereunder by those who are Owners of the Obligations and Parity Debt from time to time, the Resolution shall be deemed to be and shall constitute a contract between the Issuer and the Owners from time to time of the Obligations and Parity Debt; and the pledge made in the Resolution and the covenants and agreements therein set forth to be performed on behalf of the Issuer shall be for the equal benefit, protection and security of the Owners of any and all of the Obligations and Parity Debt, all of which, regardless of the time or times of their authentication, issuance and delivery, or maturity, shall be of equal rank without preference, priority or distinction of any of the Obligations or Parity Debt over any other Obligations or Parity Debt, except as expressly provided in or permitted by the Resolution.

ARTICLE A-II.

GENERAL PROVISIONS FOR ISSUANCE OR INCURRENCE OF OBLIGATIONS, PARITY DEBT AND OBLIGATION ANTICIPATION NOTES

Section A-201. General Provisions for Issuance of Obligations.

1. Obligations may be issued pursuant to a Supplemental Resolution in such principal amount or amounts for each Series or subseries as may be specified in such Supplemental Resolution. Obligations of any Series or subseries shall be authorized by a Supplemental

Resolution which shall specify, among other things, the following matters (or the manner of determining such matters):

- (a) The authorized principal amount, designation and Series of such Obligations;
- (b) The purpose or purposes for which such Obligations are being issued which shall be one or more of the purposes set forth in Section 201;
- (c) The dates and the maturity dates of the Obligations of such Series;
- (d) If the Obligations of such Series are interest bearing Obligations, the interest rates of the Obligations of such Series and the interest payment dates therefor;
- (e) If Obligations of such Series are Capital Appreciation Obligations, the Valuation Dates for such Obligations and the Accreted Value on each such Valuation Date;
- (f) If Obligations of such Series are Deferred Income Obligations, the Interest Commencement Date for such Obligations, the Valuation Dates prior to the Interest Commencement Date for such Obligations and the Appreciated Value on each such Valuation Date;
- (g) If Obligations of such Series are Capital Appreciation Obligations or Deferred Income Obligations, the manner in which and the period during which principal and interest shall be deemed to accrue on such Obligations;
- (h) If Obligations of such Series are Variable Interest Rate Obligations, the maximum interest rate, if any, or the method of calculating such maximum rate for such Obligations, and the provisions, if any, as to the calculation or change of Variable Interest Rates;
- (i) If Obligations of such Series are Put Obligations, provisions regarding tender for purchase or redemption thereof and payment of the purchase or Redemption Price thereof;
- (j) The denominations of and the manner of dating, numbering and lettering, the Obligations of such Series;
- (k) The Paying Agents, if any, and the places of payment of the principal and Redemption Price, if any, of, and interest on, the Obligations of such Series;
- (l) The Redemption Prices, if any, and the redemption terms, if any, for the Obligations of such Series, provided that Obligations of any maturity for which Sinking Fund Installments shall be established pursuant to paragraph (m) of this subsection 1 shall in any event be redeemable, or payable at maturity, by application of the Sinking Fund Installments for such Obligations on the due dates of such Sinking Fund Installments;

(m) The amount and due date of each Sinking Fund Installment, if any, for Obligations of like maturity of such Series;

(n) Provisions necessary to authorize, in compliance with all applicable law, Obligations of such Series to be issued in the form of Obligations issued and held in book-entry form on the books of the Issuer or any Fiduciary appointed for that purpose by the Issuer and, in connection therewith, make such additional changes in the Resolution, not adverse to the rights of the Owners of the Obligations, as are necessary or appropriate to accomplish or recognize such book-entry form Obligations and specify and determine the matters and things relative to the issuance of such book-entry form Obligations as are appropriate or necessary;

(o) To the extent applicable, the provisions relating to (a) any Credit Facility, Qualified Swap or other financial arrangement entered into in connection with the issuance of the Obligations of such Series and (b) the obligations payable thereunder;

(p) The amount, if any, to be deposited in the Obligations Proceeds Fund or any Account therein;

(q) If so determined by the Issuer, provisions for the application of any money available therefor to the purchase, exchange or redemption of Obligations of such Series and for the order of purchase, exchange or redemption of such Obligations;

(r) If so determined by the Issuer, provisions for the sale of the Obligations of such Series;

(s) The forms of the Obligations of such Series and of the Trustee's certificate of authentication if other than as provided in Section 301; and

(t) Such other matters, not contrary to or inconsistent with the Resolution, as the Issuer may deem advisable or necessary in connection with the authorization, issuance, sale, or delivery of such Series of Obligations.

An Authorized Officer to whom a Supplemental Resolution has delegated the power to determine any of the foregoing shall execute a Certificate of Determination evidencing such determinations or other actions taken pursuant to the delegation under such Supplemental Resolution, and such Certificate of Determination shall be conclusive evidence of the determinations or actions of such Authorized Officer as to the matters stated therein. The matters set forth in any such Certificate of Determination shall have the same effect as if set forth in the related Supplemental Resolution.

2. The Obligations may be sold in one or more Series or subseries (each of which shall contain a designation distinguishing it from other Series or subseries), and shall be delivered by the Issuer under the Resolution but only upon receipt by the Trustee of:

(a) An Opinion of Bond Counsel in customary form to the effect that (i) the Issuer has the right and power under the Issuer Act to adopt the Resolution, and the Resolution has been duly and lawfully adopted by the Issuer, is in full force and effect, and

is valid and binding upon the Issuer, and enforceable in accordance with its terms, and no other authorization for the Resolution is required as of the date thereof; (ii) the Resolution creates the valid pledge which it purports to create of the Obligations Trust Estate in the manner and to the extent provided in Section 501; and (iii) the Obligations are valid and binding obligations of the Issuer, enforceable in accordance with their terms and the terms of the Resolution and entitled to the benefits of the Issuer Act as amended to the date of such Opinion of Bond Counsel, and (iv) such Obligations have been duly and validly authorized and issued in accordance with law and the Resolution;

(b) A copy of the Supplemental Resolution authorizing such Obligations, certified by an Authorized Officer;

(c) A written order of the Issuer as to the delivery of the Obligations, signed by an Authorized Officer;

(d) A certificate of an Authorized Officer to the effect that, upon the delivery of the Obligations of such Series (i) no event which constitutes a default under the Resolution or any of the Obligations shall have occurred and be continuing or, if such an event is continuing, upon issuance of the Obligations such default shall be cured, and (ii) no such event would result from the authentication and delivery of the Obligations of such Series;

(e) If any Obligations are Variable Interest Rate Obligations or a Qualified Swap is being entered into that will result in a variable interest rate obligation of the Issuer, a determination by an Authorized Officer of the Estimated Average Interest Rate;

(f) If any Obligations of such Series are Put Obligations, a determination by an Authorized Officer of the method or methods to be employed to provide for the purchase or redemption of all Put Obligations of such Series if the Owners thereof elected to tender for purchase or redemption the entire aggregate Outstanding principal amount of the Put Obligations of such Series; and

(g) Such further documents and money as are required by the provisions of Article II, this Article A-II or Article C-V.

3. If Obligations are to be listed on a domestic or foreign stock exchange, the Authorized Officers are hereby authorized to take all such actions as they deem necessary or appropriate to comply with the listing requirements of such exchange, including the appointment of a member of such exchange as listing agent, the publication where required by such exchange of all redemption notices, the appointment of a special clearing agent and paying agent, and the execution of an undertaking letter with such exchange.

4. The Obligations shall not be a debt of the State or the City and neither the State nor the City shall be liable thereon, nor shall Obligations be payable out of any funds other than those of the Issuer as provided in the Resolution.

Section A-202. Credit Facilities; Qualified Swaps and Other Similar Arrangements; Parity Debt.

1. The Issuer may include such provisions in a Supplemental Resolution authorizing the issuance of a Series of Obligations secured by a Credit Facility as the Issuer deems appropriate, and no such provisions shall be deemed to constitute an amendment to the Resolution requiring action under Article C-V or Article C-VI, including:

(a) So long as the Credit Facility is in full force and effect and payment on the Credit Facility is not in default, then (i) the issuer of the Credit Facility shall be deemed to be the sole Owner of the Outstanding Obligations the payment of which such Credit Facility secures or (ii) in the alternative or with respect to particular matters, the approval, consent or action of the issuer of the Credit Facility shall be required in addition to the approval, consent or action of the applicable percentage of the Owners of the Outstanding Obligations, in either case when the approval, consent or action of the Owners for such Obligations is required or may be exercised under the Resolution and following an Event of Default hereunder; provided, however, that no issuer of a Credit Facility shall be deemed to be the sole Owner of Outstanding Obligations pursuant to this provision in the event that the Credit Facility or Credit Facilities securing such Obligations provide only liquidity support.

(b) In the event that the principal, Sinking Fund Installments, if any, and Redemption Price, if applicable, and interest due on any Outstanding Obligations (or Purchase Price of any Outstanding Obligations to the extent the issuer of the Credit Facility has not been reimbursed) shall be paid under the provisions of the Credit Facility, all covenants, agreements and other obligations of the Issuer to the Owners of such Obligations shall continue to exist and such issuer of the Credit Facility shall be subrogated to the rights of such Owners in accordance with the terms of such Credit Facility.

2. In addition, such Supplemental Resolution may establish such provisions as are necessary (i) to comply with the provisions of each such Credit Facility, (ii) to provide relevant information to the issuer of the Credit Facility, (iii) to provide a mechanism for paying Principal Installments and interest on Obligations secured by the Credit Facility, and (iv) to make provision for any events of default or for additional or improved security required by the issuer of a Credit Facility.

3. The Issuer may enter into such agreements with the issuer of such Credit Facility providing for, *inter alia*: (i) the payment of fees and expenses to such issuer for the issuance of such Credit Facility, (ii) the terms and conditions of such Credit Facility and the Obligations affected thereby, and (iii) the security, if any, to be provided for the issuance of such Credit Facility.

4. The Issuer may secure such Credit Facility by an agreement providing for the purchase of the Obligations secured thereby with such adjustments to the rate of interest, method of determining interest, maturity, or redemption provisions as specified by the Issuer in the applicable Supplemental Resolution. The Issuer may also in an agreement with the issuer of such Credit Facility agree to directly reimburse such issuer for amounts paid under the terms of such

Credit Facility (together with interest thereon, the “**Reimbursement Obligation**”); *provided, however,* that no amounts shall be payable by the Issuer under a Reimbursement Obligation for purposes of the Resolution, until amounts are paid under such Credit Facility by the issuer thereof. As determined by Supplemental Resolution, any such Reimbursement Obligation, which may include interest calculated at a rate higher than the interest rate on the related Obligation and accelerated principal amortization, (i) may be secured by a pledge of, and a lien on, the Obligations Trust Estate on a parity with the lien created by Section 501 of the Resolution to secure the Obligations (a “**Parity Reimbursement Obligation**”), but only to the extent that (prior to any acceleration of all Obligations, if permitted) any principal amortization requirements are *either* (A) commensurate with the amortization requirements for such related Obligations, without acceleration or (B) accelerated to no greater extent than to require repayment in equal principal installments over 5 or more years, or (ii) may constitute a Subordinated Contract Obligation, as determined by the Issuer. Parity Reimbursement Obligations shall not include any payments (other than interest calculated at a higher rate pursuant to a Credit Facility) (i) of any fees or expenses, (ii) pursuant to any indemnification provisions or (iii) pursuant to term-loan or other principal amortization requirements in reimbursement of any such advance that are more accelerated than permitted by clauses (A) and (B) of the preceding sentence, and any such payments of the items specified in clauses (i), (ii) or (iii) shall constitute Subordinated Contract Obligations.

5. Any such Credit Facility shall be for the benefit of and secure such Obligations or portion thereof as specified in any applicable Supplemental Resolution.

6. In connection with the issuance of any Obligations or at any time thereafter so long as Obligations remain Outstanding, the Issuer may, to the extent permitted pursuant to law, from time to time enter into Qualified Swaps. The Issuer’s obligation to pay any amount under any Qualified Swap may be secured by a pledge of, and a lien on, the Obligations Trust Estate on a parity with the lien created by Section 501 of the Resolution to secure the Obligations (a “**Parity Swap Obligation**”), or may constitute a Subordinated Contract Obligation, as determined by the Issuer. Parity Swap Obligations shall not include any payments of any termination or other fees, expenses, indemnification or other obligations to a counterparty to a Qualified Swap, which payments shall be Subordinated Contract Obligations.

7. Parity Debt shall not be a debt of the State or the City and neither the State nor the City shall be liable thereon, nor shall Parity Debt be payable out of any funds other than those of the Issuer pledged therefor pursuant to the Resolution.

8. Except to the extent that an Authorized Officer directs that such amounts be deposited in any other Fund or Account pledged to the payment of Obligations, any amounts paid to the Issuer under a Qualified Swap shall be deposited in the Senior Debt Service Fund.

9. To the extent applicable and not readily apparent with respect any Parity Debt, either the terms of such Parity Debt shall specify (or an Authorized Officer shall specify in writing) the interest and principal components of, or the scheduled payments corresponding to principal and interest under, such Parity Debt or the manner of determining the foregoing.

Section A-203. Obligation Anticipation Notes. Whenever the Issuer shall have, by Supplemental Resolution, authorized the issuance of a Series of Obligations, the Issuer may by

resolution authorize the issuance of Obligation Anticipation Notes in anticipation of the issuance of such authorized Series of Obligations, in a principal amount not exceeding the principal amount of the Obligations of such Series so authorized. The principal of and premium, if any, and interest on such Obligation Anticipation Notes and any renewals of such Obligation Anticipation Notes shall be payable only from any or all of the following items designated by the Issuer at or prior to issuance of any such series of Obligation Anticipation Notes (i) the proceeds of any renewals of such Obligation Anticipation Notes issued to repay such Obligation Anticipation Notes, (ii) the proceeds of the sale of the Series of Obligations, Refunding Obligations or Refunding Second Lien Obligations or other obligations in anticipation of which such Obligation Anticipation Notes are issued or expected to be refinanced or restructured, (iii) amounts available to pay Other Subordinated Obligations, or (iv) any other money available therefor and not pledged under the Resolution. Such proceeds and other amounts set forth in clauses (i), (ii), (iii) and (iv) may be pledged for the payment of the principal of and premium, if any, and interest on such Obligation Anticipation Notes, and any such pledge of the items set forth in clauses (i) and (ii) shall have priority over any other pledge created by the Resolution, including Section 501. In any case, such Obligation Anticipation Notes shall be retired or provision shall be made for their retirement not later than the date of authentication and delivery of the Series of Obligations in anticipation of which they are issued. The proceeds of the sale of Obligation Anticipation Notes other than renewals thereof shall be applied to the purposes for which the Obligations, Refunding Obligations or Refunding Second Lien Obligations or other obligations in anticipation of which such Obligation Anticipation Notes are authorized and shall be deposited in the appropriate Fund or Account established by the Resolution for such purposes or, if so provided in the resolution authorizing renewals of Obligation Anticipation Notes issued to pay outstanding Obligation Anticipation Notes, applied directly to such payment. Investment earnings from any amounts on deposit in any Fund or Account under the Resolution representing the proceeds of any Obligation Anticipation Notes shall be applied in the manner set forth in the resolution authorizing such Obligation Anticipation Notes.

ARTICLE A-III.

GENERAL TERMS AND PROVISIONS OF OBLIGATIONS

Except as otherwise provided by Supplemental Resolution, the Obligations shall be subject to the terms and provisions of these Standard Resolution Provisions.

Section A-301. Medium of Payment; Form and Date.

1. The Obligations and Parity Debt shall be payable, with respect to interest, principal and Redemption Price, in any coin or currency of the United States of America which at the time of payment is legal tender for the payment of public and private debts (or to the extent permitted by law, in any other coin or currency authorized pursuant to a Supplemental Resolution for related Obligations and Parity Debt).

2. Obligations shall be issued in the form of fully registered Obligations without coupons. Obligations, the certificate of authentication, if any, and the form of assignment shall be in substantially the form provided for in Exhibit One with such appropriate variations, omissions, substitutions and insertions as are permitted or required hereby or thereby or are required by law,

and may have such letters, numbers or other marks of identification and such legends and endorsements placed thereon as may be required to comply with any applicable laws or rules or regulations, or as may, consistent herewith, be determined by the officers executing such Obligations, as evidenced by their execution of the Obligations. Any portion of the text of any Obligation may be set forth on the reverse thereof, with an appropriate reference thereto on the face of such Obligation, or as multiple pages (with or without such a reference). Obligations may be typewritten, printed, engraved, lithographed or otherwise produced.

3. Obligations shall be dated, and shall bear or not bear interest, as provided in the Supplemental Resolution authorizing such Obligations.

Section A-302. Legends. Obligations may contain or have endorsed thereon such provisions, specifications and descriptive words not inconsistent with the provisions of the Resolution as may be necessary or desirable to comply with custom, the rules of any securities exchange or commission or brokerage board, or otherwise, as may be determined by the Issuer.

Section A-303. Execution and Authentication.

1. The Obligations shall be executed in the name of the Issuer by the manual or facsimile signature of an Authorized Officer or in such other manner as may be required by law or specified in a Supplemental Resolution. In case any of the officers who shall have signed any of the Obligations shall cease to be such officer before the Obligations so signed shall have been actually delivered, such Obligations may, nevertheless, be delivered as herein provided, and may be issued as if the Persons who signed such Obligations had not ceased to hold such offices. Any Obligation may be signed on behalf of the Issuer by such Persons as at the actual time of the execution of such Obligation shall be duly authorized or hold the proper office in the Issuer, although at the date of the Obligations such Persons may not have been so authorized or have held such office.

2. Obligations of each Series shall bear thereon a certificate of authentication, executed manually by the Trustee. Only such Obligations as shall bear thereon such certificate of authentication shall be entitled to any right or benefit under the Resolution and no Obligation shall be valid or obligatory for any purpose until such certificate of authentication shall have been duly executed by the Trustee. Such certificate of the Trustee upon any Obligation executed on behalf of the Issuer shall be conclusive evidence that the Obligation so authenticated has been duly authenticated and delivered under the Resolution and that the Owner thereof is entitled to the benefits of the Resolution.

Section A-304. Interchangeability of Obligations. Obligations, upon surrender thereof at the office of the Registrar with a written instrument of transfer satisfactory to the Registrar, duly executed by the Owner or his duly authorized attorney, may, at the option of such Owner, be exchanged for an equal aggregate principal amount of Obligations of the same Series, maturity and interest rate of any other authorized denomination.

Section A-305. Negotiability, Transfer and Registry. All the Obligations issued under the Resolution shall be negotiable, subject to the provisions for registration and registration of transfer contained in the Resolution and in the Obligations. So long as any of the Obligations

shall remain Outstanding, the Issuer shall maintain and keep, at the office of the Registrar, books for the registration and registration of transfer of Obligations; and, upon presentation thereof for such purpose at said office and under such reasonable regulations as it or the Registrar may prescribe, the Issuer shall register or cause to be registered therein, and permit to be transferred thereon, the registration of any Obligation entitled to registration or registration of transfer. So long as any of the Obligations remain Outstanding, the Issuer shall make all necessary provision to permit the exchange of Obligations at the office of the Registrar.

Section A-306. Transfer of Obligations.

1. The transfer of each Obligation shall be registerable only upon the books of the Issuer, which shall be kept by the Registrar, by the Owner thereof in person or by his attorney authorized in writing, upon surrender thereof together with a written instrument of transfer satisfactory to the Registrar executed by the Owner or his authorized attorney. Upon the registration of transfer of any such Obligation, the Issuer shall issue in the name of the transferee a new Obligation of the same aggregate principal amount, Series, maturity and interest rate as the surrendered Obligation.

2. The Issuer and each Fiduciary may deem and treat the Person in whose name any Outstanding Obligation shall be registered upon the books of the Issuer as the absolute owner of such Obligation, whether such Obligation shall be overdue or not, for the purpose of receiving payment of or on account of, the principal and Redemption Price, if any, of and interest on such Obligation and for all other purposes, and all such payments so made to any such registered owner or upon his order shall be valid and effectual to satisfy and discharge the liability upon such Obligation to the extent of the sum or sums so paid, and neither the Issuer nor any Fiduciary shall be affected by any notice to the contrary. The Issuer agrees to indemnify and save each Fiduciary harmless from and against any and all loss, cost, charge, expense, judgment or liability incurred by it, unless acting in bad faith or with negligence under the Resolution, in so treating such registered owner.

Section A-307. Regulations With Respect to Exchanges and Transfers. In all cases in which the privilege of exchanging Obligations or registering the transfer of Obligations is exercised, the Issuer shall execute and the Registrar shall deliver Obligations in accordance with the provisions of the Resolution. All Obligations surrendered in any such exchanges or registrations of transfer shall forthwith be canceled by the Registrar. For every such exchange or registration of transfer of Obligations, whether temporary or definitive, the Issuer or the Registrar may make a charge sufficient to reimburse it for any tax, fee or other governmental charge required to be paid with respect to such exchange or transfer.

Section A-308. Obligations Mutilated, Destroyed, Stolen or Lost. In case any Obligation shall become mutilated or be destroyed, stolen or lost, the Issuer shall execute, and thereupon the Trustee and Registrar shall deliver, a new Obligation of like tenor, Series, maturity, interest rate and principal amount as the Obligation so mutilated, destroyed, stolen or lost, in exchange and substitution for such mutilated Obligation, upon surrender and cancellation of such mutilated Obligation, or in lieu of and substitution for the Obligation destroyed, stolen or lost, upon filing with the Trustee and Registrar evidence satisfactory to the Issuer and the Trustee and Registrar that such Obligation has been destroyed, stolen or lost and proof of ownership thereof,

and upon furnishing the Issuer and the Trustee and Registrar with indemnity satisfactory to them and complying with such other reasonable regulations as the Issuer and the Trustee and Registrar may prescribe and paying such expenses as the Issuer and Trustee and Registrar may incur. All Obligations so surrendered to the Registrar shall be canceled by it. If any such Obligation shall have matured, or if such Obligation shall have been called for redemption or a redemption date pertaining thereto shall have passed, instead of issuing a new Obligation the Issuer may cause the same to be paid without surrender thereof upon indemnity satisfactory to the Issuer and the Trustee. Any such new Obligations issued pursuant to this Section in substitution for Obligations alleged to be destroyed, stolen or lost shall constitute original additional contractual obligations on the part of the Issuer, whether or not the Obligations so alleged to be destroyed, stolen or lost be at any time enforceable by anyone, and shall be equally secured by and entitled to equal and proportionate benefits with all other Obligations issued under the Resolution, in any money or securities held by the Issuer or the Fiduciary for the benefit of the Owners of Obligations.

Section A-309. Book-Entry-Only System. The Issuer may employ a book-entry-only system of registration with respect to any Obligations and may utilize the procedures regarding such registration set forth in this Section A-309, as such procedures may be modified or superseded pursuant to the Supplemental Resolution authorizing such Obligations. Any provisions of the Resolution inconsistent with book-entry-only Obligations shall not be applicable to such book-entry-only Obligations.

Any Authorized Officer is hereby authorized to take all actions required for each Series of Obligations to be eligible under the rules and regulations of The Depository Trust Company (“DTC”), 55 Water Street, New York, New York, for investment and trading as uncertificated securities. DTC is hereby appointed as the initial Securities Depository for the Obligations, with Cede & Co., a nominee thereof, being the initial registered owner of the Obligations. In the event that any Securities Depository resigns or is removed, any Authorized Officer may select a substitute Securities Depository. The Issuer and any Fiduciary, and any agent of the Issuer or any Fiduciary, may treat any Securities Depository in whose name any Obligations is registered as the owner of such Obligation for all purposes under the Resolution. For so long as the Securities Depository is the registered owner of the Obligations, procedures with respect to the transmission of notices and the transfer of ownership of, redemption of and payment of principal or Redemption Price, if any, of and interest on such Obligations so held shall be in accordance with arrangements among the Trustee, the Issuer and the Securities Depository.

So long as the Obligations are registered in the name of the Securities Depository, the Issuer and the Trustee shall have no responsibility or obligation to any Securities Depository participant, indirect participant or beneficial owner of the Obligations. Without limiting the immediately preceding sentence, the Issuer and the Trustee shall have no responsibility or obligation with respect to (i) the accuracy of the records of any Securities Depository or any Securities Depository participant or indirect participant with respect to any beneficial ownership interest in the Obligations, (ii) the delivery to any Securities Depository participant, indirect participant, beneficial owner or any other person, other than the Securities Depository, of any notice with respect to the Obligations, including any notice of redemption or tender, or (iii) the payment to any Securities Depository participant, indirect participant, beneficial owner or any other person, other than the Securities Depository, of any amount with respect to the principal of or Redemption Price or interest on the Obligations.

The Issuer, in its sole discretion and without the consent of any other person, may terminate the services of any Securities Depository with respect to any Series of Obligations. Notice of such termination shall be given by the Issuer to the Trustee prior to or simultaneously with such termination. In the event the book-entry only system is discontinued with respect to the Obligations, principal and Redemption Price of and interest on the Obligations shall be paid as provided in the Resolution.

Consistent with DTC book-entry provisions, one or more typewritten certificates shall be prepared for each maturity of the Obligations of a Series and registered in the name of the Securities Depository. There shall be no physical distribution of bond or other certificates to beneficial owners of such Obligations. In the event that the Obligations do not qualify to be held by the Securities Depository or that either the Issuer determines to discontinue the book-entry only system or DTC determines to discontinue providing its service with respect to the Obligations and there is no successor Securities Depository, the bond or other certificates shall be delivered in the form required by the Resolution.

Unless otherwise directed by an Authorized Officer, "CUSIP" identification numbers will be imprinted on the Obligations, but such numbers shall not constitute a part of the contract evidenced by the Obligations and any error or omission with respect thereto shall not constitute cause for refusal of any purchaser to accept delivery of and pay for the Obligations. As a convenience to the Owners of the Obligations, the Issuer and the Trustee may use such CUSIP numbers in any notices to the Owners of the Obligations, including any notices of redemption of the Obligations. Failure on the part of the Issuer or the Trustee to use such CUSIP numbers in any notice to Owners of the Obligations shall not constitute an Event of Default or any similar violation of the Issuer's contract with such Owners. The Issuer will promptly notify the Trustee of any change in the CUSIP numbers.

ARTICLE A-IV.

REDEMPTION AND TENDER OF OBLIGATIONS

Section A-401. Privilege of Redemption and Redemption Price. Except as otherwise provided in the Resolution or a Supplemental Resolution, Obligations subject to redemption pursuant to a Supplemental Resolution shall be subject to redemption only in accordance with this Article A-IV.

Obligations subject to redemption prior to maturity pursuant to a Supplemental Resolution shall be redeemable, upon written notice as provided in this Article A-IV, at such times, at such Redemption Prices and upon such terms as may be specified in the Supplemental Resolution authorizing such Obligations.

Section A-402. Redemption at the Election of the Issuer; Tender to Related Entities. In the case of any redemption of Obligations at the election of the Issuer, the Issuer shall give written notice to the Trustee of its election so to redeem, of the redemption date, of the Series, of the principal amounts of the Obligations of each maturity and interest rate of such Series to be redeemed (which Series, maturities, interest rates and principal amounts thereof to be redeemed shall be determined by the Issuer in its sole discretion, subject to any limitations with respect

thereto contained in any Supplemental Resolution). Such notice shall be given at least 45 days prior to the redemption date or such shorter period as may be provided in the Supplemental Resolution or as shall be acceptable to the Trustee. In the event notice of redemption shall have been given as in Section A-405 provided but subject to the second paragraph of Section A-405, the Issuer shall on or prior to the redemption date cause to be paid out to the appropriate Paying Agent or Paying Agents out of money available therefor an amount in cash which, in addition to other money, if any, available therefor held by such Paying Agent or Paying Agents, will be sufficient to redeem on the redemption date at the Redemption Price thereof, all of the Obligations to be redeemed.

The Issuer may, in its sole discretion, purchase or grant to any Related Entity the option to purchase, at any time and from time to time, any Obligations which are redeemable at the election of the Issuer at a purchase price equal to the redemption price therefor. To exercise any such option, the Issuer or the Related Entity shall give the Trustee a written request exercising such option within the time periods specified in the related Supplemental Resolution as though such written request were a written request of the related Issuer for redemption, and the Trustee shall thereupon give the Owners of the Obligations to be purchased notice of such purchase in the manner specified in the related Supplemental Resolution as though such purchase were a redemption. On the date fixed for purchase pursuant to any exercise of such an option, the Issuer or the Related Entity shall pay the purchase price of the Obligations then being purchased to the Trustee in immediately available funds, and the Trustee shall pay the same to the sellers of such Obligations against delivery thereof. Following such purchase, the Trustee shall cause such Obligations to be registered in the name of the Issuer or the Related Entity or its nominee and shall deliver them to the Issuer, the Related Entity or its nominee. Except to the extent otherwise directed by an Authorized Officer, no purchase of Obligations pursuant to such an option shall operate to extinguish the indebtedness of the Issuer evidenced thereby. Any such option to purchase by a Related Entity either shall be conditioned on the provision of sufficient money therefor by the Related Entity or shall be an obligation of the Issuer in the event that the Related Entity does not provide sufficient money therefor.

Section A-403. Redemption Otherwise Than at the Issuer's Election. Whenever by the terms of the Resolution Obligations are required to be redeemed otherwise than at the election of the Issuer, the Trustee shall select the Obligations to be redeemed, give the notice of redemption and pay out of money available therefor the Redemption Price to the appropriate Paying Agents in accordance with the terms of this Article A-IV. The Trustee shall have no liability in making such selection.

Section A-404. Selection of Obligations to Be Redeemed. In the event of redemption of less than all the Outstanding Obligations of like tenor, Series, maturity and interest rate, the Trustee shall select, as directed by the Issuer (as to the timing of such selection, manner of such selection or otherwise) or otherwise in such manner as the Trustee in its discretion shall deem appropriate and fair, the numbers of the Obligations to be redeemed and portions of any thereof to be redeemed in part. Obligations of denominations equal or less than the minimum authorized denomination thereof may be redeemed only as a whole. Obligations of denominations of more than the minimum authorized denomination thereof may be redeemed either as a whole or in part (which, if redeemed in part, must assure that the portion of the Obligation which is not redeemed is an authorized denomination). For the purposes of this Section A-404, Obligations, or

portions thereof, which have theretofore been selected for redemption shall not be deemed Outstanding.

Section A-405. Notice of Redemption. When the Trustee shall receive notice from the Issuer of its election to redeem Obligations pursuant to Section A-402, and when redemption of Obligations is required by the Resolution pursuant to Section A-403, the Trustee shall give notice, in the name of the Issuer, of the redemption of such Obligations, which notice shall specify the Series (including CUSIP numbers), maturities and, if any maturity shall include Obligations bearing different interest rates and all Obligations of such maturity are not being redeemed, the interest rate of the Obligations to be redeemed, the redemption date and the place or places where amounts due upon such redemption will be payable and, if less than all of the Obligations of any like tenor, Series, maturity and interest rate are to be redeemed, the letters and numbers or other distinguishing marks of such Obligations so to be redeemed, and, in the case of Obligations to be redeemed in part only, such notice shall also specify the respective portions of the principal amount thereof to be redeemed, and, if applicable, that such notice is conditional and the conditions that must be satisfied. Such notice shall further state that on such date there shall become due and payable upon each Obligation to be redeemed the Redemption Price thereof, or the Redemption Price of the specified portions of the principal thereof in the case of Obligations to be redeemed in part only, together with interest accrued to the redemption date, and that from and after such date interest thereon shall cease to accrue and be payable. Such notice shall be given not less than 20 days nor more than 45 days, or for such other period as may be specified in a Supplemental Resolution authorizing a particular Series, before the redemption date, to the Owners of any Obligations or portions of Obligations which are to be redeemed. Failure so to give any such notice to any particular Owner shall not affect the validity of the proceedings for the redemption of Obligations not owned by such Owner and failure of any Owner to receive such notice shall not affect the validity of the proposed redemption of Obligations. The Issuer may provide notices of redemption at such additional times as it may determine necessary or appropriate.

Any notice of optional redemption given pursuant to this Section may state that it is conditional upon receipt by the Trustee of money sufficient to pay the Redemption Price of such Obligations or upon the satisfaction of any other condition, or that it may be rescinded upon the occurrence of any other event, and any conditional notice so given may be rescinded at any time before payment of such Redemption Price if any such condition so specified is not satisfied or if any such other event occurs. Notice of such rescission shall be given by the Trustee to affected Owners of Obligations as promptly as practicable upon the failure of such condition or the occurrence of such other event.

Unless otherwise provided by Supplemental Resolution, notices of redemption shall be sent by first class mail, postage prepaid.

Notice of redemption of any Series of Obligations shall also be sent by the Trustee to such additional Persons as may be specified in the Supplemental Resolution authorizing such Series.

Section A-406. Payment of Redeemed Obligations. Notice having been given in the manner provided in Section A-405, the Obligations or portions thereof so called for redemption shall, subject to the second paragraph of Section A-405, become due and payable on the redemption date so designated at the Redemption Price, plus interest accrued and unpaid to the

redemption date, and, upon presentation and surrender thereof at the office specified in such notice, such Obligations, or portions thereof, shall be paid at the Redemption Price plus interest accrued and unpaid to the redemption date. If there shall be called for redemption less than all of an Obligation, the Issuer shall execute and cause to be delivered, upon the surrender of such Obligation, without charge to the owner thereof, for the unredeemed balance of the principal amount of the Obligation so surrendered, at the option of the owner thereof, Obligations of like tenor, Series, maturity and interest rate in any of the authorized denominations. If, on the redemption date, money for the redemption of all the Obligations or portions thereof of any like tenor, Series, maturity and interest rate to be redeemed, together with interest to the redemption date, shall be held by the Paying Agents so as to be available therefor on said date and if notice of redemption shall have been given as aforesaid, then, from and after the redemption date interest on the Obligations or portions thereof of such Series, maturity and interest rate so called for redemption shall cease to accrue. If said money shall not be so available on the redemption date, such Obligations or portions thereof shall continue to bear interest until paid at the same rate as they would have borne had they not been called for redemption.

ARTICLE A-V.

INVESTMENT OF FUNDS; SINKING FUND INSTALLMENTS; CANCELLATION AND DISPOSITION OF OBLIGATIONS

Section A-501. Investment of Funds.

1. Subject to the provisions of Section A-904, amounts in the Funds and Accounts established by Section 502 of the Resolution may be invested only in Authorized Investments and only when and as specifically directed in writing by an Authorized Officer.
2. The Trustee or the Issuer shall sell any Authorized Investments held in any Fund, Account or subaccount to the extent required for payments from such Fund, Account or subaccount. The proceeds of such sales, and of all payments at maturity or upon redemption of such investments, shall be held in the applicable Fund, Account or subaccount to the extent required to meet the requirements of such Fund, Account or subaccount. Except as provided by Supplemental Resolution, in computing the amount of such Funds, Accounts and subaccounts, investments shall be valued at par, or if purchased at other than par, shall be valued at Amortized Value. Accrued interest received upon the sale of any Authorized Investment to the extent such amount exceeds any accrued interest paid on the purchase of such Authorized Investment shall be treated as interest earned on such Authorized Investment for purposes of this Section.
3. Nothing in the Resolution shall prevent any Authorized Investments acquired as investments of or security for any Fund, Account or subaccount held under the Resolution from being held in book-entry form.
4. In making any investment in any Authorized Investments with money in any Fund or Account established under the Resolution, the Trustee or the Issuer may combine such money with money in any other Fund or Account held by it, but solely for purposes of making such investment in such Authorized Investments.

Section A-502. Satisfaction of Sinking Fund Installments.

1. Any amount accumulated in the Senior Debt Service Fund in respect of and up to the unsatisfied balance of each Sinking Fund Installment shall be applied by the Trustee to either (a) or (b) below as directed by the Issuer (together with amounts accumulated in the Senior Debt Service Fund with respect to interest on the Series of Obligations for which such Sinking Fund Installment was established) if so directed by an Authorized Officer prior to the 45th day preceding the due date of such Sinking Fund Installment as follows:

(a) to the purchase of Obligations for which such Sinking Fund Installment was established, at prices (including any brokerage and other charges) not exceeding the principal amount of such Obligations plus unpaid interest accrued to the date of purchase, such purchases to be made in such manner as the Issuer shall direct; or

(b) to the redemption of Obligations for which such Sinking Fund Installment was established, if then redeemable by their terms at the prices referred to in clause (a) of this subsection 1 of this Section A-502.

All Obligations so purchased or redeemed shall be canceled by the Trustee prior to the 45th day preceding the due date of such Sinking Fund Installment.

2. Upon the purchase or redemption of any Obligation pursuant to subsection 1 of this Section, an amount equal to the principal amount of the Obligations so purchased or redeemed shall be credited toward the next Sinking Fund Installment thereafter to become due with respect to the Obligations of such maturity and the amount of any excess of the amounts so credited over the amount of such Sinking Fund Installment shall be credited by the Trustee against future Sinking Fund Installments for such Series as specified by an Authorized Officer. Prior to the purchase or redemption of such Obligations, the Issuer shall deliver to the Paying Agent and to the Trustee a certificate of an Authorized Officer specifying (i) the principal amount, Series, maturity, interest rate and numbers of the Obligations so to be purchased or redeemed, (ii) the date and Series of the Sinking Fund Installment in satisfaction of which such Obligations are so to be purchased or redeemed, (iii) the aggregate principal amount of the Obligations so to be purchased or redeemed, and (iv) the unsatisfied balance of each such Sinking Fund Installment after giving effect to the delivery of such Obligations.

3. In satisfaction, in whole or in part, of any Sinking Fund Installment, the Issuer may deliver to the Trustee at least 45 days prior to the date of such Sinking Fund Installment, for cancellation, Obligations acquired by purchase or redemption, except Obligations acquired by purchase or redemption pursuant to the provisions of subsection 1 of this Section, of the maturity and interest rate entitled to such Sinking Fund Installment. All Obligations so delivered to the Trustee in satisfaction of a Sinking Fund Installment shall reduce the amount thereof by the amount of the aggregate principal amount of such Obligations. Concurrently with such delivery of such Obligations the Issuer shall deliver to the Paying Agent and to the Trustee a certificate of an Authorized Officer, specifying (i) the principal amount, Series, maturity, interest rate and numbers of the Obligations so delivered, (ii) the date and Series of the Sinking Fund Installment in satisfaction of which such Obligations are so delivered, (iii) the aggregate principal amount of the

Obligations so delivered, and (iv) the unsatisfied balance of each such Sinking Fund Installment after giving effect to the delivery of such Obligations.

4. The Trustee shall, upon receipt of the notice and in the manner required by the Resolution, call for redemption, on the date of each Sinking Fund Installment falling due prior to maturity, such principal amount of Obligations of the Series, interest rate and maturity entitled to such Sinking Fund Installment as is required to exhaust the unsatisfied balance of such Sinking Fund Installment.

Section A-503. Cancellation and Disposition of Obligations. All Obligations that have been paid (whether at maturity or by acceleration, call for redemption, purchase by the Issuer and presentation for cancellation, or otherwise) or delivered to the Trustee for cancellation shall be canceled and not reissued, except as otherwise provided in a Supplemental Resolution with respect to Put Obligations. Unless otherwise directed by the Issuer, the Trustee shall treat canceled Obligations in accordance with its document retention policies. Notwithstanding any other provision of the Resolution, the Issuer may in its sole discretion purchase any obligations of the Issuer or any Related Entity for investment purposes and any such obligations shall remain outstanding unless and until presented for cancellation.

ARTICLE A-VI.

PARTICULAR COVENANTS OF THE ISSUER

The Issuer covenants and agrees with the Trustee and the Owners of Obligations as follows:

Section A-601. Payment of Obligations and Parity Debt. The Issuer shall duly and punctually pay or cause to be paid from the Obligations Trust Estate as provided in the Resolution the principal or Redemption Price, if any, of every Obligation and the interest thereon and all Parity Debt, at the dates and places, and in the manner provided in the Obligations and Parity Debt, according to the true intent and meaning thereof.

Section A-602. Extension of Payment of Obligations. The Issuer shall not directly or indirectly extend or assent to the extension of the maturity of any of the Obligations or the time of payments of any claims for interest by the purchase or funding of such Obligations or claims for interest or by any other arrangement and in case the maturity of any of the Obligations or the time for payment of such claims for interest shall be extended, such Obligations or claims for interest shall not be entitled, in case of any Event of Default, to the benefit of the Resolution or to any payment out of the Obligations Trust Estate, except subject to the prior payment of the principal of all Obligations Outstanding the maturity of which has not been extended and of such portion of the accrued interest on the Obligations as shall not be represented by such extended claims for interest. Nothing herein shall be deemed to limit the right of the Issuer (i) to issue refunding obligations as provided in the Resolution and such issuance shall not be deemed to constitute an extension of maturity of the Obligations, (ii) to issue Put Obligations and neither such issuance nor the operation of the provisions of such Put Obligations shall be deemed to constitute an extension of maturity of the Obligations, (iii) to apply any amount in any Fund held under the Resolution for such purpose to the purchase or redemption of Obligations or (iv) to issue securities

having a maturity date, including any extension of maturity contemplated at the time of issuance, of no more than 270 days from the issue date.

Section A-603. Offices for Servicing Obligations. Except as otherwise provided in the Resolution, the Issuer shall at all times maintain one or more offices or agencies in the City and State of New York where Obligations may be presented for payment, registration, transfer or exchange, and where notices, demands and other documents may be served upon the Issuer in respect of the Obligations or of the Resolution. The Issuer may appoint the Trustee or any other Fiduciary as its agent to maintain such office or agency for the payment, redemption, registration, transfer or exchange of Obligations and for the service upon the Issuer of such notices, demands and other documents. The Issuer may also maintain one or more offices or agencies outside of the City or State for the same purposes.

Section A-604. Further Assurance. To the extent permitted by law, the Issuer from time to time shall make, do, execute, adopt, acknowledge and deliver, and take all and every such further acts, deeds, conveyances, assignments, resolutions, transfers and assurances as may be necessary or desirable for the better assuring, conveying, granting, assigning and confirming all and singular the rights and interests in the Obligations Trust Estate or assigned, or intended so to be, or which the Issuer may become bound to pledge or assign.

Section A-605. Accounts and Reports.

1. The Issuer shall keep proper books of record and account of its operations. Such books of account are to be audited at least annually by independent certified public accountants experienced in governmental accounting selected by the Issuer. A copy of each audit report, annual balance sheet and income and expense statement shall be filed with the Trustee and sent to any Owner filing with the Issuer a written request therefor.

2. The Issuer shall annually, within 6 months after the close of each fiscal year or at such other time required under applicable law or a subsequent contract with all or certain Owners (or, if not available by such date, when and if available), file with the Trustee, and otherwise as provided by law, a copy of an annual report for such year, accompanied by the opinion of the accountants specified in subsection 1 of this Section.

Section A-606. General.

1. The Issuer shall do and perform or cause to be done and performed all acts and things required to be done or performed by or on behalf of the Issuer under the provisions of the Resolution and, to the extent material to the interests of Owners, the Issuer Act.

2. Upon the date of authentication and delivery of any of the Obligations, all conditions, acts and things required by law and the Resolution to exist, to have happened and to have been performed precedent to and in connection with the issuance of such Obligations (and any related Parity Debt then being incurred) shall exist, shall have happened and shall have been performed and the issuance of such Obligations (and any related Parity Debt then being incurred), together with all other indebtedness of the Issuer, shall be within every debt and other limit prescribed by the laws of the State, as applicable.

ARTICLE A-VII.

CONCERNING THE TRUSTEE, PAYING AGENTS AND THE REGISTRAR

Section A-701. Trustee; Appointment and Acceptance of Duties. On or prior to the delivery of any Obligations, the Issuer shall appoint a Trustee. The Trustee shall signify its acceptance of the duties and obligations imposed upon it by the Resolution by executing and delivering to the Issuer a written acceptance thereof.

Section A-702. Duties, Liabilities and Rights of the Trustee.

1. Prior to the occurrence of an Event of Default of which a Responsible Officer of the Trustee has written notice or actual knowledge, and after the curing or waiver of any Event of Default which may have occurred:

(a) the Trustee undertakes to perform such duties and only such duties as are specifically set forth in the Resolution, and no implied covenants or obligations shall be read into the Resolution against the Trustee; and

(b) in the absence of bad faith on its part, the Trustee may conclusively rely, as to the truth of the statements and the correctness of the opinions expressed therein, upon certificates or opinions furnished to the Trustee and conforming to the requirements of the Resolution; but in the case of any such certificates or opinions which by any provision hereof are specifically required to be furnished to the Trustee, the Trustee is under a duty to examine same to determine whether or not they conform to the requirements of the Resolution.

2. In case an Event of Default of which a Responsible Officer of the Trustee has written notice or actual knowledge has occurred and is continuing, the Trustee shall exercise such of the rights and powers vested in it by the Resolution, and use the same degree of care and skill in their exercise, as a prudent Person would exercise or use in the conduct of such Person's own affairs.

3. No provision of the Resolution shall be construed to relieve the Trustee from liability for its own negligent action, its own negligent failure to act, or its own willful misconduct, except that:

(a) this subsection (c) shall not be construed to limit the effect of subsection (a) of this Section A-702;

(b) the Trustee is not and shall not be liable for any error of judgment made in good faith by a Responsible Officer of the Trustee, unless it is proven that the Trustee was negligent in ascertaining the pertinent facts;

(c) the Trustee is not and shall not be liable with respect to any action taken or omitted to be taken by it in good faith (i) in accordance with the direction of the Owners of the applicable percentage of Obligations then Outstanding relating to the time, method

and place of conducting any proceeding for any remedy available to the Trustee, or (ii) which it believes to be authorized or within its rights or powers under the Resolution;

(d) no provision of the Resolution shall require the Trustee to expend or risk its own funds or otherwise incur any personal or financial liability in the performance of any of its duties hereunder, or in the exercise of any of its rights or powers, for which it has not received a satisfactory indemnity;

(e) the Trustee may rely on any document believed by it to be genuine and to have been signed or presented by the proper Person and shall not be bound to make any investigation into the facts or matters stated in any resolution, certificate, statement, instrument, opinion, report, notice, request, direction, consent, order, bond, debenture, note, other evidence of indebtedness or other paper or document, but the Trustee, in its discretion, may make such further inquiry or investigation into such facts or matters as it may see fit;

(f) the Trustee shall not be charged with knowledge of an Event of Default unless a Responsible Officer of the Trustee shall have received written notice from an Owner or the Issuer or have actual knowledge; provided that the Trustee shall be deemed to have actual knowledge of any failure to pay principal or Redemption Price of or interest on Obligations when due;

(g) the Trustee shall not be under any obligation, to take any action that is discretionary hereunder;

(h) neither the Trustee nor any of its directors, officers, employees or agents shall be personally liable for any action taken, suffered or omitted by the Trustee in good faith and believed by it to be authorized or within the discretion or rights or powers conferred upon the Trustee by the Resolution;

(i) the Trustee may execute any of the trusts or powers hereunder or perform any duties hereunder either directly or by or through agents, attorneys, custodians, or nominees and the Trustee shall not be responsible for any misconduct or negligence on the part of any agent, attorney, custodians or nominees appointed with due care by it hereunder; and

(j) the Trustee may request that the Issuer deliver a certificate of an Authorized Officer setting forth the names of individuals and/or titles of officers authorized at such time to take specified actions pursuant hereto, which certificate may be signed by any Person authorized to sign such a certificate, including any Person specified as so authorized in any such certificate previously delivered and not superseded.

4. Whether or not expressly so provided, every provision of the Resolution relating to the conduct or affecting the liability of or affording protection to the Trustee is subject to the provisions of this Section A-702.

5. In the event that the Trustee is also acting as Paying Agent or Registrar hereunder, the rights and protections afforded to the Trustee pursuant to this Article A-VII shall also be afforded to the Paying Agent and Registrar.

Section A-703. Paying Agents and Registrars; Appointment and Acceptance of Duties.

1. The Trustee is hereby appointed the Registrar and a Paying Agent with respect to the Obligations. The Issuer may at any time or from time to time appoint one or more other Paying Agents and Registrars in the manner and subject to the conditions set forth in Section A-713 for the appointment of a successor Paying Agent or Registrar. The Issuer may be appointed a Paying Agent or Registrar.

2. Each Paying Agent and Registrar other than the Trustee shall signify its acceptance of the duties and obligations imposed upon it by the Resolution by executing and delivering to the Issuer and to the Trustee a written acceptance thereof.

Section A-704. Responsibilities of Fiduciaries. The recitals of fact contained in the Resolution and in the Obligations shall be taken as the statements of the Issuer and no Fiduciary assumes any responsibility for the correctness of the same. No Fiduciary makes any representations as to the validity or sufficiency of the Resolution or of any Obligations issued thereunder or in respect of the security afforded by the Resolution, and no Fiduciary shall incur any liability in respect thereof. No Fiduciary shall be under any responsibility or duty with respect to the issuance of the Obligations for value or the application of the proceeds thereof or the application of any money paid to the Issuer. No Fiduciary shall be under any responsibility or duty with respect to the application of any money paid to any other Fiduciary. No Fiduciary shall be liable in connection with the performance of its duties under the Resolution, or for any losses, fees, taxes or other charges incurred upon the purchase or sale or redemption of any securities purchased for or held in any Fund under the Resolution, including any losses incurred by reason of having to sell securities prior to their maturity date, except in each case for its own willful misconduct, negligent action or negligent failure to act.

Section A-705. Evidence on Which Fiduciaries May Act.

1. Each Fiduciary shall be protected in acting or refraining from acting upon any notice, resolution, request, consent, order, certificate, report, opinion, bond, or other paper or document believed by it to be genuine, and to have been signed or presented by the proper party or parties. Each Fiduciary may consult with counsel of its selection, who may or may not be counsel to the Issuer, and the opinion of such counsel shall be full and complete authorization and protection in respect of any action taken, omitted to be taken or suffered by it under the Resolution in good faith and in accordance therewith.

2. Whenever any Fiduciary shall deem it necessary or desirable that a matter be proved or established prior to taking or suffering any action under the Resolution, such matter (unless other evidence in respect thereof be therein specifically prescribed) may be deemed to be conclusively proved and established by a certificate of an Authorized Officer, and such certificate shall be full warrant for any action taken or suffered in good faith under the provisions of the

Resolution; but in its discretion the Fiduciary may in lieu thereof accept other evidence of such fact or matter or may require such further or additional evidence as to it may seem reasonable.

3. Except as otherwise expressly provided in the Resolution, any request, order, notice or other direction required or permitted to be furnished pursuant to any provision thereof by the Issuer to any Fiduciary shall be sufficiently executed if executed in the name of the Issuer by an Authorized Officer.

Section A-706. Compensation. The Issuer shall pay to each Fiduciary from time to time such compensation as shall be agreed to in writing between the Fiduciary and the Issuer for all services rendered under the Resolution (which compensation shall not be limited by any provision of law in regard to the compensation of a trustee of an express trust), and also all reasonable expenses, charges, counsel fees and other disbursements, including those of its attorneys, agents, and employees, incurred in and about the performance of their powers and duties under the Resolution. To the extent permitted by law, the Issuer further agrees to indemnify and save each Fiduciary and its officers, directors, agents, and employees harmless against any and all liabilities, losses, damages, claims or expenses which it may incur in the acceptance, exercise and performance of its powers and duties hereunder and which are not due to its willful misconduct, negligence or bad faith. The obligations of this Section A-706 shall survive the discharge of the Resolution. No obligation of the Issuer to make any payment to any Fiduciary shall have the benefit of any lien on or pledge or assignment of the Obligations Trust Estate.

A Fiduciary shall notify the Issuer promptly of any claim for which it may seek indemnity. The Issuer shall defend the claim and the Trustee shall cooperate in the defense. The Fiduciary may have separate counsel and the Issuer shall pay the reasonable fees and expenses of such counsel.

Section A-707. Certain Permitted Acts. Any Fiduciary may become the owner of any Obligations or any other obligations of the Issuer, with the same rights it would have if it were not a Fiduciary. To the extent permitted by law and pursuant to the Resolution, any Fiduciary may act as depository for, and permit any of its officers or directors to act as a member of, or in any other capacity with respect to, any committee formed to protect the rights of Owners of Obligations or the holders of any other obligations of the Issuer or to effect or aid in any reorganization growing out of the enforcement of the Obligations or any other obligations of the Issuer or the Resolution, whether or not any such committee shall represent the Owners of a majority in principal amount of the Obligations then Outstanding.

Section A-708. Resignation of Trustee. The Trustee may at any time resign and be discharged of the duties and obligations created by the Resolution by giving not less than 60 days' written notice to the Issuer and mailing notice thereof to the Owners of the Obligations, specifying the date when such resignation shall take effect, at least 45 days prior to the effective date, provided that such resignation shall take effect upon *the later of* (i) the day specified in such notice and (ii) the day a successor shall have been appointed by the Issuer or the Owners of Obligations as provided in Section A-710 and shall have qualified therefor.

Section A-709. Removal of Trustee. The Trustee may be removed at any time by an instrument or concurrent instruments in writing, filed with the Trustee, and signed by the

Owners of a majority in principal amount of the Obligations then Outstanding or their attorneys-in-fact duly authorized, excluding any Obligations held by or for the account of the Issuer or any Related Entity. In addition, so long as no Event of Default shall have occurred and be continuing hereunder and the Trustee is not pursuing any right or remedy available to it pursuant to the Resolution, the Trustee may be removed by the Issuer at any time for failure to provide reasonably acceptable services, failure to charge reasonably acceptable fees or any other reasonable cause, all as determined by a certificate of an Authorized Officer filed with the Trustee. Any such removal shall not be effective until a successor shall have been appointed by the Issuer or the Owners of Obligations as provided in Section A-710 and shall have qualified therefor.

Section A-710. Appointment of Successor Trustee.

1. In case at any time the Trustee shall resign or shall be removed or shall become incapable of acting, or shall be adjudged a bankrupt or insolvent, or if a receiver, liquidator or conservator of the Trustee, or of its property, shall be appointed, or if any public officer shall take charge or control of the Trustee, or of its property or affairs, a successor may be appointed by the Owners of a majority in principal amount of the Obligations then Outstanding, excluding any Obligations held by or for the account of the Issuer, by an instrument or concurrent instruments in writing signed and acknowledged by such Owners of Obligations or by their attorneys-in-fact duly authorized and delivered to such successor Trustee, notification thereof being given to the Issuer and the predecessor Trustee; *provided, nevertheless, that* unless a successor Trustee shall have been appointed by the Owners of Obligations as aforesaid, the Issuer by a duly executed written instrument signed by an Authorized Officer of the Issuer shall forthwith appoint a Trustee to fill such vacancy until a successor Trustee shall be appointed by the Owners of Obligations as authorized in this Section A-710. The Issuer shall mail notice of any such appointment made by it to all Owners within 20 days after such appointment. Any successor Trustee appointed by the Issuer shall, immediately and without further act, be superseded by a Trustee appointed by the Owners of Obligations.

2. If in a proper case no appointment of a successor Trustee shall be made pursuant to the foregoing provisions of this Section within 45 days after the Trustee shall have given to the Issuer written notice as provided in Section A-708 or after a vacancy in the office of the Trustee shall have occurred by reason of its inability to act, the Trustee or the Owner of any Obligation may, at the expense of the Issuer, apply to any court of competent jurisdiction to appoint a successor Trustee. Said court may thereupon, after such notice, if any, as such court may deem proper and prescribe, appoint a successor Trustee.

3. Any Trustee appointed under the provisions of this Section A-710 in succession to the Trustee shall be a Bank that is organized under the laws of the State, or, if organized under the laws of another state, authorized to do business in the State of New York, or is a national banking association organized under the laws of the United States of America, doing business and having a corporate trust office in The City of New York, and which at the time of selection meets all thresholds for being well capitalized or adequately capitalized under the prompt corrective action framework applicable to insured depository institutions under Section 38 of the Federal Deposit Insurance Act as in effect from time to time or all thresholds for substantially equivalent categories in any successor legislation, if there be such a Bank willing and able to accept the office on

reasonable and customary terms and authorized by law to perform all the duties imposed upon it by the Resolution.

Section A-711. Transfer of Rights and Property to Successor Trustee. Any successor Trustee appointed under the resolution shall execute, acknowledge and deliver to its predecessor Trustee, and also to the Issuer, an instrument accepting such appointment, and thereupon such successor Trustee, without any further act, deed or conveyance, shall become fully vested with all money, estates, properties, rights, powers, duties and obligations of such predecessor Trustee, with like effect as if originally named as Trustee; but the Trustee ceasing to act shall nevertheless, on the written request of the Issuer, or of the successor Trustee, and, upon the payment of all of its charges hereunder, execute, acknowledge and deliver such instruments of conveyance and further assurance and do such other things as may reasonably be required for more fully and certainly vesting and confirming in such successor Trustee all the right, title and interest of the predecessor Trustee in and to any property held by it under the Resolution, and shall pay over, assign and deliver to the successor Trustee any money or other property subject to the trusts and conditions herein set forth. Should any deed, conveyance or instrument in writing from the Issuer be required by such successor Trustee for more fully and certainly vesting in and confirming to such successor Trustee any such estates, rights, powers and duties, any and all such deeds, conveyances and instruments in writing shall, on request, and so far as may be authorized by law, be executed, acknowledged and delivered by the Issuer. Any successor Trustee shall promptly notify the Registrar and the Paying Agents of its appointment as Trustee.

Section A-712. Merger or Consolidation. Any Person into which any Fiduciary may be merged or converted or with which it may be consolidated or any company resulting from any merger, conversion or consolidation to which it shall be a party or any company to which any Fiduciary may sell or transfer all or substantially all of its municipal corporate trust business, provided such Person shall be a Bank that is organized under the laws of the State, or, if organized under the laws of another state, authorized to do business in the State of New York, or is a national banking association organized under the laws of the United States of America, doing business and having a corporate trust office in The City of New York, and which at the time of selection meets all thresholds for being well capitalized or adequately capitalized under the prompt corrective action framework applicable to insured depository institutions under Section 38 of the Federal Deposit Insurance Act as in effect from time to time or all thresholds for substantially equivalent categories in any successor legislation, if there be such a Bank willing and able to accept the office on reasonable and customary terms and authorized by law to perform all the duties imposed upon it by the Resolution, and shall be the successor to such Fiduciary without the execution or filing of any paper or the performance of any further act.

Section A-713. Resignation or Removal of Paying Agent or Registrar and Appointment of Successor. 1. Any Paying Agent or Registrar may at any time resign and be discharged of the duties and obligations created by the Resolution by giving at least 60 days' written notice to the Issuer, the Trustee, and the other Paying Agents or Registrar, as the case may be. Any Paying Agent or Registrar may be removed at any time by an instrument filed with such Paying Agent or Registrar and the Trustee and signed by the Issuer. Any successor Paying Agent or Registrar shall be appointed by the Issuer, with the approval of the Trustee, and (subject to the requirements of Section A-603) shall be a Bank that is organized under the laws of the State, or, if organized under the laws of another state, authorized to do business in the State of New York, or

is a national banking association organized under the laws of the United States of America, doing business and having a corporate trust office in The City of New York, and which at the time of selection meets all thresholds for being well capitalized or adequately capitalized under the prompt corrective action framework applicable to insured depository institutions under Section 38 of the Federal Deposit Insurance Act as in effect from time to time or all thresholds for substantially equivalent categories in any successor legislation, if there be such a Bank willing and able to accept the office on reasonable and customary terms and authorized by law to perform all the duties imposed upon it by the Resolution.

1. In the event of the resignation or removal of any Paying Agent, such Paying Agent shall pay over, assign and deliver any money held by it as Paying Agent to its successor, or if there be no successor, to the Trustee. In the event that for any reason there shall be a vacancy in the office of any Paying Agent, the Trustee shall act as such Paying Agent.

2. In the event of the resignation or removal of any Registrar, such Registrar shall transfer and deliver all records, certificates and documents held by it as Registrar to its successor, or if there be no successor, to the Trustee. In the event that for any reason there shall be a vacancy in the office of any Registrar, the Trustee shall act as such Registrar.

Section A-714. Adoption of Authentication. In case any of the Obligations contemplated to be issued under the Resolution shall have been authenticated but not delivered, any successor Trustee may adopt the certificate of authentication of any predecessor Trustee so authenticating such Obligations and deliver the Obligations so authenticated; and in case any of such Obligations shall not have been authenticated, any successor Trustee may authenticate such Obligations in the name of the predecessor Trustee, or in the name of the successor Trustee, and in all such cases such certificate shall have the full force which it is anywhere in said Obligations or in the Resolution provided that the certificate of the Trustee shall have.

Section A-715. Continuing Disclosure Agreements. The Trustee shall be entitled to the same rights and the same degree of indemnification in its execution and performance of each continuing disclosure agreement entered into pursuant to Rule 15c2-12 promulgated under the Securities Exchange Act of 1934 as amended, as it is under the Resolution.

ARTICLE A-VIII.

DEFAULT AND REMEDIES

Section A-801. Abrogation of Right to Appoint Statutory Trustee; Preservation of Statutory Rights and Remedies. Any right of the Owners of Obligations to appoint a trustee under the Issuer Act is hereby abrogated. Subject to the foregoing sentence of this Section A-801 and the provisions of Section 702 of the Resolution, the Owners of Obligations and the Trustee acting on behalf of the Owners of Obligations shall be entitled to all of the rights and remedies provided or permitted by law.

ARTICLE A-IX.

MISCELLANEOUS

Section A-901. Defeasance.

1. If the Issuer shall pay or cause to be paid to the Owners of all Obligations then Outstanding the principal and interest and Redemption Price, if any, to become due thereon, at the times and in the manner stipulated therein and in the Resolution, then, at the option of the Issuer, expressed in an instrument in writing signed by an Authorized Officer and delivered to the Trustee, the covenants, agreements and other obligations of the Issuer to the Owners of Obligations shall be discharged and satisfied. In such event, the Trustee shall, upon the request of the Issuer, execute and deliver to the Issuer all such instruments as may be desirable to evidence such discharge and satisfaction and the Fiduciaries shall pay over or deliver to the Issuer all money, securities and funds held by them pursuant to the Resolution which are not required for the payment or redemption of Obligations not theretofore surrendered for such payment or redemption.

2. Outstanding Obligations or any portions thereof for the payment or redemption of which money shall have been set aside and shall be held in trust by the Paying Agents shall at the respective maturity or redemption dates thereof be deemed to have been paid within the meaning and with the effect expressed in subsection 1 of this Section A-901. Outstanding Obligations or any portions thereof shall, prior to the maturity or redemption date thereof, be deemed to have been paid within the meaning and with the effect expressed in subsection 1 of this Section either (A) with respect to the defeasance of Taxable Obligations, Tax-Exempt Obligations or otherwise, as provided in the Supplemental Resolution authorizing their issuance or (B) if (a) in case any of said Obligations are to be redeemed on any date prior to their maturity, the Issuer shall have given to the Trustee in form satisfactory to it irrevocable instructions to mail as provided in Article A-IV notice of redemption on said date of such Obligations, (b) there shall have been irrevocably deposited with the Trustee either money in an amount which shall be sufficient, or Defeasance Securities the principal of and the interest on which when due will provide money which, together with the money, if any, deposited with the Trustee at the same time, shall be sufficient, without further investment or reinvestment of either the principal amount thereof or the interest earnings thereon, to pay when due, the principal or Redemption Price, if applicable, and interest due and to become due on such Obligations or such portions thereof on and prior to the redemption date or maturity date thereof, as the case may be, and (c) in the event such Obligations are not by their terms maturing or are not subject to redemption within the next succeeding 60 days, the Issuer shall have given the Trustee in form satisfactory to it irrevocable instructions to mail, as soon as practicable, a notice to the Owners of such Obligations that the deposit required by (b) above has been made with the Trustee and that said Obligations are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which money is to be available for the payment of the principal or Redemption Price, if applicable, on such Obligations. Neither Defeasance Securities nor money deposited with the Trustee pursuant to this Section nor principal or interest payments on any such Defeasance Securities shall be withdrawn or used for any purpose other than, and shall be held in trust for, the payment of the principal or Redemption Price, if applicable, and interest on said Obligations; *provided, however*, that any money on deposit with the Trustee, (i) to the extent such money will not be required at any time for such purpose, shall be paid over to the Issuer as received by the Trustee, free and clear of any trust, lien or pledge

securing said Obligations or otherwise existing under the Resolution, and (ii) to the extent such money will be required for such purpose at a later date, shall, to the extent practicable, be reinvested in Defeasance Securities maturing at times and in amounts sufficient, together with any money available to the Trustee for such purpose, to pay when due the principal or Redemption Price, if applicable, and interest to become due on said Obligations on and prior to such redemption date or maturity date thereof, as the case may be. Notwithstanding any other provision hereof, the Issuer may at the time of defeasance elect to retain the right to redeem or require the tender of any obligations deemed paid pursuant to this subsection 2 of Section A-901. The Trustee shall, at the direction of the Issuer, select the Obligations or portions thereof that are deemed to have been paid in advance of the redemption of such Obligations.

3. Anything in the Resolution to the contrary notwithstanding, any money held by a Fiduciary in trust for the payment and discharge of the principal or Redemption Price of or interest on any of the Obligations which remains unclaimed for 2 years after the date when such principal, Redemption Price or interest, respectively, has become due and payable, either at stated maturity dates or by call for earlier redemption, if such money was held by the Fiduciary at such date, or for 2 years after the date of deposit of such money if deposited with the Fiduciary after the date when such principal, Redemption Price, or interest, respectively, became due and payable, shall, at the written request of the Issuer, be repaid by the Fiduciary to the Issuer, as its absolute property and free from trust, and the Fiduciary shall thereupon be released and discharged with respect thereto and the Owners of Obligations shall look only to the Issuer for the payment of such principal, Redemption Price, or interest, respectively. Notwithstanding the foregoing or anything in the Resolution to the contrary, any money held by a Fiduciary in trust for the payment and discharge of any Obligations which remains unclaimed after such money was to be applied to the payment of such Obligations in accordance with the Resolution may be applied in accordance with the provisions of the Abandoned Property Law of the State, being Chapter 1 of the Consolidated Laws of the State or any successor provision thereto, and upon such application, the Fiduciary shall thereupon be released and discharged with respect thereto and the Owners of Obligations shall look only to the Issuer or the Comptroller of the State for the payment of such Obligations. Before being required to make any such payment to the Issuer or to apply such money in accordance with the Abandoned Property Law of the State, the Fiduciary shall, at the expense of the Issuer, cause to be mailed to the Owners entitled to receive such money a notice that said money remains unclaimed and that, after a date named in said notice, which date shall be not less than 30 days after the date of the mailing, the balance of such money then unclaimed will be returned to the Issuer or applied in accordance with the Abandoned Property Law of the State, as the case may be.

Section A-902. Evidence of Signatures of Owners of Obligations and Ownership of Obligations.

1. Any request, consent, revocation of consent or other instrument which the Resolution may require or permit to be signed and executed by the Owners of Obligations may be in one or more instruments of similar tenor, and shall be signed or executed by such Owners of Obligations in Person or by their attorneys-in-fact appointed in writing or by such electronic or other means as may be recognized pursuant to applicable law. Proof of (i) the execution of any such instrument, or of an instrument appointing any such attorney, or (ii) the holding by any Person of the Obligations shall be sufficient for any purpose of the Resolution (except as otherwise therein

expressly provided) if made in the following manner, or in any other manner satisfactory to the Trustee, which may nevertheless in its discretion require further or other proof in cases where it deems the same desirable:

(a) The fact and date of the execution by any Owner or his attorney-in-fact of such instrument may be proved by the certificate of a signature guarantor, or of any notary public or other officer authorized to take acknowledgments of deeds, that the Person signing such request or other instrument acknowledged to him the execution thereof, or by an affidavit of a witness of such execution, duly sworn to before such notary public or other officer. Where such execution is by an officer of a corporation or association or a member of a partnership, on behalf of such corporation, association or partnership such certificate or affidavit shall also constitute sufficient proof of his authority;

(b) The ownership of Obligations and the amount, numbers and other identification, and date of holding the same shall be proved by the registry books.

2. Any request or consent by the Owner of any Obligation shall bind all future Owners of such Obligation in respect of anything done or suffered to be done by the Issuer or any Fiduciary in accordance therewith.

Section A-903. Money Held for Particular Obligations. The amounts held by any Fiduciary for the payment of the interest, principal or Redemption Price due on any date with respect to particular Obligations shall, on and after such date and pending such payment, be set aside on its books and held in trust by it for the Owners of Obligations entitled thereto.

Section A-904. General Regulations as to Money and Funds.

1. Each of the Funds and Accounts established by the Resolution shall be a trust fund for the purposes thereof.

2. All amounts of the Issuer held or set aside under the Resolution shall, until paid over to the Fiduciaries or otherwise invested or applied as provided in the Resolution, be deposited by the Issuer in its name, on demand or time deposit, in such Banks as shall be selected by the Issuer. Any amounts held by any Fiduciary under the Resolution shall be deposited in such Banks as the Issuer may select. Any such deposit may be made in the commercial banking department of any Fiduciary which may honor checks on such deposit with the same force and effect as if it were not such Fiduciary, and without any duty to inquire into whether any withdrawals of such funds are in accordance with or might violate any of the provisions of the Resolution. Such deposits shall be continuously secured by the obligations of the United States of America or of the State, which obligations shall have a market value (exclusive of accrued interest) at all times at least equal to the amount of such deposits, which obligations shall be segregated in trust for the account of the Issuer, or shall be otherwise held as the Issuer and the depository may agree. Securities deposited with the Federal Reserve Bank to secure all trust accounts of a depository shall be deemed to comply with the foregoing requirement.

3. Unless otherwise specified in a Supplemental Resolution authorizing the issuance of Obligations, all money held by any Fiduciary, as such, may be deposited by such Fiduciary in its banking department on demand or, if and to the extent directed by the Issuer and acceptable to

such Fiduciary, on time deposit, and all such deposits shall be continuously secured by the obligations of the United States of America or of the State which obligations shall have a market value (exclusive of accrued interest) at all times at least equal to the amount of such deposits. Securities deposited with the Federal Reserve Bank to secure all trust accounts of the Fiduciary shall be deemed to comply with the foregoing requirement. Such Fiduciary shall allow and credit on such money such interest, if any, as it customarily allows upon similar funds of similar size and under similar conditions or as required by law.

Section A-905. Preservation and Inspection of Documents. All documents received by a Fiduciary under the provisions of the Resolution shall be retained in its possession and shall be subject at all reasonable times to the inspection of the Issuer, any other Fiduciary, and any Owners of at least 5% aggregate principal amount of Obligations and their agents and their representatives, any of whom may make copies thereof.

Section A-906. Parties Interest Herein. Nothing in the Resolution expressed or implied is intended or shall be construed to confer upon, or to give to any Person, other than the Issuer, the Fiduciaries, the Owners of Obligations and the holders of Parity Debt, any right, remedy or claim under or by reason of the Resolution or any covenant, condition or stipulation thereof, and all the covenants, stipulations, promises and agreements in the Resolution contained by and on behalf of the Issuer shall be for the sole and exclusive benefit of the Issuer, the Fiduciaries, the Owners of Obligations and the holders of Parity Debt.

Section A-907. No Recourse on the Obligations. No recourse shall be had for the payment of the principal or Redemption Price of or interest on the Obligations or Parity Debt or for any claim based thereon or on the Resolution against any member, officer, or employee of the Issuer or any Person executing the Obligations.

Section A-908. Successors and Assigns. Whenever in the Resolution the Issuer is named or referred to, it shall be deemed to include its successors and assigns and all the covenants and agreements in the Resolution contained by or on behalf of the Issuer shall bind and ensure to the benefit of its successors and assigns whether so expressed or not.

Section A-909. Business Days. Except as otherwise provided pursuant to a Supplemental Resolution, if the date for making any payment or the last date for performance of any act or the exercising of any right, as provided in the Resolution, is not a Business Day, such payment may be made or act performed or right exercised on the next succeeding Business Day with the same force and effect as if made or done on the nominal date provided in the Resolution and no interest shall accrue during the intervening period with respect to any payment so deferred.

Section A-910. Severability of Invalid Provisions. If any term or provision of this Annex A or the Resolution shall be invalid, inoperative or unenforceable as applied in any particular case in any jurisdiction or jurisdictions or in all jurisdictions, or in all cases because it conflicts with any other provision or provisions hereof or any constitution or statute or rule of public policy, or for any other reason, such circumstances shall not have the effect of rendering the provision in question inoperative or unenforceable in any other case or circumstance, or of rendering any other provision or provisions herein contained invalid, inoperative, or unenforceable

to any extent whatever, and such term and provision shall be valid and enforced to the fullest extent permitted by law.

The invalidity of any one or more phrases, sentences, clauses or Sections of the Resolution shall not affect the remaining portions of the Resolution, or any part hereof, including any part of this Annex A.

Section A-911. Exclusion of Obligations. Obligations owned or held by or for the account of the Issuer or any Related Entity shall not be deemed Outstanding for the purpose of any consent to be given or other action to be taken by or upon the direction of Owners of a specified portion of Obligations Outstanding, and the Issuer or any Related Entity shall not be entitled with respect to such Obligations to give any such consent or to take, or direct the taking of, any such action. At the time of any such consent or action, the Issuer shall furnish to the Trustee a certificate of an Authorized Officer, upon which the Trustee may conclusively rely, describing all Obligations so to be excluded.

Section A-912. Governing Law. The Resolution, including this Annex A, shall be governed by and interpreted in accordance with internal laws of the State, without regard to conflict of law principles thereof.

**STANDARD RESOLUTION PROVISIONS
APPLICABLE TO MTA AND TBTA
SECOND LIEN PMT OBLIGATIONS AND SECOND LIEN PARITY DEBT**

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ARTICLE B-I

DEFINITIONS AND STATUTORY AUTHORITY

Section B-101 Definitions. Capitalized terms used but not otherwise defined in this Annex B shall have the meanings set forth in the Resolution to which this Annex B is appended. The following terms shall, for all purposes herein and (except as the context may otherwise require) in the Resolution to which this Annex B is appended, have the following meanings:

Accrued Second Lien Debt Service shall mean, as of any date of calculation, an amount equal to the sum of the amounts of accrued and unpaid Second Lien Obligation Debt Service with respect to all Second Lien Obligations and Second Lien Parity Debt, calculating the accrued Second Lien Obligation Debt Service with respect to Second Lien Obligations at an amount equal to the sum of (i) as estimated by an Authorized Officer, interest on the Second Lien Obligations and interest components of Second Lien Parity Debt accrued and unpaid and to accrue to the end of the then current calendar month, and (ii) Second Lien Obligation Principal Installments due and unpaid and that portion of the Second Lien Obligation Principal Installment for such Second Lien Obligations and Second Lien Parity Debt next due which would have accrued (if deemed to accrue in the manner set forth in the definition of Second Lien Obligation Debt Service) to the end of such calendar month. For purposes of calculating deposits relating to Second Lien Debt Service Fund, Second Lien Obligation Principal Installments shall not include amounts that an Authorized Officer has notified the Second Lien Trustee are to be paid from sources other than the Mobility Tax Receipts and ATA Receipts, nor shall Accrued Second Lien Debt Service include any amounts that, as certified by an Authorized Officer, have been set aside hereunder or otherwise in trust for the payment thereof.

Balloon Second Lien Obligations shall mean Second Lien Obligations which have been identified in a Certificate of Determination as a Balloon Second Lien Obligation. Such Certificate of Determination shall set forth the expected refinancing, including the expected ultimate final maturity and amortization schedule of the refinancing or refinancings of such Balloon Second Lien Obligation (including successor Balloon Obligations or Balloon Second Lien Obligations) and the Estimated Average Interest Rate for purposes of determining Calculated Second Lien Debt Service of such Balloon Second Lien Obligation.

Calculated Second Lien Debt Service for any period shall mean, as of any date of calculation and with respect to any Series of Second Lien Obligations or any Second Lien Parity Debt, the sum of Second Lien Obligation Debt Service for such period determined by the Issuer based on the following adjustments:

- (i) Interest on Variable Interest Rate Second Lien Obligations shall be based on the Second Lien Obligation Estimated Average Interest Rate applicable thereto.

(ii) Interest on any Second Lien Obligations or Second Lien Parity Debt in respect of which the Issuer has entered into a Qualified Second Lien Swap shall be based on:

(a) the fixed rate or rates of the Qualified Second Lien Swap if the Issuer has entered into what is generally referred to as a “floating-to-fixed” Qualified Second Lien Swap (where the Issuer pays a fixed rate and receives a floating rate); or

(b) the lower of (i) the Second Lien Obligation Estimated Average Interest Rate and (ii) the effective capped rate of any Second Lien Obligations or Second Lien Parity Debt if the Issuer has entered into a Qualified Second Lien Swap that is generally referred to as an “interest rate cap” (where the Issuer receives a payment if a variable rate exceeds a certain amount); or

(c) the Second Lien Obligation Estimated Average Interest Rate of the Qualified Second Lien Swap if the Issuer has entered into either what is generally referred to as a “fixed-to-floating” Qualified Second Lien Swap (where the Issuer pays a variable rate and receives a fixed rate) or a “floating-to-floating” Qualified Second Lien Swap (where the Issuer pays a variable rate and receives a different variable rate).

(i) With respect to Second Lien Put Obligations and Balloon Second Lien Obligations of a Series (i) Principal Installments shall be deemed to amortize over a 30-year period from their date of issuance based on substantially level debt service as estimated by the Issuer, and (ii) interest shall be based on the actual interest rate or the Estimated Average Interest Rate, as applicable, or for both (i) and (ii) above, as otherwise set forth in a Supplemental Resolution or Certificate of Determination with respect to the issuance of such Second Lien Obligations.

(ii) If the Issuer has irrevocably deposited Authorized Investments or money with the Second Lien Trustee (or otherwise in trust) for the payment of any portion of Second Lien Obligation Debt Service, the expected future cash flow from such Authorized Investments and money shall be deducted from Second Lien Obligation Debt Service.

(iii) If the Issuer has, at any time, irrevocably called for redemption one or more Series of Second Lien Obligations, including pursuant to a covenant to apply any portion of the Second Lien Obligations Trust Estate to redeem Second Lien Obligations or Second Lien Parity Debt (which particular Second Lien Obligations or Second Lien Parity Debt need not be specifically identified in advance, except as to interest rate and maturity), the Issuer shall take into account such redemption for purposes of determining Calculated Second Lien Debt Service.

(iv) With respect to Parity Reimbursement Second Lien Obligations, accelerated payments of principal shall only be taken into account if, at the time of calculation, such amounts are payable due to a draw under a credit or liquidity facility.

Capital Appreciation Second Lien Obligations shall mean any Second Lien Obligations denominated as such and issued as to which interest is payable only at the maturity or prior redemption of such Second Lien Obligations. Except as otherwise provided by Supplemental Resolution, for the purposes of (i) receiving payment of the Second Lien Obligation Redemption Price if Capital Appreciation Second Lien Obligations are redeemed prior to maturity, (ii) computing the principal amount of Second Lien Obligations held by the registered owner of a Capital Appreciation Second Lien Obligations in giving to the Issuer or the Second Lien Trustee any notice, consent, request, or demand pursuant to the Resolution for any purpose whatsoever or (iii) computing Second Lien Obligation Debt Service, the principal amount of a Capital Appreciation Second Lien Obligations shall be deemed to be its Second Lien Obligation Accreted Value (which in the case of clause (ii) may be the Second Lien Obligation Accreted Value as of the immediately preceding Second Lien Obligation Valuation Date).

Certificate of Determination, when used with reference to Second Lien Obligations or Second Lien Parity Debt, shall mean a certificate of an Authorized Officer of the Issuer fixing terms, conditions and other details of Second Lien Obligations, Second Lien Parity Debt, Second Lien Obligation Anticipation Notes or Second Lien Obligation Credit Facilities or such other matters in accordance with the delegation of power to do so under the Resolution or a Supplemental Resolution.

Deferred Income Second Lien Obligations shall mean any Second Lien Obligations (A) as to which interest accruing thereon prior to the Second Lien Obligation Interest Commencement Date of such Second Lien Obligations is (i) compounded on each Second Lien Obligation Valuation Date for such Deferred Income Second Lien Obligations and (ii) payable only at the maturity or prior redemption of such Second Lien Obligations and (B) as to which interest accruing after the Second Lien Obligation Interest Commencement Date is payable on the first interest payment date succeeding the Second Lien Obligation Interest Commencement Date and periodically thereafter on the dates specified in or determined by Supplemental Resolution. Except as otherwise provided by Supplemental Resolution, for the purposes of (i) receiving payment of the Second Lien Obligation Redemption Price if Deferred Income Second Lien Obligations are redeemed prior to maturity, (ii) computing the principal amount of Second Lien Obligations held by the registered owner of a Deferred Income Second Lien Obligations in giving to the Issuer or the Second Lien Trustee any notice, consent, request, or demand pursuant to the Resolution for any purpose whatsoever or (iii) computing Second Lien Obligation Debt Service, the principal amount of a Deferred Income Second Lien Obligations shall be deemed to be its Second Lien Obligation Appreciated Value (which in the case of clause (ii) may be the Second Lien Obligation Appreciated Value as of the immediately preceding Second Lien Obligation Valuation Date).

DTC has the meaning provided in Section B-309.

Fiduciary or Fiduciaries, when used with reference to Second Lien Obligations or Second Lien Party Debt, shall mean the Trustee, the Second Lien Trustee, any Registrar or Second Lien Registrar, any Paying Agent, any Second Lien Paying Agent, any tender agent or any or all of them, as may be appropriate, or any Person appointed to act as a Fiduciary as provided in the Resolution.

Issuer Act, when used with reference to Second Lien Obligations or Second Lien Parity Debt, shall mean the MTA Act in the event that the MTA is defined in the Resolution as the Issuer or the TBTA Act in the event that the TBTA is defined in the Resolution as the Issuer, together with any other applicable law of the State authorizing the issuance of the related Second Lien Obligations by the Issuer or expressly limiting the issuance thereof or governing the security therefor.

Opinion of Bond Counsel, when used with reference to Second Lien Obligations or Second Lien Parity Debt, shall mean an opinion signed by Nixon Peabody LLP, D. Seaton and Associates, P.A., P.C., Orrick, Herrington & Sutcliffe LLP, and Bryant Rabbino LLP, or any other attorney or firm of attorneys of nationally recognized standing in the field of law relating to the issuance of Second Lien Obligations by state and municipal entities, selected by the Issuer.

Outstanding, when used with reference to Second Lien Obligations or Second Lien Obligations of a Series, shall mean, as of any date, Second Lien Obligations or Second Lien Obligations of such Series theretofore or thereupon to be delivered under the Resolution except:

- (i) Any Second Lien Obligations canceled at or prior to such date;
- (ii) Second Lien Obligations the principal and Second Lien Obligation Redemption Price, if any, of and interest on which have been paid in accordance with the terms thereof;
- (iii) Second Lien Obligations in lieu of or in substitution for which other Second Lien Obligations shall have been delivered pursuant to Article B-III or Section B-406 or Section C-606;
- (iv) Second Lien Obligations deemed to have been paid as provided in subsection 2 of Section B-901;
- (v) Second Lien Put Obligations tendered or deemed tendered in accordance with the provisions of the Supplemental Resolution authorizing such Second Lien Obligations on the applicable tender date, if the Second Lien Obligation Purchase Price thereof and interest thereon shall have been paid or amounts are available and set aside for such payment as provided in such Supplemental Resolution, except to the extent such tendered Second Lien Put Obligations thereafter may be resold pursuant to the terms thereof and of such Supplemental Resolution; and
- (vi) For the purpose of any consent to be given or other action to be taken by or upon the direction of Second Lien Owners of a specified portion of Second Lien Obligations Outstanding, Second Lien Obligations excluded pursuant to Section B-908.

The principal component of any Second Lien Parity Debt shall be deemed to be Outstanding in a principal amount equal to the principal amount of the Second Lien Obligations then owed by the Issuer thereunder in lieu of the related Second Lien Obligations, regardless of the authorized amount of the principal component of such Second Lien Parity Debt or the related

Second Lien Obligations and provided that, unless otherwise required pursuant to the related Supplemental Resolution, the principal component of such Second Lien Parity Debt shall not by itself increase the Outstanding principal amount of Second Lien Obligations.

Parity Reimbursement Second Lien Obligation has the meaning provided in subsection 4 of Section B-202.

Parity Swap Second Lien Obligation has the meaning provided in subsection 6 of Section B-202.

Principal Office of the Second Lien Trustee shall mean the designated corporate trust office of the Second Lien Trustee.

Qualified Second Lien Swap shall mean, to the extent from time to time permitted by law, with respect to Second Lien Obligations, any financial arrangement (i) which is entered into by the Issuer with an entity that is a Qualified Second Lien Swap Provider at the time the arrangement is entered into, (ii) which is a cap, floor or collar; forward rate or future rate swap (such swap may be based on an amount equal either to the principal amount of such Second Lien Obligations of the Issuer as may be designated or a notional principal amount relating to all or a portion of the principal amount of such Second Lien Obligations); asset, index, price or market-linked transaction or agreement; other exchange or rate protection transaction agreement; other similar transaction (however designated); or any combination thereof; or any option with respect thereto, in each case executed by the Issuer for the purpose of moderating interest rate fluctuations, reducing debt service costs or creating either fixed interest rate Second Lien Obligations or Variable Interest Rate Second Lien Obligations on a synthetic basis or otherwise, and (iii) which has been designated in writing to the Second Lien Trustee by an Authorized Officer as a Qualified Second Lien Swap with respect to such Second Lien Obligations.

Qualified Second Lien Swap Provider shall mean an entity whose senior long-term obligations, other senior unsecured long-term obligations, financial program rating, counterparty rating, or claims paying ability, or whose payment obligations under an interest rate exchange agreement are guaranteed by an entity whose senior long-term debt obligations, other senior unsecured long-term obligations, financial program rating, counterparty rating, or claims paying ability, are rated either at least as high as (i) the third highest Rating Category of each Rating Agency then maintaining a rating for the Qualified Second Lien Swap Provider or (ii) any such lower Rating Categories which each such Rating Agency indicates in writing to the Issuer and the Second Lien Trustee will not, by itself, result in a reduction or withdrawal of its rating on the Outstanding Second Lien Obligations subject to such Qualified Second Lien Swap that is in effect prior to entering into such Qualified Second Lien Swap.

Rating Agency, when used with reference to Second Lien Obligations or Second Lien Parity Debt, shall mean each nationally recognized statistical rating organization then maintaining a rating on the Second Lien Obligations at the request of the Issuer.

Rating Confirmation, when used with reference to Second Lien Obligations or Second Lien Parity Debt, shall mean evidence that no rating then in effect from a Rating Agency will be withdrawn or reduced solely as the result of an action to be taken hereunder; provided, however,

that no action requiring Rating Confirmation shall be undertaken unless at least one Rating Agency at that time maintains a rating on Second Lien Obligations.

Reimbursement Second Lien Obligations has the meaning provided in subsection 4 of Section B-202.

Responsible Second Lien Obligation Officer shall mean any officer assigned to the corporate trust office of the Second Lien Trustee, or any other officer of the Second Lien Trustee customarily performing functions similar to those performed by any of such officers and who has direct responsibility for the administration of the Resolution, and also, with respect to a particular matter, any other officer, to whom such matter is referred because of such officer's knowledge of and familiarity with the particular subject.

Second Lien Costs of Issuance shall mean Costs of Issuance as defined in the Standard Resolution Provisions relating to Second Lien Obligations, Second Lien Parity Debt or Second Lien Obligation Anticipation Notes.

Second Lien Obligation Accreted Value shall mean with respect to any Capital Appreciation Second Lien Obligations (i) as of any Second Lien Obligation Valuation Date, the amount set forth for such date in the Supplemental Resolution authorizing such Capital Appreciation Second Lien Obligations and (ii) as of any date other than a Second Lien Obligation Valuation Date, the sum of (a) the Second Lien Obligation Accreted Value on the preceding Second Lien Obligation Valuation Date and (b) the product of (1) a fraction, the numerator of which is the number of days having elapsed from the preceding Second Lien Obligation Valuation Date and the denominator of which is the number of days from such preceding Second Lien Obligation Valuation Date to the next succeeding Second Lien Obligation Valuation Date and (2) the difference between the Second Lien Obligation Accreted Values for such Second Lien Obligation Valuation Dates. For purposes of this definition, the number of days having elapsed from the preceding Second Lien Obligation Valuation Date and the number of days from the preceding Second Lien Obligation Valuation Date to the next succeeding Second Lien Obligation Valuation Date shall be calculated on the basis of a 360-day year of 12 30-day months, unless otherwise provided pursuant to a Supplemental Resolution.

Second Lien Obligation Anticipation Notes shall mean any such notes issued and delivered pursuant to Section B-203, except to the extent (but only to the extent) that all or any portion of such notes either are not payable, or are anticipated by the Issuer not to be paid, from the proceeds of the Second Lien Obligations in anticipation of which such notes are being issued.

Second Lien Obligation Appreciated Value shall mean with respect to any Deferred Income Second Lien Obligations (i) as of any Second Lien Obligation Valuation Date, the amount set forth for such date in the Supplemental Resolution authorizing such Deferred Income Second Lien Obligations, (ii) as of any date prior to the Second Lien Obligation Interest Commencement Date other than a Second Lien Obligation Valuation Date, the sum of (a) the Second Lien Obligation Appreciated Value on the preceding Second Lien Obligation Valuation Date and (b) the product of (1) a fraction, the numerator of which is the number of days having elapsed from the preceding Second Lien Obligation Valuation Date and the denominator of which is the number of days from such preceding Second Lien Obligation Valuation Date to the

next succeeding Second Lien Obligation Valuation Date and (2) the difference between the Second Lien Obligation Appreciated Values for such Second Lien Obligation Valuation Dates, and (iii) as of any date on and after the Second Lien Obligation Interest Commencement Date, the Second Lien Obligation Appreciated Value on the Second Lien Obligation Interest Commencement Date. For purposes of this definition, the number of days having elapsed from the preceding Second Lien Obligation Valuation Date and the number of days from the preceding Second Lien Obligation Valuation Date to the next succeeding Second Lien Obligation Valuation Date shall be calculated on the basis of a 360-day year of 12 30-day months, unless otherwise provided pursuant to a Supplemental Resolution.

Second Lien Obligation Business Day shall mean any day of the year other than (i) Saturday or Sunday, (ii) any day on which Banks located in New York, New York or the cities in which the Principal Office of the Trustee and the Second Lien Trustee are located are required or authorized by law to remain closed, or (iii) any day on which the New York Stock Exchange is closed.

Second Lien Obligation Credit Facility shall mean any letter of credit, standby bond purchase agreement, line of credit, policy of bond insurance, surety bond, guarantee or similar instrument, or any agreement relating to the reimbursement of any payment thereunder (or any combination of the foregoing), which is obtained by the Issuer and is issued by a financial institution, insurance provider or other Person and which provides security or liquidity in respect of any Outstanding Second Lien Obligations, Second Lien Parity Debt or Second Lien Obligation Anticipation Notes.

Second Lien Obligation Debt Service for any period shall mean, as of any date of calculation and with respect to any Series of Second Lien Obligations or any Second Lien Parity Debt Outstanding, the sum of: (i) interest on the Second Lien Obligations of such Series and the interest components of Second Lien Parity Debt accruing during such period and (ii) that portion of each Second Lien Obligation Principal Installment for such Second Lien Obligations and Second Lien Parity Debt that would accrue during such period if such Second Lien Obligation Principal Installment were deemed to accrue daily in equal amounts from the preceding Second Lien Obligation Principal Installment payment date on Outstanding Second Lien Obligations and Second Lien Parity Debt; provided, however, that, unless otherwise set forth in a Supplemental Resolution, no Second Lien Obligation Principal Installment shall be deemed to begin accruing until the later of one year prior to such Second Lien Obligation Principal Installment's due date and the date of issuance or incurrence of the related Second Lien Obligations or Second Lien Parity Debt.

Second Lien Obligation Debt Service Payment Date shall mean, with respect to any portion of Second Lien Obligation Debt Service, the date on which the Second Lien Obligation Debt Service shall be payable.

Second Lien Obligation Defeasance Security shall mean

- (i) an Authorized Investment as specified in clause (i) of the definition thereof (other than an obligation of the State), which is not redeemable at the option of the issuer thereof,

(ii) an Authorized Investment as specified in clause (i) (which is an obligation of the State), (ii), (iii), (vi) or (vii) of the definition thereof, which is not redeemable at the option of the issuer thereof and which shall be rated at the time of the investment in the highest long-term Rating Category by each Rating Agency,

(iii) any depositary receipt issued by a Bank as custodian with respect to any Second Lien Obligation Defeasance Security which is specified in clause (i) above and held by such Bank for the account of the holder of such depositary receipt or with respect to any specific payment of principal of or interest on any such Second Lien Obligation Defeasance Security which is so specified and held, provided that (except as required by law) such custodian is not authorized to make any deduction from the amount payable to the holder of such depositary receipt from any amount received by the custodian in respect of the Second Lien Obligation Defeasance Security or the specific payment of principal or interest evidenced by such depositary receipt,

(iv) any certificate of deposit specified in clause (iii) of the definition of Authorized Investments in Section A-101, including certificates of deposit issued by the Trustee, Second Lien Trustee, a Paying Agent or a Second Lien Paying Agent, secured by obligations specified in clause (i) above of a market value equal at all times to the amount of the deposit, which shall be rated at the time of the investment in the highest long-term Rating Category by each Rating Agency, or

(v) any other Authorized Investment designated in a Supplemental Resolution as a Second Lien Obligation Defeasance Security for purposes of defeasing the Second Lien Obligations authorized by such Supplemental Resolution, which is not redeemable at the option of the issuer thereof and which shall be rated at the time of the investment in the highest long-term Rating Category by each Rating Agency.

Second Lien Obligation Estimated Average Interest Rate shall mean, as to any Variable Interest Rate Second Lien Obligations or Qualified Second Lien Swap and as of any date of calculation, the average interest rate or rates anticipated to be borne by such Second Lien Obligations or Qualified Second Lien Obligation Swap, or by the combination of such arrangements, over the period or periods for which such rate or rates are anticipated to be in effect, all as estimated by an Authorized Officer.

Second Lien Obligation Interest Commencement Date shall mean, with respect to any particular Deferred Income Second Lien Obligations, the date determined by Supplemental Resolution after which interest accruing on such Second Lien Obligations shall be payable on the first interest payment date succeeding such Second Lien Obligation Interest Commencement Date and periodically thereafter on the dates determined pursuant to such Supplemental Resolution.

Second Lien Obligation Principal Installments shall mean, as of any date of calculation and with respect to any Series of Second Lien Obligations or any Second Lien Parity Debt, as applicable, (a) the principal amount of Outstanding Second Lien Obligations of such Series, due on the dates and in the amounts specified by Supplemental Resolution, reduced by the principal amount of such Second Lien Obligations which would be retired by reason of the payment when due and application in accordance with the Resolution of Second Lien Sinking Fund Installments payable before such dates, plus the unsatisfied balance (determined as provided in Section B-501 of the Resolution) of any Second Lien Sinking Fund Installments due on any certain future date for Second Lien Obligations of such Series, together with such redemption premiums, if any, applicable on any such future date, and (b) with respect to any Second Lien Parity Debt, the amount due thereunder on the dates and in the amounts established in accordance with Section B-202 as a principal component of such Second Lien Parity Debt payable on a parity with the Second Lien Obligations.

Second Lien Obligation Purchase Price shall mean, with respect to any Second Lien Obligations, 100% of the principal amount thereof plus accrued interest, if any, plus in the case of a Second Lien Obligation subject to mandatory tender for purchase on a date when such Second Lien Obligations are also subject to optional redemption at a premium, an amount equal to the premium that would be payable on such Second Lien Obligations if redeemed on such date.

Second Lien Obligation Redemption Price shall mean, with respect to any Second Lien Obligations, 100% of the principal amount thereof plus the applicable premium, if any, payable upon the redemption thereof pursuant to the Resolution.

Second Lien Obligation Valuation Date shall mean (i) with respect to any Capital Appreciation Second Lien Obligations the date or dates set forth in the Supplemental Resolution authorizing such Second Lien Obligations on which specific Second Lien Obligation Accreted Values are assigned to the Capital Appreciation Second Lien Obligations and (ii) with respect to any Deferred Income Second Lien Obligations, the date or dates on or prior to the Second Lien Obligation Interest Commencement Date set forth in the Supplemental Resolution authorizing such Second Lien Obligations on which specific Second Lien Obligation Appreciated Values are assigned to the Deferred Income Second Lien Obligations.

Second Lien Obligation Variable Interest Rate shall mean a variable interest rate to be borne by any Second Lien Obligations. The method of computing such variable interest rate shall be specified in the Supplemental Resolution authorizing such Series of Second Lien Obligations.

Second Lien Owner, or any similar terms, shall mean the registered owner of any Second Lien Obligations as shown on the books for the registration and transfer of Second Lien Obligations maintained in accordance with Section B-305.

Second Lien Parity Debt shall mean any Parity Reimbursement Second Lien Obligations, any Parity Swap Second Lien Obligations or any other contract, agreement or other Second Lien Obligations of the Issuer designated as constituting "Second Lien Parity Debt" in a certificate of an Authorized Officer delivered to the Second Lien Trustee; provided, however, that any such Parity Reimbursement Second Lien Obligations, Parity Swap Second Lien

Obligations, or other contract, agreement or other Second Lien Obligations shall not constitute Second Lien Parity Debt solely to the extent of any obligation to pay termination or other fees, expenses, indemnification or other similar payments to the counterparty to such arrangement; provided further that Parity Reimbursement Second Lien Obligations may include accelerated principal amortization provisions to the extent permitted by subsection 4 of Section B-202. Each Second Lien Parity Debt shall be payable and secured in a manner permitted by Article V, and any lien on and pledge of any portion of the Second Lien Obligations Trust Estate securing Second Lien Parity Debt shall be junior and inferior to the lien on and pledge of the Obligations Trust Estate created for the payment of the Obligations and Parity Debt.

Second Lien Paying Agent shall mean any paying agent for the Second Lien Obligations of any Series and its successor or successors and any other Person which may at any time be substituted in its place pursuant to the Resolution.

Second Lien Put Obligations shall mean Second Lien Obligations which by their terms may be tendered at the option of the Second Lien Owner thereof, or are subject to a mandatory tender other than at the election of the Issuer or a Related Entity, for payment or purchase prior to the stated maturity or redemption date thereof.

Second Lien Registrar shall mean any registrar for the Second Lien Obligations of any Series and its successor or successors and any other Person which may at any time be substituted in its place pursuant to the Resolution.

Second Lien Sinking Fund Installment shall mean, as of a particular date, any Second Lien Sinking Fund Installment established pursuant to paragraph (m) of subsection 1 of Section B-201.

Securities Depository shall mean a recognized securities depository selected by the Issuer to maintain a book-entry system in respect to all or any portion of a Series of Second Lien Obligations (including, as appropriate, any nominee thereof), and shall include any substitute for or successor to the Securities Depository initially acting as Securities Depository.

Series, when used with reference to Second Lien Obligations or Second Lien Parity Debt, shall mean all of the Second Lien Obligations delivered on original issuance pursuant to a single Supplemental Resolution and denominated therein a single series, and any Second Lien Obligations thereafter delivered in lieu of or in substitution therefor pursuant to Article B-III or Section B-406 or Section C-606, regardless of variations in maturity, interest rate, or other provisions.

Taxable Second Lien Obligations shall mean any Second Lien Obligations which are not Tax-Exempt Second Lien Obligations.

Tax-Exempt Second Lien Obligations shall mean any Second Lien Obligations the interest on which is intended by the Issuer to be generally excluded from gross income for federal income tax purposes and which are designated as Tax-Exempt Second Lien Obligations in the Supplemental Resolution authorizing such Second Lien Obligations.

Variable Interest Rate Second Lien Obligations shall mean Second Lien Obligations which bear a Variable Interest Rate.

Section B-102 Rules of Construction.

1. This Annex B constitutes an integral part of the Resolution and, except to the extent provided in the next two sentences, has the same force and effect as if set forth in the forepart of the Resolution. To the extent expressly provided in the Resolution (not including this Annex B), the Issuer may negate, amend or modify any provision of this Annex B. In the event of any conflict between this Annex B and the forepart of the Resolution, the forepart of the Resolution shall control. In the event of any conflict between this Annex B and Annex A in respect of Second Lien Obligations or Second Lien Parity Debt, this Annex B shall control.

2. Second Lien Obligations shall constitute Subordinated Indebtedness for all purposes of Annex A.

Section B-103 Resolution to Constitute Contract. In consideration of the purchase and acceptance of any and all of the Second Lien Obligations and Second Lien Parity Debt authorized to be issued hereunder by those who are Second Lien Owners of the Second Lien Obligations and Second Lien Parity Debt from time to time, the Resolution shall be deemed to be and shall constitute a contract between the Issuer and the Second Lien Owners and the holders of Second Lien Parity Debt from time to time; and the pledge made in the Resolution and the covenants and agreements therein set forth to be performed on behalf of the Issuer shall be for the equal benefit, protection and security of the Second Lien Owners of any and all of the Second Lien Obligations and Second Lien Parity Debt, all of which, regardless of the time or times of their authentication, issuance and delivery, or maturity, shall be of equal rank without preference, priority or distinction of any of the Second Lien Obligations or Second Lien Parity Debt over any other Second Lien Obligations or Second Lien Parity Debt, except as expressly provided in or permitted by the Resolution.

ARTICLE B-II

**GENERAL PROVISIONS FOR ISSUANCE OR INCURRENCE OF
SECOND LIEN OBLIGATIONS, SECOND LIEN PARITY DEBT AND SECOND LIEN
OBLIGATION ANTICIPATION NOTES**

Section B-201 General Provisions for Issuance of Second Lien Obligations.

1. Second Lien Obligations may be issued pursuant to a Supplemental Resolution in such principal amount or amounts for each Series or subseries as may be specified in such Supplemental Resolution. Second Lien Obligations of any Series or subseries shall be authorized by a Supplemental Resolution which shall specify, among other things, the following matters (or the manner of determining such matters):

(a) The authorized principal amount, designation and Series of such Second Lien Obligations;

(b) The purpose or purposes for which such Second Lien Obligations are being issued which shall be one or more of the purposes set forth in Section 201;

(c) The dates and the maturity dates of the Second Lien Obligations of such Series;

(d) If the Second Lien Obligations of such Series are interest bearing Second Lien Obligations, the interest rates of the Second Lien Obligations of such Series and the interest payment dates therefor;

(e) If Second Lien Obligations of such Series are Capital Appreciation Second Lien Obligations, the Second Lien Obligation Valuation Dates for such Second Lien Obligations and the Second Lien Obligation Accreted Value on each such Second Lien Obligation Valuation Date;

(f) If Second Lien Obligations of such Series are Deferred Income Second Lien Obligations, the Second Lien Obligation Interest Commencement Date for such Second Lien Obligations, the Second Lien Obligation Valuation Dates prior to the Second Lien Obligation Interest Commencement Date for such Second Lien Obligations and the Second Lien Obligation Appreciated Value on each such Second Lien Obligation Valuation Date;

(g) If Second Lien Obligations of such Series are Capital Appreciation Second Lien Obligations or Deferred Income Second Lien Obligations, the manner in which and the period during which principal and interest shall be deemed to accrue on such Second Lien Obligations;

(h) If Second Lien Obligations of such Series are Variable Interest Rate Second Lien Obligations, the maximum interest rate, if any, or the method of calculating such maximum rate for such Second Lien Obligations, and the provisions, if any, as to the calculation or change of Second Lien Obligation Variable Interest Rates;

(i) If Second Lien Obligations of such Series are Second Lien Put Obligations, provisions regarding tender for purchase or redemption thereof and payment of the purchase or Second Lien Obligation Redemption Price thereof;

(j) The denominations of, and the manner of dating, numbering and lettering, the Second Lien Obligations of such Series;

(k) The Second Lien Paying Agents, if any, and the places of payment of the principal and Second Lien Obligation Redemption Price, if any, of, and interest on, the Second Lien Obligations of such Series;

(l) The Second Lien Obligation Redemption Prices, if any, and the redemption terms, if any, for the Second Lien Obligations of such Series, provided that Second Lien Obligations of any maturity for which Second Lien Sinking Fund Installments shall be established pursuant to paragraph (m) of this subsection 1 shall in any event be redeemable, or payable at maturity, by application of the Second Lien

Sinking Fund Installments for such Second Lien Obligations on the due dates of such Second Lien Sinking Fund Installments;

(m) The amount and due date of each Second Lien Sinking Fund Installment, if any, for Second Lien Obligations of like maturity of such Series;

(n) Provisions necessary to authorize, in compliance with all applicable law, Second Lien Obligations of such Series to be issued in the form of Second Lien Obligations issued and held in book-entry form on the books of the Issuer or any Fiduciary appointed for that purpose by the Issuer and, in connection therewith, make such additional changes in the Resolution, not adverse to the rights of the Second Lien Owners, as are necessary or appropriate to accomplish or recognize such book-entry form Second Lien Obligations and specify and determine the matters and things relative to the issuance of such book-entry form Second Lien Obligations as are appropriate or necessary;

(o) To the extent applicable, the provisions relating to (a) any Second Lien Obligation Credit Facility, Qualified Second Lien Swap or other financial arrangement entered into in connection with the issuance of the Second Lien Obligations of such Series and (b) the obligations payable thereunder;

(p) The amount, if any, to be deposited in the Second Lien Obligations Proceeds Fund or any Account therein;

(q) If so determined by the Issuer, provisions for the application of any money available therefor to the purchase, exchange or redemption of Second Lien Obligations of such Series and for the order of purchase, exchange or redemption of such Second Lien Obligations;

(r) If so determined by the Issuer, provisions for the sale of the Second Lien Obligations of such Series;

(s) The forms of the Second Lien Obligations of such Series and of the Second Lien Trustee's certificate of authentication if other than as provided in Section 301; and

(t) Such other matters, not contrary to or inconsistent with the Resolution, as the Issuer may deem advisable or necessary in connection with the authorization, issuance, sale, or delivery of such Series of Second Lien Obligations.

An Authorized Officer to whom a Supplemental Resolution has delegated the power to determine any of the foregoing shall execute a Certificate of Determination evidencing such determinations or other actions taken pursuant to the delegation under such Supplemental Resolution, and such Certificate of Determination shall be conclusive evidence of the terminations or actions of such Authorized Officer as to the matters stated therein. The matters set forth in any such Certificate of Determination shall have the same effect as if set forth in the related Supplemental Resolution.

2. The Second Lien Obligations may be sold in one or more Series or subseries (each of which shall contain a designation distinguishing it from other Series or subseries) and shall be delivered by the Issuer under the Resolution but only upon receipt by the Second Lien Trustee of

(a) An Opinion of Bond Counsel in customary form to the effect that (i) the Issuer has the right and power under the Issuer Act to adopt the Resolution, and the Resolution has been duly and lawfully adopted by the Issuer, is in full force and effect, and is valid and binding upon the Issuer, and enforceable in accordance with its terms, and no other authorization for the Resolution is required as of the date thereof; (ii) the Resolution creates the valid pledge which it purports to create of the Second Lien Obligations Trust Estate in the manner and to the extent provided in Section 501; (iii) the Second Lien Obligations are valid and binding obligations of the Issuer, enforceable in accordance with their terms and the terms of the Resolution and entitled to the benefits of the Issuer Act and the Resolution, each as amended to the date of such Opinion of Bond Counsel; and (iv) such Second Lien Obligations have been duly and validly authorized and issued in accordance with law and the Resolution;

(b) A copy of the Supplemental Resolution authorizing such Second Lien Obligations, certified by an Authorized Officer;

(c) A written order of the Issuer as to the delivery of the Second Lien Obligations, signed by an Authorized Officer;

(d) A certificate of an Authorized Officer to the effect that, upon the delivery of the Second Lien Obligations of such Series (i) no event which constitutes a default under the Resolution or any of the Second Lien Obligations shall have occurred and be continuing or, if such an event is continuing, upon issuance of the Second Lien Obligations such default shall be cured, and (ii) no such event would result from the authentication and delivery of the Second Lien Obligations of such Series;

(e) If any Second Lien Obligations are Variable Interest Rate Second Lien Obligations or a Qualified Second Lien Swap is being entered into that will result in a variable interest rate obligation of the Issuer, a determination by an Authorized Officer of the Second Lien Obligation Estimated Average Interest Rate;

(f) If any Second Lien Obligations of such Series are Second Lien Put Obligations, a determination by an Authorized Officer of the method or methods to be employed to provide for the purchase or redemption of all Second Lien Put Obligations of such Series if the Second Lien Owners thereof elected to tender for purchase or redemption the entire aggregate Outstanding principal amount of the Second Lien Put Obligations of such Series; and

(g) Such further documents and money as are required by the provisions of Article II, this Article B-II or Article C-V.

3. If Second Lien Obligations are to be listed on a domestic or foreign stock exchange, the Authorized Officers are hereby authorized to take all such actions as they deem

necessary or appropriate to comply with the listing requirements of such exchange, including the appointment of a member of such exchange as listing agent, the publication where required by such exchange of all redemption notices, the appointment of a special clearing agent and paying agent, and the execution of an undertaking letter with such exchange.

4. The Second Lien Obligations shall not be a debt of the State or the City and neither the State nor the City shall be liable thereon, nor shall Second Lien Obligations be payable out of any funds other than those of the Issuer as provided in the Resolution.

Section B-202 Second Lien Obligation Credit Facilities; Qualified Second Lien Swaps and Other Similar Arrangements; Second Lien Parity Debt.

1. The Issuer may include such provisions in a Supplemental Resolution authorizing the issuance of a Series of Second Lien Obligations secured by a Second Lien Obligation Credit Facility as the Issuer deems appropriate, and no such provisions shall be deemed to constitute an amendment to the Resolution requiring action under Article C-V or Article C-VI, including:

(a) So long as the Second Lien Obligation Credit Facility is in full force and effect and payment on the Second Lien Obligation Credit Facility is not in default, then (i) the issuer of the Second Lien Obligation Credit Facility shall be deemed to be the sole owner of the Outstanding Second Lien Obligations the payment of which such Second Lien Obligation Credit Facility secures or (ii) in the alternative or with respect to particular matters, the approval, consent or action of the issuer of the Second Lien Obligation Credit Facility shall be required in addition to the approval, consent or action of the applicable percentage of the Second Lien Owners of the Outstanding Second Lien Obligations, in either case when the approval, consent or action of the Second Lien Owners for such Second Lien Obligations is required or may be exercised under the Resolution including Section B-803 hereof and following a Second Lien Obligations Event of Default hereunder; provided, however, that no issuer of a Second Lien Obligation Credit Facility shall be deemed to be the sole owner of Outstanding Second Lien Obligations pursuant to this provision in the event that the Second Lien Obligation Credit Facility or Second Lien Obligation Credit Facilities securing such Second Lien Obligations provide only liquidity support.

(b) In the event that the principal, Second Lien Sinking Fund Installments, if any, and Second Lien Obligation Redemption Price, if applicable, and interest due on any Outstanding Second Lien Obligations (or Second Lien Obligation Purchase Price of any Outstanding Second Lien Obligations to the extent the issuer of the Second Lien Obligation Credit Facility has not been reimbursed) shall be paid under the provisions of the Second Lien Obligation Credit Facility, all covenants, agreements and other Second Lien Obligations of the Issuer to the Second Lien Owners of such Second Lien Obligations shall continue to exist and such issuer of the Second Lien Obligation Credit Facility shall be subrogated to the rights of such Second Lien Owners in accordance with the terms of such Second Lien Obligation Credit Facility.

2. In addition, such Supplemental Resolution may establish such provisions as are necessary (i) to comply with the provisions of each such Second Lien Obligation Credit Facility,

(ii) to provide relevant information to the issuer of the Second Lien Obligation Credit Facility, (iii) to provide a mechanism for paying Second Lien Obligation Principal Installments and interest on Second Lien Obligations secured by the Second Lien Obligation Credit Facility, and (iv) to make provision for any events of default or for additional or improved security required by the issuer of a Second Lien Obligation Credit Facility.

3. The Issuer may enter into such agreements with the issuer of such Second Lien Obligation Credit Facility providing for, *inter alia*: (i) the payment of fees and expenses to such issuer for the issuance of such Second Lien Obligation Credit Facility, (ii) the terms and conditions of such Second Lien Obligation Credit Facility and the Second Lien Obligations affected thereby, and (iii) the security, if any, to be provided for the issuance of such Second Lien Obligation Credit Facility.

4. The Issuer may secure such Second Lien Obligation Credit Facility by an agreement providing for the purchase of the Second Lien Obligations secured thereby with such adjustments to the rate of interest, method of determining interest, maturity, or redemption provisions as specified by the Issuer in the applicable Supplemental Resolution. The Issuer may also in an agreement with the issuer of such Second Lien Obligation Credit Facility agree to directly reimburse such issuer for amounts paid under the terms of such Second Lien Obligation Credit Facility (together with interest thereon, the “Reimbursement Second Lien Obligations”); *provided, however*, that no amounts shall be payable by the Issuer under a Reimbursement Second Lien Obligation for purposes of the Resolution, until amounts are paid under such Second Lien Obligation Credit Facility by the issuer thereof as determined by Supplemental Resolution, any such Reimbursement Second Lien Obligations, which may include interest calculated at a rate higher than the interest rate on the related Second Lien Obligations and accelerated principal amortization, (i) may be secured by a pledge of, and a lien on, the Second Lien Obligations Trust Estate on a parity with the lien created by Section 501 of the Resolution to secure the Second Lien Obligations (a “Parity Reimbursement Second Lien Obligation”), but only to the extent that (prior to any acceleration of all Second Lien Obligations, if permitted) any principal amortization requirements are *either* (A) commensurate with the amortization requirements for such related Second Lien Obligations, without acceleration or (B) accelerated to no greater extent than to require repayment in equal principal installments over 5 or more years, or (ii) may constitute an Other Subordinated Contract Obligation, as determined by the Issuer. Parity Reimbursement Second Lien Obligations shall not include any payments (other than interest calculated at a higher rate pursuant to a Second Lien Obligation Credit Facility) (x) of any fees or expenses, (y) pursuant to any indemnification provisions or (z) pursuant to term-loan or other principal amortization requirements in reimbursement of any such advance that are more accelerated than permitted by clauses (A) and (B) of the preceding sentence, and any such payments of the items specified in clauses (x), (y) or (z) shall constitute Subordinated Contract Obligations.

5. Any such Second Lien Obligation Credit Facility shall be for the benefit of and secure such Second Lien Obligations or portion thereof as specified in any applicable Supplemental Resolution.

6. In connection with the issuance of any Second Lien Obligations or at any time thereafter so long as Second Lien Obligations remain Outstanding, the Issuer may, to the extent

permitted pursuant to law, from time to time enter into Qualified Second Lien Swaps. The Issuer's obligation to pay any amount under any Qualified Second Lien Swap may be secured by a pledge of, and a lien on the Second Lien Obligations Trust Estate on a parity with the lien created by Section 501 of the Resolution to secure the Second Lien Obligations (a "Parity Swap Second Lien Obligation"), or may constitute a Second Lien Parity Debt, as determined by the Issuer. Parity Swap Second Lien Obligations shall not include any payments of (x) of any fees or expenses, (y) pursuant to any indemnification provisions or (z) any termination payments or other obligations to a counterparty to a Qualified Second Lien Swap, which payments shall be Subordinated Contract Obligations.

7. Second Lien Parity Debt shall not be a debt of the State or the City and neither the State nor the City shall be liable thereon, nor shall Second Lien Parity Debt be payable out of any funds other than those of the Issuer pledged therefor pursuant to the Resolution.

8. Except to the extent that an Authorized Officer directs that such amounts be deposited in any other Fund or Account pledged to the payment of Second Lien Obligations, any amounts paid to the Issuer under a Qualified Second Lien Swap shall be deposited in the Second Lien Obligations Debt Service Fund.

9. To the extent applicable and not readily apparent with respect any Second Lien Parity Debt, either the terms of such Second Lien Parity Debt shall specify (or an Authorized Officer shall specify in writing) the interest and principal components of or the scheduled payments corresponding to principal and interest under such Second Lien Parity Debt or the manner of determining the foregoing.

Section B-203 Second Lien Obligation Anticipation Notes. Whenever the Issuer shall have, by Supplemental Resolution, authorized the issuance of a Series of Second Lien Obligations, the Issuer may by resolution authorize the issuance of Second Lien Obligation Anticipation Notes in anticipation of the issuance of such authorized Series of Second Lien Obligations, in a principal amount not exceeding the principal amount of the Second Lien Obligations of such Series so authorized. The principal of and premium, if any, and interest on such Second Lien Obligation Anticipation Notes and any renewals of such Second Lien Obligation Anticipation Notes shall be payable only from any or all of the following items designated by the Issuer at or prior to issuance of any such series of Second Lien Obligation Anticipation Notes: (i) the proceeds of any renewals of such Second Lien Obligation Anticipation Notes issued to repay such Second Lien Obligation Anticipation Notes, (ii) the proceeds of the sale of the Series of Second Lien Obligations, Refunding Obligations or Refunding Second Lien Obligations or other obligations in anticipation of which such Second Lien Obligation Anticipation Notes are issued or expected to be refinanced or restructured, (iii) amounts available to pay Other Subordinated Obligations, or (iv) any other money available therefor and not pledged under the Resolution. Such proceeds and other amounts set forth in clauses (i), (ii), (iii) and (iv) may be pledged for the payment of the principal of and premium, if any, and interest on such Second Lien Obligation Anticipation Notes, and any such pledge of the items set forth in clauses (i) and (ii) shall have priority over any other pledge created by the Resolution, including Section 501. In any case, such Second Lien Obligation Anticipation Notes shall be retired or provision shall be made for their retirement not later than the date of authentication and delivery of the Series of Second Lien Obligations in anticipation of which

they are issued. The proceeds of the sale of Second Lien Obligation Anticipation Notes other than renewals thereof shall be applied to the purposes for which the Second Lien Obligations, Refunding Obligations or Refunding Second Lien Obligations or other obligations in anticipation of which such Second Lien Obligation Anticipation Notes are authorized and shall be deposited in the appropriate Fund or Account established by the Resolution for such purposes or, if so provided in the resolution authorizing renewals of Second Lien Obligation Anticipation Notes issued to pay outstanding Second Lien Obligation Anticipation Notes, applied directly to such payment. Investment earnings from any amounts on deposit in any Fund or Account under the Resolution representing the proceeds of any Second Lien Obligation Anticipation Notes shall be applied in the manner set forth in the resolution authorizing such Second Lien Obligation Anticipation Notes.

ARTICLE B-III

GENERAL TERMS AND PROVISIONS OF SECOND LIEN OBLIGATIONS

Except as otherwise provided by Supplemental Resolution, the Second Lien Obligations and Second Lien Parity Debt shall be subject to the terms and provisions of the Standard Resolutions Provisions and these Supplemental Standard Resolution Provisions.

Section B-301 Medium of Payment; Form and Date.

1. The Second Lien Obligations and Second Lien Parity Debt shall be payable, with respect to interest, principal and Redemption Price, in any coin or currency of the United States of America which at the time of payment is legal tender for the payment of public and private debts (or to the extent permitted by law, in any other coin or currency authorized pursuant to a Supplemental Resolution for Second Lien Obligations and related Second Lien Parity Debt).

2. Second Lien Obligations shall be issued in the form of fully registered Second Lien Obligations without coupons. Second Lien Obligations, the certificate of authentication, if any, and the form of assignment shall be in substantially the form provided for in Exhibit Two of the Resolution with such appropriate variations, omissions, substitutions and insertions as are permitted or required hereby or thereby or are required by law, and may have such letters, numbers or other marks of identification and such legends and endorsements placed thereon as may be required to comply with any applicable laws or rules or regulations, or as may, consistent herewith, be determined by the officers executing such Second Lien Obligations, as evidenced by their execution of the Second Lien Obligations. Any portion of the text of any Second Lien Obligations may be set forth on the reverse thereof, with an appropriate reference thereto on the face of such security evidencing Second Lien Obligations, or as multiple pages (with or without such a reference). Second Lien Obligations may be typewritten, printed, engraved, lithographed or otherwise produced.

3. Second Lien Obligations shall be dated, and shall bear or not bear interest, as provided in the Supplemental Resolution authorizing such Second Lien Obligations.

Section B-302 Legends. Second Lien Obligations may contain or have endorsed thereon such provisions, specifications and descriptive words not inconsistent with the provisions

of the Resolution as may be necessary or desirable to comply with custom, the rules of any securities exchange or commission or brokerage board, or otherwise, as may be determined by the Issuer.

Section B-303 Execution and Authentication.

1. The Second Lien Obligations shall be executed in the name of the Issuer by the manual or facsimile signature of an Authorized Officer or in such other manner as may be required by law or specified in a Supplemental Resolution. In case any of the officers who shall have signed any of the Second Lien Obligations shall cease to be such officer before the Second Lien Obligations so signed shall have been actually delivered, such Second Lien Obligations may, nevertheless, be delivered as herein provided, and may be issued as if the Persons who signed such Second Lien Obligations had not ceased to hold such offices. Any Second Lien Obligations may be signed on behalf of the Issuer by such Persons as at the actual time of the execution of such Second Lien Obligations shall be duly authorized or hold the proper office in the Issuer, although at the date of the Second Lien Obligations such Persons may not have been so authorized or have held such office.

2. Second Lien Obligations of each Series shall bear thereon a certificate of authentication, executed manually by the Second Lien Trustee. Only such Second Lien Obligations as shall bear thereon such certificate of authentication shall be entitled to any right or benefit under the Resolution and no Second Lien Obligations shall be valid or obligatory for any purpose until such certificate of authentication shall have been duly executed by the Second Lien Trustee. Such certificate of the Second Lien Trustee upon any Second Lien Obligations executed on behalf of the Issuer shall be conclusive evidence that the Second Lien Obligations so authenticated has been duly authenticated and delivered under the Resolution and that the Second Lien Owner thereof is entitled to the benefits of the Resolution.

Section B-304 Interchangeability of Second Lien Obligations. Second Lien Obligations, upon surrender thereof at the office of the Second Lien Registrar with a written instrument of transfer satisfactory to the Second Lien Registrar, duly executed by the Second Lien Owner or his duly authorized attorney, may, at the option of such Second Lien Owner, be exchanged for an equal aggregate principal amount of Second Lien Obligations of the same Series, maturity and interest rate of any other authorized denomination.

Section B-305 Negotiability, Transfer and Registry. All the Second Lien Obligations issued under the Resolution shall be negotiable, subject to the provisions for registration and registration of transfer contained in the Resolution and in the Second Lien Obligations. So long as any of the Second Lien Obligations shall remain Outstanding, the Issuer shall maintain and keep, at the office of the Second Lien Registrar, books for the registration and registration of transfer of Second Lien Obligations; and, upon presentation thereof for such purpose at said office and under such reasonable regulations as it or the Second Lien Registrar may prescribe, the Issuer shall register or cause to be registered therein, and permit to be transferred thereon, the registration of any Second Lien Obligations entitled to registration or registration of transfer. So long as any of the Second Lien Obligations remain Outstanding, the Issuer shall make all necessary provision to permit the exchange of Second Lien Obligations at the office of the Second Lien Registrar.

Section B-306 Transfer of Second Lien Obligations.

1. The transfer of each Second Lien Obligations shall be registerable only upon the books of the Issuer, which shall be kept by the Second Lien Registrar, by the Second Lien Owner thereof in person or by his attorney authorized in writing, upon surrender thereof together with a written instrument of transfer satisfactory to the Second Lien Registrar executed by the Second Lien Owner or his authorized attorney. Upon the registration of transfer of any such Second Lien Obligations, the Issuer shall issue in the name of the transferee a new Second Lien Obligation of the same aggregate principal amount, Series, maturity and interest rate as the surrendered Second Lien Obligation.

2. The Issuer and each Fiduciary may deem and treat the Person in whose name any Outstanding Second Lien Obligations shall be registered upon the books of the Issuer as the absolute owner of such Second Lien Obligations, whether such Second Lien Obligations shall be overdue or not, for the purpose of receiving payment of or on account of the principal and Second Lien Obligation Redemption Price, if any, of and interest on such Second Lien Obligations and for all other purposes, and all such payments so made to any such registered owner or upon his order shall be valid and effectual to satisfy and discharge the liability upon such Second Lien Obligations to the extent of the sum or sums so paid, and neither the Issuer nor any Fiduciary shall be affected by any notice to the contrary. The Issuer agrees to indemnify and save each Fiduciary harmless from and against any and all loss, cost, charge, expense, judgment or liability incurred by it, unless acting in bad faith or with negligence under the Resolution, in so treating such registered owner.

Section B-307 Regulations With Respect to Exchanges and Transfers. In all cases in which the privilege of exchanging Second Lien Obligations or registering the transfer of Second Lien Obligations is exercised, the Issuer shall execute and the Second Lien Registrar shall deliver Second Lien Obligations in accordance with the provisions of the Resolution. All Second Lien Obligations surrendered in any such exchanges or registrations of transfer shall forthwith be canceled by the Second Lien Registrar. For every such exchange or registration of transfer of Second Lien Obligations, whether temporary or definitive, the Issuer or the Second Lien Registrar may make a charge sufficient to reimburse it for any tax, fee or other governmental charge required to be paid with respect to such exchange or transfer.

Section B-308 Second Lien Obligations Mutilated, Destroyed, Stolen or Lost. In case any Second Lien Obligations shall become mutilated or be destroyed, stolen or lost, the Issuer shall execute, and thereupon the Second Lien Trustee and Second Lien Registrar shall deliver, a new Second Lien Obligations of like tenor, Series, maturity, interest rate and principal amount as the Second Lien Obligations so mutilated, destroyed, stolen or lost, in exchange and substitution for such mutilated Second Lien Obligations, upon surrender and cancellation of such mutilated Second Lien Obligations, or in lieu of and substitution for the Second Lien Obligations destroyed, stolen or lost, upon filing with the Second Lien Trustee and Second Lien Registrar evidence satisfactory to the Issuer and the Second Lien Trustee and Second Lien Registrar that such Second Lien Obligations has been destroyed, stolen or lost and proof of ownership thereof, and upon furnishing the Issuer and the Second Lien Trustee and Second Lien Registrar with indemnity satisfactory to them and complying with such other reasonable regulations as the Issuer and the Second Lien Trustee and Second Lien Registrar may prescribe and paying such

expenses as the Issuer and Second Lien Trustee and Second Lien Registrar may incur. All Second Lien Obligations so surrendered to the Second Lien Registrar shall be canceled by it. If any such Second Lien Obligations shall have matured, or if such Second Lien Obligations shall have been called for redemption or a redemption date pertaining thereto shall have passed, instead of issuing a new Second Lien Obligation the Issuer may cause the same to be paid without surrender thereof upon indemnity satisfactory to the Issuer and the Second Lien Trustee. Any such new Second Lien Obligations issued pursuant to this Section in substitution for Second Lien Obligations alleged to be destroyed, stolen or lost shall constitute original additional contractual obligations on the part of the Issuer, whether or not the Second Lien Obligations so alleged to be destroyed, stolen or lost be at any time enforceable by anyone, and shall be equally secured by and entitled to equal and proportionate benefits with all other Second Lien Obligations issued under the Resolution, in any money or securities held by the Issuer or the Fiduciary for the benefit of the Second Lien Owners.

Section B-309 Book-Entry-Only System. The Issuer may employ a book-entry-only system of registration with respect to any Second Lien Obligations and may utilize the procedures regarding such registration set forth in this Section B-309, as such procedures may be modified or superseded pursuant to the Supplemental Resolution authorizing such Second Lien Obligations. Any provisions of the Resolution inconsistent with book-entry-only Second Lien Obligations shall not be applicable to such book-entry-only Second Lien Obligations.

Any Authorized Officer is hereby authorized to take all actions required for each Series of Second Lien Obligations to be eligible under the rules and regulations of The Depository Trust Company (“DTC”), 55 Water Street, New York, New York, for investment and trading as uncertificated securities. DTC is hereby appointed as the initial Securities Depository for the obligations, with Cede & Co., a nominee thereof, being the initial registered owner of the Second Lien Obligations. In the event that any Securities Depository resigns or is removed, any Authorized Officer may select a substitute Securities Depository. The Issuer and any Fiduciary, and any agent of the Issuer or any Fiduciary, may treat any Securities Depository in whose name any Second Lien Obligation is registered as the owner of such Second Lien Obligations for all purposes under the Resolution. For so long as the Securities Depository is the registered owner of the Second Lien Obligations, procedures with respect to the transmission of notices and the transfer of ownership of, redemption of and payment of principal or Second Lien Obligation Redemption Price, if any, of and interest on such Second Lien Obligations so held shall be in accordance with arrangements among the Second Lien Trustee, the Issuer and the Securities Depository.

So long as the Second Lien Obligations are registered in the name of the Securities Depository, the Issuer and the Second Lien Trustee shall have no responsibility or obligation to any Securities Depository participant, indirect participant or beneficial owner of the Second Lien Obligations. Without limiting the immediately preceding sentence, the Issuer and the Second Lien Trustee shall have no responsibility or obligation with respect to (i) the accuracy of the records of any Securities Depository or any Securities Depository participant or indirect participant with respect to any beneficial ownership interest in the Second Lien Obligations, (ii) the delivery to any Securities Depository participant, indirect participant, beneficial owner or any other person, other than the Securities Depository, of any notice with respect to the Second Lien Obligations, including any notice of redemption or tender, or (iii) the payment to any

Securities Depository participant, indirect participant, beneficial owner or any other person, other than the Securities Depository, of any amount with respect to the principal of or Second Lien Obligation Redemption Price or interest on the Second Lien Obligations.

The Issuer, in its sole discretion and without the consent of any other person, may terminate the services of any Securities Depository with respect to any Series of Second Lien Obligations. Notice of such termination shall be given by the Issuer to the Second Lien Trustee prior to or simultaneously with such termination. In the event the book-entry only system is discontinued with respect to the Second Lien Obligations, principal and Redemption Price of and interest on the Second Lien Obligations shall be paid as provided in the Resolution.

Consistent with DTC book-entry provisions, one or more typewritten certificates shall be prepared for each maturity of the Second Lien Obligations of a Series and registered in the name of the Securities Depository. There shall be no physical distribution of bond or other certificates to beneficial owners of such Second Lien Obligations. In the event that the Second Lien Obligations do not qualify to be held by the Securities Depository or that either the Issuer determines to discontinue the book-entry only system or DTC determines to discontinue providing its service with respect to the Second Lien Obligations and there is no successor Securities Depository, the bond or other certificates shall be delivered in the form required by the Resolution.

Unless otherwise directed by an Authorized Officer, "CUSIP" or other recognized identification numbers ("CUSIP numbers") will be imprinted on the Second Lien Obligations, but such numbers shall not constitute a part of the contract evidenced by the Second Lien Obligations and any error or omission with respect thereto shall not constitute cause for refusal of any purchaser to accept delivery of and pay for the Second Lien Obligations. As a convenience to the Second Lien Owners, the Issuer and the Second Lien Trustee may use such CUSIP numbers in any notices to the Second Lien Owners, including any notices of redemption of the Second Lien Obligations. Failure on the part of the Issuer or the Second Lien Trustee to use such CUSIP numbers in any notice to Second Lien Owners shall not constitute a Second Lien Obligations Event of Default or any similar violation of the Issuer's contract with such Second Lien Owners. The Issuer will promptly notify the Second Lien Trustee of any change in the CUSIP numbers.

ARTICLE B-IV

REDEMPTION AND TENDER OF SECOND LIEN OBLIGATIONS

Section B-401 Privilege of Redemption and Second Lien Obligation Redemption Price. Except as otherwise provided in the Resolution or a Supplemental Resolution, Second Lien Obligations subject to redemption pursuant to a Supplemental Resolution shall be subject to redemption only in accordance with this Article B-IV.

Second Lien Obligations subject to redemption prior to maturity pursuant to a Supplemental Resolution shall be redeemable, upon written notice as provided in this Article B-IV, at such times, at such Second Lien Obligation Redemption Prices and upon such

terms as may be specified in the Supplemental Resolution authorizing such Second Lien Obligations.

Section B-402 Redemption at the Election of the Issuer; Tender to Related Entities. In the case of any redemption of Second Lien Obligations at the election of the Issuer, the Issuer shall give written notice to the Second Lien Trustee of its election so to redeem, of the redemption date, of the Series, of the principal amounts of the Second Lien Obligations of each maturity and interest rate of such Series to be redeemed (which Series, maturities, interest rates and principal amounts thereof to be redeemed shall be determined by the Issuer in its sole discretion, subject to any limitations with respect thereto contained in any Supplemental Resolution). Such notice shall be given at least 45 days prior to the redemption date or such shorter period as may be provided in the Supplemental Resolution or as shall be acceptable to the Second Lien Trustee. In the event notice of redemption shall have been given as in Section B-405 provided but subject to the second paragraph of Section B-405, the Issuer shall on or prior to the redemption date cause to be paid out to the appropriate Second Lien Paying Agent or Second Lien Paying Agents out of money available therefor an amount in cash which, in addition to other money, if any, available therefor held by such Second Lien Paying Agent or Second Lien Paying Agents, will be sufficient to redeem on the redemption date at the Second Lien Obligation Redemption Price thereof, all of the Second Lien Obligations to be redeemed.

The Issuer may, in its sole discretion, purchase or grant to any Related Entity the option to purchase, at any time and from time to time, any Second Lien Obligations which are redeemable at the election of the Issuer at a purchase price equal to the redemption price therefor. To exercise any such option, the Issuer or the Related Entity shall give the Second Lien Trustee a written request exercising such option within the time periods specified in the related Supplemental Resolution as though such written request were a written request of the related Issuer for redemption, and the Second Lien Trustee shall thereupon give the Second Lien Owners of the Second Lien Obligations to be purchased notice of such purchase in the manner specified in the related Supplemental Resolution as though such purchase were a redemption. On the date fixed for purchase pursuant to any exercise of such an option, the Issuer or the Related Entity shall pay the purchase price of the Second Lien Obligations then being purchased to the Second Lien Trustee in immediately available funds, and the Second Lien Trustee shall pay the same to the sellers of such Second Lien Obligations against delivery thereof. Following such purchase, the Second Lien Trustee shall cause such Second Lien Obligations to be registered in the name of the Issuer or the Related Entity or its nominee and shall deliver them to the Issuer, the Related Entity or its nominee. Except to the extent otherwise directed by an Authorized Officer, no purchase of Second Lien Obligations pursuant to such an option shall operate to extinguish the indebtedness of the Issuer evidenced thereby. Any such option to purchase by a Related Entity either shall be conditioned on the provision of sufficient money therefor by the Related Entity or shall be an obligation of the Issuer in the event that the Related Entity does not provide sufficient money therefor.

Section B-403 Redemption Otherwise Than at the Issuer's Election. Whenever by the terms of the Resolution Second Lien Obligations are required to be redeemed otherwise than at the election of the Issuer, the Second Lien Trustee shall select the Second Lien Obligations to be redeemed, give the notice of redemption and pay out of money available therefor the Second Lien Obligation Redemption Price to the appropriate Second Lien Paying Agents in accordance

with the terms of this Article B-IV. The Second Lien Trustee shall have no liability in making such selection.

Section B-404 Selection of Second Lien Obligations to Be Redeemed. In the event of redemption of less than all the Outstanding Second Lien Obligations of like tenor, Series, maturity and interest rate, the Second Lien Trustee shall select, as directed by the Issuer (as to the timing of such selection, manner of such selection or otherwise) or otherwise in such manner as the Second Lien Trustee in its discretion shall deem appropriate and fair, the numbers of the Second Lien Obligations to be redeemed and portions of any thereof to be redeemed in part. Second Lien Obligations of denominations equal or less than the minimum authorized denomination thereof may be redeemed only as a whole. Second Lien Obligations of denominations of more than the minimum authorized denomination thereof may be redeemed either as a whole or in part (which, if redeemed in part, must assure that the portion of the Second Lien Obligations which is not redeemed is an authorized denomination). For the purposes of this Section B-404, Second Lien Obligations, or portions thereof, theretofore selected for redemption shall not be deemed Outstanding.

Section B-405 Notice of Redemption. When the Second Lien Trustee shall receive notice from the Issuer of its election to redeem Second Lien Obligations pursuant to Section B-402, and when redemption of Second Lien Obligations is required by the Resolution pursuant to Section B-403, the Second Lien Trustee shall give notice, in the name of the Issuer, of the redemption of such Second Lien Obligations, which notice shall specify the Series (including CUSIP numbers), maturities and, if any maturity shall include Second Lien Obligations bearing different interest rates and all Second Lien Obligations of such maturity are not being redeemed, the interest rate of the Second Lien Obligations to be redeemed, the redemption date and the place or places where amounts due upon such redemption will be payable and, if less than all of the Second Lien Obligations of any like tenor, Series, maturity and interest rate are to be redeemed, the letters and numbers or other distinguishing marks of such Second Lien Obligations so to be redeemed, and, in the case of Second Lien Obligations to be redeemed in part only, such notice shall also specify the respective portions of the principal amount thereof to be redeemed, and, if applicable, that such notice is conditional and the conditions that must be satisfied. Such notice shall further state that on such date there shall become due and payable upon the Second Lien Obligations to be redeemed the Second Lien Obligation Redemption Price thereof, or the Second Lien Obligation Redemption Price of the specified portions of the principal thereof in the case of Second Lien Obligations to be redeemed in part only, together with interest accrued to the redemption date; and that from and after such date interest thereon shall cease to accrue and be payable. Such notice shall be given not less than 30 days nor more than 45 days, or for such other period as may be specified in a Supplemental Resolution authorizing a particular Series, before the redemption date, to the Second Lien Owners of any Second Lien Obligations or portions of Second Lien Obligations which are to be redeemed. Failure so to give any such notice to any particular Owner shall not affect the validity of the proceedings for the redemption of Second Lien Obligations not owned by such Second Lien Owner and failure of any Second Lien Owner to receive such notice shall not affect the validity of the proposed redemption of Second Lien Obligations. The Issuer may provide notices of redemption at such additional times as it may determine necessary or appropriate.

Any notice of optional redemption given pursuant to this Section may state that it is conditional upon receipt by the Second Lien Trustee of money sufficient to pay the Second Lien Obligation Redemption Price of such Second Lien Obligations or upon the satisfaction of any other condition, or that it may be rescinded upon the occurrence of any other event, and any conditional notice so given may be rescinded at any time before payment of such Second Lien Obligation Redemption Price if any such condition so specified is not satisfied or if any such other event occurs. Notice of such rescission shall be given by the Second Lien Trustee to affected Second Lien Owners as promptly as practicable upon the failure of such condition or the occurrence of such other event.

Unless otherwise provided by Supplemental Resolution, notices of redemption shall be sent by first class mail, postage prepaid.

Notice of redemption of any Series of Second Lien Obligations shall also be sent by the Second Lien Trustee to such additional Persons as may be specified in the Supplemental Resolution authorizing such Series.

Section B-406 Payment of Redeemed Second Lien Obligations. Notice having been given in the manner provided in Section B-405, the Second Lien Obligations or portions thereof so called for redemption shall, subject to the second paragraph of Section B-405, become due and payable on the redemption date so designated at the Second Lien Obligation Redemption Price, plus interest accrued and unpaid to the redemption date, and, upon presentation and surrender thereof at the office specified in such notice, such Second Lien Obligations, or portions thereof, shall be paid at the Second Lien Obligation Redemption Price plus interest accrued and unpaid to the redemption date. If there shall be called for redemption less than all of a Second Lien Obligation, the Issuer shall execute and cause to be delivered, upon the surrender of such Second Lien Obligation, without charge to the owner thereof, for the unredeemed balance of the principal amount of the Second Lien Obligation so surrendered, at the option of the owner thereof, Second Lien Obligations of like tenor, Series, maturity and interest rate in any of the authorized denominations. If, on the redemption date, money for the redemption of all the Second Lien Obligations or portions thereof of any like tenor, Series, maturity and interest rate to be redeemed, together with interest to the redemption date, shall be held by the Second Lien Paying Agents so as to be available therefor on said date and if notice of redemption shall have been given as aforesaid, then, from and after the redemption date interest on the Second Lien Obligations or portions thereof of such Series, maturity and interest rate so called for redemption shall cease to accrue. If said money shall not be so available on the redemption date, such Second Lien Obligations or portions thereof shall continue to bear interest until paid at the same rate as they would have borne had they not been called for redemption.

ARTICLE B-V

SECOND LIEN SINKING FUND INSTALLMENTS; CANCELLATION AND DISPOSITION OF SECOND LIEN OBLIGATIONS

Section B-501 Satisfaction of Second Lien Sinking Fund Installments.

1. Any amount accumulated in the Second Lien Debt Service Fund in respect of and up to the unsatisfied balance of each Second Lien Sinking Fund Installment shall be applied by the Second Lien Trustee to either (a) or (b) below as directed by the Issuer (together with amounts accumulated in the Second Lien Debt Service Fund with respect to interest on the Series of Second Lien Obligations for which such Second Lien Sinking Fund Installment was established) if so directed by an Authorized Officer prior to the 45th day preceding the due date of such Second Lien Sinking Fund Installment as follows:

(a) to the purchase of Second Lien Obligations for which such Second Lien Sinking Fund Installment was established, at prices (including any brokerage and other charges) not exceeding the principal amount of such Second Lien Obligations plus unpaid interest accrued to the date of purchase, such purchases to be made in such manner as the Issuer shall direct; or

(b) to the redemption of Second Lien Obligations for which such Second Lien Sinking Fund Installment was established, if then redeemable by their terms at the prices referred to in clause (a) of this subsection 1 of this Section B-501.

All Second Lien Obligations so purchased or redeemed shall be canceled by the Second Lien Trustee prior to the 45th day preceding the due date of such Second Lien Sinking Fund Installment.

2. Upon the purchase or redemption of any Second Lien Obligations pursuant to subsection 1 of this Section, an amount equal to the principal amount of the Second Lien Obligations so purchased or redeemed shall be credited toward the next Second Lien Sinking Fund Installment thereafter to become due with respect to the Second Lien Obligations of such maturity and the amount of any excess of the amounts so credited over the amount of such Second Lien Sinking Fund Installment shall be credited by the Second Lien Trustee against future Second Lien Sinking Fund Installments for such Series as specified by an Authorized Officer. Prior to the purchase or redemption of such Second Lien Obligations, the Issuer shall deliver to the Second Lien Paying Agent and to the Second Lien Trustee a certificate of an Authorized Officer specifying (i) the principal amount, Series, maturity, interest rate and numbers of the Second Lien Obligations so to be purchased or redeemed, (ii) the date and Series of the Second Lien Sinking Fund Installment in satisfaction of which such Second Lien Obligations are so to be purchased or redeemed, (iii) the aggregate principal amount of the Second Lien Obligations so to be purchased or redeemed, and (iv) the unsatisfied balance of each such Second Lien Sinking Fund Installment after giving effect to the delivery of such Second Lien Obligations.

3. In satisfaction, in whole or in part, of any Second Lien Sinking Fund Installment, the Issuer may deliver to the Second Lien Trustee at least 45 days prior to the date of such Second Lien Sinking Fund Installment, for cancellation, Second Lien Obligations acquired by purchase or redemption, except Second Lien Obligations acquired by purchase or redemption pursuant to the provisions of subsection 1 of this Section, of the maturity and interest rate entitled to such Second Lien Sinking Fund Installment. All Second Lien Obligations so delivered to the Second Lien Trustee in satisfaction of a Second Lien Sinking Fund Installment shall reduce the amount thereof by the amount of the aggregate principal amount of such Second

Lien Obligations. Concurrently with such delivery of such Second Lien Obligations the Issuer shall deliver to the Second Lien Paying Agent and to the Second Lien Trustee a certificate of an Authorized Officer, specifying (i) the principal amount, Series, maturity, interest rate and numbers of the Second Lien Obligations so delivered, (ii) the date and Series of the Second Lien Sinking Fund Installment in satisfaction of which such Second Lien Obligations are so delivered, (iii) the aggregate principal amount of the Second Lien Obligations so delivered, and (iv) the unsatisfied balance of each such Second Lien Sinking Fund Installment after giving effect to the delivery of such Second Lien Obligations.

4. The Second Lien Trustee shall, upon receipt of the notice and in the manner required by the Resolution, call for redemption, on the date of each Second Lien Sinking Fund Installment falling due prior to maturity, such principal amount of Second Lien Obligations of the Series, interest rate and maturity entitled to such Second Lien Sinking Fund Installment as is required to exhaust the unsatisfied balance of such Second Lien Sinking Fund Installment.

Section B-502 Cancellation and Disposition of Second Lien Obligations. All Second Lien Obligations that have been paid (whether at maturity or by acceleration, call for redemption, purchase by the Issuer and presentation for cancellation, or otherwise) or delivered to the Second Lien Trustee for cancellation shall be canceled and not reissued, except as otherwise provided in a Supplemental Resolution with respect to Second Lien Put Obligations. Unless otherwise directed by the Issuer, the Second Lien Trustee shall treat canceled Second Lien Obligations in accordance with its document retention policies. Notwithstanding any other provision of the Resolution, the Issuer may in its sole discretion purchase any Second Lien Obligations of the Issuer or any Related Entity for investment purposes and any such Second Lien Obligations shall remain outstanding unless and until presented for cancellation.

ARTICLE B-VI

PARTICULAR COVENANTS OF THE ISSUER

The Issuer covenants and agrees with the Second Lien Trustee and the Second Lien Owners as follows:

Section B-601 Payment of Second Lien Obligations and Second Lien Parity Debt. The Issuer shall duly and punctually pay or cause to be paid from the Second Lien Obligations Trust Estate as provided in the Resolution the principal or Second Lien Obligation Redemption Price, if any, of every Second Lien Obligations and the interest thereon and all Second Lien Parity Debt, at the dates and places, and in the manner provided in the Second Lien Obligations and Second Lien Parity Debt, according to the true intent and meaning thereof.

Section B-602 Extension of Payment of Second Lien Obligations. The Issuer shall not directly or indirectly extend or assent to the extension of the maturity of any of the Second Lien Obligations or the time of payments of any claims for interest by the purchase or funding of such Second Lien Obligations or claims for interest or by any other arrangement, and in case the maturity of any of the Second Lien Obligations or the time for payment of such claims for interest shall be extended, such Second Lien Obligations or claims for interest shall not be entitled, in case of any Second Lien Obligations Event of Default, to the benefit of the

Resolution or to any payment out of the Second Lien Obligations Trust Estate, except subject to the prior payment of the principal of all Second Lien Obligations Outstanding the maturity of which has not been extended and of such portion of the accrued interest on the Second Lien Obligations as shall not be represented by such extended claims for interest. Nothing herein shall be deemed to limit the right of the Issuer (i) to issue refunding Second Lien Obligations as provided in the Resolution and such issuance shall not be deemed to constitute an extension of maturity of the Second Lien Obligations, (ii) to issue Second Lien Put Obligations and neither such issuance nor the operation of the provisions of such Second Lien Put Obligations shall be deemed to constitute an extension of maturity of the Second Lien Obligations, (iii) to apply any amount in any Fund held under the Resolution for such purpose to the purchase or redemption of Second Lien Obligations or (iv) to issue securities having a maturity date, including any extension of maturity contemplated at the time of issuance, of no more than 270 days from the issue date.

Section B-603 Offices for Servicing Second Lien Obligations. Except as otherwise provided in the Resolution, the Issuer shall at all times maintain one or more offices or agencies in the City and State where Second Lien Obligations may be presented for payment, registration, transfer or exchange, and where notices, demands and other documents may be served upon the Issuer in respect of the Second Lien Obligations or of the Resolution. The Issuer may appoint the Second Lien Trustee or any other Fiduciary as its agent to maintain such office or agency for the payment, redemption, registration, transfer or exchange of Second Lien Obligations and for the service upon the Issuer of such notices, demands and other documents. The Issuer may also maintain one or more offices or agencies outside of the City or State for the same purposes.

Section B-604 Further Assurance. To the extent permitted by law, the Issuer from time to time shall make, do, execute, adopt, acknowledge and deliver, and take all and every such further acts, deeds, conveyances, assignments, resolutions, transfers and assurances as may be necessary or desirable for the better assuring, conveying, granting, assigning and confirming all and singular the rights and interests in the Second Lien Obligations Trust Estate or assigned, or intended so to be, or which the Issuer may become bound to pledge or assign.

Section B-605 Accounts and Reports.

1. The Issuer shall keep proper books of record and account of its operations. Such books of account are to be audited at least annually by independent certified public accountants experienced in governmental accounting selected by the Issuer. A copy of each audit report, annual balance sheet and income and expense statement shall be filed with the Second Lien Trustee and sent to any Second Lien Owner filing with the Issuer a written request therefor.

2. The Issuer shall annually, within 6 months after the close of each fiscal year or at such other time required under applicable law or a subsequent contract with all or certain Second Lien Owners (or, if not available by such date, when and if available), file with the Second Lien Trustee, and otherwise as provided by law, a copy of an annual report for such year, accompanied by the opinion of the accountants specified in subsection 1 of this Section.

Section B-606 General.

1. The Issuer shall do and perform or cause to be done and performed all acts and things required to be done or performed by or on behalf of the Issuer under the provisions of the Resolution and, to the extent material to the interests of Second Lien Owners, the Issuer Act.

2. Upon the date of authentication and delivery of any of the Second Lien Obligations, all conditions, acts and things required by law and the Resolution to exist, to have happened and to have been performed precedent to and in connection with the issuance of such Second Lien Obligations (and any related Second Lien Parity Debt then being incurred) shall exist, shall have happened and shall have been performed and the issuance of such Second Lien Obligations (and any related Second Lien Parity Debt then being incurred), together with all other indebtedness of the Issuer, shall be within every debt and other limit prescribed by the laws of the State, as applicable.

ARTICLE B-VII

**CONCERNING THE SECOND LIEN TRUSTEE, SECOND LIEN PAYING AGENTS
AND THE SECOND LIEN REGISTRAR**

Section B-701 Second Lien Trustee; Appointment and Acceptance of Duties. On or prior to the delivery of any Second Lien Obligations, the Issuer shall appoint a Second Lien Trustee. The Second Lien Trustee shall signify its acceptance of the duties and Second Lien Obligations imposed upon it by the Resolution by executing and delivering to the Issuer a written acceptance thereof.

Section B-702 Duties, Liabilities and Rights of the Second Lien Trustee.

1. Prior to the occurrence of a Second Lien Obligations Event of Default of which a Responsible Second Lien Obligation Officer has written notice or actual knowledge, and after the curing or waiver of any Second Lien Obligations Event of Default which may have occurred:

(a) the Second Lien Trustee undertakes to perform such duties and only such duties as are specifically set forth in the Resolution, and no implied covenants or Second Lien Obligations shall be read into the Resolution against the Second Lien Trustee; and

(b) in the absence of bad faith on its part, the Second Lien Trustee may conclusively rely, as to the truth of the statements and the correctness of the opinions expressed therein, upon certificates or opinions furnished to the Second Lien Trustee and conforming to the requirements of the Resolution; but in the case of any such certificates or opinions which by any provision hereof are specifically required to be furnished to the Second Lien Trustee, the Second Lien Trustee is under a duty to examine same to determine whether or not they conform to the requirements of the Resolution.

2. In case a Second Lien Obligations Event of Default of which a Responsible Second Lien Obligation Officer has written notice or actual knowledge has occurred and is continuing, the Second Lien Trustee shall exercise such of the rights and powers vested in it by

the Resolution, and use the same degree of care and skill in their exercise, as a prudent Person would exercise or use in the conduct of such Person's own affairs.

3. No provision of the Resolution shall be construed to relieve the Second Lien Trustee from liability for its own negligent action, its own negligent failure to act, or its own willful misconduct, except that:

(a) this subsection 3 shall not be construed to limit the effect of subsection 1 of this Section B-702;

(b) the Second Lien Trustee is not and shall not be liable for any error of judgment made in good faith by a Responsible Second Lien Obligation Officer, unless it is proven that the Second Lien Trustee was negligent in ascertaining the pertinent facts;

(c) the Second Lien Trustee is not and shall not be liable with respect to any action taken or omitted to be taken by it in good faith (i) in accordance with the direction of the Second Lien Owners of the applicable percentage of Second Lien Obligations then Outstanding relating to the time, method and place of conducting any proceeding for any remedy available to the Second Lien Trustee, or (ii) which it believes to be authorized or within its rights or powers under the Resolution;

(d) no provision of the Resolution shall require the Second Lien Trustee to expend or risk its own funds or otherwise incur any personal or financial liability in the performance of any of its duties hereunder, or in the exercise of any of its rights or powers, for which it has not received a satisfactory indemnity;

(e) the Second Lien Trustee may rely on any document believed by it to be genuine and to have been signed or presented by the proper Person and shall not be bound to make any investigation into the facts or matters stated in any resolution, certificate, statement, instrument, opinion, report, notice, request, direction, consent, order, bond, debenture, note, other evidence of indebtedness or other paper or document, but the Second Lien Trustee, in its discretion, may make such further inquiry or investigation into such facts or matters as it may see fit;

(f) the Second Lien Trustee shall not be charged with knowledge of a Second Lien Obligations Event of Default unless a Responsible Second Lien Obligation Officer shall have received written notice from a Second Lien Owner or the Issuer or have actual knowledge; provided that the Second Lien Trustee shall be deemed to have actual knowledge of any failure to pay principal or Second Lien Obligation Redemption Price of or interest on Second Lien Obligations when due;

(g) the Second Lien Trustee shall not be under any obligation to take any action that is discretionary hereunder;

(h) neither the Second Lien Trustee nor any of its directors, officers, employees or agents shall be personally liable for any action taken, suffered or omitted by the Second Lien Trustee in good faith and believed by it to be authorized or within the discretion or rights or powers conferred upon the Second Lien Trustee by the Resolution;

(i) the Second Lien Trustee may execute any of the trusts or powers hereunder or perform any duties hereunder either directly or by or through agents, attorneys, custodians, or nominees and the Second Lien Trustee shall not be responsible for any misconduct or negligence on the part of any agent, attorney, custodians or nominees appointed with due care by it hereunder; and

(j) the Second Lien Trustee may request that the Issuer deliver a certificate of an Authorized Officer setting forth the names of individuals and/or titles of officers authorized at such time to take specified actions pursuant hereto, which certificate may be signed by any Person authorized to sign such a certificate, including any Person specified as so authorized in any such certificate previously delivered and not superseded.

4. Whether or not expressly so provided, every provision of the Resolution relating to the conduct or affecting the liability of or affording protection to the Second Lien Trustee is subject to the provisions of this Section B-702.

5. In the event that the Second Lien Trustee is also acting as Second Lien Paying Agent or Second Lien Registrar hereunder, the rights and protections afforded to the Second Lien Trustee pursuant to this Article B-VII shall also be afforded to the Second Lien Paying Agent and Second Lien Registrar.

Section B-703 Second Lien Paying Agents and Second Lien Registrars; Appointment and Acceptance of Duties.

1. The Second Lien Trustee is hereby appointed the Second Lien Registrar and a Second Lien Paying Agent with respect to the Second Lien Obligations. The Issuer may at any time or from time to time appoint one or more other Second Lien Paying Agents and Second Lien Registrars in the manner and subject to the conditions set forth in Section B-713 for the appointment of a successor Second Lien Paying Agent or Second Lien Registrar. The Issuer may be appointed a Second Lien Paying Agent or Second Lien Registrar.

2. Each Second Lien Paying Agent and Second Lien Registrar other than the Second Lien Trustee shall signify its acceptance of the duties and obligations imposed upon it by the Resolution by executing and delivering to the Issuer and to the Second Lien Trustee a written acceptance thereof.

Section B-704 Responsibilities of Fiduciaries. The recitals of fact contained in the Resolution and in the Second Lien Obligations shall be taken as the statements of the Issuer and no Fiduciary assumes any responsibility for the correctness of the same. No Fiduciary makes any representations as to the validity or sufficiency of the Resolution or of any Second Lien Obligations issued thereunder or in respect of the security afforded by the Resolution, and no Fiduciary shall incur any liability in respect thereof. No Fiduciary shall be under any responsibility or duty with respect to the issuance of the Second Lien Obligations for value or the application of the proceeds thereof or the application of any money paid to the Issuer. No Fiduciary shall be under any responsibility or duty with respect to the application of any money paid to any other Fiduciary. No Fiduciary shall be liable in connection with the performance of its duties under the Resolution, or for any losses, fees, taxes or other charges incurred upon the

purchase or sale or redemption of any securities purchased for or held in any Fund under the Resolution, including any losses incurred by reason of having to sell securities prior to their maturity date, except in each case for its own willful misconduct, negligent action or negligent failure to act.

Section B-705 Evidence on Which Fiduciaries May Act.

1. Each Fiduciary shall be protected in acting or refraining from acting upon any notice, resolution, request, consent, order, certificate, report, opinion, bond, or other paper or document believed by it to be genuine, and to have been signed or presented by the proper party or parties. Each Fiduciary may consult with counsel of its selection, who may or may not be counsel to the Issuer, and the opinion of such counsel shall be full and complete authorization and protection in respect of any action taken, omitted to be taken or suffered by it under the Resolution in good faith and in accordance therewith.

2. Whenever any Fiduciary shall deem it necessary or desirable that a matter be proved or established prior to taking or suffering any action under the Resolution, such matter (unless other evidence in respect thereof be therein specifically prescribed) may be deemed to be conclusively proved and established by a certificate of an Authorized Officer, and such certificate shall be full warrant for any action taken or suffered in good faith under the provisions of the Resolution; but in its discretion the Fiduciary may in lieu thereof accept other evidence of such fact or matter or may require such further or additional evidence as to it may seem reasonable.

3. Except as otherwise expressly provided in the Resolution, any request, order, notice or other direction required or permitted to be furnished pursuant to any provision thereof by the Issuer to any Fiduciary shall be sufficiently executed if executed in the name of the Issuer by an Authorized Officer.

Section B-706 Compensation. The Issuer shall pay to each Fiduciary from time to time such compensation as shall be agreed to in writing between the Fiduciary and the Issuer for all services rendered under the Resolution (which compensation shall not be limited by any provision of law in regard to the compensation of a trustee of an express trust), and also all reasonable expenses, charges, counsel fees and other disbursements, including those of its attorneys, agents, and employees, incurred in and about the performance of their powers and duties under the Resolution. To the extent permitted by law, the Issuer further agrees to indemnify and save each Fiduciary and its officers, directors, agents, and employees harmless against any and all liabilities, losses, damages, claims or expenses which it may incur in the acceptance, exercise and performance of its powers and duties hereunder and which are not due to its willful misconduct, negligence or bad faith. The obligations of this Section B-706 shall survive the discharge of the Resolution. No obligations of the Issuer to make any payment to any Fiduciary shall have the benefit of any lien on or pledge or assignment of the Obligations Trust Estate or the Second Lien Obligations Trust Estate.

A Fiduciary shall notify the Issuer promptly of any claim for which it may seek indemnity. The Issuer shall defend the claim and the Second Lien Trustee shall cooperate in the

defense. The Fiduciary may have separate counsel and the Issuer shall pay the reasonable fees and expenses of such counsel.

Section B-707 Certain Permitted Acts. Any Fiduciary may become the owner of any Second Lien Obligations or any other obligations of the Issuer, with the same rights it would have if it were not a Fiduciary. To the extent permitted by law and pursuant to the Resolution, any Fiduciary may act as depository for, and permit any of its officers or directors to act as a member of, or in any other capacity with respect to, any committee formed to protect the rights of Second Lien Owners or the holders of any other obligations of the Issuer or to effect or aid in any reorganization growing out of the enforcement of the Second Lien Obligations or any other obligations of the Issuer under the Resolution, whether or not any such committee shall represent the Second Lien Owners of a majority in principal amount of the Second Lien Obligations then Outstanding.

Section B-708 Resignation of Second Lien Trustee. The Second Lien Trustee may at any time resign and be discharged of the duties and obligations created by the Resolution by giving not less than 60 days' written notice to the Issuer and mailing notice thereof to the Second Lien Owners of the Second Lien Obligations, specifying the date when such resignation shall take effect, at least 45 days prior to the effective date, provided that such resignation shall take effect upon *the later of* (i) the day specified in such notice and (ii) the day a successor shall have been appointed by the Issuer or the Second Lien Owners as provided in Section B-710 and shall have qualified therefor.

Section B-709 Removal of Second Lien Trustee. The Second Lien Trustee may be removed at any time by an instrument or concurrent instruments in writing, filed with the Second Lien Trustee, and signed by the Second Lien Owners of a majority in principal amount of the Second Lien Obligations then Outstanding or their attorneys-in-fact duly authorized, excluding any Second Lien Obligations held by or for the account of the Issuer or any Related Entity. In addition, so long as no Second Lien Obligations Event of Default shall have occurred and be continuing hereunder and the Second Lien Trustee is not pursuing any right or remedy available to it pursuant to the Resolution, the Second Lien Trustee may be removed by the Issuer at any time for failure to provide reasonably acceptable services, failure to charge reasonably acceptable fees or any other reasonable cause, all as determined by a certificate of an Authorized Officer filed with the Second Lien Trustee. Any such removal shall not be effective until a successor shall have been appointed by the Issuer or the Second Lien Owners as provided in Section B-710 and shall have qualified therefor.

Section B-710 Appointment of Successor Second Lien Trustee.

1. In case at any time the Second Lien Trustee shall resign or shall be removed or shall become incapable of acting, or shall be adjudged a bankrupt or insolvent, or if a receiver, liquidator or conservator of the Second Lien Trustee, or of its property, shall be appointed, or if any public officer shall take charge or control of the Second Lien Trustee, or of its property or affairs, a successor may be appointed by the Second Lien Owners of a majority in principal amount of the Second Lien Obligations then Outstanding, excluding any Second Lien Obligations held by or for the account of the Issuer, by an instrument or concurrent instruments in writing signed and acknowledged by such Second Lien Owners or by their attorneys-in-fact

duly authorized and delivered to such successor Second Lien Trustee, notification thereof being given to the Issuer and the predecessor Second Lien Trustee; provided, nevertheless, that unless a successor Second Lien Trustee shall have been appointed by the Second Lien Owners as aforesaid, the Issuer by a duly executed written instrument signed by an Authorized Officer of the Issuer shall therewith appoint a Second Lien Trustee to fill such vacancy until a successor Second Lien Trustee shall be appointed by the Second Lien Owners as authorized in this Section B-710. The Issuer shall mail notice of any such appointment made by it to all Second Lien Owners within 20 days after such appointment. Any successor Second Lien Trustee appointed by the Issuer shall, immediately and without further act, be superseded by a Second Lien Trustee appointed by the Second Lien Owners.

2. If in a proper case no appointment of a successor Second Lien Trustee shall be made pursuant to the foregoing provisions of this Section within 45 days after the Second Lien Trustee shall have given to the Issuer written notice as provided in Section B-708 or after a vacancy in the office of the Second Lien Trustee shall have occurred by reason of its inability to act, the Second Lien Trustee or the Second Lien Owner of any Second Lien Obligations may, at the expense of the Issuer, apply to any court of competent jurisdiction to appoint a successor Second Lien Trustee. Said court may thereupon, after such notice, if any, as such court may deem proper and prescribe, appoint a successor Second Lien Trustee.

3. Any Second Lien Trustee appointed under the provisions of this Section B-710 in succession to the Second Lien Trustee shall be a Bank that is organized under the laws of the State, or, if organized under the laws of another state, authorized to do business in the State of New York, or is a national banking association organized under the laws of the United States of America, doing business and having a corporate trust office in The City of New York, and which at the time of selection meets all thresholds for being well capitalized or adequately capitalized under the prompt corrective action framework applicable to insured depository institutions under Section 38 of the Federal Deposit Insurance Act as in effect from time to time or all thresholds for substantially equivalent categories in any successor legislation, if there be such a Bank willing and able to accept the office on reasonable and customary terms and authorized by law to perform all the duties imposed upon it by the Resolution.

Section B-711 Transfer of Rights and Property to Successor Second Lien Trustee. Any successor Second Lien Trustee appointed under the resolution shall execute, acknowledge and deliver to its predecessor Second Lien Trustee, and also to the Issuer, an instrument accepting such appointment, and thereupon such successor Second Lien Trustee, without any further act, deed or conveyance, shall become fully vested with all money, estates, properties, rights, powers, duties and obligations of such predecessor Second Lien Trustee, with like effect as if originally named as Second Lien Trustee; but the Second Lien Trustee ceasing to act shall nevertheless, on the written request of the Issuer, or of the successor Second Lien Trustee, and, upon the payment of all of its charges hereunder, execute, acknowledge and deliver such instruments of conveyance and further assurance and do such other things as may reasonably be required for more fully and certainly vesting and confirming in such successor Second Lien Trustee all the right, title and interest of the predecessor Second Lien Trustee in and to any property held by it under the Resolution, and shall pay over, assign and deliver to the successor Second Lien Trustee any money or other property subject to the trusts and conditions herein set forth. Should any deed, conveyance or instrument in writing from the Issuer be

required by such successor Second Lien Trustee for more fully and certainly vesting in and confirming to such successor Second Lien Trustee any such estates, rights, powers and duties, any and all such deeds, conveyances and instruments in writing shall, on request, and so far as may be authorized by law, be executed, acknowledged and delivered by the Issuer. Any successor Second Lien Trustee shall promptly notify the Second Lien Registrar and the Second Lien Paying Agents of its appointment as Second Lien Trustee.

Section B-712 Merger or Consolidation. Any Person into which any Fiduciary may be merged or converted or with which it may be consolidated or any company resulting from any merger, conversion or consolidation to which it shall be a party or any company to which any Fiduciary may sell or transfer all or substantially all of its municipal corporate trust business, provided such Person shall be a Bank that is organized under the laws of the State, or, if organized under the laws of another state, authorized to do business in the State of New York, or is a national banking association organized under the laws of the United States of America, doing business and having a corporate trust office in The City of New York, and which at the time of selection meets all thresholds for being well capitalized or adequately capitalized under the prompt corrective action framework applicable to insured depository institutions under Section 38 of the Federal Deposit Insurance Act as in effect from time to time or all thresholds for substantially equivalent categories in any successor legislation, if there be such a Bank willing and able to accept the office on reasonable and customary terms and authorized by law to perform all the duties imposed upon it by the Resolution and shall be the successor to such Fiduciary without the execution or filing of any paper or the performance of any further act.

Section B-713 Resignation or Removal of Second Lien Paying Agent or Second Lien Registrar and Appointment of Successor.

1. Any Second Lien Paying Agent or Second Lien Registrar may at any time resign and be discharged of the duties and obligations created by the Resolution by giving at least 60 days' written notice to the Issuer, the Second Lien Trustee, and the other Second Lien Paying Agents or Second Lien Registrar, as the case may be. Any Second Lien Paying Agent or Second Lien Registrar may be removed at any time by an instrument filed with such Second Lien Paying Agent or Second Lien Registrar, the Second Lien Trustee and the Trustee and signed by the Issuer. Any successor Second Lien Paying Agent or Second Lien Registrar shall be appointed by the Issuer, with the approval of the Second Lien Trustee, and (subject to the requirements of Section B-603) shall be a Bank that is organized under the laws of the State, or, if organized under the laws of another state, authorized to do business in the State of New York, or is a national banking association organized under the laws of the United States of America, doing business and having a corporate trust office in The City of New York, and which at the time of selection meets all thresholds for being well capitalized or adequately capitalized under the prompt corrective action framework applicable to insured depository institutions under Section 38 of the Federal Deposit Insurance Act as in effect from time to time or all thresholds for substantially equivalent categories in any successor legislation, if there be such a Bank willing and able to accept the office on reasonable and customary terms and authorized by law to perform all the duties imposed upon it by the Resolution.

2. In the event of the resignation or removal of any Second Lien Paying Agent, such Second Lien Paying Agent shall pay over, assign and deliver any money held by it as Second

Lien Paying Agent to its successor, or if there be no successor, to the Second Lien Trustee. In the event that for any reason there shall be a vacancy in the office of any Second Lien Paying Agent, the Second Lien Trustee shall act as such Second Lien Paying Agent.

3. In the event of the resignation or removal of any Second Lien Registrar, such Second Lien Registrar shall transfer and deliver all records, certificates and documents held by it as Second Lien Registrar to its successor, or if there be no successor, to the Second Lien Trustee. In the event that for any reason there shall be a vacancy in the office of any Second Lien Registrar, the Second Lien Trustee shall act as such Second Lien Registrar.

Section B-714 Adoption of Authentication. In case any of the Second Lien Obligations contemplated to be issued under the Resolution shall have been authenticated but not delivered, any successor Second Lien Trustee may adopt the certificate of authentication of any predecessor Second Lien Trustee so authenticating such Second Lien Obligations and deliver the Second Lien Obligations so authenticated; and in case any of such Second Lien Obligations shall not have been authenticated, any successor Second Lien Trustee may authenticate such Second Lien Obligations in the name of the predecessor Second Lien Trustee, or in the name of the successor Second Lien Trustee, and in all such cases such certificate shall have the full force which it is anywhere in said Second Lien Obligations or in the Resolution provided that the certificate of the Second Lien Trustee shall have.

Section B-715 Continuing Disclosure Agreements. The Second Lien Trustee shall be entitled to the same rights and the same degree of indemnification in its execution and performance of each continuing disclosure agreement entered into pursuant to Rule 15c2-12 promulgated under the Securities Exchange Act of 1934, as amended, as it is under the Resolution.

ARTICLE B-VIII

DEFAULT AND REMEDIES

Section B-801 Abrogation of Right to Appoint Statutory Second Lien Trustee; Preservation of Statutory Rights and Remedies. Any right of the Second Lien Owners to appoint a trustee under the Issuer Act is hereby abrogated. Subject to the foregoing sentence of this Section B-901 and the provisions of Section 702, the Second Lien Owners and the Second Lien Trustee acting on behalf of the Second Lien Owners shall be entitled to all of the rights and remedies provided or permitted by law.

ARTICLE B-IX

MISCELLANEOUS

Section B-901 Defeasance.

1. If the Issuer shall pay or cause to be paid to the Second Lien Owners of all Second Lien Obligations then Outstanding the principal and interest and Second Lien Obligation Redemption Price, if any, to become due thereon, at the times and in the manner stipulated therein and in the Resolution, then, at the option of the Issuer, expressed in an instrument in

writing signed by an Authorized Officer and delivered to the Second Lien Trustee, the covenants, agreements and other obligations of the Issuer to the Second Lien Owners shall be discharged and satisfied. In such event, the Second Lien Trustee shall, upon the request of the Issuer, execute and deliver to the Issuer all such instruments as may be desirable to evidence such discharge and satisfaction and the Fiduciaries for the Second Lien Obligations shall pay over or deliver to the Issuer all money, securities and funds held by them pursuant to the Resolution which are not required for the payment or redemptions of Second Lien Obligations not theretofore surrendered for such payment or redemption.

2. Outstanding Second Lien Obligations or any portions thereof for the payment or redemption of which money shall have been set aside and shall be held in trust by the Second Lien Paying Agents shall at the respective maturity or redemption dates thereof be deemed to have been paid within the meaning and with the effect expressed in subsection 1 of this Section. Outstanding Second Lien Obligations or any portions thereof shall, prior to the respective maturity or redemption dates thereof, be deemed to have been paid within the meaning and with the effect expressed in subsection 1 of this Section either (A) as provided in the Supplemental Resolution authorizing their issuance or (B) if not so provided, if (a) in case any of said Second Lien Obligations are to be redeemed on any date prior to their maturity, the Issuer shall have given to the Second Lien Trustee in form satisfactory to it irrevocable instructions to mail as provided in Article B-IV notice of redemption on said date of such Second Lien Obligations, (b) there shall have been irrevocably deposited with the Second Lien Trustee either money in an amount which shall be sufficient, or Second Lien Obligation Defeasance Securities the principal of and the interest on which when due will provide money which, together with the money, if any, deposited with the Second Lien Trustee at the same time, shall be sufficient, without further investment or reinvestment of either the principal amount thereof or the interest earnings thereon, to pay when due, the principal or Second Lien Obligation Redemption Price, if applicable, and interest due and to become due on such Second Lien Obligations or such portions thereof on and prior to the redemption date or maturity date thereof, as the case may be, and (c) in the event such Second Lien Obligations are not by their terms maturing or are not subject to redemption within the next succeeding 60 days, the Issuer shall have given the Second Lien Trustee in form satisfactory to it irrevocable instructions to mail, as soon as practicable, a notice to the Second Lien Owners of such Second Lien Obligations that the deposit required by (b) above has been made with the Second Lien Trustee and that said Second Lien Obligations are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which money is to be available for the payment of the principal or Second Lien Obligation Redemption Price, if applicable, on such Second Lien Obligations. Neither Second Lien Obligation Defeasance Securities nor money deposited with the Second Lien Trustee pursuant to this Section nor principal or interest payments on any such Second Lien Obligation Defeasance Securities shall be withdrawn or used for any purpose other than, and shall be held in trust for, the payment of the principal or Second Lien Obligation Redemption Price, if applicable, and interest on said Second Lien Obligations; *provided, however*, that any money on deposit with the Second Lien Trustee, (i) to the extent such money will not be required at any time for such purpose, shall be paid over to the Issuer as received by the Second Lien Trustee, free and clear of any trust, lien or pledge securing said Second Lien Obligations or otherwise existing under the Resolution, and (ii) to the extent such money will be required for such purpose at a later date, shall, to the extent practicable, be reinvested in Second Lien Obligation Defeasance Securities maturing at times and in amounts sufficient, together with any money available to the Second

Lien Trustee for such purpose, to pay when due the principal or Second Lien Obligation Redemption Price, if applicable, and interest to become due on said Second Lien Obligations on and prior to such redemption date or maturity date thereof, as the case may be. Notwithstanding any other provision hereof, the Issuer may at the time of defeasance elect to retain the right to redeem or require the tender of obligations deemed paid pursuant to this Section B-901.2. The Second Lien Trustee shall, at the direction of the Issuer, select the Second Lien Obligations or portions thereof that are deemed to have been paid in advance of the redemption of such Second Lien Obligations.

3. Anything in the Resolution to the contrary notwithstanding, any money held by a Fiduciary in trust for the payment and discharge of the principal or Second Lien Obligation Redemption Price of or interest on any of the Second Lien Obligations which remains unclaimed for 2 years after the date when such principal, Second Lien Obligation Redemption Price or interest, respectively, has become due and payable, either at stated maturity dates or by call for earlier redemption, if such money was held by the Fiduciary at such date, or for 2 years after the date of deposit of such money if deposited with the Fiduciary after the date when such principal, Second Lien Obligation Redemption Price, or interest, respectively, became due and payable, shall, at the written request of the Issuer, be repaid by the Fiduciary to the Issuer, as its absolute property and free from trust, and the Fiduciary shall thereupon be released and discharged with respect thereto and the Second Lien Owners shall look only to the Issuer for the payment of such principal, Second Lien Obligation Redemption Price, or interest, respectively. Notwithstanding the foregoing or anything in the Resolution to the contrary, any money held by a Fiduciary in trust for the payment and discharge of any Second Lien Obligations which remains unclaimed after such money was to be applied to the payment of such Second Lien Obligations in accordance with the Resolution may be applied in accordance with the provisions of the Abandoned Property Law of the State, being Chapter 1 of the Consolidated Laws of the State or any successor provision thereto, and upon such application, the Fiduciary shall thereupon be released and discharged with respect thereto and the Second Lien Owners shall look only to the Issuer or the Comptroller of the State for the payment of such Second Lien Obligations. Before being required to make any such payment to the Issuer or to apply such money in accordance with the Abandoned Property Law of the State, the Fiduciary shall, at the expense of the Issuer, cause to be mailed to the Second Lien Owners entitled to receive such money a notice that said money remains unclaimed and that, after a date named in said notice, which date shall be not less than 30 days after the date of the mailing, the balance of such money then unclaimed will be returned to the Issuer or applied in accordance with the Abandoned Property Law of the State, as the case may be.

Section B-902 Evidence of Signatures of Second Lien Owners and Ownership of Second Lien Obligations.

1. Any request, consent, revocation of consent or other instrument which the Resolution may require or permit to be signed and executed by the Second Lien Owners may be in one or more instruments of similar tenor, and shall be signed or executed by such Second Lien Owners in Person or by their attorneys-in-fact appointed in writing or by such electronic or other means as may be recognized pursuant to applicable law. Proof of (i) the execution of any such instrument, or of an instrument appointing any such attorney, or (ii) the holding by any Person of the Second Lien Obligations shall be sufficient for any purpose of the Resolution, except as

otherwise therein expressly provided, if made in the following manner, or in any other manner satisfactory to the Second Lien Trustee, which may nevertheless in its discretion require further or other proof in cases where it deems the same desirable:

(a) The fact and date of the execution by any Second Lien Owner or his attorney-in-fact of such instrument may be proved by the certificate of a signature guarantor, or of any notary public or other officer authorized to take acknowledgments of deeds, that the Person signing such request or other instrument acknowledged to him or her the execution thereof, or by an affidavit of a witness of such execution, duly sworn to before such notary public or other officer. Where such execution is by an officer of a corporation or association or a member of a partnership, on behalf of such corporation, association or partnership such certificate or affidavit shall also constitute sufficient proof of his authority; and

(b) The ownership of Second Lien Obligations and the amount, numbers and other identification, and date of holding the same shall be proved by the registry books.

2. Any request or consent by a Second Lien Owner of Second Lien Obligations shall bind all future Second Lien Owners of such Second Lien Obligations in respect of anything done or suffered to be done by the Issuer or any Fiduciary in accordance therewith.

Section B-903 Money Held for Particular Second Lien Obligations. The amounts held by any Fiduciary for the payment of the interest, principal or Second Lien Obligation Redemption Price due on any date with respect to particular Second Lien Obligations shall, on and after such date and pending such payment, be set aside on its books and held in trust by it for the Second Lien Owners entitled thereto.

Section B-904 Preservation and Inspection of Documents. All documents received by a Fiduciary under the provisions of the Resolution shall be retained in its possession and shall be subject at all reasonable times to the inspection of the Issuer, any other Fiduciary, and any Second Lien Owners of at least 5% aggregate principal amount of Second Lien Obligations and their agents and their representatives, any of whom may make copies thereof.

Section B-905 Interest of Parties Herein. Nothing in the Resolution expressed or implied is intended or shall be construed to confer upon, or to give to any Person, other than the Issuer, the Fiduciaries, the Second Lien Owners and the holders of Second Lien Parity Debt, any right, remedy or claim under or by reason of the Resolution or any covenant, condition or stipulation thereof, and all the covenants, stipulations, promises and agreements in the Resolution contained by and on behalf of the Issuer shall be for the sole and exclusive benefit of the Issuer, the Fiduciaries, the Second Lien Owners and the holders of Second Lien Parity Debt.

Section B-906 No Recourse on the Second Lien Obligations. No recourse shall be had for the payment of the principal or Second Lien Obligation Redemption Price of or interest on the Second Lien Obligations or Second Lien Parity Debt or for any claim based thereon or on the Resolution against any member, officer, or employee of the Issuer or any Person executing the Second Lien Obligations.

Section B-907 Severability of Invalid Provisions. If any term or provision of this Annex B or the Resolution shall be invalid, inoperative or unenforceable as applied in any particular case in any jurisdiction or jurisdictions or in all jurisdictions, or in all cases because it conflicts with any other provision or provisions hereof or any constitution or statute or rule of public policy, or for any other reason, such circumstances shall not have the effect of rendering the provision in question inoperative or unenforceable in any other case or circumstance, or of rendering any other provision or provisions herein contained invalid, inoperative, or unenforceable to any extent whatever, and such term and provision shall be valid and enforced to the fullest extent permitted by law.

The invalidity of any one or more phrases, sentences, clauses or Sections of the Resolution shall not affect the remaining portions of the Resolution, or any part thereof, including any part of this Annex B.

Section B-908 Exclusion of Second Lien Obligations. Second Lien Obligations owned or held by or for the account of the Issuer or any Related Entity shall not be deemed Outstanding for the purpose of any consent to be given or other action to be taken by or upon the direction of Second Lien Owners of a specified portion of Second Lien Obligations Outstanding, and the Issuer or any Related Entity shall not be entitled with respect to such Second Lien Obligations to give any such consent or to take, or direct the taking of, any such action. At the time of any such consent or action, the Issuer shall furnish to the Second Lien Trustee a certificate of an Authorized Officer, upon which the Second Lien Trustee may conclusively rely, describing all Second Lien Obligations so to be excluded.

Section B-909 Governing Law. The Resolution, including this Annex B, shall be governed by and interpreted in accordance with internal laws of the State, without regard to conflict of law principles thereof.

**ADDITIONAL RESOLUTION PROVISIONS
APPLICABLE TO MTA AND TBTA
SENIOR LIEN PMT OBLIGATIONS AND SECOND LIEN PMT OBLIGATIONS**

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**ADDITIONAL RESOLUTION PROVISIONS
APPLICABLE TO MTA AND TBTA
SENIOR LIEN PMT OBLIGATIONS AND SECOND LIEN PMT OBLIGATIONS**

ARTICLE C-I

DEFINITIONS AND STATUTORY AUTHORITY

Section C-101. Definitions. Capitalized terms used but not otherwise defined in this Annex C shall have the meanings set forth in the Resolution to which this Annex C is appended. The following terms shall, for all purposes herein and (except as the context may otherwise require) in the Resolution to which this Annex C is appended, have the following meanings:

Additional Obligations shall mean all MTA Senior Lien Obligations in the event that the MTA is defined in the Resolution as the Issuer or TBTA Senior Lien Obligations in the event that the TBTA is defined in the Resolution as the Issuer authenticated and delivered pursuant to Section C-201.

Additional Second Lien Obligations shall mean all MTA Second Lien Obligations in the event that the MTA is defined in the Resolution as the Issuer or TBTA Second Lien Obligations in the event that the TBTA is defined in the Resolution as the Issuer authenticated and delivered pursuant to Section C-203.

Applicable Debt Service Payment Date shall mean a Debt Service Payment Date on which an Applicable Trustee is required to make a payment of Debt Service from the MTA Second Lien Debt Service Fund, the MTA Senior Lien Debt Service Fund, the TBTA Second Lien Debt Service Fund or the TBTA Senior Lien Debt Service Fund, as the context requires.

Applicable Trustee shall mean the TBTA Second Lien Trustee, the TBTA Senior Lien Trustee, the MTA Second Lien Trustee or the MTA Senior Lien Trustee, as the context requires.

ATA Receipts shall mean amounts required to be deposited in the Corporate Transportation Account in the MTA Special Assistance Fund pursuant to paragraphs (b-1) and (c-3) of subdivision 2 of Section 503 and Article 17-C of the Vehicle and Traffic Law, as amended, and Article 29-A and Section 1166-a of the Tax Law, as amended, or any successor provisions of law, or any other provision of law directing or permitting the moneys to be pledged hereunder.

Board shall mean, when used with respect to the Issuer, the board of the Issuer acting as such pursuant to the provisions of the Issuer Act.

Capital Costs shall mean (i) the costs of the Issuer or any other Related Entity for the planning, design, acquisition, construction, reconstruction, rehabilitation or improvement of all or any part of the PMT Transit and Commuter Project, including costs of acquisition of real or personal property or any interests therein, legal, administrative, engineering, planning, design, studies, insurance, financing costs (including Costs of Issuance), and initial working capital

required for the commencement of operation of any such project and any capital contributions, whether or not represented by equity or debt securities or other evidences of indebtedness made by the Issuer or any other Related Entity to any Person participating in a PMT Transit and Commuter Project for the purpose of funding any costs described in this clause (i); (ii) amounts paid into any Fund or Account upon the issuance of any Obligations or Second Lien Obligations; and (iii) payment when due (whether at the maturity of principal or on the due date of interest or upon redemption or when otherwise due, including by purchase or through tender or exchange) on any indebtedness or obligation of the Issuer or any other Related Entity which was issued or incurred to finance costs that could at the time of such payment be funded directly hereunder, including Obligations, Obligation Anticipation Notes, Parity Debt, Second Lien Obligations, Second Lien Obligation Anticipation Notes, Second Lien Parity Debt, Other Subordinated Obligations, any termination or other payments for financial, fuel or other hedging arrangements, or any such indebtedness or obligation issued or incurred by any Related Entity in connection with the PMT Transit and Commuter Project.

Corporate Transportation Account shall mean the account bearing such name established by the MTA in the metropolitan transportation authority special assistance fund pursuant to Section 1270-a of the MTA Act, or any successor fund or account provided by law.

Debt Service Year shall mean, unless otherwise provided in a Supplemental Resolution, the twelve-month period commencing on May 16 of each calendar year and ending on May 15 of the next succeeding calendar year, except that the first Debt Service Year shall begin on the date specified in the Supplemental Resolution authorizing the first Series of Obligations or Second Lien Obligations to be issued under the Resolution.

Financing Agreement shall mean the Payroll Mobility Tax Financing Agreement to be entered into by and between the MTA and TBTA in the event that a resolution similar to the MTA PMT Resolution is adopted by TBTA and approved by the Metropolitan Transportation Authority Capital Program Review Board to enable TBTA to issue parity obligations secured under substantially the same terms and conditions as MTA is authorized to issue obligations under the Resolution, as amended and supplemented from time to time.

Initial Interest Period shall mean the period from the date of issuance of Senior Lien Indebtedness or Second Lien Indebtedness, as applicable, to the first Interest Payment Date.

Initial Principal Period shall mean the period from the date of issuance of Senior Lien Indebtedness or Second Lien Indebtedness, as applicable, to date of the first Principal Installment.

Mobility Tax Receipts shall mean all amounts required to be deposited into the Mobility Tax Trust Subaccount in the MTA Finance Fund pursuant to any provision of law directing or permitting the deposit of such amounts and the revenue, including taxes, interest and penalties collected in accordance with Article 23 of the Tax Law.

Monthly Deposit Requirement shall mean an amount equal to the sum of (i) the Monthly Senior Lien Deposit Requirement and (ii) the Monthly Second Lien Deposit Requirement attributable to and payable from PMT Receipts in the amounts for each Month.

MTA PMT Resolution shall mean Metropolitan Transportation Authority Payroll Mobility Tax Obligation Resolution, adopted on November 18, 2020, including Annex A – Standard Resolution Provisions Applicable to MTA and TBTA PMT Obligations and Parity Debt, Annex B – Standard Resolution Provisions Applicable to MTA and TBTA Second Lien PMT Obligations and Second Lien Parity Debt and Annex C – Additional Resolution Provisions Applicable to MTA and TBTA Senior Lien PMT Obligations and Second Lien PMT Obligations appended thereto, as amended and supplemented from time to time.

MTA Second Lien Parity Debt shall mean Second Lien Parity Debt issued under the MTA PMT Resolution.

MTA Senior Lien Parity Debt shall mean Parity Debt issued under the MTA PMT Resolution.

MTA Second Lien Obligations shall mean the Metropolitan Transportation Authority Payroll Mobility Tax Second Lien Revenue Obligations authorized to be issued under Section 203 of the MTA PMT Resolution.

MTA Senior Lien Obligations shall mean the Metropolitan Transportation Authority Payroll Mobility Tax Revenue Obligations authorized to be issued under Section 201 of the MTA PMT Resolution.

MTA Second Lien Indebtedness shall mean MTA Second Lien Obligations and MTA Second Lien Parity Debt.

MTA Senior Lien Indebtedness shall mean MTA Senior Lien Obligations and MTA Parity Debt.

PMT Transit and Commuter Project shall mean any Transportation District Project that may be financed with obligations the payment of which may be secured by and paid from the Mobility Tax Receipts and the ATA Receipts.

Principal Installments shall mean, as of any date of calculation and with respect to any Series of Obligations or any Parity Debt or Second Lien Obligations or Second Lien Parity Debt, as applicable, (a) the principal amount of Outstanding Obligations of such Series, due on the dates and in the amounts specified by Supplemental Resolution, reduced by the principal amount of such Obligations which would be retired by reason of the payment when due and application in accordance with the Resolution of Sinking Fund Installments payable before such dates, plus the unsatisfied balance (determined as provided in Section A-502 of Annex A) of any Sinking Fund Installments due on any certain future date for Obligations of such Series, together with such redemption premiums, if any, applicable on any such future date, (b) with respect to any Parity Debt, the amount due thereunder on the dates and in the amounts established in accordance with Section A-202 of Annex A as a principal component of such Parity Debt payable on a parity with the Obligations, (c) the principal amount of Outstanding Second Lien Obligations of such Series, due on the dates and in the amounts specified by Supplemental Resolution, reduced by the principal amount of such Second Lien Obligations which would be retired by reason of the payment when due and application in accordance with the Resolution of Sinking Fund Installments payable before such dates, plus the unsatisfied balance (determined as

provided in Section B-501 of Annex B) of any Sinking Fund Installments due on any certain future date for Second Lien Obligations of such Series, together with such redemption premiums, if any, applicable on any such future date, (b) with respect to any Second Lien Parity Debt, the amount due thereunder on the dates and in the amounts established in accordance with Section B-202 of Annex B as a principal component of such Parity Debt payable on a parity with the Obligations

Responsible Second Lien Trustee shall mean, in the event a modification or amendment to the MTA PMT Resolution or the TBTA PMT Resolution, as applicable, affects Second Lien Obligations only, or upon the occurrence of a Second Lien Obligations Event of Default, (a) in the event the modification or amendment or the Second Lien Obligations Event of Default affects either the MTA PMT Resolution or the TBTA PMT Resolution, but not both, the applicable Second Lien Trustee, and (b) in the event the modification or amendment or the Second Lien Obligations Event of Default affects both the MTA PMT Resolution and the TBTA PMT Resolution, the Second Lien Trustee who at the time of the proposed modification or amendment or occurrence of the Second Lien Trustee Event of Default has the greater principal amount of Second Lien Obligations Outstanding.

Responsible Senior Lien Trustee shall mean, in the event a modification or amendment to the MTA PMT Resolution or the TBTA PMT Resolution, as applicable, affects Obligations only or affects both Obligations and Second Lien Obligations, or upon the occurrence of an Obligations Event of Default, (a) in the event the modification or amendment or the Obligations Event of Default affects either the MTA PMT Resolution or the TBTA PMT Resolution, but not both, the applicable Senior Lien Trustee, and (b) in the event the modification or amendment or the Obligations Event of Default affects both the MTA PMT Resolution and the TBTA PMT Resolution, the Senior Lien Trustee who at the time of the proposed modification or amendment or the occurrence of the Obligations Event of Default has the greater principal amount of Obligations Outstanding.

Second Lien Indebtedness shall mean MTA Second Lien Indebtedness and TBTA Second Lien Indebtedness.

Second Lien Parity Owners shall mean the Owners of the Second Lien Obligations issued under the MTA PMT Resolution and the Owners of the Second Lien Obligations issued under the TBTA PMT Resolution that are affected by a modification or amendment of the MTA PMT Resolution or the TBTA PMT Resolution or the occurrence of a Second Lien Obligations Event of Default.

Second Lien Trustees shall mean the Trustee for the benefit of the Owners of the Second Lien Obligations issued under the MTA PMT Resolution and the Trustee for the benefit of the Owners of the Second Lien Obligations issued under the TBTA PMT Resolution that are affected by a modification or amendment of the MTA PMT Resolution or the TBTA PMT Resolution or the occurrence of a Second Lien Obligations Event of Default.

Senior Lien Indebtedness shall mean MTA Senior Lien Indebtedness and TBTA Senior Lien Indebtedness.

Senior Lien Parity Owners shall mean the Owners of the Obligations issued under the MTA PMT Resolution and the Owners of the Obligations issued under the TBTA PMT Resolution that are affected by a modification or amendment of the MTA PMT Resolution or the TBTA PMT Resolution or the occurrence of an Obligations Event of Default.

Senior Lien Trustees shall mean the Trustee for the benefit of the Owners of the Obligations issued under the MTA PMT Resolution and the Trustee for the benefit of the Owners of the Obligations issued under the TBTA PMT Resolution that are affected by a modification or amendment of the MTA PMT Resolution or the TBTA PMT Resolution or the occurrence of a Second Lien Obligations Event of Default.

Supplemental Financing Agreement shall mean an agreement supplemental to or amendatory of the Financing Agreement between the MTA and TBTA in accordance with provisions of Section 705 thereof.

TBTA PMT Resolution shall mean the Triborough Bridge and Tunnel Authority Payroll Mobility Tax Obligation Resolution, including Annex A – Standard Resolution Provisions Applicable to MTA and TBTA PMT Obligations and Parity Debt, Annex B – Standard Resolution Provisions Applicable to MTA and TBTA Second Lien PMT Obligations and Second Lien Parity Debt and Annex C – Additional Resolution Provisions Applicable to MTA and TBTA Senior Lien PMT Obligations and Second Lien PMT Obligations appended thereto, as such Resolution may be adopted and amended and supplemented from time to time.

TBTA Second Lien Indebtedness shall mean TBTA Second Lien Obligations and TBTA Second Lien Parity Debt.

TBTA Second Lien Obligations shall mean the Triborough Bridge and Tunnel Authority Payroll Mobility Tax Second Lien Revenue Obligations issued under the TBTA PMT Resolution.

TBTA Second Lien Parity Debt shall mean Second Lien Parity Debt issued under the TBTA PMT Resolution

TBTA Senior Lien Indebtedness shall mean TBTA Obligations and TBTA Parity Debt.

TBTA Senior Lien Obligations shall mean the Triborough Bridge and Tunnel Authority Payroll Mobility Tax Revenue Obligations issued under the TBTA PMT Resolution.

TBTA Senior Lien Parity Debt shall mean Senior Lien Parity Debt issued under the TBTA PMT Resolution.

Section C-102. Rules of Construction.

1. Except as otherwise specified herein, all references in the Resolution (including this Annex C) to Articles, Sections, and other subdivisions are to the corresponding Articles, Sections or subdivisions of the Resolution (including this Annex C), and the words herein, hereof, hereunder and other words of similar import refer to the Resolution as a whole (including this Annex C) and not to any particular Article, Section or subdivision of the Resolution or of

this Annex C. References in the Resolution to Articles or Sections with “A-” preceding the number of an Article or Section are to such Article or Section of Annex A, references in the Resolution to Articles or Sections with “B-” preceding the number of an Article or Section are to such Article or Section of Annex B and references in the Resolution to Articles or Sections with “C-” preceding the number of an Article or Section are to such Article or Section of this Annex C.

2. This Annex C constitutes an integral part of the Resolution and, except to the extent provided in the next 2 sentences, has the same force and effect as if set forth in the forepart of the Resolution. To the extent expressly provided in the Resolution (not including this Annex C, the Issuer may negate, amend or modify any provision of this Annex C. In the event of any conflict between this Annex C and the forepart of the Resolution, the forepart of the Resolution shall control.

ARTICLE C-II

GENERAL PROVISIONS FOR ISSUANCE OR INCURRENCE OF OBLIGATIONS, PARITY DEBT AND OBLIGATION ANTICIPATION NOTES

Section C-201. Special Provisions for Issuance of Additional Obligations.

1. Obligations of one or more Series or subseries may at any time, or from time to time, be authenticated and delivered by the Trustee for an Authorized Purpose only upon receipt by such Trustee, in addition to the documents required by Section A-201 of Annex A, of the following:

(a) A certificate of an Authorized Officer to the effect that the Issuer then is, and, upon the authentication and delivery of the Obligations of such Series, shall be, in compliance with all applicable provisions of the Issuer Act relating to the issuance, sale and delivery of such Obligations; and

(b) A certificate of an Authorized Officer

a. setting forth for any 12 consecutive calendar months ended not more than 6 months prior to the date of such certificate: (i) Mobility Tax Receipts and (ii) ATA Receipts;

b. setting forth the greatest amount for the then current or any future Debt Service Year of the sum of Calculated Debt Service on all Outstanding Senior Lien Indebtedness, *including* the proposed Additional Obligations and any proposed Refunding Obligations being treated as Additional Obligations for purposes of clause (ii) of paragraph (e) of subsection 1 of Section C-202 hereof but *excluding* any Senior Lien Indebtedness to be refunded with the proceeds of such Refunding Obligations being treated as Additional Obligations;

c. stating that the sum of the Mobility Tax Receipts and ATA Receipts set forth in clause (A) hereof is not less than 2.25 times the amount set forth in clause (B) hereof;

d. setting forth the greatest amount for the then current or any future Debt Service Year of the sum of (x) Calculated Debt Service on all Outstanding Senior Lien Indebtedness, *including* the proposed Additional Obligations and any proposed Refunding Obligations being treated as Additional Obligations for purposes of clause (ii) of paragraph (e) of subsection 2 of Section C-202 hereof but *excluding* any Senior Lien Indebtedness to be refunded with the proceeds of such Refunding Obligations being treated as Additional Obligations, and (y) Calculated Second Lien Debt Service on all Outstanding Second Lien Indebtedness, *excluding* any Senior Lien Indebtedness or Second Lien Indebtedness to be refunded with the proceeds of such Refunding Obligations being treated as Additional Obligations for purposes of clause (ii) of paragraph (e) of subsection 2 of Section C-202; and

e. stating that the sum of the Mobility Tax Receipts and ATA Receipts set forth in clause (A) hereof is not less than the number determined and set forth in the Supplemental Resolution authorizing the issuance of the first Series of Second Lien Obligations times the amount set forth in clause (D) hereof;

provided, however, that if on the date of delivery of such certificate, there is then and thereafter required to be deposited into (i) the MTA Finance Fund so as to constitute Mobility Tax Receipts or (ii) into the Corporate Transportation Account so as to constitute ATA Receipts, in each such case, which were not required to be deposited therein during the entire 12 month period to which such certificate relates, the Issuer may include in such certificate the amount which an Authorized Officer estimates would have been deposited in the MTA Finance Fund or the Corporate Transportation Account during such period if such amounts had been required to be so deposited for such entire 12 month period.

Section C-202. Special Provisions for Issuance of Refunding Obligations.

1. In addition to refinancings permitted under Section C-201, one or more Series of Refunding Obligations (in an aggregate principal amount which will provide funds, together with other money available therefor, to accomplish such refunding) may be authenticated and delivered upon original issuance to refund (including by redemption, payment at maturity or in connection with exchanges or tenders) all or any portion of any Outstanding Obligations or Parity Debt; provided that Refunding Obligations may be issued to refund Outstanding Second Lien Obligations or Second Lien Parity Debt upon compliance with clause (ii) of paragraph (e) of subsection 2 of this Section C-202.

2. In addition to the requirements of Section A-201 of Annex A, the Refunding Obligations of each such Series shall be authenticated and delivered by the Trustee only upon receipt by the Trustee of the following:

(a) If the Obligations or Parity Debt to be refunded are to be redeemed, instructions to the Trustee and/or trustee for the Parity Debt, satisfactory to it, to give due notice of redemption of all the Obligations or Parity Debt to be refunded on the redemption date or dates specified in such instructions;

(b) If the Obligations or Parity Debt to be refunded are to be deemed paid within the meaning of the second sentence of subsection 2 of Section A-1101 of Annex A or similar provision with respect to Parity Debt, irrevocable instructions to the Trustee and/or the trustee for the Parity Debt, satisfactory to it, to provide notice in the manner provided in the second sentence of subsection 2 of Section A-1101 of Annex A or similar provision with respect to Parity Debt with respect to the payment of such Obligations or Parity Debt pursuant to such Section or provision;

(c) If the Obligations or Parity Debt to be refunded are to be deemed paid within the meaning of the second sentence of subsection 2 of A-1101 of Annex A or similar provision with respect to Parity Debt, either (i) money and/or (ii) Defeasance Securities as shall be necessary to comply with the provisions of the second sentence of subsection 2 of Section A-1101 of Annex A or defeasance securities as shall be necessary to comply with any similar provision with respect to Parity Debt, which money and Defeasance Securities (or defeasance securities) shall be held in trust and used only as provided in said subsection 2 of Section A-1101 of Annex A or similar provision with respect to Parity Debt;

(d) If the proceeds of such Series of Refunding Obligations are to be utilized by the Issuer to purchase (in connection with a tender for or redemption of Obligations or Parity Debt, or otherwise) Obligations or Parity Debt to be delivered to the Trustee in satisfaction of a Sinking Fund Installment in accordance with subsection 3 of Section A-502 of Annex A or similar provision with respect to Parity Debt, a certificate of an Authorized Officer specifying the matters required thereby; and

(e) Either:

(i) a certificate of an Authorized Officer (w) setting forth (A) the greatest amount of Calculated Debt Service on all Outstanding Senior Lien Indebtedness for any future Debt Service Year during the term of the Obligations (including the Refunding Obligations then proposed to be issued but excluding the Obligations or Parity Debt to be refunded or purchased) and (B) the greatest amount of Calculated Debt Service on all Outstanding Senior Lien Indebtedness for any future Debt Service Year during the term of the Obligations as calculated immediately prior to the issuance of the Refunding Obligations (including the Obligations or Parity Debt to be refunded or purchased but excluding the Refunding Obligations), (x) stating that the greatest amount of Calculated Debt Service on all Outstanding Senior Lien Indebtedness for any future Debt Service Year during the term of the Obligations set forth pursuant to (A) above is not greater than the greatest amount of Calculated Debt Service on all Senior Lien Indebtedness for any future Debt Service Year during the term of the Obligations set forth pursuant to (B) above, (y) setting forth (C) the greatest amount of Calculated Debt Service on all Outstanding Senior Lien Indebtedness and Calculated Second Lien Debt Service on all Outstanding Second Lien Indebtedness for any future Debt Service Year (including the Refunding Obligations then proposed to be issued but excluding the Obligations or Parity Debt to be refunded or purchased) and (D) the greatest amount of aggregate

Calculated Debt Service on all Outstanding Senior Lien Indebtedness and Calculated Second Lien Debt Service on all Outstanding Second Lien Indebtedness for any future Debt Service Year as calculated immediately prior to the issuance of the Refunding Obligations (including the Obligations or Parity Debt to be refunded or purchased but excluding the Refunding Obligations) and (z) stating that the greatest amount of aggregate Calculated Debt Service on all Outstanding Senior Lien Indebtedness and Calculated Second Lien Debt Service on all Outstanding Second Lien Indebtedness for any future Debt Service Year set forth pursuant to (C) is not greater than the greatest amount of aggregate Calculated Debt Service on all Outstanding Senior Lien Indebtedness and Calculated Second Lien Debt Service on all Outstanding Second Lien Indebtedness set forth pursuant to (D) above; or

(ii) the certificate provided for in clause (b) of subsection 1 of Section C-201 with respect to such Series of Refunding Obligations, considering for all purposes of such certificate that such Series of Refunding Obligations is a Series of Additional Obligations and that the Refunding Obligations then proposed to be issued will be Outstanding but the Obligations or Parity Debt to be refunded will no longer be Outstanding.

The proceeds, including accrued interest, of the Refunding Obligations of each such Series shall be applied simultaneously with the delivery of such Obligations in the manner provided in the Supplemental Resolution authorizing such Obligations.

Section C-203. Special Provisions for Issuance of Additional Second Lien Obligations.

1. The Second Lien Obligations of one or more Series or subseries may at any time, or from time to time, be authenticated and delivered by the Second Lien Trustee for an Authorized Purpose only upon receipt by the Second Lien Trustee, in addition to the documents required by Section B-201 of Annex B, of the following:

(a) A certificate of an Authorized Officer to the effect that the Issuer then is, and, upon the authentication and delivery of the Second Lien Obligations of such Series, shall be, in compliance with all applicable provisions of the Issuer Act relating to the issuance, sale and delivery of such Second Lien Obligations; and

(b) A certificate of an Authorized Officer

a. setting forth for any 12 consecutive calendar months ended not more than 6 months prior to the date of such certificate: (i) Mobility Tax Receipts, and (ii) ATA Receipts;

b. setting forth the greatest amount for the then current or any future Debt Service Year of the sum of (x) Calculated Debt Service on all Outstanding Senior Lien Indebtedness, *excluding* any Senior Lien Indebtedness to be refunded with the proceeds of such Refunding Second Lien Obligations, (y) Calculated Second Lien Debt Service on all Outstanding Second Lien Indebtedness,

including the proposed Additional Second Lien Obligations and any proposed Refunding Second Lien Obligations being treated as Additional Second Lien Obligations for purposes of clause (ii) of paragraph (e) of subsection 1 of Section C-203 hereof but *excluding* any Senior Lien Indebtedness or Second Indebtedness to be refunded with the proceeds of such Refunding Second Lien Obligations being treated as Additional Second Lien Obligations; and

c. stating that the sum of the Mobility Tax Receipts and ATA Receipts set forth in clause (A) hereof is not less than the number determined and set forth in the Supplemental Resolution authorizing the issuance of the first Series of Second Lien Obligations times the amount set forth in clause (B) hereof;

provided, however, that if on the date of delivery of such certificate, there is then and thereafter required to be deposited into (i) the MTA Finance Fund so as to constitute Mobility Tax Receipts or Mobility Tax Receipts or (ii) into the Corporate Transportation Account so as to constitute ATA Receipts, in each such case, which were not required to be deposited therein during the entire 12 month period to which such certificate relates, the Issuer may include in such certificate the amount which an Authorized Officer estimates would have been deposited in the MTA Finance Fund or the Corporate Transportation Account or received by MTA during such period if such amounts had been required to be so deposited for such entire 12 month period.

Section C-204. Special Provisions for Issuance of Refunding Second Lien Obligations.

1. In addition to refinancings permitted under Section C-203, one or more Series of Refunding Second Lien Obligations (in an aggregate principal amount which will provide funds, together with other money available therefore, to accomplish such refunding) may be authenticated and delivered by the Second Lien Trustee upon original issuance to refund (including by redemption, payment at maturity or in connection with exchanges or tenders) all or any portion of any Outstanding Senior Lien Indebtedness or Second Lien Indebtedness.

2. In addition to the requirements of Section B-201 of Annex B, the Refunding Second Lien Obligations of each such Series shall be authenticated and delivered by the Second Lien Trustee only upon receipt by the Second Lien Trustee, of the following:

(a) If the Obligations or Parity Debt to be refunded are to be redeemed, deemed paid within the meaning of the second sentence of subsection 2 of Section C-1101 or similar provision with respect to Parity Debt, or purchased in satisfaction of a Sinking Fund Installment in accordance with subsection 3 of Section A-502 of Annex A or similar provision with respect to Parity Debt, the items set forth in clauses (a) through (d), inclusive, of subsection 1 of Section 202, as applicable;

(b) If the Second Lien Obligations or Second Lien Parity Debt to be refunded are to be redeemed, instructions to the Second Lien Trustee and/or the trustee for the Second Lien Parity Debt, satisfactory to it, to give due notice of redemption of all the Second Lien Obligations or Second Lien Parity Debt to be refunded on the redemption date or dates specified in such instructions;

(c) If the Second Lien Obligations or Second Lien Parity Debt to be refunded are to be deemed paid within the meaning of the second sentence of subsection 2 of Section B-1001 of Annex B or similar provision with respect to Second Lien Parity Debt, (i) money and/or (ii) Defeasance Securities as shall be necessary to comply with the provisions of the second sentence of subsection 2 of Section B-1001 of Annex B or defeasance securities as shall be necessary to comply with any similar provision with respect to Second Lien Parity Debt, which Defeasance Securities or defeasance securities and/or money shall be held in trust and used only as provided in Section B-1001 of Annex B or similar provision with respect to Second Lien Parity Debt;

(d) If the proceeds of such Series of Refunding Second Lien Obligations are to be utilized by the Issuer to purchase (in connection with a tender for or redemption of Second Lien Obligations or Second Lien Parity Debt, or otherwise) Second Lien Obligations or Second Lien Parity Debt to be delivered to the Second Lien Trustee in satisfaction of a Second Lien Sinking Fund Installment in accordance with subsection 3 of Section B-502 of Annex B or similar provision with respect to Second Lien Parity Debt, a certificate of an Authorized Officer specifying the matters required thereby; and

(e) Either:

(i) a certificate of an Authorized Officer (a) setting forth (A) the greatest amount of aggregate Calculated Debt Service on all Outstanding Obligations and Parity Debt and Calculated Second Lien Debt Service on all Outstanding Second Lien Obligations and Second Lien Parity Debt for any future Debt Service Year during the term of the Second Lien Obligations (including the Refunding Second Lien Obligations then proposed to be issued but excluding the Obligations, Parity Debt, Second Lien Obligations or Second Lien Parity Debt to be refunded or purchased) and (B) the greatest amount of aggregate Calculated Debt Service on all Outstanding Obligations and Parity Debt and Calculated Second Lien Debt Service on all Outstanding Second Lien Obligations and Second Lien Parity Debt for any future Debt Service Year during the term of the Second Lien Obligations as calculated immediately prior to the issuance of the Refunding Second Lien Obligations (including the Obligations, Parity Debt, Second Lien Obligations or Second Lien Parity Debt to be refunded or purchased but excluding the Refunding Second Lien Obligations) and (b) stating that the greatest amount of aggregate Calculated Debt Service and Calculated Second Lien Debt Service set forth pursuant to (A) above is not greater than the greatest amount of aggregate Calculated Debt Service and Calculated Second Lien Debt Service set forth pursuant to (B) above; or

(ii) the certificate provided for in clause (b) of subsection 2 of Section 204 with respect to such Series of Refunding Second Lien Obligations, considering for all purposes of such certificate that such Series of Refunding Second Lien Obligations is a Series of Additional Second Lien Obligations and that the Refunding Second Lien Obligations then proposed to be issued will be Outstanding, but the Obligations, Parity Debt, Second Lien Obligations or Second Lien Parity Debt to be refunded will no longer be Outstanding.

3. The proceeds, including accrued interest, of the Refunding Second Lien Obligations of each such Series shall be applied simultaneously with the delivery of such Second Lien Obligations in the manner provided in the Supplemental Resolution authorizing such Second Lien Obligations.

Section C-205. Special Provisions for Other Subordinated Obligations.

Other Subordinated Obligations, payable from amounts available to be transferred to the MTA in accordance clause (e) of subsection (5) of Section 507 of the Resolution or the analogous provision of the Financing Agreement, as applicable, may be issued upon the terms and conditions set forth in a Supplemental Resolution of the Issuer adopted at the time of issuance of such Other Subordinated Obligations with such terms and conditions as shall be established by the Issuer in such Supplemental Resolution.

ARTICLE C-III

COVENANTS

Section C-301. Compliance with Financing Agreement. (a) The Issuer shall, at all times, comply with the obligations of the Issuer contained in the Financing Agreement.

(b) In the event that TBTA adopts a resolution that is approved by the Metropolitan Transportation Authority Capital Program Review Board to authorize TBTA to issue parity obligations secured under substantially the same terms and conditions as MTA is authorized to issue obligations under the MTA Parity Resolution, all Owners of Obligations and Second Lien Owners of Second Lien Obligations issued pursuant to MTA PMT Resolution shall be deemed to have consented to (i) the execution by the Issuer and TBTA of the Financing Agreement, including any provisions that may supersede certain of the provisions of MTA PMT Resolution and (ii) the establishment of funds and accounts into which the PMT Receipts will be deposited and applied for the equitable and ratable benefit of the owners of Issuer obligations and TBTA obligations in accordance with and as contemplated by the Financing Agreement. Until such resolution is adopted by TBTA and approved by the Metropolitan Transportation Authority Capital Program Review Board, a copy of this paragraph shall be included in every disclosure document relating to the offering of Obligations and Second Lien Obligations to be issued under this Resolution.

Section C-302. Notice as to Event of Default. The Issuer shall notify the MTA and each Applicable Trustee in writing that an “Obligations Event of Default” or a “Second Lien Obligations Event of Default,” as such terms are defined in Section C-401 and Section C-403, respectively, has occurred and is continuing, which notice shall be given within thirty (30) days after the Issuer has obtained actual knowledge thereof; provided, however, that the Issuer shall provide each of the foregoing with immediate notice of any payment default after the Issuer has obtained actual knowledge thereof.

ARTICLE C-IV

EVENTS OF DEFAULT AND REMEDIES

Section C-401. Obligations Event of Default. Each of the following events is defined as and shall constitute an “Obligations Event of Default” in respect of Obligations and Parity Debt under the MTA PMT Resolution and the TBTA PMT Resolution:

(i) There shall occur a default in the due and punctual payment of the principal or Redemption Price of, or interest on, any Obligation Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution when and as the same shall become due and payable, whether at maturity or by call for redemption, or otherwise and such default shall continue for a period of 30 days.

(ii) There shall occur a failure to observe, or a refusal to comply with, the terms of the MTA PMT Resolution, the TBTA PMT Resolution, the Financing Agreement or any Obligation Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution, other than a failure or refusal constituting an event specified in subsection (i) of this Section C-401 and other than a failure to make all or any portion of any required deposit into any Fund or Account which failure is the result of the fact that the Issuer has not received sufficient Mobility Tax Receipts or ATA Receipts, as appropriate, to make such deposit; *provided, however, that* such failure or refusal shall have continued for a period of 30 days after written notice, specifying such failure and requesting that it be remedied, is given to the Issuer by the applicable Senior Lien Trustee(s) under the MTA PMT Resolution and/or the TBTA PMT Resolution under which the failure or refusal occurred, unless such Senior Lien Trustee(s) shall agree in writing to an extension of such time prior to its expiration, and *provided further that* if the failure stated in the notice cannot be remedied within the applicable period, the Senior Lien Trustee(s) shall not unreasonably withhold its consent to an extension of such time if corrective action has been instituted by the Issuer within such period and is being diligently pursued.

Section C-402. Powers of the Senior Lien Trustee(s).

1. In the event that any Obligations Event of Default specified in Section C-401 shall occur and be continuing, the Responsible Senior Lien Trustee may, and, upon written request of the Senior Lien Parity Owners of a majority in aggregate principal amount of the Obligations then Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution, shall, in its name:

(a) by suit, action or proceeding in accordance with the civil practice law and rules enforce all rights of the Senior Lien Parity Owners;

(b) bring suit upon the Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution against the Issuer(s);

(c) by action or suit, require the Issuer(s) to account as if it were the trustee of an express trust for the Senior Lien Parity Owners; or

(d) by action or suit, enjoin any acts or things which may be unlawful or in violation of the rights of the Senior Lien Parity Owners;

provided, however, that under no circumstances may the Responsible Senior Lien Trustee or any Senior Lien Parity Owner or Senior Lien Parity Owners declare the principal amount of all the Obligations then Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution, and the interest accrued thereon, to be immediately due and payable.

2. Subject to the provisions of Sections C-401 and A-801 of Annex A and the foregoing provisions of this Section C-402, the remedies conferred upon or reserved to the Responsible Senior Lien Trustee in respect of any Obligations Event of Default are not intended to be exclusive of any other available remedy or remedies, but each and every such remedy shall be cumulative and shall be in addition to every other remedy given under the MTA PMT Resolution and the TBTA PMT Resolution or now or hereafter existing at law or in equity or by statute; *provided, however,* that the Responsible Senior Lien Trustee or the Senior Lien Parity Owners shall not have the right to declare all Obligations then Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution to be immediately due and payable. No delay or omission to exercise any right or power accruing upon any Obligations Event of Default shall impair any such right or power or shall be construed to be a waiver thereof, but any such right and power may be exercised from time to time and as often as may be deemed expedient. In order to entitle the Responsible Senior Lien Trustee to exercise any remedy reserved to it in this Article, it shall not be necessary to give any notice, other than notice as may be expressly required herein.

3. The Responsible Senior Lien Trustee shall, in addition to the foregoing powers, have and possess all of the powers necessary or appropriate for the exercise of any functions specifically set forth herein or incidental to the general representation of the Senior Lien Parity Owners in the enforcement and protection of their rights.

4. The Issuer covenants that if an Obligations Event of Default shall have happened and shall not have been remedied, the books of record and account of the Issuer and all other records relating to the Obligations Trust Estate shall at all times be subject to the inspection and use of the Responsible Senior Lien Trustee and of its agents and attorneys and, upon demand of the Responsible Senior Lien Trustee, the Issuer will account, as if it were the trustee of an express trust, for the Obligations Trust Estate for such period as shall be stated in such demand.

Section C-403. Priority of Payments After Default on Obligations.

1. In the event that the funds held by the Fiduciaries shall be insufficient for the payment of interest and principal or Redemption Price then due on the Obligations Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution and for payments then due with respect to Parity Debt, such funds (excluding funds held for the payment or redemption of particular Obligations Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution which have theretofore become due at maturity or by call for redemption and funds which at the time of their deposit into any Fund or Account under the MTA PMT Resolution or the TBTA PMT Resolution have been designated to be applied solely to the payment of the principal of and premium, if any, and interest on any series of Obligation Anticipation Notes

Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution under which such Obligation Anticipation Notes are Outstanding) and any other money received or collected by the Fiduciaries, or the applicable Senior Lien Trustee(s), after making provision for the payment of any expenses necessary in the opinion of the applicable Senior Lien Trustee(s) to preserve the continuity of the amounts to be received under the MTA PMT Resolution or the TBTA PMT Resolution or otherwise to protect the interest of the Senior Lien Parity Owners, and for the payment of the charges and expenses and liabilities incurred and advances made by the Fiduciaries in the performance of their duties under the MTA PMT Resolution or the TBTA PMT Resolution, shall be applied as follows:

(a) Unless the principal of all of the Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution shall have become due and payable,

First: To the payment to the Persons entitled thereto of all installments of interest then due with respect to Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and the interest components of Parity Debt in the order of the maturity of such installments and interest components, and, if the amount available shall not be sufficient to pay in full any installments and interest components due on the same date, then to the payment thereof ratably, according to the amounts due on such installments and interest components, to the Persons entitled thereto, without any discrimination or preference, except as to the difference in the respective rates of interest specified in such Obligations and Parity Debt; and

Second: To the payment to the Persons entitled thereto of the unpaid principal or Redemption Price of any Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and the principal component of Parity Debt which shall have become due, whether at maturity or by call for redemption, in the order of their due dates and, if the amount available shall not be sufficient to pay in full all the Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and Parity Debt due on any date, then to the payment thereof ratably, according to the amounts of principal and Redemption Price and principal component due on such date, to the Persons entitled thereto, without any discrimination or preference.

(b) If the principal of all of the Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and the principal component of Parity Debt shall have become due and payable, to the payment of the principal and interest then due and unpaid upon the Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and Parity Debt without preference or priority of principal or principal component over interest or interest component or of interest or interest component over principal or principal component, or of any installment of interest or interest component over any other installment of interest or interest component, or of any such Obligation or Parity Debt over any other such Obligation or Parity Debt, ratably, according to the amounts due respectively for principal and interest, to the Persons entitled thereto, without any discrimination or preference except as to any difference in the respective rates of interest specified in such Obligations and Parity Debt.

(c) For purposes of clarification, at the time of the distribution of amounts in connection with the foregoing, the amounts on deposit in the Funds and Accounts under the MTA PMT Resolution intended for the payment of Obligations shall be available solely for the payment of the Obligations Outstanding under the MTA PMT Resolution and the amounts on deposit in the Funds and Accounts under the TBTA PMT Resolution intended for the payment of Obligations shall be available solely for the payment of the Obligations Outstanding under the TBTA PMT Resolution.

2. The provisions of this Section C-403 are in all respects subject to the provisions of Section A-602 of Annex A.

Section C-404. Second Lien Obligations Event of Default. Each of the following events is defined as and shall constitute a “Second Lien Obligations Event of Default” in respect of Second Lien Obligations and Second Lien Parity Debt under the MTA PMT Resolution and the TBTA PMT Resolution:

(1) There shall occur a default in the due and punctual payment of the principal or Redemption Price of, or interest on, any Second Lien Obligation Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution when and as the same shall become due and payable, whether at maturity or by call for redemption, or otherwise and such default shall continue for a period of 30 days.

(2) There shall occur a failure to observe, or a refusal to comply with, the terms of the MTA PMT Resolution, the TBTA PMT Resolution, the Financing Agreement or any Second Lien Obligation Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution, other than a failure or refusal constituting an event specified in subsection (i) of this Section C-404 and other than a failure to make all or any portion of any required deposit into any Fund or Account which failure is the result of the fact that the Issuer has not received sufficient Mobility Tax Receipts or ATA Receipts, as appropriate, to make such deposit; *provided, however, that* such failure or refusal shall have continued for a period of 60 days after written notice, specifying such failure and requesting that it be remedied, is given to the Issuer by the applicable Second Lien Trustee(s) under the MTA PMT Resolution and/or the TBTA PMT Resolution under which the failure or refusal occurred, unless such Second Lien Trustee(s) shall agree in writing to an extension of such time prior to its expiration, and *provided further that* if the failure stated in the notice cannot be remedied within the applicable period, the Second Lien Trustee(s) shall not unreasonably withhold its consent to an extension of such time if corrective action has been instituted by the Issuer within such period and is being diligently pursued.

Section C-405. Powers of Second Lien Trustee(s) in Respect of a Second Lien Obligations Event of Default.

1. In the event that any Second Lien Obligations Event of Default specified in Section C-404 shall occur and be continuing, the Responsible Second Lien Trustee may, and, upon written request of the Second Lien Parity Owners of a majority in aggregate principal

amount of the Second Lien Obligations then Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution, shall, in its name:

- (a) by suit, action or proceeding in accordance with the civil practice law and rules enforce all rights of the Second Lien Parity Owners;
- (b) bring suit upon the Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution against the Issuer(s);
- (c) by action or suit, require the Issuer(s) to account as if it were the trustee of an express trust for the Second Lien Parity Owners; or
- (d) by action or suit, enjoin any acts or things which may be unlawful or in violation of the rights of the Second Lien Parity Owners;

provided, however, that under no circumstances may the Responsible Second Lien Trustee or any Second Lien Parity Owner or Second Lien Parity Owners declare the principal amount of all the Second Lien Obligations then Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution, and the interest accrued thereon, to be immediately due and payable.

Such rights shall be subordinate, and subject to in all respects, to the rights of the Owners of Obligations and Parity Debt under the MTA PMT Resolution and the TBTA PMT Resolution with respect to the pledge of all right, title and interest of the applicable Issuer in and to the Financing Agreement and the payments to be made under the Financing Agreement on account of Obligations and Parity Debt.

2. Subject to the provisions of Sections C-404 and B-801 of Annex B and the foregoing provisions of this Section C-405, the remedies conferred upon or reserved to the Responsible Second Lien Trustee in respect of any Second Lien Obligations Event of Default are not intended to be exclusive of any other available remedy or remedies, but each and every such remedy shall be cumulative and shall be in addition to every other such remedy given under the MTA PMT Resolution and the TBTA PMT Resolution or now or hereafter existing at law or in equity or by statute; *provided, however,* that the Responsible Second Lien Trustee or the Second Lien Parity Owners shall not have the right to declare all Second Lien Obligations then Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution to be immediately due and payable. No delay or omission to exercise any right or power accruing upon any Second Lien Obligations Event of Default shall impair any such right or power or shall be construed to be a waiver thereof, but any such right and power may be exercised from time to time and as often as may be deemed expedient. In order to entitle the Responsible Second Lien Trustee to exercise any remedy reserved to it in this Article, it shall not be necessary to give any notice, other than notice as may be expressly required herein.

3. The Responsible Second Lien Trustee shall in addition to the foregoing powers, have and possess all of the powers necessary or appropriate for the exercise of any functions specifically set forth herein or incidental to the general representation of Second Lien Parity Owners in the enforcement and protection of their rights.

4. The Issuer covenants that if a Second Lien Obligations Event of Default shall have happened and shall not have been remedied, the books of record and account of the Issuer and all other records relating to the Second Lien Obligations Trust Estate shall at all times be subject to the inspection and use of the Responsible Second Lien Trustee and of its agents and attorneys and, upon demand of the Responsible Second Lien Trustee, the Issuer will account, as if it were the trustee of an express trust, for the Second Lien Obligations Trust Estate for such period as shall be stated in such demand.

Section C-406. Priority of Payments After Default on Second Lien Obligations.

1. In the event that the funds held by the Fiduciaries shall be insufficient for the payment of interest and principal or Second Lien Redemption Price then due on the Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and for payments then due with respect to Second Lien Parity Debt, such funds (excluding funds held for the payment or redemption of particular Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution which have theretofore become due at maturity or by call for redemption and funds which at the time of their deposit into any Fund or Account under the MTA PMT Resolution and the TBTA PMT Resolution have been designated to be applied solely to the payment of the principal of and premium, if any and interest on any series of Second Lien Obligation Anticipation Notes Outstanding under the MTA PMT Resolution or the TBTA PMT Resolution under which such Obligation Anticipation Notes are Outstanding) and any other money received or collected by the Fiduciaries, or the applicable Second Lien Trustee, after making provision for the payment of any expenses necessary in the opinion of the applicable Second Lien Trustee to preserve the continuity of the amounts to be received under the MTA PMT Resolution or the TBTA PMT Resolution or otherwise to protect the interest of the Second Lien Parity Owners, and for the payment of the charges and expenses and liabilities incurred and advances made by the Fiduciaries in the performance of their duties under the MTA PMT Resolution or the TBTA PMT Resolution, shall be applied as follows:

(a) Unless the principal of all of the Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution shall have become due and payable,

First: To the payment to the Persons entitled thereto of all installments of interest then due with respect to Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and the interest components of Second Lien Parity Debt in the order of the maturity of such installments and interest components, and, if the amount available shall not be sufficient to pay in full any installments and interest components due on the same date, then to the payment thereof ratably, according to the amounts due on such installments and interest components, to the Persons entitled thereto, without any discrimination or preference, except as to the difference in the respective rates of interest specified in such Second Lien Obligations and Second Lien Parity Debt; and

Second: To the payment to the Persons entitled thereto of the unpaid principal or Redemption Price of any Second Lien Obligations Outstanding under

the MTA PMT Resolution and the TBTA PMT Resolution and the principal component of Second Lien Parity Debt which shall have become due, whether at maturity or by call for redemption, in the order of their due dates and, if the amount available shall not be sufficient to pay in full all the Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and Second Lien Parity Debt due on any date, then to the payment thereof ratably, according to the amounts of principal and Second Lien Redemption Price and principal component due on such date, to the Persons entitled thereto, without any discrimination or preference.

(b) If the principal of all of the Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and the principal component of Second Lien Parity Debt shall have become due and payable, to the payment of the principal and interest then due and unpaid upon the Second Lien Obligations Outstanding under the MTA PMT Resolution and the TBTA PMT Resolution and Second Lien Parity Debt without preference or priority of principal or principal component over interest or interest component or of interest or interest component over principal or principal component, or of any installment of interest or interest component over any other installment of interest or interest component, or of any such Second Lien Obligations and Second Lien Parity Debt over any other such Second Lien Obligations and Second Lien Parity Debt, ratably, according to the amounts due respectively for principal and interest, to the Persons entitled thereto, without any discrimination or preference except as to any difference in the respective rates of interest specified in such Second Lien Obligations and Second Lien Parity Debt.

(c) For purposes of clarification, at the time of the distribution of amounts in connection with the foregoing, the amounts on deposit in the Funds and Accounts under the MTA PMT Resolution intended for the payment of Second Lien Obligations shall be available solely for the payment of the Second Lien Obligations Outstanding under the MTA PMT Resolution and the amounts on deposit in the Funds and Accounts under the TBTA PMT Resolution intended for the payment of Second Lien Obligations shall be available solely for the payment of the Second Lien Obligations Outstanding under the TBTA PMT Resolution.

2. Such rights set forth in this Section C-406 shall be subordinate, and subject in all respects, to the prior pledge of the Obligations Trust Estate securing Obligations and Parity Debt created pursuant to subsection 1 of Section 501.

3. The provisions of this Section C-406 are in all respects subject to the provisions of Section B-602 of Annex B.

ARTICLE C-V

SUPPLEMENTAL RESOLUTIONS

Section C-501. General Provisions.

1. The Resolution shall not be modified or amended in any respect except as provided in and in accordance with and subject to the provisions of this Article C-V and in Article C-VI of this Annex C. Nothing contained in this Article C-V or in Article C-VI shall affect or limit the right or obligation of the Issuer to execute and deliver to any Fiduciary any instrument which elsewhere in the Resolution it is provided shall be delivered to said Fiduciary.

2. Modifications or amendments effected in accordance with this Article C-V are generally modifications or amendments that do not materially and adversely affect the respective Owners of Obligations or Second Lien Obligations under the Resolution.

3. Any Supplemental Resolution referred to and permitted or authorized by this Article C-V may be adopted by the Issuer without the consent of any of the Owners or the Second Lien Owners, but shall become effective only on the conditions, to the extent and at the time provided in this Article C-V. The copy of every Supplemental Resolution when filed with the Trustee shall be accompanied by a Counsel's Opinion stating that such Supplemental Resolution has been duly and lawfully adopted in accordance with the provisions of the Resolution, is authorized or permitted by the Resolution, and is valid and binding upon the Issuer and enforceable in accordance with its terms; *provided, however*, that the concurrent delivery of an Opinion of Bond Counsel required by Section A-201.2(a) of Annex A shall satisfy this requirement.

4. No Supplemental Resolution shall change or modify any of the rights or obligations of any Fiduciary without its written consent.

Section C-502. Supplemental Resolutions Effective Upon Filing with the Trustee and Second Lien Trustee. For any one or more of the following purposes and at any time or from time to time, a Supplemental Resolution may be adopted, without the consent of or notice to any Owner or Second Lien Owner which, upon the filing with the Trustee and the Second Lien Trustee of a copy thereof certified by an Authorized Officer, or, if adopted prior to the appointment of a Trustee pursuant to Section A-701 of Annex A or a Second Lien Trustee pursuant to Section B-701 of Annex B, upon its adoption, shall be fully effective in accordance with its terms:

(1) To add to the covenants and agreements of the Issuer in the Resolution, other covenants and agreements to be observed by the Issuer which are not contrary to or inconsistent with the Resolution as theretofore in effect;

(2) To surrender any right, power or privilege reserved to or conferred upon the Issuer by the Resolution;

(3) To confirm, as further assurance, any pledge under, and the subjection to any lien or pledge created or to be created by, the Resolution of any additional security other than that granted or pledged under the Resolution;

(4) To add to the Resolution any provisions required to preserve the exclusion from gross income for Federal income tax purposes of interest received on Tax-Exempt Obligations or Tax-Exempt Second Lien Obligations then Outstanding or to be issued or the exemption of interest received on any Obligations or Second Lien Obligations from

State income taxation or the right to receive subsidies relating to Taxable Obligations or Taxable Second Lien Obligations then Outstanding or to be issued;

(5) To modify, amend or supplement the Resolution in such manner as to permit the qualification hereof under the Trust Indenture Act of 1939, as amended, or any similar Federal statute hereafter in effect or to permit the qualification of the Obligations or Second Lien Obligations for sale under the securities laws of any of the states of the United States of America, and, if the Issuer so determines, to add hereto such other terms, conditions and provisions as may be required by said Trust Indenture Act of 1939 or similar Federal statute;

(6) At any time prior to the first authentication and delivery of any Obligations under the Resolution or at any other time when no Obligations, Second Lien Obligations or Other Subordinated Obligations are Outstanding under the Resolution, to modify the provisions of the Resolution in such manner as the Issuer deems necessary or appropriate;

(7) To cure any ambiguity, supply any omission, or cure or correct any defect or inconsistent provision in the Resolution, including modifications, amendments and supplements necessary to effectuate changes required by, or necessary or convenient in connection with, the execution and delivery of the Financing Agreement;

(8) To insert such provisions clarifying matters or questions arising under the Resolution as are necessary or desirable and are not contrary to or inconsistent with the Resolution as theretofore in effect, including, in the event the Issuer Act or the MTA Act is amended or other legislation is enacted to so provide, the substitution of an alternate or different legal name for the current name of the Issuer or any other Related Entity in the Resolution or the form of Obligations, Second Lien Obligations or Other Subordinated Obligations;

(9) To make any other modification or amendment of the Resolution which the Issuer shall in its sole discretion determine will not have a material adverse effect on the interests of the Owners of Outstanding Obligations, Second Lien Owners of Outstanding Second Lien Obligations and owners of Other Subordinated Obligations;

(10) To authorize Obligations of a Series and, in connection therewith, (a) specify and determine the matters and things referred to in the provisions of the Resolution authorizing issuance of Obligations, and also any other matters and things relative to such Obligations which are not contrary to or inconsistent with the Resolution as theretofore in effect, (b) in the case of Variable Interest Rate Obligations or Put Obligations, as applicable, set forth provisions specifying the manner in which interest on Variable Interest Rate Obligations or Put Obligations, as applicable, is to be calculated for the purposes of various definitions and provisions of the Resolution, provisions providing for changes in interest rates, interest rate periods or interest payment dates for any Variable Interest Rate Obligation of a Series or Put Obligations, as applicable, provisions regarding an Owner's right or obligation to tender Put Obligations for redemption or purchase in lieu of redemption, and provisions governing the manner in

which Variable Interest Rate Obligations or Put Obligations, as applicable, which the Owner thereof has the right to, or has exercised a right to, tender for redemption or purchase in lieu of redemption shall be treated for purposes of various definitions and provisions of the Resolution, (c) set forth provisions governing the administration of any Qualified Swap or Credit Facility, and provisions providing for the issuance of Reimbursement Obligations or the conversion of other Obligations to Reimbursement Obligations (and in connection with such conversion to change the interest rates, sinking fund provisions or maturity date on such Obligations) to secure or reimburse the provider of such Credit Facility, (d) in the case of either Taxable Obligations or Tax-Exempt Obligations, set forth defeasance provisions with respect thereto (including the manner of attaining such defeasance and the effect thereof), and (e) make such additional changes herein, not materially adverse to the rights of the Owners of the Obligations previously issued, as are necessary or appropriate; or to amend, modify or rescind any such authorization, specification or determination at any time prior to the first issuance and delivery of such Obligations;

(11) To authorize Second Lien Obligations of a Series and, in connection therewith, (a) specify and determine the matters and things referred to in the provisions of the Resolution authorizing issuance of Second Lien Obligations, and also any other matters and things relative to such Obligations which are not contrary to or inconsistent with the Resolution as theretofore in effect, (b) in the case of Variable Interest Rate Second Lien Obligations or Second Lien Put Obligations, as applicable, set forth provisions specifying the manner in which interest on Variable Interest Rate Second Lien Obligations or Second Lien Put Obligations, as applicable, is to be calculated for the purposes of various definitions and provisions of the Resolution, provisions providing for changes in interest rates, interest rate periods or interest payment dates for any Variable Interest Rate Second Lien Obligation of a Series or Second Lien Put Obligations, as applicable, provisions regarding a Second Lien Owner's right or obligation to tender Second Lien Put Obligations for redemption or purchase in lieu of redemption, and provisions governing the manner in which Variable Interest Rate Second Lien Obligations or Second Lien Put Obligations, as applicable, which the Second Lien Owner thereof has the right to, or has exercised a right to, tender for redemption or purchase in lieu of redemption shall be treated for purposes of various definitions and provisions of the Resolution, (c) set forth provisions governing the administration of any Qualified Second Lien Swap or Second Lien Obligation Credit Facility, and provisions providing for the issuance of Second Lien Reimbursement Obligations or the conversion of other Second Lien Obligations to Second Lien Reimbursement Obligations (and in connection with such conversion to change the interest rates, sinking fund provisions or maturity date on such Second Lien Obligations) to secure or reimburse the provider of such Second Lien Obligation Credit Facility, (d) in the case of either Taxable Second Lien Obligations or Tax-Exempt Second Lien Obligations, set forth defeasance provisions with respect thereto (including the manner of attaining such defeasance and the effect thereof), and (e) make such additional changes herein, not materially adverse to the rights of the Second Lien Owners of the Second Lien Obligations previously issued, as are necessary or appropriate; or to amend, modify or rescind any such authorization, specification or determination at any time prior to the first issuance and delivery of such Second Lien Obligations;

(12) To authorize Obligation Anticipation Notes in accordance with Section A-203 of Annex A and Second Lien Obligation Anticipation Notes in accordance with Section B-203 of Annex B and, in connection therewith, specify and determine the matters and things referred to in Section A-203 of Annex A or Section B-203 of Annex B, as applicable, and also any other matters and things relative to such Obligation Anticipation Notes or Second Lien Obligation Anticipation Notes, as applicable, which are not contrary to or inconsistent with the Resolution as theretofore in effect;

(13) To (a) establish for any one or more Series of Obligations or Second Lien Obligations a separate debt service reserve fund which shall be permitted to be applied solely to the payment of specified Obligations or Second Lien Obligations, provided that (i) the specified Obligations or Second Lien Obligations shall have no claim or lien on nor be payable from any amounts in any other such debt service reserve fund, (ii) the specified Obligations or Second Lien Obligations shall be excluded from the calculation of any applicable debt service reserve fund requirement for any other Outstanding Obligations or Second Lien Obligations, and (iii) the amount required to be on deposit in such debt service reserve funds shall be specified in the Supplemental Resolutions authorizing the specified Obligations or Second Lien Obligations, but in no event shall such amount, after giving effect to any surety bond, insurance policy, letter of credit or similar obligation deposited in any such separate debt service reserve fund pursuant to the Resolution, be in excess of the amount that would otherwise be the debt service reserve fund requirement for such specified Obligations or Second Lien Obligations assuming that such Obligations or Second Lien Obligations were the only Obligations or Second Lien Obligations Outstanding under the Resolution; and (b) make such other amendments, changes or modifications to the Resolution as may be deemed necessary or desirable by the Issuer to insure that such debt service reserve funds function in the manner contemplated in this subsection;

(14) To authorize Parity Debt and, in connection therewith, specify and determine (or provide procedures for an Authorized Officer to specify or determine) the matters and things referred to in paragraphs (4) and (6) of Section A-202 of Annex A, and also any other matters and things relative to such Parity Debt which are not contrary to or inconsistent with the Resolution as then in effect, or to amend, modify or rescind any such authorization, specification or determination at any time prior to the first issuance or entering into of such Parity Debt, and at any time to rescind or limit any authorization for any such Parity Debt theretofore authorized but not issued or entered into; in connection with the authorization of Parity Swap Obligations and Parity Reimbursement Obligations, any such Supplemental Resolution may include provisions for the availability, transferability, use or application of the Funds, Accounts and subaccounts established pursuant to Section 502 of the Resolution for the benefit of such Parity Swap Obligations and Parity Reimbursement Obligations; and may grant to the Owners of such Parity Debt the same rights granted to Owners of Obligations in Article C-VI of this Annex C.

(15) To authorize Second Lien Parity Debt and, in connection therewith, specify and determine (or provide procedures for an Authorized Officer to specify or determine) the matters and things referred to in paragraphs (4) and (6) of Section B-202

of Annex B of the Resolution, and also any other matters and things relative to such Second Lien Parity Debt which are not contrary to or inconsistent with the Resolution as then in effect, or to amend, modify or rescind any such authorization, specification or determination at any time prior to the first issuance or entering into of such Second Lien Parity Debt, and at any time to rescind or limit any authorization for any such Second Lien Parity Debt theretofore authorized but not issued or entered into; in connection with the authorization of Second Lien Parity Swap Obligations and Second Lien Parity Reimbursement Obligations, any such Supplemental Resolution may include provisions for the availability, transferability, use or application of the Funds, Accounts and subaccounts established pursuant to Section 502 of the Resolution for the benefit of such Second Lien Parity Swap Obligations and Second Lien Parity Reimbursement Obligations; and may grant to the holders of such Second Lien Parity Debt the same rights granted to Second Lien Owners of Second Lien Obligations in Article C-VI of this Annex C.

(16) To authorize Other Subordinated Obligations and, in connection therewith, specify and determine (or provide procedures for an Authorized Officer to specify or determine) the matters and things required or permitted by Article V of the Resolution in connection therewith, and also any other matters and things relative to such Other Subordinated Obligations which are not contrary to or inconsistent with the Resolution as then in effect, or at any time to amend, rescind or limit any authorization for any such Other Subordinated Obligations thereto be authorized but not issued or entered into; and in connection with the authorization of Other Subordinated Obligations, any such Supplemental Resolution may include provisions for the availability, transferability, use or application of amounts available to pay Other Subordinated Obligations and any other funds, accounts or subaccounts created for the benefit of such Other Subordinated Obligations;

(17) To modify any of the provisions of the Resolution in any respect whatsoever, *provided that* except with respect to any modification contemplated by Section C-301(b) hereof which shall become immediately effective, (i) such modification shall be, and be expressed to be, effective only after all Obligations and/or Second Lien Obligations affected thereby and Outstanding at the date of the adoption of such Supplemental Resolution shall cease to be Outstanding and (ii) such Supplemental Resolution shall be specifically referred to in the text of all Obligations and/or Second Lien Obligations, as applicable, delivered on original issuance after the date of the adoption of such Supplemental Resolution and of the Obligations and Second Lien Obligations issued in exchange therefor or in place thereof;

(18) To modify, amend or supplement the Resolution in any manner, not already provided for in or pursuant to the Supplemental Resolution authorizing the related Series of Obligations in order to provide for a Credit Facility, Qualified Swap, or other similar arrangement with respect to any Series of Obligations, under the Resolution, so long as the Issuer determines that such Supplemental Resolution does not materially adversely affect the right, security and interest of the Owners of Outstanding Obligations;

(19) To modify, amend or supplement the Resolution in any manner, not already provided for in or pursuant to the Supplemental Resolution authorizing the related Series of Second Lien Obligations in order to provide for a Second Lien Obligation Credit Facility, Qualified Second Lien Swap, or other similar arrangement with respect to any Series of Second Lien Obligations, under the Resolution, so long as the Issuer determines that such Supplemental Resolution does not materially adversely affect the right, security and interest of the Second Lien Owners of Outstanding Second Lien Obligations;

(20) To amend or modify any Supplemental Resolution authorizing Obligations of a Series or Second Lien Obligations of a Series to reflect the substitution of a new Credit Facility for the Credit Facility then in effect or a new Second Lien Obligation Credit Facility for the Second Lien Obligation Credit Facility then in effect, as applicable;

(21) To close the Resolution against, or provide limitations and restrictions in addition to the limitations and restrictions contained in the Resolution on, the issuance and delivery of the Obligations or Second Lien Obligations, or the issuance or entering into of other evidences of indebtedness;

(22) To modify, amend or supplement the Resolution as requested by the Metropolitan Transportation Authority Capital Program Review Board to provide for approvals and consents necessary to implement the provisions of the Resolution that provide for the financing of transit and commuter projects, *provided* such modifications, amendments or supplements do not materially adversely affect the right, security and interest of the Owners of Outstanding Obligations or the Second Lien Owners of Outstanding Second Lien Obligations; and

(23) To modify, amend or supplement the Resolution, consistent with the agreement of the State set forth in the Resolution and in the Financing Agreement, to reflect or change the nature and/or manner in which the State transfers or deposits ATA Receipts or Mobility Tax Receipts to MTA, whether or not such transfers or deposits may be subject to appropriation.

In making any determination under paragraph (9) of this Section C-502, the Issuer may conclusively rely upon an Opinion of Counsel or opinions of other experts or professionals.

ARTICLE C-VI

AMENDMENTS

Section C-601. Amendments to the MTA PMT Resolution and the TBTA PMT Resolution.

1. The MTA PMT Resolution and the TBTA PMT Resolution may be modified or amended without the consent of the Owners or the Second Lien Owners as provided in Article C-V of this Annex C. Modifications or amendments effected in accordance with Article C-V are generally modifications or amendments that do not materially and adversely affect (a) either the

respective Owners of Obligations or Second Lien Obligations under the MTA PMT Resolution or the TBTA PMT Resolution or (b) all Owners of Obligations and/or Second Lien Obligations under both the MTA PMT Resolution and the TBTA PMT Resolution.

2. Except as provided in Section C-301(b) hereof, in the event a modification or amendment to the MTA PMT Resolution or the TBTA PMT Resolution materially and adversely affects the Senior Lien Parity Owners and/or the Second Lien Parity Owners under either or both the MTA PMT Resolution and/or TBTA PMT Resolution, such modification or amendment must be effected with the consent of the Senior Lien Parity Owners and/or the Second Lien Parity Owners as provided in this Article C-VI pursuant to a Supplemental Resolution.

3. No Supplemental Resolution shall change or modify any of the rights or obligations of any Fiduciary without its written consent.

Section C-602. Mailing. Any provision in this Article C-VI for the mailing of a notice or other paper to Senior Lien Parity Owners and/or Second Lien Parity Owners shall be fully complied with if it is mailed postage prepaid to (i) each such Owner of any affected Obligation and/or affected Second Lien Obligation then Outstanding at such Owner's address, if any, appearing upon the registry books of the Issuer, and (ii) to the affected Senior Lien Trustees and/or Second Lien Trustees, as applicable; or, in each case, to such parties by facsimile or other means to the extent permitted by applicable law and arrangements.

Section C-603. Powers of Amendment.

1. Any modification or amendment of the MTA PMT Resolution and/or the TBTA PMT Resolution of the rights and obligations of the Issuer and of the Senior Lien Parity Owners, in any particular, may be made by a Supplemental Resolution, with the written consent given as provided in Section C-604 of this Annex C, (i) of the Senior Lien Parity Owners of a majority in principal amount of the Obligations Outstanding at the time such consent is given, and (ii) in case less than all of the Obligations then Outstanding are affected by the modification or amendment, of the Senior Lien Parity Owners of a majority in principal amount of the Obligations so affected and Outstanding at the time such consent is given; provided, however, that if such modification or amendment will, by its terms, not take effect so long as particular Obligations remain Outstanding, the consent of the Owners of such Obligations shall not be required and such Obligations shall not be deemed to be Outstanding for the purpose of any calculation of Outstanding Obligations under this Section. No such modification or amendment shall (a) permit a change in the terms of redemption or maturity of the principal of any Outstanding Obligation or of any installment of interest thereon or a reduction in the principal amount or the Redemption Price thereof or in the rate of interest thereon without the consent of the Senior Lien Parity Owner of such Obligation, (b) reduce the percentages or otherwise affect the classes of Obligations the consent of the Senior Lien Parity Owners of which is required to waive an Event of Default or otherwise effect any such modification or amendment, (c) create a preference or priority of any Obligation or Obligations over any other Obligation or Obligations, without the consent of the Owners of all such Obligations, (d) create a lien prior to or on parity with the lien of the MTA PMT Resolution and TBTA PMT Resolution securing Obligations, without the consent of the Senior Lien Parity Owners of all of the Obligations then Outstanding, or (e) change or modify any of the rights or obligations of any Fiduciary without its written

assent thereto. For the purposes of this Section C-603(1), an Obligation shall be deemed to be affected by a modification or amendment of the MTA PMT Resolution and TBTA PMT Resolution if the same materially and adversely affects the rights of the Senior Lien Parity Owner of such Obligation. The Responsible Senior Lien Trustee may in its discretion determine whether or not in accordance with the foregoing powers of amendment particular Obligations would be affected by any modification or amendment of the MTA PMT Resolution and/or TBTA PMT Resolution and any such determination shall be binding and conclusive on the Issuer and all Senior Lien Parity Owners. The Responsible Senior Lien Trustee may request and receive an opinion of counsel, including a Counsel's Opinion, as conclusive evidence as to whether particular Obligations would be so affected by any such modification or amendment of the MTA PMT Resolution and/or TBTA PMT Resolution and the Responsible Senior Lien Trustee shall have no duty or obligation to take any action hereunder unless and until it has received such opinion. Notwithstanding anything in this Section C-603 or the MTA PMT Resolution and/or TBTA PMT Resolution to the contrary, the consent of Senior Lien Parity Owners of any Series of additional Obligations to be issued hereunder to any modification or amendment of the MTA PMT Resolution and/or TBTA PMT Resolution, which modification or amendment, as well as such consent, is disclosed in the official statement or other offering document prepared in connection with the primary offering of such Series of additional Obligations, shall be deemed given and irrevocable and no other evidence of such consent shall be required.

2. Any modification or amendment of the MTA PMT Resolution and/or the TBTA PMT Resolution of the rights and obligations of the Issuer and of the Second Lien Parity Owners, in any particular, may be made by a Supplemental Resolution, with the written consent given as provided in Section C-604 of this Annex C, (i) of the Second Lien Parity Owners of a majority in principal amount of the Second Lien Obligations Outstanding at the time such consent is given, and (ii) in case less than all of the Second Lien Obligations then Outstanding are affected by the modification or amendment, of the Second Lien Parity Owners of a majority in principal amount of the Second Lien Obligations so affected and Outstanding at the time such consent is given; provided, however, that if such modification or amendment will, by its terms, not take effect so long as particular Second Lien Obligations remain Outstanding, the consent of the Owners of such Second Lien Obligations shall not be required and such Second Lien Obligations shall not be deemed to be Outstanding for the purpose of any calculation of Outstanding Second Lien Obligations under this Section. No such modification or amendment shall (a) permit a change in the terms of redemption or maturity of the principal of any Outstanding Second Lien Obligation or of any installment of interest thereon or a reduction in the principal amount or the Redemption Price thereof or in the rate of interest thereon without the consent of the Second Lien Parity Owner of such Second Lien Obligation, (b) reduce the percentages or otherwise affect the classes of Second Lien Obligations the consent of the Second Lien Parity Owners of which is required to waive an Event of Default or otherwise effect any such modification or amendment, (c) create a preference or priority of any Second Lien Obligation or Second Lien Obligations over any other Second Lien Obligation or Second Lien Obligations, without the consent of the Second Lien Parity Owners of all such Second Lien Obligations, (d) create a lien prior to or on parity with the lien of the MTA PMT Resolution and/or TBTA PMT Resolution securing Second Lien Obligations, without the consent of the Second Lien Parity Owners of all of the Second Lien Obligations then Outstanding, or (e) change or modify any of the rights or obligations of any Fiduciary without its written assent thereto. For

the purposes of this Section C-603(2), a Second Lien Obligation shall be deemed to be affected by a modification or amendment of the MTA PMT Resolution and/or TBTA PMT Resolution if the same materially and adversely affects the rights of the Second Lien Parity Owner of such Second Lien Obligation. The Responsible Second Lien Trustee may in its discretion determine whether or not in accordance with the foregoing powers of amendment particular Second Lien Obligations would be affected by any modification or amendment of the MTA PMT Resolution and TBTA PMT Resolution and any such determination shall be binding and conclusive on the Issuer and all Second Lien Parity Owners. The Responsible Second Lien Trustee may request and receive an opinion of counsel, including a Counsel's Opinion, as conclusive evidence as to whether particular Second Lien Obligations would be so affected by any such modification or amendment of the MTA PMT Resolution and/or TBTA PMT Resolution and the Responsible Second Lien Trustee shall have no duty or obligation to take any action hereunder unless and until it has received such opinion. Notwithstanding anything in this Section C-603 or the MTA PMT Resolution and/or TBTA PMT Resolution to the contrary, the consent of Second Lien Parity Owners of any Series of additional Second Lien Obligations to be issued hereunder to any modification or amendment of the MTA PMT Resolution and/or TBTA PMT Resolution, which modification or amendment, as well as such consent, is disclosed in the official statement or other offering document prepared in connection with the primary offering of such Series of additional Second Lien Obligations, shall be deemed given and irrevocable and no other evidence of such consent shall be required.

3. In the event any modification or amendment materially and adversely affects the rights of both the Senior Lien Parity Owners and the Second Lien Parity Owners, the consent of both the Senior Lien Parity Owners and the Second Lien Parity Owners as provided in this Article C-VI shall be required.

Section C-604. Consent of Senior Lien Parity Owners and Second Lien Parity Owners.

1. The Issuer at any time may adopt a Supplemental Resolution making a modification or amendment permitted by the provisions of Section C-603 hereof to take effect when and as provided in this Section. A copy of such Supplemental Resolution (or brief summary thereof or reference thereto in form approved by the Responsible Senior Lien Trustee or Responsible Second Lien Trustee, as applicable) together with a request to the Senior Lien Parity Owners or Second Lien Parity Owners, as applicable, for their consent thereto in form satisfactory to the Responsible Senior Lien Trustee or Responsible Second Lien Trustee, as applicable, shall be mailed by the Issuer to the Senior Lien Parity Owners or Second Lien Parity Owners, as applicable (but failure to mail such copy and request shall not affect the validity of the Supplemental Resolution when consented to as in this Section provided). Such Supplemental Resolution shall not be effective unless and until (i) there shall have been filed with the Responsible Senior Lien Trustee or Responsible Second Lien Trustee, as applicable (a) the written consents of the Senior Lien Parity Owners or Second Lien Parity Owners of the percentages of Outstanding Obligations or Second Lien Obligations specified in Section C-603 and (b) a Counsel's Opinion stating that such Supplemental Resolution has been duly and lawfully adopted and filed by the Issuer in accordance with the provisions of the MTA PMT Resolution and/or TBTA PMT Resolution, is authorized or permitted by the MTA PMT Resolution and/or TBTA PMT Resolution, and is valid and binding upon the Issuer and

enforceable in accordance with its terms, and (ii) a notice shall have been mailed to Senior Lien Parity Owners or Second Lien Parity Owners, as applicable, as hereinafter in this Section C-604 provided. Any such consent, including any consent of a Fiduciary in accordance with Section C-603, shall be irrevocable and binding upon the Senior Lien Parity Owner or Second Lien Parity Owner and Fiduciary giving such consent and, anything in Section A-1102 of Annex A to the contrary notwithstanding, upon any subsequent Senior Lien Parity Owner of such Obligations and of any Obligations issued in exchange therefor or upon any subsequent Second Lien Parity Owner of such Second Lien Obligations and of any Second Lien Obligations issued in exchange therefor (whether or not such subsequent Senior Lien Parity Owner or subsequent Second Lien Parity Owner thereof has notice thereof). At any time after the Senior Lien Parity Owners of the required percentages of Obligations and/or the Second Lien Parity Owners of the required percentages of Second Lien Obligations shall have filed their consents to the Supplemental Resolution, the Responsible Senior Lien Trustee and/or the Responsible Second Lien Trustee shall make and file with the Issuer and the other Senior Lien Trustee and/or Second Lien Trustee a written statement that the Senior Lien Parity Owners of such required percentages of Obligations and/or the Second Lien Parity Owners of such required percentages of Second Lien Obligations have filed such consents. Such written statement shall be conclusive that such consents have been so filed. At any time thereafter, notice, stating in substance that the Supplemental Resolution (which may be referred to as a Supplemental Resolution adopted by the Issuer on a stated date, a copy of which is on file with the Senior Lien Trustees and the Second Lien Trustees, as applicable) has been consented to by the Senior Lien Parity Owners of such required percentages of Obligations and/or the Second Lien Parity Owners of such required percentages of Second Lien Obligations and will be effective as provided in this Section C-604, may be given to Senior Lien Parity Owners and/or Second Lien Parity Owners by the Issuer by mailing such notice to such Owners (but failure to mail such notice shall not prevent such Supplemental Resolution from becoming effective and binding as in this Section C-604 provided). The Issuer shall file with the Senior Lien Trustees and the Second Lien Trustees, as applicable, proof of the mailing of such notice. A record, consisting of the papers required or permitted by this Section C-604 to be filed with the Senior Lien Trustees and the Second Lien Trustees, shall be proof of the matters therein stated. Such Supplemental Resolution making such amendment or modification shall be deemed conclusively binding upon the Issuer, the Fiduciaries and all Senior Lien Parity Owners and/or Second Lien Parity Owners at the expiration of 40 days after the filing with the Senior Lien Trustees and the Second Lien Trustees, as applicable, of the proof of the mailing of such last-mentioned notice, except in the event of a final decree of a court of competent jurisdiction setting aside such Supplemental Resolution in a legal action or equitable proceeding for such purpose commenced within such 40-day period; provided, however, that any Fiduciary and the Issuer during such 40-day period and any such further period during which any such action or proceeding may be pending shall be entitled in their absolute discretion to take such action, or to refrain from taking such action, with respect to such Supplemental Resolution as they may deem expedient.

Section C-605. Modifications by Unanimous Consent. The terms and provisions of the MTA PMT Resolution and the TBTA PMT Resolution and the rights and obligations of the Issuer and of the Senior Lien Parity Owners and the Second Lien Parity Owners may be modified or amended in any respect upon the adoption and filing by the Issuer of a Supplemental Resolution and the consent of the Senior Lien Parity Owners and/or the Second Lien Parity Owners of all of the Obligations and/or Second Lien Obligations then Outstanding, such consent

to be given as provided in Section C-604 except that no notice to affected Senior Lien Parity Owners and/or Second Lien Parity Owners shall be required; provided, however, that no such modification or amendment shall change or modify any of the rights or obligations of any Fiduciary without the filing with the Senior Lien Trustees and/or Second Lien Trustees, as applicable, of the written consent thereto of such Fiduciary in addition to the consent of the Senior Lien Parity Owners and/or Second Lien Parity Owners.

Section C-606. Notation on Obligations and/or Second Lien Obligations. Obligations and/or Second Lien Obligations issued and delivered after the effective date of any action taken as in Article C-V or this Article C-VI provided may, and, if the Responsible Senior Lien Trustee and/or Responsible Second Lien Trustee so determines, shall, bear a notation by endorsement or otherwise in form approved by the Issuer and the applicable Senior Lien Trustee or Second Lien Trustee as to such action, and in that case upon demand of the applicable Senior Lien Parity Owner and/or the Second Lien Parity Owner at such effective date and presentation of its Obligation or Second Lien Obligation for the purpose at the corporate trust office of the applicable Senior Lien Trustee or Second Lien Trustee, suitable notation shall be made on such Obligation or on such Second Lien Obligation, by the applicable Senior Lien Trustee or Second Lien Trustee as to any such action. If the Issuer or the applicable Senior Lien Trustee or Second Lien Trustee shall so determine, new Obligations or Second Lien Obligations, so modified as in the opinion of the applicable Senior Lien Trustee or Second Lien Trustee and the Issuer to conform to such action shall be prepared, authenticated and delivered. Upon demand of the Senior Lien Parity Owner or Second Lien Parity Owner and the surrender of such Obligations or Second Lien Obligations, there shall be authenticated and exchanged therefor, new Obligations or Second Lien Obligations, having the same terms, other than the noted modification, as the Obligations or Second Lien Obligations surrendered.

PAYROLL MOBILITY TAX FINANCING AGREEMENT

PAYROLL MOBILITY TAX FINANCING AGREEMENT, dated as of _____, 2021 (the “Financing Agreement”), by and between **METROPOLITAN TRANSPORTATION AUTHORITY**, a body corporate and politic constituting a public benefit corporation of the State of New York (the “MTA”), and **TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY**, a body corporate and politic constituting a public benefit corporation of the State of New York (“TBTA”).

WHEREAS, the State of New York (the “State”), pursuant to Article 23 of the State Tax Law, currently imposes a regional payroll mobility tax (the “Payroll Mobility Tax”) within the commuter transportation district consisting of the City of New York and the counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester (collectively, the “Metropolitan Commuter Transportation District”); and

WHEREAS, pursuant to each of Section 805(b) of the State Tax Law and Section 1270-h(2)(b) of the MTA Act, amounts collected from the Payroll Mobility Tax (the “Mobility Tax Receipts”) in each month are to be deposited without appropriation in the month following their collection directly into the Metropolitan Transportation Authority Finance Fund created under Section 1270-h of the MTA Act (the “MTA Finance Fund”); and

WHEREAS, amounts collected pursuant to the provisions of paragraphs (b-1) and (c-3) of subdivision 2 of Section 503 of the State Vehicle and Traffic Law, Article 17-c (including Section 499-d) of the State Vehicle and Traffic Law, Article 29-a (including Section 1288) of the State Tax Law, and Sections 1166-a and 1167 of the State Tax Law (consisting generally of certain regional supplemental motor vehicle license and registration fees, a tax on certain hailed vehicle trips that originate in the City, and a supplemental tax on regional passenger car rentals, and referred to collectively herein as the “ATA Sources”) are deposited quarterly, without appropriation, into the Corporate Transportation Account of the Metropolitan Transportation Authority Special Assistance Fund created by Section 1270-a of the MTA Act (the “ATA Receipts”); and

WHEREAS, in accordance with Section 1270-a(4)(e) of the MTA Act, moneys in the Corporate Transportation Account, including ATA Receipts, that are received by MTA may be pledged by the MTA or pledged to TBTA to secure bonds, notes or other obligations of the MTA and/or TBTA, as the case may be, including for the use by either MTA or TBTA, or both in the financing of their respective authorized purposes; and

WHEREAS, in accordance with Section 553-d of the TBTA Act, TBTA has the authority to issue its bonds and notes to finance projects payable from and secured by all or any part of the moneys received by TBTA from the Metropolitan Transportation Authority Special Assistance Fund created by Section 1270-a of the MTA Act and from any other moneys, securities and funds designated by TBTA as additional security therefor; and

WHEREAS, in accordance with Section 1270-h(3) of the MTA Act, the Mobility Tax Receipts deposited in the MTA Finance Fund may be pledged by the MTA to, among other things, secure and be applied for the payment of the bonds, notes or other obligations of the MTA to

finance capital projects or used for the payment of capital costs, including debt service, reserve requirements, if any, the payment of amounts required under bond and note facilities or agreements related thereto; and

WHEREAS, amounts from additional sources that may be authorized by law from time to time are to be deposited in the MTA Finance Fund and such amounts also may be pledged by the MTA from time to time to, among other things, secure and be applied for the payment of the bonds, notes, or other obligations of the MTA; and

WHEREAS, Section 1269 of the MTA Act authorizes the MTA to enter into agreements, including this Financing Agreement, which the MTA deems necessary, convenient or desirable concerning the use or disposition of the monies or properties of the MTA, its subsidiary corporations, the New York City Transit Authority (“NYCTA”) or any of its subsidiary corporations, or TBTA, including the Mobility Tax Receipts and the ATA Receipts (collectively, the “PMT Receipts”), in order to provide for the transfer to TBTA of such Mobility Tax Receipts and ATA Receipts for the financing of transit and commuter capital projects which the MTA would have the right to do in the absence of such agreements; and

WHEREAS, Section 1265(3-a)(a) of the MTA Act authorizes the MTA to borrow money, to issue negotiable notes, bonds, or other obligations and to provide for the rights of the holders thereof, in the fiscal years 2020 through 2022 to offset decreases in revenue, including but not limited to, lost taxes, fees, charges, fares and tolls, or increases in operating costs of the MTA, NYCTA and its subsidiary corporations and TBTA due in whole or in part to the state disaster emergency caused by the novel coronavirus, COVID-19; provided that the aggregate principal amount of such notes, bonds or other obligations does not exceed ten billion dollars; and

WHEREAS, Section 1269(1)(a) of the MTA Act authorizes the MTA to issue its notes, bonds or other obligations as, in the opinion of the MTA, shall be necessary, convenient or desirable to effectuate any of its powers and purposes, including, without limitation, the provision of working capital and all other expenditures of the MTA and its subsidiary corporations and NYCTA and its subsidiary corporations which bonds, notes or other obligations may be special obligations payable out of any revenues, receipts, monies or other assets of the MTA and its subsidiary corporations, NYCTA and its subsidiary corporations and TBTA identified for such purposes in accordance with agreements with the holders of such notes, bonds or other obligations; and

WHEREAS, the MTA has, pursuant to the MTA Act, adopted its Metropolitan Transportation Authority Payroll Mobility Tax Obligation Resolution on November 18, 2020, including the Standard Resolution Provisions Applicable to MTA and TBTA PMT Obligations and Parity Debt appended thereto as Annex A, the Standard Resolution Provisions Applicable to MTA and TBTA Second Lien PMT Obligations and Second Lien Parity Debt appended thereto as Annex B and the Additional Resolution Provisions Applicable to MTA and TBTA Senior Lien PMT Obligations and Second Lien PMT Obligations appended thereto as Annex C, as amended and supplemented from time to time (the “MTA PMT Resolution”) for the purpose of issuing from time to time one or more series of bonds, notes or other obligations secured by this Financing Agreement and the PMT Receipts; and

WHEREAS, TBTA has, pursuant to the TBTA Act, adopted its Triborough Bridge and Tunnel Authority Payroll Mobility Tax Obligation Resolution on [_____, 2021], (including the Standard Resolution Provisions Applicable to MTA and TBTA PMT Obligations and Parity Debt appended thereto as Annex A, the Standard Resolution Provisions Applicable to MTA and TBTA Second Lien PMT Obligations and Second Lien Parity Debt appended thereto as Annex B and the Additional Resolution Provisions Applicable to MTA and TBTA Senior Lien PMT Obligations and Second Lien PMT Obligations appended thereto as Annex C, as amended and supplemented from time to time (the “TBTA PMT Resolution”) for the purpose of issuing from time to time one or more series of bonds, notes or other obligations secured by this Financing Agreement and the PMT Receipts; and

WHEREAS, consistent with the foregoing, MTA and TBTA desire to enter into this Financing Agreement to (i) provide the mechanism for the MTA to provide TBTA with the PMT Receipts necessary for TBTA to timely perform its obligations under the TBTA PMT Resolution, and (ii) provide the mechanism for the MTA to retain PMT Receipts necessary for the MTA to timely perform its obligations under the MTA PMT Resolution, in each case on the terms and conditions and in the priority set forth herein; and

NOW, THEREFORE, MTA and TBTA hereby mutually covenant and agree as follows:

ARTICLE I

DEFINITIONS

SECTION 101. Definitions. (a) Unless otherwise specifically defined herein, all terms which are defined in the TBTA PMT Resolution shall have the same meanings, respectively, when used herein, including in the preambles hereto, as such terms are given in the TBTA PMT Resolution; and, unless otherwise specifically defined herein, all terms which are defined in the MTA PMT Resolution, shall have the same meanings, respectively, when used herein, including in the preambles hereto, as such terms are given in the MTA PMT Resolution.

(b) In addition, as used herein, the following terms shall, for all purposes of this Financing Agreement, have the following meanings:

Annual Deposit Reports shall mean, collectively, the TBTA Annual Deposit Report and the MTA Annual Deposit Report.

Applicable Debt Service Payment Date shall mean a Debt Service Payment Date on which an Applicable Trustee is required to make a payment of Debt Service from the MTA Senior Lien Debt Service Fund, MTA Second Lien Debt Service Fund, the TBTA Senior Lien Debt Service Fund or the TBTA Second Lien Debt Service Fund, as the context requires.

Applicable Trustee shall mean the TBTA Second Lien Trustee, the TBTA Senior Lien Trustee, the MTA Second Lien Trustee or the MTA Senior Lien Trustee, as the context requires.

ATA Receipts Subaccount shall mean the subaccount by that name established by the MTA in the Corporate Transportation Account established by subsection (a) of Section 301 hereof.

Corporate Transportation Account shall mean the account bearing such name established by the MTA in the Metropolitan Transportation Authority Special Assistance Fund pursuant to Section 1270-a of the MTA Act, or any successor fund or account provided by law.

CPRB shall mean the Metropolitan Transportation Authority Capital Program Review Board created pursuant to Section 1269-a of the MTA Act.

Debt Service Payment Date shall mean, unless otherwise set forth in a Supplemental Resolution, each Interest Payment Date and/or Principal Payment Date.

Debt Service Year shall mean the twelve-month period commencing May 16 of each calendar year and ending on May 15 of the next succeeding calendar year, except that the first Debt Service Year shall begin on the date specified in the Supplemental Resolution authorizing the first Series of MTA PMT Indebtedness or TBTA PMT Indebtedness.

Interest Deposit Months shall mean, unless otherwise set forth in a Supplemental Resolution, (i) for Obligations with interest payable semi-annually, the first five Months of the six month period immediately preceding the month of each Interest Payment Date, (ii) for Obligations with interest payable more frequently than semi-annually but less frequently than monthly, the number of Months (calculated by the number of months in the interest period minus one) immediately preceding the month of each Interest Payment Date, and (iii) for Obligations with interest payable monthly or more frequently, the month immediately preceding the month of each Interest Payment Date; *provided, however*, that if there remains a deficiency in deposits required pursuant to Section 302, each month up to and including the month in which there is an Interest Payment Date shall be an Interest Deposit Month.

Interest Payment Date shall mean the dates specified as such in the applicable Supplemental Resolution.

Mobility Tax Receipts Subaccount shall mean the subaccount by that name established by the MTA in the MTA Finance Fund established by subsection (b) of Section 301 hereof.

Month shall mean a calendar month.

Monthly Deposit Requirement shall mean an amount equal to the sum of (i) the Monthly Senior Lien Deposit Requirement and (ii) the Monthly Second Lien Deposit Requirement attributable to and payable from PMT Receipts in the amounts for each Month.

Monthly Interest Deposit Requirement shall mean, unless otherwise set forth in a Supplemental Resolution, for each applicable Interest Deposit Month, (i) for Obligations on which the interest is payable semi-annually, an amount equal to one-fifth (1/5th) of the interest due and payable on such Obligations on the next succeeding Interest Payment Date, with the final deposit payable in the second Month prior to the month of the applicable Interest Payment Date (for example, for payments of interest due in May, the first deposit will be payable in November of the previous year and the fifth and final deposit will be payable in March of the same year and for payments of interest due in November, the first deposit will be payable in May and the fifth and final deposit will be payable in September), (ii) for Obligations on which the interest is payable more frequently than semi-annually but less frequently than monthly, an amount equal to the

percentage (calculated by the number of months in the interest period minus one) of the interest due on such Obligations on the next succeeding Interest Payment Date, with the final deposit payable in the second Month prior to the applicable Interest Payment Date, and (iii) for Obligations on which the interest is payable monthly or more frequently, an amount equal to the amount of interest due and payable in the immediately succeeding month; provided, however, that such amount shall be adjusted by the MTA or TBTA, as applicable, to take into account the first Interest Payment Date following the issuance of Obligations, if necessary.

Monthly Principal Deposit Requirement shall mean, unless otherwise set forth in a Supplemental Resolution, for each Principal Installment and each related Principal Deposit Month, an amount equal to one-tenth (1/10th) of such Principal Installment on Obligations and Second Lien Obligations; provided, however, that such amount shall be adjusted by MTA to take into account the first Principal Installment payable following the issuance of Obligations and Second Lien Obligations, if necessary.

Monthly Second Lien Deposit Requirement shall mean the sum of (i) the TBTA Monthly Second Lien Deposit Requirement and (ii) the MTA Monthly Second Lien Deposit Requirement.

Monthly Senior Lien Deposit Requirement shall mean the sum of (i) the TBTA Monthly Senior Lien Deposit Requirement and (ii) the MTA Monthly Senior Lien Deposit Requirement as set forth in the Annual Deposit Report.

MTA Annual Deposit Report shall mean the annual certification by an Authorized Officer of the MTA described in Section 504 hereof, as amended or supplemented from time to time.

MTA Finance Fund shall mean the Metropolitan Transportation Authority Finance Fund created under Section 1270-h of the MTA Act, or any successor fund or account provided by law.

MTA PMT Indebtedness shall mean Obligations, Parity Debt, Subordinated Obligations, including, without limitation, Second Lien Obligations and Second Lien Parity Debt, Second Lien Subordinated Obligations, Other Subordinated Obligations, Obligation Anticipation Notes and Second Lien Obligation Anticipation Notes issued under the MTA PMT Resolution in each case only to the extent that such MTA PMT Indebtedness is payable in whole or in part from PMT Receipts.

MTA Monthly Second Lien Deposit Requirement shall mean, for each Month, the sum of the applicable Monthly Interest Deposit Requirement and Monthly Principal Deposit Requirement for Second Lien Obligations and Second Lien Parity Debt issued under the MTA PMT Resolution.

MTA Monthly Senior Lien Deposit Requirement shall mean, for each Month, the sum of the applicable Monthly Interest Deposit Requirement and Monthly Principal Deposit Requirement for Senior Lien Obligations and Senior Lien Parity Debt issued under the MTA PMT Resolution.

MTA PMT Second Lien Obligations shall mean the Metropolitan Transportation Authority Payroll Mobility Tax Second Lien Revenue Obligations issued under the MTA PMT Resolution.

MTA PMT Senior Lien Obligations shall mean the Metropolitan Transportation Authority Payroll Mobility Tax Senior Lien Revenue Obligations issued under the MTA PMT Resolution.

MTA PMT Resolution shall have the meaning set forth in the preambles hereto.

MTA Second Lien Debt Service Fund shall mean the MTA Second Lien Debt Service Fund established by the MTA PMT Resolution.

MTA Second Lien Debt Service Fund Requirement shall mean, as of any date of calculation, the Second Lien Debt Service Fund Requirement as defined in the MTA PMT Resolution and shall be an amount equal to the sum of the MTA Monthly Second Lien Deposit Requirements to the date of such calculation or the sum of all MTA Monthly Second Lien Deposit Requirements for the Debt Service Year, as the context requires.

MTA Second Lien Trustee shall mean the institution serving as trustee under the MTA PMT Resolution for the benefit of the owners of the MTA PMT Second Lien Obligations.

MTA Senior Lien Debt Service Fund shall mean the MTA Senior Lien Debt Service Fund established by the MTA PMT Resolution.

MTA Senior Lien Debt Service Fund Requirement shall mean, as of any date of calculation, the Senior Lien Debt Service Fund Requirement as defined in the MTA PMT Resolution and shall be an amount equal to the sum of the MTA Monthly Senior Lien Deposit Requirements to the date of such calculation or the sum of all MTA Monthly Senior Lien Deposit Requirements for the Debt Service Year, as the context requires.

MTA Senior Lien Trustee shall mean the institution serving as trustee under the MTA PMT Resolution for the benefit of the owners of the MTA PMT Senior Lien Obligations.

Principal Deposit Months shall mean, unless otherwise set forth in a Supplemental Resolution, the first ten months of the twelve-month period immediately preceding the Month in which there is a Principal Installment, determined separately for each Principal Installment; provided, however, that if there remains a deficiency in deposits required pursuant to Section 302, each month up to and including the month in which there is a Principal Installment due shall be a Principal Deposit Month.

PMT Receipts shall mean the Mobility Tax Receipts and the ATA Receipts, and any additional sources of moneys specifically authorized to be pledged from time to time in the future in the MTA PMT Resolution and/or the TBTA PMT Resolution to the payment of MTA PMT Indebtedness and/or TBTA PMT Indebtedness, respectively.

Second Lien Indebtedness shall mean MTA Second Lien Indebtedness and TBTA Second Lien Indebtedness.

Senior Lien Indebtedness shall mean MTA Senior Lien Indebtedness and TBTA Senior Lien Indebtedness.

Special Assistance Fund shall mean the Metropolitan Transportation Authority Special Assistance Fund created under Section 1270-a of the MTA Act, or any successor fund or account provided by law.

Supplemental Financing Agreement shall mean an agreement supplemental to or amendatory of this Agreement between the MTA and TBTA in accordance with provisions of Section 701 hereof.

TBTA Annual Deposit Report shall mean the annual certification by an Authorized Officer of TBTA described in Section 504 hereof, as amended or supplemented from time to time.

TBTA PMT Indebtedness shall mean Obligations, Parity Debt, Subordinated Obligations, including, without limitation, Second Lien Obligations and Second Lien Parity Debt, Second Lien Subordinated Obligations, Other Subordinated Obligations, Obligation Anticipation Notes and Second Lien Obligation Anticipation Notes issued under the TBTA PMT Resolution in each case only to the extent that such TBTA PMT Indebtedness is payable in whole or in part from PMT Receipts.

TBTA Monthly Second Lien Deposit Requirement shall mean, for each Month, the sum of the applicable Monthly Interest Deposit Requirement and Monthly Principal Deposit Requirement for Second Lien Obligations and Second Lien Parity Debt issued under the TBTA PMT Resolution.

TBTA Monthly Senior Lien Deposit Requirement shall mean, for each Month, the sum of the applicable Monthly Interest Deposit Requirement and Monthly Principal Deposit Requirement for Senior Lien Obligations and Parity Debt issued under the TBTA PMT Resolution.

TBTA PMT Second Lien Obligations shall mean the Triborough Bridge and Tunnel Authority Payroll Mobility Tax Second Lien Revenue Obligations issued under the TBTA PMT Resolution.

TBTA PMT Senior Lien Obligations shall mean the Triborough Bridge and Tunnel Authority Payroll Mobility Tax Senior Lien Revenue Obligations issued under the TBTA PMT Resolution.

TBTA PMT Resolution shall have the meaning set forth in the preambles hereto.

TBTA Second Lien Debt Service Fund shall mean the TBTA Second Lien Debt Service Fund established by the TBTA PMT Resolution.

TBTA Second Lien Debt Service Fund Requirement shall mean, as of any date of calculation, the Second Lien Debt Service Fund Requirement as defined in the TBTA PMT Resolution and shall be an amount equal to the sum of the TBTA Monthly Second Lien Deposit Requirements to the date of such calculation or the sum of all TBTA Monthly Second Lien Deposit Requirements for the Debt Service Year, as the context requires.

TBTA Second Lien Trustee shall mean the institution serving as trustee under the TBTA PMT Resolution for the benefit of the owners of the TBTA PMT Second Lien Obligations.

TBTA Senior Lien Debt Service Fund shall mean the TBTA Senior Lien Debt Service Fund established by the TBTA PMT Resolution.

TBTA Senior Lien Debt Service Fund Requirement shall mean, as of any date of calculation, the Senior Lien Debt Service Fund Requirement as defined in the TBTA PMT Resolution and shall be an amount equal to the sum of the TBTA Monthly Senior Lien Deposit Requirements to the date of such calculation or the sum of all TBTA Monthly Senior Lien Deposit Requirements for the Debt Service Year, as the context requires.

TBTA Senior Lien Trustee shall mean the institution serving as trustee under the TBTA PMT Resolution for the benefit of the owners of the TBTA PMT Senior Lien Obligations.

ARTICLE II

AGREEMENT AS TO ISSUANCE OF TBTA PMT INDEBTEDNESS AND MTA PMT INDEBTEDNESS

SECTION 201. Issuance of TBTA PMT Indebtedness for the PMT Transit and Commuter Project; Restrictions on Issuance.

(a) TBTA may, from time to time, upon the request of the MTA, issue TBTA PMT Indebtedness pursuant to the TBTA PMT Resolution and the TBTA Act in order to finance Capital Costs of the PMT Transit and Commuter Project, subject to the limitations set forth in subsection (b) below. The proceeds of such TBTA PMT Indebtedness shall be applied as provided in the TBTA PMT Resolution or the resolution authorizing such TBTA PMT Indebtedness.

(b) The provisions of this Section 201 relating to the financing by TBTA of Capital Costs for the PMT Transit and Commuter Project are subject to compliance with the provisions of Section 553(20) of the New York Public Authorities Law, including any approvals or consents required from the CPRB, and the provisions of the TBTA PMT Resolution.

(c) The MTA and TBTA agree that this Financing Agreement is executed in part in order to induce investors to purchase the MTA PMT Indebtedness and the TBTA PMT Indebtedness secured by the PMT Receipts to be issued for the purposes of securing such MTA PMT Indebtedness and TBTA PMT Indebtedness and, accordingly, all of the covenants and agreements on the part of the MTA and TBTA set forth in this Financing Agreement are hereby declared to be for the benefit of the Owners from time to time of such MTA PMT Indebtedness and TBTA PMT Indebtedness secured by the PMT Receipts.

SECTION 202. Issuance of MTA PMT Indebtedness. The MTA may, from time to time, issue MTA PMT Indebtedness for any lawful purpose pursuant to the MTA PMT Resolution and the MTA Act, without any approvals or consents from the CPRB, unless such CPRB approvals or consents are required for the financing of Capital Costs for the PMT Transit and Commuter Project in accordance with Section 1269-b of the MTA Act. The proceeds of such

MTA PMT Indebtedness shall be applied as provided in the MTA PMT Resolution or the resolution authorizing such MTA PMT Obligations.

ARTICLE III

CREATION OF ACCOUNTS AND SUBACCOUNTS; APPLICATION OF PMT RECEIPTS

SECTION 301. Creation of Accounts and Subaccounts by MTA.

(a) The MTA has previously created and established in the Special Assistance Fund held by the MTA the Corporate Transportation Account in accordance with Section 1270-a of the MTA Act. There is continued under this Financing Agreement the “ATA Receipts Subaccount” within the Corporate Transportation Account previously created under the MTA PMT Resolution.

(b) The MTA has also previously created and established the MTA Finance Fund held by the MTA in accordance with Section 1270-h of the MTA Act. There is continued under this Financing Agreement the “Mobility Tax Receipts Subaccount” within the MTA Finance Fund previously created under the MTA PMT Resolution.

(c) Amounts held at any time by the MTA in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount shall be held in trust separate and apart from all other funds of the MTA. The MTA may enter into agreements, including account control agreements, with a financial institution to accept deposits to, and make transfers or withdrawals from, such accounts and subaccounts consistent with the purposes of this Financing Agreement.

SECTION 302. Deposit and Application of PMT Receipts.

(a) On the earlier of the date of the issuance and delivery of the first Series of MTA Obligations under the MTA PMT Resolution or the first Series of TBTA Obligations under the TBTA PMT Resolution, MTA shall transfer (i) all amounts constituting Mobility Tax Receipts on deposit in the MTA Finance Fund to the Mobility Tax Receipts Subaccount and (ii) all amounts constituting ATA Receipts on deposit in the Corporate Transportation Account to the ATA Receipts Subaccount. Thereafter, all Mobility Tax Receipts received by the MTA shall be immediately deposited into the Mobility Tax Receipts Subaccount and all ATA Receipts received by the MTA shall be immediately deposited by the MTA into the ATA Receipts Subaccount.

(b) In every Month at such time or times as the MTA in its discretion shall determine (but in no event later than the last Business Day of every Month), the MTA shall transfer amounts from the Mobility Tax Receipts Subaccount or the ATA Receipts Subaccount or both of such Subaccounts as determined by MTA, in the following order of priority and to the extent available for application (including curing any deficiencies in prior deposits or transfers), as follows:

(i) *first*, an amount equal to the Monthly Senior Lien Deposit Requirement plus an amount equal to the amount required to cure any deficiency in prior transfers made for transfer to (A) the MTA Senior Lien Trustee for deposit in the MTA Senior Lien Debt Service Fund an amount equal to the MTA Monthly Senior Lien Deposit Requirement, and (B) the TBTA Senior Lien Trustee for deposit in the TBTA Senior Lien Debt Service

Fund an amount equal to the TBTA Monthly Senior Lien Deposit Requirement; *provided, however,* if on the date of any such transfer the amount of PMT Receipts available for transfer is less than the Monthly Senior Lien Deposit Requirement, the amount actually available shall be transferred, first, on a *pro rata* basis (in proportion to the amount of any deficiencies relative to each other) to the MTA Senior Lien Trustee and the TBTA Senior Lien Trustee to cure any deficiencies in prior deposits or transfers, and then, on a *pro rata* basis to the MTA Senior Lien Trustee and the TBTA Senior Lien Trustee in proportion to the amount of the MTA Monthly Senior Lien Deposit Requirement and the TBTA Monthly Senior Lien Deposit Requirement, respectively; and

(ii) *second,* an amount equal to the Monthly Second Lien Deposit Requirement plus an amount equal to the amount required to cure any deficiency in prior transfers made for transfer to (A) the MTA Second Lien Trustee for deposit in the MTA Second Lien Debt Service Fund an amount equal to the MTA Monthly Second Lien Deposit Requirement, and (B) the TBTA Second Lien Trustee for deposit in the TBTA Second Lien Debt Service Fund an amount equal to the TBTA Monthly Second Lien Deposit Requirement; *provided, however,* if on the date of any such transfer the amount of PMT Receipts available for transfer is less than the Monthly Second Lien Deposit Requirement, the amount actually available shall be transferred, first, on a *pro rata* basis (in proportion to the amount of any deficiencies relative to each other) to the MTA Second Lien Trustee and the TBTA Second Lien Trustee to cure any deficiencies in prior deposits or transfers, and then, on a *pro rata* basis to the MTA Second Lien Trustee and the TBTA Second Lien Trustee in proportion to the amount of the MTA Monthly Second Lien Deposit Requirement and the TBTA Monthly Second Lien Deposit Requirement, respectively; and

(iii) *third,* for transfer to the Applicable Trustee or another Person in accordance with the provisions of any Supplemental Resolution under the MTA PMT Resolution or the TBTA PMT Resolution or other authorizing document, the amount necessary for the payment of Other Subordinated Obligations or obligations payable from PMT Receipts in the priority set forth in the applicable PMT Resolution or authorizing document;

(iv) *fourth,* for transfer to another Person, including each Applicable Trustee, fees and expenses due and payable under the related MTA PMT Indebtedness, TBTA PMT Indebtedness, MTA PMT Resolution and TBTA PMT Resolution, to the extent payable from PMT Receipts in the priority set forth in the applicable authorizing document; and

(iv) *fifth,* available for transfer to the MTA or expenditure by the MTA, on any date in the then current Month after the date the amounts actually transferred in accordance with subparagraphs (i) through (iv) above equals one hundred percent (100%) of the amounts required to have been so transferred on a cumulative basis as of the end of the current Month, any PMT Receipts and investment income, if any, on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount free and clear of any lien, pledge or claim of the MTA PMT Resolution and the TBTA PMT Resolution, to be applied by MTA as provided in the MTA Act.

(c) For purposes of calculating the amount of the deposits relating to (i) the MTA Senior Lien Debt Service Fund and the TBTA Senior Lien Debt Service Fund, Principal

Installments shall not include amounts that an Authorized Officer has notified the Applicable Trustee are to be paid from sources other than PMT Receipts, nor shall Accrued Debt Service include any amounts that, as certified by an Authorized Officer, have been set aside hereunder or otherwise in trust for the payment thereof and (ii) the MTA Second Lien Debt Service Fund and the TBTA Second Lien Debt Service Fund, Second Lien Obligation Principal Installments shall not include amounts that an Authorized Officer has notified the Applicable Second Lien Trustee are to be paid from sources other than PMT Receipts, nor shall Accrued Second Lien Debt Service include any amounts that, as certified by an Authorized Officer have been set aside hereunder or otherwise in trust for the payment thereof.

(d) If, after the date or dates during the Month the MTA elects to make the transfers described above, (i) there continues to be a deficiency in the cumulative amounts required to be transferred and (ii) MTA receives additional PMT Receipts later in the then current Month, then MTA will apply those additional PMT Receipts as soon as practicable (but no later than the last Business Day of the then current Month) in the same priority as set forth above to cure such deficiencies to the greatest extent possible.

(e) If on the Business Day that is no later than two Business Days preceding any Applicable Debt Service Payment Date, an Applicable Trustee notifies the MTA that it has insufficient funds on deposit to pay Debt Service on Obligations or Second Lien Obligations on the next succeeding Applicable Debt Service Payment Date, the MTA shall transfer, to the extent moneys are available, any or all Mobility Tax Receipts and/or ATA Receipts on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount, respectively, in the amount necessary to cure such deficiency and shall apply such amount in accordance with the preceding paragraph in the priority set forth therein; provided, however, that no such transfer shall be made to the Applicable Second Lien Trustee if there is a deficiency that has not been cured in the amounts transferred for the payment of Senior Lien Debt Service.

(f) Moneys on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount may be invested by MTA only in Investment Securities. Investment income on moneys in any Subaccount shall be credited to such Subaccount.

ARTICLE IV

CONSENT TO PLEDGE

SECTION 401. Consent to Pledge. Each of the MTA and the TBTA do hereby consent to the pledge and assignment of the PMT Receipts to the Holders of any of MTA PMT Indebtedness and/or TBTA PMT Indebtedness, as the case may be, or to any trustee acting on their behalf, to secure the payment of the principal and Redemption Price of, and interest on, and Sinking Fund Installments for, the respective MTA PMT Indebtedness and TBTA PMT Indebtedness in accordance with their respective terms and the provisions of this Financing Agreement and in the order of priority set forth herein.

All of the PMT Receipts are and shall be free and clear of any pledge, lien, charge or encumbrance thereon or with respect thereto prior to, or of equal rank with, the pledge of the PMT Receipts created by the MTA PMT Resolution and/or the TBTA PMT Resolution consistent with

this Financing Agreement and all corporate action on the part of the MTA and TBTA to that end has been duly and validly taken.

ARTICLE V

COVENANTS OF THE MTA

SECTION 501. PMT Receipts. The MTA covenants and agrees that it will take all such actions as may be necessary to request the transfer of the PMT Receipts and further covenants and agrees that all such PMT Receipts received shall be applied strictly in accordance with this Financing Agreement and the MTA Act.

SECTION 502. Creation of Liens; Application of Certain Accounts and Subaccounts. The MTA covenants and agrees that (a) other than the MTA PMT Resolution, it will not create or cause to be created any pledge, lien, charge or encumbrance on or with respect to the PMT Receipts or the amounts reserved and on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount at any time that adversely affects MTA's obligations hereunder or under the MTA PMT Resolution and TBTA's obligations under the TBTA PMT Resolution, and (b) it will apply amounts on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount established therein only as provided in this Financing Agreement.

SECTION 503. Pledge and Agreement of the State. In accordance with Section 1271 of the MTA Act, the MTA does hereby include the pledge and agreement of the State with the Owners of the MTA PMT Indebtedness and the TBTA PMT Indebtedness and the owners of the obligations secured in whole or in part by the TBTA PMT Resolution and the MTA PMT Resolution that the State will not limit or alter the denial of authority under subdivision 9 of Section 1269 of the MTA Act, or the rights and powers vested in the MTA and the TBTA by the MTA Act to fulfill the terms of any agreements made by the MTA and TBTA with such Owners, or in any way impair the rights and remedies of such Owners until such notes, bonds and other obligations with such Owners, together with the interest thereon, with interest on any unpaid installments of interest, and all costs and expenses for which the MTA and the TBTA are liable in connection with any action or proceeding by or on behalf of such Owners, are fully met and discharged; provided, however, that the MTA and TBTA hereby acknowledge and agree that nothing in the foregoing pledge and agreement of the State or elsewhere in this Financing Agreement shall be deemed to restrict the right of the State to amend, repeal, modify or otherwise alter statutes imposing or relating to the taxes and fees producing revenues for deposit by the MTA in the Mobility Tax Receipts Subaccount of the MTA Finance Fund and/or the ATA Receipts Subaccount of the Corporate Transportation Account of the MTA Special Assistance Fund and pledged by the MTA to secure MTA PMT Indebtedness and/or pledged by the TBTA to secure TBTA PMT Indebtedness, as the case may be, or, if applicable, the appropriations relating thereto.

SECTION 504. Annual Deposit Reports. On the date of issuance of the first obligations under Section 302(a) hereof, the MTA shall deliver to the Applicable Trustees a written certificate of an Authorized Officer of the MTA setting forth two separate reports, one relating to the MTA PMT Indebtedness (the "MTA Annual Deposit Report") and the other relating to the TBTA PMT Indebtedness (the "TBTA Annual Deposit Report"), in each case detailing for the

current and the following Debt Service Year (a) the MTA Monthly Senior Lien Deposit Requirement or the TBTA Monthly Senior Lien Deposit Requirement, as applicable, (b) the MTA Monthly Second Lien Deposit Requirement or the TBTA Monthly Second Lien Deposit Requirement, as applicable, (c) the MTA Senior Lien Debt Service Fund Requirement or the TBTA Senior Lien Debt Service Fund Requirement, as applicable, (d) the MTA Second Lien Debt Service Fund Requirement or the TBTA Second Lien Debt Service Fund Requirement for the Debt Service Year, as applicable, (g) any other uses of the PMT Receipts for the purposes set forth in Section 302 of this Financing Agreement, and (f) any other information requested by the Applicable Trustees for the purposes of performing their respective obligations under the MTA PMT Resolution and the TBTA PMT Resolution. On or prior to the tenth (10th) Business Day before the beginning of each Debt Service Year, the MTA shall send to the Applicable Trustees an updated MTA Annual Deposit Report and updated TBTA Annual Deposit Report. The MTA Annual Deposit Report and the TBTA Annual Deposit Report shall be amended from time to time in conjunction with the issuance of additional MTA PMT Indebtedness and TBTA PMT Indebtedness, the refunding of MTA PMT Indebtedness and TBTA PMT Indebtedness or the incurrence of other costs or fees listed in Section 302, and may be amended from time to time at the discretion of the MTA, with a copy thereof sent to the Applicable Trustees, all with the intention of keeping the Applicable Trustees timely informed of the deposits and transfers of amounts on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount.

SECTION 505. Amendment of the MTA PMT Resolution. MTA shall not, without the consent of the TBTA, amend any provision of the MTA PMT Resolution in such a manner so as to affect TBTA's obligations under this Financing Agreement or the TBTA PMT Resolution.

SECTION 506. Compliance with Laws. The MTA covenants and agrees to take all necessary actions on its part and to comply with the requirements of all laws necessary for the MTA to receive any PMT Receipts to the extent that PMT Receipts are subject to appropriation.

SECTION 507. Arbitrage. The MTA covenants and agrees that it shall take no action, fail to take an action, nor approve of the Applicable Trustees taking any action, or making any investment or use of the amounts on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount that would cause MTA PMT Indebtedness or TBTA PMT Indebtedness to be "arbitrage bonds" within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended.

ARTICLE VI

COVENANTS OF TBTA

SECTION 601. Amendment of the TBTA PMT Resolution. TBTA shall not, without the consent of the MTA, amend any provision of the TBTA PMT Resolution in such a manner so as to affect the MTA's obligations under this Financing Agreement or the MTA PMT Resolution.

SECTION 602. Creation of Liens; Application of Certain Accounts and Subaccounts. TBTA covenants and agrees that other than the TBTA PMT Resolution, it will not

create or cause to be created any pledge, lien, charge or encumbrance on or with respect to the PMT Receipts or the amounts reserved and on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount at any time that adversely affects MTA's obligations hereunder.

ARTICLE VII

MISCELLANEOUS

SECTION 701. Optional Redemption or Purchase of Obligations and Second Lien Obligations. Upon payment to TBTA of the amount required by the TBTA PMT Resolution therefor and the MTA's direction to TBTA to do so, TBTA shall exercise any option it may have under the TBTA PMT Resolution to redeem or purchase all or any portion of the TBTA Obligations and/or TBTA Second Lien Obligations, as applicable, including, without limitation, any option TBTA may have under Section A-402 with respect to TBTA Obligations or Section B-402 of the TBTA PMT Resolution with respect to TBTA Second Lien Obligations to direct the TBTA Senior Lien Trustee or the TBTA Second Lien Trustee, respectively, to purchase or redeem TBTA Obligations and/or TBTA Second Lien Obligations and TBTA shall deposit into the TBTA Senior Debt Service Fund and/or the TBTA Second Lien Debt Service Fund, as applicable, all payments received from the MTA and designated for such purpose. TBTA hereby acknowledges that subsection 3 of Section A-502 with respect to TBTA Obligations and subsection 3 of Section B-501 with respect to TBTA Second Lien Obligations of the TBTA PMT Resolution give the MTA, in addition to TBTA, the right to deliver TBTA Obligations to the TBTA Senior Lien Trustee or the TBTA Second Lien Trustee in satisfaction in whole or in part, of any Sinking Fund Installment, as more fully provided in Section A-502 and Section B-501 of the TBTA PMT Resolution, respectively.

SECTION 702. Enforcement of MTA Financing Agreement Collections and Application of PMT Receipts.

(a) If for any reason, other than there being an insufficient amount of PMT Receipts deposited or required to be deposited into the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount for such purpose, MTA or TBTA shall fail to make the deposits and transfers hereunder, or shall fail to observe or perform any other covenant, condition or agreement on its part to be observed or performed, the Applicable Trustee as provided below shall, if such default has not been cured, have the right to institute any action in the nature of mandamus or take whatever action at law or in equity may appear necessary or desirable to collect the payments then due or thereafter to become due or to enforce performance and observance of any obligation, agreement or covenant hereunder.

(b) On and after any Applicable Debt Service Payment Date on which the principal or Redemption Price of and interest on any MTA Senior Lien Obligation and/or TBTA Senior Lien Obligation has not been paid in full, and, if the MTA does not diligently pursue the collection of any moneys due to the MTA pursuant to the terms of this Financing Agreement, or on or after the date when the MTA or TBTA shall fail to observe or perform any other covenant, condition or agreement on its part to be observed or performed hereunder, the MTA and TBTA agree that the TBTA Senior Lien Trustee or the MTA Senior Lien Trustee who has the larger principal amount of Senior Lien Obligations outstanding may pursue, in accordance with the terms and provisions

of the TBTA PMT Resolution and the MTA PMT Resolution, the collection and application of such amounts on behalf of the MTA or the performance of any such covenant, condition or agreement.

(c) On and after any Applicable Debt Service Payment Date on which the principal or Redemption Price of and interest on all MTA Senior Lien Obligations and TBTA Senior Lien Obligations has been paid in full, but on which the principal or Redemption Price of and interest on any MTA Second Lien Obligation and/or TBTA Second Lien Obligation has not been paid in full, and, if the MTA does not diligently pursue the collection of any moneys due to the MTA pursuant to the terms of this Financing Agreement, or, thereafter, the MTA or TBTA shall fail to observe or perform any other covenant, condition or agreement on its part to be observed or performed hereunder, the MTA and TBTA agree that the TBTA Second Lien Trustee or the MTA Second Lien Trustee who has the larger principal amount of bonds outstanding may pursue, in accordance with the terms and provisions of the TBTA PMT Resolution and the MTA PMT Resolution, the collection and application of such amounts on behalf of the MTA or the performance of any such covenant, condition or agreement.

(d) The remedies conferred upon or reserved under Section 7.02(a) hereof in respect of any default described therein are not intended to be exclusive of any other available remedy or remedies and shall be in addition to every other remedy now or hereafter existing at law or in equity; *provided, however*, that such remedy or remedies may in no event include a termination of this Financing Agreement, nor may they include any amendment, change, modification or alteration of this Financing Agreement that is inconsistent with Section 7.05 hereof.

(e) MTA and TBTA shall promptly notify each Applicable Trustee in writing that an event of default has occurred under the MTA PMT Resolution and the TBTA PMT Resolution, respectively. MTA also agrees that upon the occurrence of an event of default described in the preceding sentence, PMT Receipts will be available on an equitable basis among the Applicable Trustees in the priority and order established under this Financing Agreement.

SECTION 703. Information Concerning the MTA. Whenever TBTA shall issue TBTA PMT Indebtedness under the TBTA PMT Resolution, the MTA shall provide and certify, or cause to be provided and certified, to TBTA any information concerning the MTA and the PMT Receipts as TBTA shall reasonably request and determine is necessary or desirable for inclusion in the official statement or other offering document relating to the sale of such TBTA PMT Indebtedness.

The MTA hereby covenants and agrees that it will provide the annual operating and financial information required by Rule 15(c)2-12 of the United States Securities and Exchange Commission to the extent such Rule is applicable to the TBTA Obligations and TBTA Second Lien Obligations.

SECTION 704. Termination of this Financing Agreement. This Financing Agreement shall remain in full force and effect until the date on which the principal, Sinking Fund Installments, if any, or Redemption Price of and interest on the MTA PMT Indebtedness and the TBTA PMT Indebtedness shall have been fully paid and discharged or provision for the payment

and discharge thereof shall have been made as provided in the MTA PMT Resolution and the TBTA PMT Resolution, respectively.

SECTION 705. Amendment of this Financing Agreement.

(a) Subject in all respects to compliance with the provisions of Section 605 of the MTA PMT Resolution and Section 603 of the TBTA PMT Resolution, this Financing Agreement may be supplemented, amended or modified by a Supplemental Financing Agreement executed by the MTA and TBTA for any reason, including, without limitation, to reflect legislative amendments to any of the statutory provisions referenced herein; *provided, however*, that no such supplement, amendment or modification shall materially adversely affect the interest of the Owners of MTA PMT Indebtedness or Owners of TBTA PMT Indebtedness unless and until (i) there shall have been filed with each Applicable Trustee the written consents of the Owners of the percentage of Outstanding MTA Obligations and TBTA Obligations and/or MTA Second Lien Obligations and TBTA Second Lien Obligations affected by such Supplemental Financing Agreement as provided in the applicable MTA PMT Resolution and/or TBTA PMT Resolution, (ii) if the consent of any Applicable Trustee is required, such Applicable Trustee has consented thereto, and (iii) an executed copy of such Supplemental Financing Agreement certified by an Authorized Officer of MTA and/or TBTA, as applicable, shall have been filed with each Applicable Trustee.

(b) MTA shall furnish written notice to each of the Rating Agencies (to the extent each of them has a rating outstanding on any affected MTA PMT Indebtedness and/or TBTA PMT Indebtedness) of any amendment of this Financing Agreement.

SECTION 706. Assignment of Financing Agreement. This Financing Agreement may not be assigned, except to the Applicable Trustees, by either party without the written consent of the other party.

SECTION 707. Severability. If any one or more of the covenants, stipulations, promises, obligations or agreements provided herein on the part of any of the parties hereto to be performed should be contrary to law, then such covenant or covenants, stipulation or stipulations, promise or promises, obligation or obligations, or agreement or agreements shall be null and void, shall be deemed and construed to be severable from the remaining covenants, stipulations, promises, obligations and agreements and shall in no way affect the validity of the other provisions hereof.

SECTION 708. Disclaimer of Personal Liability. No recourse shall be had against or liability incurred by any member, officer or employee of the MTA or TBTA or any Person executing this Financing Agreement for failure to observe, perform or comply with any covenant or provision hereof or for any claims based thereon.

SECTION 709. Information Regarding Accounts and Subaccounts. The MTA agrees to advise TBTA, and, if requested by TBTA, the Applicable Trustees, on or prior to 10:00 A.M., New York City time, on the last Business Day of each month and at such other times as TBTA or the Applicable Trustees shall request, of the amounts then on deposit in the Mobility Tax Receipts Subaccount and the ATA Receipts Subaccount.

SECTION 710. Notices. All notices or other instruments required to be given or authorized to be given by any party pursuant to this Financing Agreement shall be in writing and shall be delivered by hand against written receipt therefor or sent by registered or certified mail addressed (i) in the case of MTA to it at 2 Broadway, New York, New York 10004 Attn: Chairman, with a copy to the MTA's General Counsel at the same address, (ii) in the case of TBTA to it at 2 Broadway, New York, New York 10004 Attn: President, with a copy to TBTA's General Counsel at the same address, and (iii) in the case of the Applicable Trustees, to the addresses provided in writing to TBTA and the MTA. The parties may from time to time designate other representatives or other addresses with respect to receipt of notices.

SECTION 711. Section Headings. All headings preceding the text of the several sections hereof, and any table of contents appended to copies hereof shall be solely for convenience or reference and shall not constitute a part hereof nor shall they affect its meaning, construction or effect.

SECTION 712. Counterparts. This Financing Agreement may be executed in several counterparts, each of which shall be deemed to be an original but such counterparts together shall constitute one and the same instrument.

SECTION 713. Governing Law. This Financing Agreement shall be governed by and construed in accordance with the laws of the State of New York.

SECTION 714. Effectiveness of this Financing Agreement. Subject to the provisions of subsection 2 of Section 401 hereof, the provisions of this Financing Agreement shall be effective upon execution and delivery thereof by MTA and TBTA.

SECTION 715. Conflicts with MTA PMT Resolution and TBTA PMT Resolution. The MTA and TBTA each acknowledge and agree that, in the event of any conflict between any of the provisions of this Financing Agreement and any of the provisions of the MTA PMT Resolution or the TBTA PMT Resolution, the provisions of this Financing Agreement shall be controlling.

SECTION 716. Applicable Trustees are Third Party Beneficiaries Hereunder. Subject to their respective rights and responsibilities as trustees for Senior Lien Obligations and Second Lien Obligations, each of the MTA Senior Lien Trustee, MTA Subordinate Lien Trustee, TBTA Senior Lien Trustee and TBTA Subordinate Lien Trustee are hereby designated as third party beneficiaries hereunder to the extent necessary to exercise their rights and responsibilities under the MTA PMT Resolution and the TBTA PMT Resolution, respectively. In the event of enforcement in connection with Senior Lien Obligations, the MTA Senior Lien Trustee or the TBTA Senior Lien Trustee that at the time of enforcement has the larger principal amount of Senior Lien Obligations outstanding shall have the right of first refusal to exercise such rights and responsibilities. In the event that there are no Senior Lien Obligations outstanding or the MTA Senior Lien Trustee and the TBTA Senior Lien Trustee have decided not to exercise such right and responsibilities, the MTA Second Lien Trustee or the TBTA Senior Lien Trustee that at the time of enforcement has the larger principal amount of Second Lien Obligations outstanding shall have the right of first refusal to exercise such rights and responsibilities.

IN WITNESS WHEREOF, the parties hereto have set their hands as of the date first above written.

**METROPOLITAN TRANSPORTATION
AUTHORITY**

By _____
Name:
Title:

**TRIBOROUGH BRIDGE AND TUNNEL
AUTHORITY**

By _____
Name:
Title:

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY

**MULTIPLE SERIES 2021 PAYROLL MOBILITY TAX BOND SUPPLEMENTAL
RESOLUTION**

Adopted March 17, 2021

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**MULTIPLE SERIES 2021 PAYROLL MOBILITY TAX BOND SUPPLEMENTAL
RESOLUTION**

BE IT RESOLVED by the Board of Triborough Bridge and Tunnel Authority (the “Issuer”), as follows:

ARTICLE I

DEFINITIONS AND STATUTORY AUTHORITY

Section 1.01 Supplemental Resolution. This Supplemental Resolution to Issue Payroll Mobility Tax Bonds (the “Supplemental Resolution”) is supplemental to, and is adopted in accordance with Article II, Article A-II and Article C-V of a resolution adopted by the Issuer on March 17, 2021, entitled “Payroll Mobility Tax Obligation Resolution”, as heretofore supplemented (the “Resolution”).

Section 1.02 Definitions.

1. All capitalized terms which are used but not otherwise defined in this Supplemental Resolution shall have the same meanings, respectively, as such terms are given by Section 102 of the Resolution.

2. In this Supplemental Resolution:

“Authorized Officer” shall include the officers designated as such in the Resolution, and the Chairman, the Chair of the Finance Committee, the Chief Financial Officer, the Deputy Chief, Financial Services, or the Director, Finance of the MTA, as well as any officer duly designated as “Acting” in said officer’s capacity, except that, for the purposes of any delegation set forth herein that does not expressly include any Assistant Secretary, “Authorized Officer” shall not include any Assistant Secretary of the Issuer.

“Board” shall mean, when used with respect to the Issuer, the board of the Issuer acting as such pursuant to the provisions of the Issuer Act, and when used with respect to the MTA, the members of the MTA acting as such pursuant to the provisions of the MTA Act.

“Bond Counsel” shall mean Nixon Peabody LLP, Orrick, Herrington & Sutcliffe LLP, D. Seaton and Associates, P.A., P.C., Bryant Rabbino LLP or any other attorney or firm of attorneys of nationally recognized standing in the field of law relating to the issuance of obligations by state and municipal entities, selected by the Issuer.

“Code” shall mean the Internal Revenue Code of 1986, as amended, and regulations thereunder.

“Series 2021 Bonds” shall mean the Payroll Mobility Tax Obligations authorized by Article II of this Supplemental Resolution, subject to designation as hereinafter provided.

“Series 2021 Notes” shall mean the Payroll Mobility Tax Obligation Anticipation Notes, authorized to be issued, in accordance with Section A-203 of the Standard Resolution Provisions and/or Section B-203 of the Second Lien Standard Resolution Provisions, pursuant to a resolution of the Issuer adopted as of the date that this Supplemental Resolution is adopted.

“Series 2021 Refunding Obligations” shall mean the Payroll Mobility Tax Refunding Obligations authorized to be issued by Article II of the Resolution and pursuant to a resolution of the Issuer adopted as of the date that this Supplemental Resolution is adopted.

Section 1.03 Authority for this Supplemental Resolution. This Supplemental Resolution is adopted pursuant to the provisions of the Issuer Act and the Resolution.

ARTICLE II

AUTHORIZATION OF SERIES 2021 BONDS

Section 2.01 Principal Amount, Designation and Series. Pursuant to the Resolution and in order to finance Capital Costs, Obligations, which may be issued as Senior Lien Obligations or as Second Lien Obligations, in one or more Series or subseries and from time to time, entitled to the benefit, protection and security of the Resolution are hereby authorized to be issued in an aggregate principal amount not exceeding the principal amount necessary so that, after giving effect to any net original issue discount and underwriters’ discount from the principal amount (but without giving effect to any net original issue premium as determined to be advisable by an Authorized Officer in connection with the marketing of the Series 2021 Bonds), the amount to be deposited in the Proceeds Account pursuant to, or otherwise applied to effectuate the purposes of, Section 2.02 and Section 3.01 of this Supplemental Resolution (exclusive of any amount deposited therein or otherwise applied pursuant to a Certificate of Determination to pay accrued interest, capitalized interest or any Costs of Issuance of the Series 2021 Bonds) shall not exceed the amount or amounts determined in one or more Certificates of Determination to be necessary to effectuate the purposes set forth in Section 2.02 hereof; provided, however, that the Series 2021 Bonds issued to finance Capital Costs shall not exceed \$1.3 billion at any one time Outstanding reduced by the sum of (1) the Series 2021 Notes, (2) the amount of bonds (the “TRB Series 2021 Bonds”) issued under the Multiple Series 2021 Transportation Revenue Bond Supplemental Resolution, adopted on December 16, 2020, (3) the amount of bond anticipation notes (the “TRB Series 2021 Notes”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Bond Anticipation Notes and Related Subordinated Indebtedness Transportation Revenue Bond Supplemental Resolution, adopted on December 16, 2020, (4) the amount of bonds (the “DTF Series 2021 Bonds”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Dedicated Tax Fund Bond Supplemental Resolution, adopted on December 16, 2020, and (5) the amount of bond anticipation notes (the “DTF Series 2021 Notes”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Bond Anticipation Notes and Related Subordinated Indebtedness Dedicated Tax Fund Bond Supplemental Resolution, adopted on December 16, 2020 (but, for purposes of clarification, not including any Series 2021 Bonds, Series 2021 Notes, TRB Series 2021 Bonds, TRB Series 2021 Notes, DTF Series 2021 Bonds or DTF Series 2021 Notes issued to refinance Series 2021 Notes, TRB Series 2021 Notes or DTF Series 2021 Notes).

Series 2021 Bonds shall be designated as, and shall be distinguished from the Obligations of all other Series by the title, "Payroll Mobility Tax Bonds, Series 2021" or such other title or titles set forth in one or more Certificates of Determination, including designating the Series of the Obligations to reflect the year in which such Obligations are issued.

The authority to issue the Obligations and take related actions granted under previous resolutions of the Issuer shall continue in full force and effect. The authorization to issue the Series 2021 Bonds shall continue in effect until the adoption by the Issuer Board of a subsequent new money bond issuance supplemental resolution relating to 2022 new money financings; provided, however, the authorization to issue the Series 2021 Bonds to refinance the Series 2021 Notes, the TRB Series 2021 Notes and the DTF Series 2021 Notes shall continue in effect until all of such Series 2021 Notes, TRB Series 2021 Notes and DTF Series 2021 Notes have been refinanced by Series 2021 Bonds, TRB Series 2021 Bonds and/or DTF Series 2021 Bonds.

Section 2.02 Purposes. The proceeds of the Series 2021 Bonds shall be used only for the purposes set forth in one or more Certificates of Determination and may include the application for any lawful purpose, including (i) the payment of all or any part of the Capital Costs, and (ii) the payment of principal of and redemption premium, if any, and interest on Outstanding Series 2021 Notes, TRB Series 2021 Notes or the DTF Series 2021 Notes, all to the extent and in the manner provided in this Supplemental Resolution.

Section 2.03 Dates, Maturities, Principal Amounts and Interest. The Series 2021 Bonds, except as otherwise provided in the Resolution, shall be dated the date or dates determined in the related Certificate of Determination. The Series 2021 Bonds shall mature on the date or dates and in the year or years and principal amount or amounts, and shall bear interest at the rate or rates per annum, if any, specified in or determined in the manner provided in the related Certificate of Determination.

Section 2.04 Interest Payments. The Series 2021 Bonds shall bear interest from their date or dates and be payable on such date or dates as may be determined pursuant to the related Certificate of Determination. Except as otherwise provided in the related Certificate of Determination, interest on the Series 2021 Bonds shall be computed on the basis of twelve (12) 30-day months and a 360-day year.

Section 2.05 Denominations, Numbers and Letters. Unless otherwise provided in the related Certificate of Determination, the Series 2021 Bonds shall be issued in fully registered form without coupons in the denomination of \$5,000 or any integral multiple thereof. The Series 2021 Bonds shall be lettered and numbered as provided in the related Certificate of Determination.

Section 2.06 Places of Payment and Paying Agent. Except as otherwise provided in the related Certificate of Determination, principal and Redemption Price of the Series 2021 Bonds shall be payable to the registered owner of each Series 2021 Bond when due upon presentation of such Series 2021 Bond at the principal corporate trust office of the Trustee. Except as otherwise provided in the related Certificate of Determination, interest on the registered Series 2021 Bonds will be paid by check or draft mailed on the interest payment date by the Paying Agent, to the registered owner at his address as it appears on the registration books

or, at the option of any Owner of at least one million dollars (\$1,000,000) in principal amount of the Series 2021 Bonds, by wire transfer in immediately available funds on each interest payment date to such Owner thereof upon written notice from such Owner to the Trustee, at such address as the Trustee may from time to time notify such Owner, containing the wire transfer address (which shall be in the continental United States) to which such Owner wishes to have such wire directed, if such written notice is received not less than twenty (20) days prior to the related interest payment date (such notice may refer to multiple interest payments).

Section 2.07 Sinking Fund Installments. The Series 2021 Bonds, if any, as determined in the related Certificate of Determination, shall be subject to redemption in part, by lot, or otherwise as determined in accordance with Section A-404 of the Resolution, on each date in the year or years at the principal amount thereof as determined in the related Certificate of Determination, plus accrued interest up to but not including the date of redemption thereof, from mandatory Sinking Fund Installments which are required to be made in amounts sufficient to redeem on each such date the principal amount of such Series 2021 Bonds.

Section 2.08 Redemption Prices and Terms. The Series 2021 Bonds may also be subject to redemption prior to maturity, at the option of the Issuer, upon notice as provided in Article A-IV of the Resolution, at any time as a whole or in part (and by lot within a maturity, or otherwise as determined in accordance with Section A-404 of the Resolution, if less than all of a maturity is to be redeemed), from maturities designated by the Issuer on and after the date and in the years and at the Redemption Prices (expressed as a percentage of principal amount) determined in the related Certificate of Determination, plus accrued interest up to but not including the redemption date. Notwithstanding the foregoing, in the case of taxable Series 2021 Bonds, if set forth in the Certificate of Determination, the taxable Series 2021 Bonds may be made subject to pro rata redemption and/or a make-whole redemption premium.

Section 2.09 Delegation to an Authorized Officer. 1. There is hereby delegated to each Authorized Officer, subject to the limitations contained in this Supplemental Resolution, the following powers with respect to the Series 2021 Bonds:

(a) to determine whether and when to issue any Series 2021 Bonds, whether to issue the Series 2021 Bonds as Senior Lien Series 2021 Bonds or as Second Lien Series 2021 Bonds, the amount of the Series 2021 Bonds to be applied to finance the purposes thereof, and the amount of the proceeds of the Series 2021 Bonds, estimated to be necessary to pay the Costs of Issuance of the Series 2021 Bonds and capitalized interest, if any;

(b) to determine the purpose or purposes for which the Series 2021 Bonds are being issued, which shall be one or more of the purposes set forth in Section 2.02 of this Supplemental Resolution;

(c) to determine the principal amounts of the Series 2021 Bonds to be issued for the purposes set forth in Section 2.02 of this Supplemental Resolution and whether such principal amounts constitute a separate Series or a subseries of Series 2021 Bonds, which principal amounts (and the aggregate of all such Series and subseries) shall not

exceed the principal amounts permitted by Section 2.01 of this Supplemental Resolution, and to determine Accreted Values and Appreciated Values, if applicable;

(d) to determine the maturity date and principal amount of each maturity of the Series 2021 Bonds and the amount and due date of each Sinking Fund Installment, if any;

(e) to determine the date or dates which the Series 2021 Bonds shall be dated and the interest rate or rates of the Series 2021 Bonds or the manner of determining such interest rate or rates; provided, however, that, unless otherwise determined by an Authorized Officer in a Certificate of Determination in connection with the issuance of Series 2021 Bonds to provide for the refinancing or refunding of Series 2021 Notes or of Series 2021 Refunding Obligations, any Series 2021 Bonds issued as fixed rate Tax-Exempt Obligations shall be subject to a maximum interest rate of not greater than 10% per annum, any Series 2021 Bonds issued as fixed rate Taxable Obligations shall be subject to a maximum interest rate of not greater than 12% per annum, any Variable Interest Rate Obligations issued as Tax-Exempt Obligations shall be subject to a maximum interest rate of not greater than 15% per annum, any Variable Interest Rate Obligations issued as Taxable Obligations shall be subject to a maximum interest rate of not greater than 18% per annum and any Parity Reimbursement Obligations shall be subject to a maximum interest rate of not greater than 25% per annum, or, in each such case, such higher rate or rates as determined by the Issuer's Board;

(f) to determine the Redemption Price or Redemption Prices, if any and the redemption terms, if any, for the Series 2021 Bonds; provided, however, that if the Series 2021 Bonds are to be redeemable at the election of the Issuer, the Redemption Price (except in the case of taxable Series 2021 Bonds) shall not be greater than one hundred three percent (103%) of the principal amount of the Series 2021 Bonds to be redeemed, plus accrued interest thereon up to but not including the date of redemption and in the case of taxable Series 2021 Bonds the Redemption Price may be determined pursuant to provisions relating to pro rata redemption and/or make-whole redemption;

(g) to determine whether the sale of the Series 2021 Bonds shall be conducted on either a negotiated or competitive bid basis and, as applicable, to determine the purchase price for the Series 2021 Bonds to be paid by the purchaser or purchasers referred to in one or more Purchase Agreements or a bank direct purchase agreement or the purchase price for the Series 2021 Bonds to be paid by the winning bidder, if such sale is conducted by competitive bid pursuant to a Notice of Sale (as hereinafter defined), in either case as such document is described in Section 2.10 of this Supplemental Resolution, which may include such original issue discount and original issue premium as shall be determined in the related Certificate of Determination; provided, however, that the underwriters' discount reflected in such purchase price shall not exceed \$10.00 for each one thousand dollars (\$1,000) principal amount of the Series 2021 Bonds;

(h) to take all actions required for the Series 2021 Bonds to be eligible under the rules and regulations of The Depository Trust Company ("DTC") for investment and trading as uncertificated securities, to execute and deliver a standard form of letter of

representation with DTC and, notwithstanding any provisions to the contrary contained in this Supplemental Resolution, to include in the related Certificate of Determination such terms and provisions as may be appropriate or necessary to provide for uncertificated securities in lieu of Series 2021 Bonds issuable in fully registered form;

(i) to determine whether to issue all or any portion of the Series 2021 Bonds as Tax-Exempt Obligations, Taxable Obligations, Put Obligations, Variable Interest Rate Obligations or as any other form of Obligations permitted by the Resolution and any matters related thereto, including (i) the terms and provisions of any such Series 2021 Bonds, (ii) the selection of remarketing agents, tender agents, calculation agents, auction agents, dealers, bidding agents or any other agents or parties to ancillary arrangements and the terms of any such arrangements, and (iii) the methods for determining the accrual of Debt Service;

(j) to determine the advisability, as compared to an unenhanced transaction, of obtaining one or more Credit Facilities, to select a provider or providers thereof and to determine and accept the terms and provisions and price thereof, to determine such other matters related thereto as in the opinion of the officer executing the related Certificate of Determination shall be considered necessary or appropriate and to effect such determinations by making any changes in or additions to this Supplemental Resolution required by Credit Facility providers, if any, or required by a Rating Agency in order to attain or maintain specific ratings on the Series 2021 Bonds, or relating to the mechanisms for the repayment of amounts advanced thereunder or payment of fees, premiums, expenses or any other amounts, notices, the provision of information, and such other matters of a technical, mechanical, procedural or descriptive nature necessary or appropriate to obtain or implement a Credit Facility with respect to the Series 2021 Bonds, and to make any changes in connection therewith;

(k) to make such changes to the form of this Supplemental Resolution as may be required by a Rating Agency in order to attain or maintain specific ratings on the Series 2021 Bonds;

(l) to make such changes to the form of this Supplemental Resolution as may be necessary or desirable in order to cure any ambiguities, inconsistencies or other defects; and

(m) to determine such other matters specified in or permitted by (i) Sections 201, 202, A-201 and C-201 of the Resolution or (ii) this Supplemental Resolution, including preparation of any documentation therefor.

2. Any Authorized Officer shall execute one or more Certificates of Determination evidencing the determinations made pursuant to this Supplemental Resolution and any such Certificate of Determination shall be conclusive evidence of the determinations of such Authorized Officer, as stated therein. More than one Certificate of Determination may be delivered to the extent more than one Series or subseries of Series 2021 Bonds are delivered from time to time or other authority is exercised under this Supplemental Resolution from time to time and each such Certificate of Determination shall be delivered to the Trustee concurrently

with the authentication and delivery of the respective Series or subseries of Series 2021 Bonds by the Trustee or other documentation. Determinations set forth in any Certificate of Determination shall have the same effect as if set forth in this Supplemental Resolution.

3. Any such Authorized Officer may exercise any authority delegated under this Supplemental Resolution from time to time following issuance of any Series 2021 Bonds, as appropriate for any purposes, including to change interest rate modes or auction periods; to obtain or terminate one or more Credit Facilities, including substitute or additional Credit Facilities; to enter into one or more bank direct purchase agreements or similar instruments; to appoint new or additional agents or other parties deemed appropriate to a particular form or mode of Obligation or manner of sale; to enter into one or more remarketing agreements, firm remarketing agreements, continuing disclosure agreements or other agreements in connection with the remarketing of any Series 2021 Bonds; if any Series 2021 Bonds shall consist of multiple subseries, to change the principal amounts and number of the individual subseries or to combine one or more subseries into a single Series or subseries; or, if any Series 2021 Bonds consist of a single Series or subseries, to divide such Series or subseries into two or more subseries and to determine the principal amount of such subseries. In connection with the remarketing, by negotiated sale, competitive bid or direct or private placement, of any Series 2021 Bonds, any such Authorized Officer is hereby authorized to make public and to authorize the use and distribution by remarketing agents or other appropriate parties of a remarketing circular (including a preliminary remarketing circular), or other disclosure document, in substantially the form executed or delivered by the Issuer in connection with the remarketing of other obligations, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable. Any Authorized Officer shall execute one or more amendments to the applicable Certificate or Certificates of Determination evidencing the determinations made pursuant to this paragraph and any such amended Certificate of Determination shall be conclusive evidence of the determinations of such Authorized Officer, as stated therein.

Section 2.10 Sale of Series 2021 Bonds. Each Authorized Officer is hereby authorized either (i) to sell and award all or any portion of the Series 2021 Bonds through a competitive bidding process to purchasers meeting the requirements of one or more Notices of Sale (as hereinafter defined) to be published in order to give notice of the competitive sale of the Series 2021 Bonds; (ii) to sell and award all or any portion of the Series 2021 Bonds through a negotiated sale to the purchasers who are referred to in the bond purchase agreement and who shall be selected from the then current list of approved underwriters or shall be an authorized purchaser under a federal or State program; or (iii) to sell and award all or any portion of the Series 2021 Bonds through a direct sale to the financial institution or institutions selected by the Authorized Officer who shall be referred to in the continuing covenant, direct purchase or similar agreement. Each Authorized Officer is hereby authorized to sell and award the Series 2021 Bonds to the purchasers referred to in the preceding sentence in the case of a bond purchase agreement, in substantially the form executed or delivered by the Issuer in connection with the sale of other Issuer obligations, or, with respect to a continuing covenant, direct purchase or similar agreement, containing bank facility terms and provisions (including, without limitation, increased costs, term-out, events of default and remedies) in substantially the form set forth in a letter of credit reimbursement agreement executed or delivered by the Issuer in connection with the sale of other Issuer obligations, including, if applicable, that the direct purchaser offer to the Issuer a term-out provision of no less than five years, with such revisions to reflect the terms and

provisions of the Series 2021 Bonds as may be approved by the Authorized Officer executing the bond purchase, continuing covenant or similar agreement (each, a “Purchase Agreement”). Each Authorized Officer is hereby authorized to agree to the selection of the representative of the underwriters or the other purchasers or facility providers as referred to in the Purchase Agreement or Agreements and to execute and deliver the Purchase Agreement or Agreements for and on behalf and in the name of the Issuer with such changes, omissions, insertions and revisions as may be approved by the Authorized Officer executing the Purchase Agreement or Agreements, said execution being conclusive evidence of such approval and concurrence in the selection of the representative of the underwriters.

If it is determined that any sale of Series 2021 Bonds shall be conducted on a competitive bid basis, each Authorized Officer is hereby further authorized to conduct the sale and award of the Series 2021 Bonds on the basis of a competitive bid, pursuant to the terms of a notice of sale, including bid form (the “Notice of Sale”), in a form, including any limitations on permitted bidders and a description of the basis for determining the winning bidder or bidders, determined by such Authorized Officer. Each Authorized Officer is hereby authorized to conduct such competitive sale of the Series 2021 Bonds in a manner consistent with this Supplemental Resolution and to utilize the services of the Authority’s financial advisor and the services of an electronic bidding service, as such Authorized Officer shall determine, and the execution by such Authorized Officer of a letter of award shall be conclusive evidence of such award.

Each Authorized Officer is hereby authorized to make public and to authorize the use and distribution by said purchasers or other appropriate parties of a preliminary official statement, offering circular, or other disclosure document (the “Preliminary Official Statement”) in connection with each public offering or any direct or private placement of the Series 2021 Bonds, in substantially the form executed or delivered by the Issuer in connection with the sale of other Issuer obligations, with such changes, omissions, insertions and revisions as such officer shall deem advisable. The Issuer authorizes any of said officers to deliver a certification to the effect that such Preliminary Official Statement, if deemed necessary or appropriate, together with such other documents, if any, described in such certificate, was deemed final as of its date for purposes of Rule 15c2-12 of the Securities and Exchange Commission as applicable.

Each Authorized Officer is hereby authorized to make public and to authorize distribution of a final official statement, offering circular or other disclosure document (the “Official Statement”) in substantially the form of each Preliminary Official Statement or an executed and delivered Official Statement in connection with other Issuer obligations if there is not a Preliminary Official Statement, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable, to sign such Official Statement and to deliver such Official Statement to the purchasers of such issue of the Series 2021 Bonds, such execution being conclusive evidence of the approval of such changes, omissions, insertions and revisions.

Each Authorized Officer is hereby authorized to execute and deliver for and on behalf and in the name of the Issuer, to the extent determined by such Authorized Officer to be necessary or convenient, a Continuing Disclosure Agreement, substantially in the form of the Continuing Disclosure Agreement executed and delivered by the Issuer in connection with other obligations, with such changes, omissions, insertions and revisions as such Authorized Officer

shall deem advisable (the “Continuing Disclosure Agreement”), said execution being conclusive evidence of the approval of such changes, omissions, insertions and revisions.

The proceeds of each good faith deposit, if any, received by the Issuer from the purchasers of each issue of the Series 2021 Bonds under the terms of the related Purchase Agreement or Notice of Sale may be invested by the Issuer pending application of the proceeds of such good faith deposit for the purposes provided in Section 2.02 of this Supplemental Resolution at the time of the issuance and delivery of such Series 2021 Bonds.

Each Authorized Officer (including any Assistant Secretary of the Issuer) is hereby authorized and directed to execute, deliver, amend, replace or terminate any and all documents and instruments (including any remarketing agreements, dealer agreements, broker-dealer agreements, tender agent agreements, or auction agency agreements, any investment agreements or arrangements, or any reimbursement agreements or documents or instruments relating to a Credit Facility deemed appropriate to a given form or mode of an Obligation) and to do and cause to be done any and all acts necessary or proper for carrying out each Purchase Agreement or Notice of Sale, each Continuing Disclosure Agreement, the terms of any Credit Facility or other such agreement or arrangement, and the issuance, sale and delivery of the Series 2021 Bonds and for implementing the terms of the Series 2021 Bonds and the transactions contemplated hereby or thereby.

When reference is made in this Supplemental Resolution to the authorization of an Authorized Officer to do any act, such act may be accomplished by any of such officers individually.

Section 2.11 Forms of Series 2021 Bonds and Trustee’s Authentication Certificate. Subject to the provisions of the Resolution, the form of registered Series 2021 Bonds, and the Trustee’s certificate of authentication, shall be substantially in the form set forth in Exhibit One to the Resolution including, if necessary, any changes to comply with the requirements of DTC or the provisions of this Supplemental Resolution or the related Certificate of Determination.

Section 2.12 Appointment of Trustee and Paying Agent. The Authorized Officers are hereby authorized to select a qualifying trustee meeting the qualifications under the Resolution to act as the Trustee under the Resolution and the Paying Agent for the Series 2021 Bonds.

ARTICLE III

DISPOSITION OF SERIES 2021 BOND PROCEEDS

Section 3.01 Disposition of Series 2021 Bond Proceeds. Any proceeds of the sale of the Series 2021 Bonds, other than accrued interest and capitalized interest, if any, shall be deposited, simultaneously with the issuance and delivery of a series or subseries of the Series 2021 Bonds, or shall otherwise be applied pursuant to a Certificate of Determination as follows:

1. such proceeds shall be (i) deposited in the Series 2021 Bond Proceeds Account, which is hereby established in the Obligations Proceeds Fund for each such series or subseries, for the purposes set forth in Section 2.02; and

2. the balance of such proceeds shall be (i) deposited in the Series 2021 Costs of Issuance Account, which is hereby established in the Obligations Proceeds Fund for each such series or subseries, and applied to the payment of Costs of Issuance, or (ii) otherwise applied to the payment of Costs of Issuance.

Unless otherwise provided in the related Certificate of Determination, accrued interest and capitalized interest, if any, received on the sale of the Series 2021 Bonds shall be deposited in the Debt Service Fund.

ARTICLE IV

TAX COVENANTS AND DEFEASANCE

Section 4.01 Tax Covenants Relating to the Series 2021 Bonds. The Issuer covenants that, in order to maintain the exclusion from gross income for Federal income tax purposes of the interest on the Series 2021 Bonds issued as Tax-Exempt Obligations, the Issuer will satisfy, or take such actions as are necessary to cause to be satisfied, each provision of the Code necessary to maintain such exclusion. In furtherance of this covenant, the Issuer agrees to comply with such written instructions as may be provided by Bond Counsel. In furtherance of the covenant contained in the preceding sentence, the Issuer agrees to continually comply with the provisions of any “Arbitrage and Use of Proceeds Certificate” or “Tax Certificate” to be executed by the Issuer in connection with the execution and delivery of any Series 2021 Bonds issued as Tax-Exempt Obligations, as amended from time to time.

Notwithstanding any other provision of the Resolution to the contrary, upon the Issuer’s failure to observe, or refusal to comply with the above covenant (a) the Owners of the Series 2021 Bonds, or the Trustee acting on their behalf, shall be entitled to the rights and remedies provided to Owners or the Trustee under Section C-402 of the Resolution, other than the right (which is hereby abrogated solely as to the Issuer’s failure to observe, or refusal to comply with the above covenant) to declare the principal of all Obligations then Outstanding, and the interest accrued thereon, to be due and payable pursuant to Section 567 of the Issuer Act, and (b) neither the Owners of the Obligations of any Series (other than the Owners of the Series 2021 Bonds or the Trustee acting on their behalf), nor the Trustee acting on their behalf, shall be entitled to exercise any right or remedy provided to the Owners or the Trustee under the Resolution based upon the Issuer’s failure to observe, or refusal to comply with, the above covenant.

Section 4.02 Defeasance. In the event the Issuer shall seek, prior to the maturity or redemption date thereof, to pay or cause to be paid, within the meaning and with the effect expressed in the Resolution, all or less than all Outstanding Series 2021 Bonds issued as Tax-Exempt Obligations and the provisions of Section 4.01 hereof shall then be of any force or effect, then, notwithstanding the provisions of Article A-IX of the Resolution, the Series 2021 Bonds issued as Tax-Exempt Obligations which the Issuer then seeks to pay or cause to be paid shall not be deemed to have been paid within the meaning and with the effect expressed in Section A-901 of the Resolution unless (i) the Issuer has confirmed in writing that the Owners of the Series 2021 Bonds issued as Tax-Exempt Obligations which the Issuer then seeks to pay or cause to be paid will continue, after such action, to have the benefit of a covenant to the effect of the covenant of the Issuer contained in Section 4.01 hereof or (ii) there shall have been delivered to

the Trustee an Opinion of Bond Counsel to the effect that non-compliance thereafter with the applicable provisions of the Code will not affect the then current treatment of interest on the Series 2021 Bonds issued as Tax-Exempt Obligations in determining gross income for Federal income tax purposes.

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY

**MULTIPLE SERIES 2021 BOND ANTICIPATION NOTES
AND RELATED SUBORDINATED INDEBTEDNESS
PAYROLL MOBILITY TAX OBLIGATION SUPPLEMENTAL RESOLUTION**

Adopted March 17, 2021

**MULTIPLE SERIES 2021 BOND ANTICIPATION NOTES
AND RELATED SUBORDINATED INDEBTEDNESS
PAYROLL MOBILITY TAX OBLIGATION SUPPLEMENTAL RESOLUTION**

BE IT RESOLVED by the Board of Triborough Bridge and Tunnel Authority (the “Issuer”), as follows:

**ARTICLE I
DEFINITIONS AND STATUTORY AUTHORITY**

SECTION 1.01. Supplemental Resolution. This Multiple Series 2021 Bond Anticipation Notes and Related Subordinated Indebtedness Payroll Mobility Tax Obligation Supplemental Resolution (the “Supplemental Resolution”) is supplemental to, and is adopted in accordance with Article II, Article A-II, Article B-II and Article C-V of, a resolution adopted by the Issuer on March 17, 2021, entitled “Payroll Mobility Tax Obligation Resolution”, as heretofore supplemented (the “Resolution”).

SECTION 1.02. Definitions.

1. All capitalized terms which are used but not otherwise defined in this Supplemental Resolution shall have the same meanings, respectively, as such terms are given by Section 102 of the Resolution.

2. In this Supplemental Resolution:

“Authorized Officer” shall include the officers designated as such in the Resolution, and the Chairman, the Chair of the Finance Committee, the Chief Financial Officer, the Deputy Chief, Financial Services, or the Director, Finance of the MTA, as well as any officer duly designated as “Acting” in said officer’s capacity, except that, for the purposes of any delegation set forth herein that does not expressly include any Assistant Secretary, “Authorized Officer” shall not include any Assistant Secretary of the Issuer.

“Board” shall mean, when used with respect to the Issuer, the board of the Issuer acting as such pursuant to the provisions of the Issuer Act, and when used with respect to the MTA, the members of the MTA acting as such pursuant to the provisions of the MTA Act.

“Bond Counsel” shall mean Nixon Peabody LLP, Orrick, Herrington & Sutcliffe LLP, D. Seaton and Associates, P.A., P.C., Bryant Rabbino LLP or any other attorney or firm of attorneys of nationally recognized standing in the field of law relating to the issuance of obligations by state and municipal entities, selected by the Issuer.

“Code” shall mean the Internal Revenue Code of 1986, as amended, and regulations thereunder.

“Notice of Sale” shall mean the form of Notice of Sale to be distributed with the Preliminary Official Statement, hereinafter defined, and published in the event of a competitive sale of the Series 2021 Notes.

“Owner”, or any similar term, means any person who shall be the registered owner of any Outstanding Series 2021 Note or Notes.

“Series 2021 Bonds” shall mean the Payroll Mobility Tax Bonds, Series 2021, authorized by Article II of the Resolution and pursuant to a resolution of the Issuer adopted as of the date that this Supplemental Resolution is adopted.

“Series 2021 Notes” shall mean the Payroll Mobility Tax Bond Anticipation Notes, Series 2021, authorized to be issued, in accordance with Section A-203 of the Standard Resolution Provisions and/or Section B-203 of the Second Lien Standard Resolution Provisions, pursuant to this Supplemental Resolution, subject to redesignation as hereinafter provided.

“Series 2021 Refunding Obligations” shall mean the Payroll Mobility Tax Refunding Obligations authorized to be issued by Article II of the Resolution and pursuant to a resolution of the Issuer adopted as of the date that this Supplemental Resolution is adopted.

SECTION 1.03. Authority for this Supplemental Resolution. This Supplemental Resolution is adopted pursuant to the provisions of the Issuer Act and the Resolution.

ARTICLE II AUTHORIZATION OF SERIES 2021 NOTES

SECTION 2.01. Principal Amount, Designation and Series. Pursuant to the Resolution, Payroll Mobility Tax Bond Anticipation Notes constituting Obligation Anticipation Notes or Second Lien Obligation Anticipation Notes under the Resolution, which may be issued in one or more Series or subseries and from time to time, entitled to the benefit, protection and security of the Resolution are hereby authorized to be issued in an aggregate principal amount necessary so that, after giving effect to any net original issue discount and underwriters’ discount from the principal amount (but without giving effect to any net original issue premium as determined to be advisable by an Authorized Officer in connection with the marketing of the Series 2021 Notes), the amount to be deposited in the Series 2021 Note Proceeds Account pursuant to, or otherwise applied to effectuate the purposes of, Section 2.02 and Section 3.01 of this Supplemental Resolution (exclusive of any amount deposited therein or otherwise applied pursuant to a Certificate of Determination to pay accrued interest, capitalized interest, or any Costs of Issuance of the Series 2021 Notes), shall not exceed \$1.3 billion at any one time outstanding reduced by the sum of (1) the amount of Series 2021 Bonds issued under the Triborough Bridge and Tunnel Authority Multiple Series 2021 Payroll Mobility Tax Bond Supplemental Resolution, adopted on March 17, 2021, (2) the amount of bonds (the “TRB Series 2021 Bonds”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Transportation Revenue Bond Supplemental Resolution, adopted on December 16, 2020, (3) the amount of bonds (the “DTF Series 2021 Bonds”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Dedicated Tax Fund Bond Supplemental Resolution, adopted on December 16, 2020, (4) the amount of bond anticipation notes (the “TRB Series 2021 Notes”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Bond Anticipation Notes and Related Subordinated Indebtedness Transportation Revenue Bond Supplemental Resolution, adopted on December 16, 2020, and (5) the amount of bond anticipation notes (the “DTF Series 2021 Notes”) issued under the Metropolitan Transportation Authority Multiple Series 2021 Bond Anticipation Notes and Related Subordinated Indebtedness Dedicated Tax Fund Bond Supplemental Resolution, adopted on December 16, 2020 (but, for purposes of clarification, not including any Series 2021 Notes, Series 2021 Bonds, TRB Series 2021 Bonds, TRB Series 2021 Notes, DTF Series 2021 Bonds or DTF Series 2021 Notes issued to refinance Series 2021 Notes, TRB Series 2021 Notes or DTF Series 2021 Notes). The Series 2021 Notes may be issued in the form of a loan agreement, line of credit, revolving credit agreement or similar facility (each, a “Loan Facility”). For all purposes of this Section such Payroll Mobility Tax Bond Anticipation Notes shall be designated as, and shall be distinguished from the Payroll Mobility Tax Bond Anticipation Notes of all other Series by the title, “Payroll Mobility Tax Bond Anticipation Notes, Series 2021”, with such further

or different designations as may be provided in any Certificate of Determination, including designating the Series of the Obligations to reflect the year in which the Obligations are issued.

The authority to issue Obligation Anticipation Notes and to take related actions granted under previous resolutions of the Issuer shall continue in full force and effect. The authorization to issue the Series 2021 Notes shall continue in effect until the adoption by the Issuer's Board of a subsequent new money note issuance supplemental resolution relating to 2022 new money financings, provided, however, the authorization to issue the Series 2021 Notes for the purposes set forth in clause (ii) of Section 2.02 hereof to the extent permitted by law and to issue TRB Series 2021 Bonds, DTF Series 2021 Bonds and the Series 2021 Bonds to refinance the TRB Series 2021 Notes, the DTF Series 2021 Notes and the Series 2021 Notes authorized hereunder shall continue in effect until all of such TRB Series 2021 Notes, DTF Series 2021 Notes and Series 2021 Notes have been refinanced by TRB Series 2021 Bonds, DTF Series 2021 Bonds and/or Series 2021 Bonds.

The Issuer hereby covenants and agrees for the benefit of the Owners and beneficial owners of the Series 2021 Notes that it will maintain issuance capacity pursuant to the Resolution to issue Payroll Mobility Tax Obligations or additional Obligation Anticipation Notes in an amount sufficient to pay the principal of and interest on the Series 2021 Notes when due.

SECTION 2.02. Use of Proceeds. The proceeds of the Series 2021 Notes shall be used only for the purposes set forth in one or more Certificates of Determination and may include (i) the payment of all or any part of the Capital Costs, including Costs of Issuance related to the Series 2021 Notes, and (ii) the payment of the principal and interest of Outstanding Series 2021 Notes, TRB Series 2021 Notes or DTF Series 2021 Notes.

SECTION 2.03. Dates, Maturities, Principal Amounts and Interest; Redemption. The Series 2021 Notes shall be dated the date or dates determined in the related Certificate of Determination. The Series 2021 Notes shall mature on the date or dates and in the year or years and in the principal amount or amounts, and shall bear interest at the rate or rates per annum, if any, specified in or determined in the manner provided in any Certificate of Determination. Except as otherwise provided in the related Certificate of Determination, the Series 2021 Notes shall not be subject to redemption prior to maturity.

SECTION 2.04. Interest Payments. The Series 2021 Notes shall bear interest from their date or dates and be payable on such date or dates as may be determined pursuant to the related Certificate of Determination. Except as otherwise provided in the related Certificate of Determination, interest on the Series 2021 Notes shall be computed on the basis of twelve (12) 30-day months and a 360-day year.

SECTION 2.05. Denominations, Numbers and Letters. Unless otherwise provided in the related Certificate of Determination, the Series 2021 Notes shall be issued in fully registered form without coupons in the denomination of \$5,000 or any integral multiple thereof. The Series 2021 Notes shall be numbered and lettered as provided in the related Certificate of Determination.

SECTION 2.06. Places of Payment and Paying Agents. Except as otherwise provided in the related Certificate of Determination, principal and Redemption Price of the Series 2021 Notes shall be payable to the registered owner of each Series 2021 Note when due upon presentation of such Series 2021 Note at the principal corporate trust office of the Trustee. Except as otherwise provided in the related Certificate of Determination, interest on the registered Series 2021 Notes will be paid by check or draft mailed on the interest payment date by the Paying Agent to the registered owner at his address as it appears on the registration books or, at the option of any Owner of at least one million dollars (\$1,000,000) in principal amount of the Series 2021 Notes, by wire transfer in immediately available funds on each interest payment date to such Owner thereof upon written notice from such Owner to the Trustee, at such address

as the Trustee may from time to time notify such Owner, containing the wire transfer address (which shall be in the continental United States) to which such Owner wishes to have such wire directed, if such written notice is received not less than twenty (20) days prior to the related interest payment date (such notice may refer to multiple interest payments).

SECTION 2.07. Sources of Payment

1. The principal of and interest on the Series 2021 Notes may be payable solely from (i) the proceeds of any other Series 2021 Notes, TRB Series 2021 Notes or DTF Series 2021 Notes, (ii) the proceeds of the Series 2021 Bonds, TRB Series 2021 Bonds or DTF Series 2021 Bonds, and (iii) the proceeds of notes or other evidences of indebtedness or any other amounts (which other amounts are not pledged under the Resolution), in each case if and to the extent such amounts may lawfully be used to make such payments. The interest on the Series 2021 Notes may also be payable from amounts available for the payment of Other Subordinated Indebtedness, as provided in the Certificate of Determination.

2. There are hereby pledged to the payment of principal and interest on the Series 2021 Notes (i) the proceeds of other Series 2021 Notes, TRB Series 2021 Notes or DTF Series 2021 Notes issued to refinance such Series 2021 Notes, and (ii) the proceeds of the Series 2021 Bonds and the Series 2021 Refunding Obligations issued to refinance such Series 2021 Notes, and such pledge has priority over any other pledge thereof created by the Resolution, including Section 501. There is also hereby pledged to the payment of interest on the Series 2021 Notes amounts available for the payment of Other Subordinated Indebtedness as provided in Section A-203 of the Resolution or Section B-203 of the Resolution, as applicable. Proceeds and amounts described in clause (iii) of Section 2.07(1) hereof may be pledged to the payment of principal and interest on the Series 2021 Notes to the extent set forth in a Certificate of Determination.

3. The Issuer hereby covenants and agrees for the benefit of the Owners and Beneficial Owners of the Series 2021 Notes that it will maintain issuance capacity pursuant to the Resolution to issue Series 2021 Obligations and/or Series 2021 Refunding Obligations or additional Series 2021 Obligation Anticipation Notes in an amount sufficient to pay the principal of and interest on the Series 2021 Notes when due, and, unless otherwise paid prior to maturity with legally available funds, it will issue Series 2021 Obligations to provide for the timely payment at maturity of principal of and interest on the Series 2021 Notes.

SECTION 2.08. Delegation to an Authorized Officer.

1. There is hereby delegated to each Authorized Officer, subject to the limitations contained in this Supplemental Resolution, the following powers with respect to the Series 2021 Notes:

(a) to determine whether and when to issue any Series 2021 Notes, whether to issue the Series 2021 Notes as Senior Lien Series 2021 Notes or as Second Lien Series 2021 Notes, the amount of the Series 2021 Notes to be applied to finance Capital Costs or other uses as provided in Section 2.02 of this Supplemental Resolution and the amount of the proceeds of the Series 2021 Notes estimated to be necessary to pay the Costs of Issuance of the Series 2021 Notes;

(b) to determine the purpose or purposes for which the Series 2021 Notes are being issued, which shall be one or more of the purposes set forth in Section 2.02 hereof;

(c) to determine the principal amount of the Series 2021 Notes to be issued, which principal amount shall not exceed the amount described in Section 2.01 of this Supplemental Resolution, and whether such Series 2021 Notes shall be issued in one or more Series or subseries;

(d) to determine the maturity date and principal amount of each maturity of the Series 2021 Notes; provided that the Series 2021 Notes shall mature no later than five years after the date of issuance of such Series 2021 Notes;

(e) to determine the date or dates which the Series 2021 Notes shall be dated and the interest rate or rates of the Series 2021 Notes, provided that the true interest cost (as calculated by the officers of the Issuer executing any Certificate of Determination, which calculation shall be conclusive) on the Series 2021 Notes bearing a fixed rate of interest shall not exceed 4% per annum and for Series 2021 notes bearing interest at a variable interest rate shall not exceed a rate equal to SIFMA or one-month or three-month LIBOR or any short-term index generally accepted in the marketplace, in each case, plus 4% and any default rate or equivalent rate shall not exceed the sum of 4% plus either a specified prime rate or the federal funds rate;

(f) to determine the Redemption Price or Redemption Prices, if any, and the redemption terms, if any, for the Series 2021 Notes; provided, however, that if the Series 2021 Notes are to be redeemable at the election of the Issuer, the Redemption Price (except in the case of Series 2021 Notes issued as Taxable Obligations) shall not be greater than one hundred three percent (103%) of the principal amount of the Series 2021 Notes to be redeemed, plus accrued interest thereon up to but not including the date of redemption and in the case of Series 2021 Notes issued as Taxable Obligations the Redemption Price may be determined pursuant to provisions relating to pro rata redemption and/or make-whole redemption;

(g) to determine the advisability of conducting the sale of all or any portion of the Series 2021 Notes through competitive bidding or through a negotiated sale or a direct purchase transaction, and to determine the purchase price for the Series 2021 Notes to be paid by the purchasers pursuant to either a competitive bid process or by the purchasers referred to in the Purchase Agreement described in Section 2.09 of this Supplemental Resolution in the event the Series 2021 Notes are sold, in such Authorized Officer's discretion, through a negotiated sale or a direct purchase transaction, which may include such original premium and original issue discount as shall be determined in the related Certificate of Determination, provided, however, that in the case of a negotiated transaction, underwriters' discount reflected in such purchase price shall not exceed \$3.50 for each one thousand dollars (\$1,000) principal amount of the Series 2021 Notes;

(h) to determine the advisability, as compared to an unenhanced transaction, of obtaining municipal bond insurance or any other credit or liquidity facility, to determine and accept the terms and provisions and price thereof, to determine the items to be pledged to the Series 2021 Notes from those permitted by Section A-203 of the Resolution, and to determine such other matters as the officer executing any Certificate of Determination shall consider necessary or appropriate and to effect such determinations by making any changes in or additions to this Supplemental Resolution required by bond insurers or the provider of any other credit or liquidity facility, if any, or required by the bond rating agencies in order to attain or maintain specific ratings on the Series 2021 Notes, or relating to the mechanism for the payment of insurance premium, credit or liquidity facility fees or direct purchase fees, notices, the provision of information, and such other matters of a technical, mechanical, procedural or descriptive nature necessary or appropriate to implement an insurance policy or any other credit or liquidity facility with respect to the Series 2021 Notes, and to make any changes in connection therewith. Such changes may include, but are not limited to, the making of any additional covenants with Owners or other parties deemed necessary or appropriate by the officer executing any Certificate of Determination;

(i) to take all actions required for the Series 2021 Notes to be eligible under the rules and regulations of The Depository Trust Company ("DTC") for investment and trading as

uncertificated securities, to execute and deliver a standard form letter of representation with, or other form of document required by, DTC and, notwithstanding any provisions to the contrary contained in this Supplemental Resolution, to include in any Certificate of Determination such terms and provisions as may be appropriate or necessary to provide for uncertificated securities in lieu of Notes issuable in fully registered form; and

(j) to determine whether to issue all or any part of the Series 2021 Notes as Tax-Exempt Obligations or Taxable Obligations;

(k) to make such changes to the form of this Supplemental Resolution as may be required by a Rating Agency in order to attain or maintain specific ratings on the Series 2021 Notes;

(l) to make such changes to the form of this Supplemental Resolution as may be necessary or desirable in order to cure any ambiguities, inconsistencies or other defects; and

(m) to determine any other matters provided for herein, including the matters set forth in Sections 2.11 and 2.12 hereof.

2. Any Authorized Officer shall execute one or more Certificates of Determination evidencing the determinations made pursuant to this Supplemental Resolution and any such Certificate of Determination shall be conclusive evidence of the determinations of such Authorized Officer, as stated therein. More than one Certificate of Determination may be delivered to the extent more than one Series or subseries of Series 2021 Notes are delivered from time to time, or other authority is exercised under this Supplemental Resolution from time to time and each such Certificate of Determination shall be delivered to the Trustee concurrently with the authentication and delivery of the respective Series or subseries of Series 2021 Notes by the Trustee or other documentation. Determinations set forth in any Certificate of Determination shall have the same effect as if set forth in this Supplemental Resolution.

3. Any such Authorized Officer may exercise any authority delegated under this Supplemental Resolution from time to time following issuance of any Series 2021 Notes, as appropriate for any purposes, including to change interest rate modes or auction periods; to obtain or terminate one or more Credit Facilities, including substitute or additional Credit Facilities; to enter into one or more bank direct purchase agreements or similar instruments; to appoint new or additional agents or other parties deemed appropriate to a particular form or mode of Obligation or manner of sale; to enter into one or more remarketing agreements, firm remarketing agreements, continuing disclosure agreements or other agreements in connection with the remarketing of any Series 2021 Notes; if any Series 2021 Notes shall consist of multiple subseries, to change the principal amounts and number of the individual subseries or to combine one or more subseries into a single Series or subseries; or, if any Series 2021 Notes consist of a single Series or subseries, to divide such Series or subseries into two or more subseries and to determine the principal amount of such subseries. In connection with the remarketing, by negotiated sale, competitive bid or direct or private placement, of any Series 2021 Notes, any such Authorized Officer is hereby authorized to make public and to authorize the use and distribution by remarketing agents or other appropriate parties of a remarketing circular (including a preliminary remarketing circular), or other disclosure document, in substantially the form most recently executed or delivered by the Issuer in connection with the remarketing of Obligations, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable. Any Authorized Officer shall execute one or more amendments to the applicable Certificate or Certificates of Determination evidencing the determinations made pursuant to this paragraph and any such amended Certificate of Determination shall be conclusive evidence of the determinations of such Authorized Officer, as stated therein.

SECTION 2.09. Sale of Series 2021 Notes. Each Authorized Officer is hereby authorized either (i) to sell and award all or any portion of the Series 2021 Notes through a competitive bidding process to purchasers meeting the requirements of one or more Notices of Sale to be published in order to give notice of the competitive sale of the Series 2021 Notes; (ii) to sell and award all or any portion of the Series 2021 Notes through a negotiated sale to the purchasers who are referred to in the note purchase agreement and who shall be selected from the then current list of approved underwriters or shall be an authorized purchaser under a federal or State program; (iii) to sell and award all or any portion of the Series 2021 Notes through a direct sale to the financial institution or institutions selected by the Authorized Officer who shall be referred to in the continuing covenant, direct purchase or similar agreement; or (iv) to issue all or any portion of the Series 2021 Notes in the form of a Loan Facility to any financial institution or institutions selected by the Authorized Officer. Each Authorized Officer is hereby authorized to sell and award the Series 2021 Notes to the purchasers referred to in the preceding sentence in the case of a note purchase agreement, in substantially the form most recently executed or delivered by the Issuer in connection with the sale of Obligations, or, with respect to a sale under a federal or State program, a note purchase agreement in substantially the form approved by the authorized purchaser under such federal or State program or most recently executed or delivered by the Issuer under such federal or State program, or, with respect to a Loan Facility or a continuing covenant, direct purchase or similar agreement, containing bank facility terms and provisions (including, without limitation, increased costs, term-out, events of default and remedies) in substantially the form set forth in a letter of credit reimbursement agreement most recently executed or delivered by the Issuer, including, if applicable, that the requirement that the Loan Facility issuer or direct purchaser offer to the Issuer a term-out provision of no less than five years, with such revisions to reflect the terms and provisions of the Series 2021 Notes as may be approved by the Authorized Officer executing the note purchase, Loan Facility, continuing covenant or similar agreement (each, a “Purchase Agreement”). Each Authorized Officer is hereby authorized to agree to the selection of the representative of the underwriters or the other purchasers or facility providers as referred to in the Purchase Agreement or Agreements and to execute and deliver the Purchase Agreement or Agreements for and on behalf and in the name of the Issuer with such changes, omissions, insertions and revisions as may be approved by the Authorized Officer executing the Purchase Agreement or Agreements, said execution being conclusive evidence of such approval and concurrence in the selection of the representative of the underwriters.

If it is determined that any sale of Series 2021 Notes shall be conducted on a competitive bid basis, each Authorized Officer is hereby further authorized to conduct the sale and award of the Series 2021 Notes on the basis of a competitive bid, pursuant to the terms of the Notice of Sale, in a form, including any limitations on permitted bidders and a description of the basis for determining the winning bidder or bidders, determined by such Authorized Officer. Each Authorized Officer is hereby authorized to conduct such competitive sale of the Series 2021 Notes in a manner consistent with this Supplemental Resolution and to utilize the services of the Authority’s financial advisor and the services of an electronic bidding service, as such Authorized Officer shall determine, and the execution by such Authorized Officer of a letter of award shall be conclusive evidence of such award.

Each Authorized Officer is hereby authorized, to the extent required, to make public and to authorize the use and distribution by said purchasers of a preliminary official statement, preliminary offering circular, or other disclosure document (the “Preliminary Official Statement”), in connection with the public offering of the Series 2021 Notes, in substantially the form most recently executed or delivered by the Issuer in connection with the sale of Obligations of the Issuer, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable, said distribution being conclusive evidence of the approval of such changes, omissions, insertions and revisions. The Issuer authorizes any of said officers to deliver a certification to the effect that such Preliminary Official Statement or Official Statement (as hereinafter defined), if deemed necessary or appropriate, together with such other documents,

if any, described in such certificate, was deemed final as of its date for purposes of Rule 15c2-12 of the Securities and Exchange Commission, as applicable.

Each Authorized Officer is hereby authorized, to the extent required, to make public and to authorize distribution of a final official statement, offering circular or other disclosure document (the "Official Statement") in substantially the form of the Preliminary Official Statement or the most recently executed and delivered Official Statement if there is not a Preliminary Official Statement, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable, to sign such Official Statement and to deliver such Official Statement to the purchasers of the Series 2021 Notes, said execution being conclusive evidence of the approval of such changes, omissions, insertions and revisions.

Notwithstanding the preceding two paragraphs, however, each Authorized Officer may make public and authorize the use and distribution of a final Official Statement in connection with the public offering of the Series 2021 Notes meeting the requirements of the preceding two paragraphs and may deem such Official Statement final as of its date for purposes of Rule 15c2-12 of the Securities and Exchange Commission.

Each Authorized Officer is hereby authorized to execute and deliver for and on behalf and in the name of the Issuer, to the extent determined by such Authorized Officer to be necessary or convenient, a Continuing Disclosure Agreement, substantially in the form of the Continuing Disclosure Agreement most recently executed and delivered by the Issuer, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable (the "Continuing Disclosure Agreement"), said execution being conclusive evidence of the approval of such changes, omissions, insertions and revisions.

Each Authorized Officer (including any Assistant Secretary of the Issuer) is hereby authorized and directed to execute and deliver any and all documents and instruments and to do and cause to be done any and all acts necessary or proper for carrying out the Notice of Sale, the Purchase Agreement, the Continuing Disclosure Agreement, the terms of any municipal bond insurance or any other credit or liquidity facility, and the issuance, sale and delivery of the Series 2021 Notes, and for implementing the terms of the Series 2021 Notes and the transactions contemplated hereby or thereby.

When reference is made in this Supplemental Resolution to the authorization of an Authorized Officer to do any act, such act may be accomplished by any of such Authorized Officers individually.

SECTION 2.10. Forms of Series 2021 Notes and Authentication Certificate. The form of registered Series 2021 Notes, and the certificate of authentication, shall be of substantially the form and tenor provided in any Certificate of Determination.

SECTION 2.11. Appointment of Trustee, Paying Agent and other Agents. There is hereby delegated to any Authorized Officer executing any Certificate of Determination the right and authority to appoint any trustee, paying agent, fiscal agent or other agent with respect to the Series 2021 Notes deemed advisable by such Authorized Officer and to determine the terms and provisions of any arrangements with any such parties.

SECTION 2.12. General Provisions. As and to the extent provided in any Certificate of Determination, the provisions of Articles A-III, IV, A-IV, A-VII, A-IX and C-VI of the Resolution may apply equally to the Series 2021 Notes as though set forth in full herein but with each reference to Bonds being a reference to Series 2021 Notes, except as affected by the terms hereof and the procedures of the Securities Depository; provided that the Authorized Officer executing any Certificate of Determination

may, in the alternative, set forth in any Certificate of Determination provisions relating to any such matters as deemed necessary or appropriate by such Authorized Officer.

ARTICLE III

DISPOSITION AND ALLOCATION OF SERIES 2021 NOTE PROCEEDS

SECTION 3.01. Disposition of Series 2021 Note Proceeds. Except as otherwise provided in any Certificate of Determination, the proceeds of the sale of the Series 2021 Notes shall be deposited in the Series 2021 Note Proceeds Account which is hereby established in the Proceeds Fund and applied pursuant to the related Certificate of Determination to the payment of Capital Costs, including any Costs of Issuance and accrued and capitalized interest, and the payment of principal of and interest on Outstanding Series 2021 Notes, TRB Series 2021 Notes or DTF Series 2021 Notes.

ARTICLE IV

TAX COVENANT PROVISIONS AND DEFEASANCE

SECTION 4.01. Tax Covenants Relating to the Series 2021 Notes. The Issuer covenants that, in order to maintain the exclusion from gross income for Federal income tax purposes of the interest on the Series 2021 Notes issued as Tax-Exempt Obligations, the Issuer will satisfy, or take such actions as are necessary to cause to be satisfied, each provision of the Code necessary to maintain such exclusion. In furtherance of this covenant, the Issuer agrees to comply with such written instructions as may be provided by Bond Counsel. In furtherance of the covenant contained in the preceding sentence, the Issuer agrees to continually comply with the provisions of any “Arbitrage and Use of Proceeds Certificate” or “Tax Certificate” to be executed by the Issuer in connection with the execution and delivery of any Series 2021 Notes issued as Tax-Exempt Obligations, as amended from time to time.

Notwithstanding any other provision of the Resolution to the contrary, upon the Issuer’s failure to observe, or refusal to comply with, the above covenant (a) the Owners of the Series 2021 Notes, or the Trustee acting on their behalf, shall be entitled to the rights and remedies provided to Owners or the Trustee under Section C-402 of the Resolution (as though such provisions related to Series 2021 Notes rather than Bonds), other than the right (which is hereby abrogated solely as to the Issuer’s failure to observe, or refusal to comply with the above covenant) to declare the principal of all Notes then Outstanding, and the interest accrued thereon, to be due and payable pursuant to Section 567 of the Issuer Act, and (b) neither the Owners of the Notes of any Series (other than the Owners of the Series 2021 Notes or the Trustee acting on their behalf) nor the Trustee acting on their behalf, shall be entitled to exercise any right or remedy provided to Owners under the Resolution based upon the Issuer’s failure to observe, or refusal to comply with, the above covenant.

SECTION 4.02. Defeasance. In the event the Issuer shall seek, prior to the maturity or redemption date thereof, to pay or cause to be paid, within the meaning and with the effect expressed in this Supplemental Resolution, all or less than all Outstanding Series 2021 Notes issued as Tax-Exempt Obligations and the provisions of Section 4.01 hereof shall then be of any force or effect, then, notwithstanding the provisions of this Supplemental Resolution, the Series 2021 Notes issued as Tax-Exempt Obligations which the Issuer then seeks to pay or cause to be paid shall not be deemed to have been paid within the meaning and with the effect expressed in this Supplemental Resolution unless (i) the Issuer has confirmed in writing that the Owners of the Series 2021 Notes issued as Tax-Exempt Obligations which the Issuer then seeks to pay or cause to be paid will continue, after such action, to have the benefit of a covenant to the effect of the covenant of the Issuer contained in Section 4.01 hereof or (ii) there shall have been delivered to the Trustee an opinion of Bond Counsel to the effect that non-compliance thereafter with

the applicable provisions of the Code will not affect the then current treatment of interest on the Series 2021 Notes issued as Tax-Exempt Obligations in determining gross income for Federal income tax purposes.

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY

**MULTIPLE SERIES
PAYROLL MOBILITY TAX REFUNDING OBLIGATION
SUPPLEMENTAL RESOLUTION**

Adopted March 17, 2021

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**MULTIPLE SERIES
PAYROLL MOBILITY TAX REFUNDING OBLIGATION
SUPPLEMENTAL RESOLUTION**

BE IT RESOLVED by the Triborough Bridge and Tunnel Authority (the “Issuer”), as follows:

ARTICLE I

DEFINITIONS AND STATUTORY AUTHORITY

Section 1.01. Supplemental Resolution. This Multiple Series Payroll Mobility Tax Refunding Obligation Supplemental Resolution (this “Supplemental Resolution”) is supplemental to, and is adopted in accordance with Article II and Article C-V of, a resolution of the Issuer adopted on March 17, 2021, entitled “Payroll Mobility Tax Obligation Resolution” (the “Resolution”).

Section 1.02. Definitions.

1. All capitalized terms which are used but not otherwise defined in this Supplemental Resolution shall have the same meanings, respectively, as such terms are given by Section 102 of the Resolution.

2. In this Supplemental Resolution:

“Authorized Officer” shall include the officers designated as such in the Resolution, and the Chairman, the Chair of the Finance Committee, the Chief Financial Officer, the Deputy Chief, Financial Services or the Director, Finance of the MTA, as well as any officer duly designated as “Acting” in said officer’s capacity, except that, for the purposes of any delegation set forth herein that does not expressly include any Assistant Secretary, “Authorized Officer” shall not include any Assistant Secretary of the Issuer.

“Board” shall mean, when used with respect to the Issuer, the board of the Issuer acting as such pursuant to the provisions of the Issuer Act, and when used with respect to the MTA, the members of the MTA acting as such pursuant to the provisions of the MTA Act.

“Bond Counsel” shall mean Nixon Peabody LLP, Orrick, Herrington & Sutcliffe LLP, D. Seaton and Associates, P.A., P.C., Bryant Rabbino LLP or any other attorney or firm of attorneys of nationally recognized standing in the field of law relating to the issuance of obligations by state and municipal entities, selected by the Issuer.

“Code” shall mean the Internal Revenue Code of 1986, as amended, and regulations thereunder.

“Cross-Credit Obligations” shall mean indebtedness or other obligations issued or incurred by MTA or the Issuer other than indebtedness or other obligations issued under the

Resolution, which indebtedness or other obligations were issued or incurred to finance costs that could at the time of such payment be funded directly under the Resolution.

“Refunding Obligations” shall mean the Payroll Mobility Tax Obligations authorized by Article II of this Supplemental Resolution, subject to designation as hereinafter provided.

Section 1.03. Authority for this Supplemental Resolution. This Supplemental Resolution is adopted pursuant to the provisions of the Issuer Act and the Resolution.

ARTICLE II

AUTHORIZATION OF REFUNDING OBLIGATIONS

Section 2.01. Authorized Principal Amount, Designation and Series.

1. Pursuant to the Resolution and in order to issue Refunding Obligations, multiple Series of Payroll Mobility Tax Obligations (which may be issued at one time or from time to time as Senior Lien Obligations or as Second Lien Obligations in any number of Series or subseries) entitled to the benefit, protection and security of the Resolution are hereby authorized to be issued in an aggregate principal amount not exceeding the principal amount necessary so that, after giving effect to any net original issue discount or premium and underwriters’ discount from the principal amount, the amount to be deposited in the Refunding Obligations Proceeds Account pursuant to, or otherwise applied to effectuate the purposes of, Section 2.02 and Section 3.01 of this Supplemental Resolution (exclusive of any amount deposited therein or otherwise applied pursuant to a Certificate of Determination to pay accrued interest or capitalized interest or any Costs of Issuance of the Refunding Obligations) shall not exceed the amount or amounts determined in one or more Certificates of Determination to be necessary to effectuate the purposes set forth in Section 2.02 hereof; provided, however, that any Refunding Obligations issued pursuant to this Supplemental Resolution shall be issued in compliance with any Board policies relating to the issuance of Refunding Obligations as may be in effect from time to time and any Refunding Obligations may be issued pursuant to this Supplemental Resolution to restructure principal amortization within the maturity limits permitted by law.

2. Refunding Obligations shall be designated as, and shall be distinguished from the Obligations of all other Series by the title “Payroll Mobility Tax Refunding Obligations, Series [insert calendar year of issuance]” or such other title or titles set forth in the related Certificate of Determination.

The authorization to issue the Refunding Obligations and take other related actions hereunder for the purposes set forth in Section 2.02 shall continue in effect as provided by law, unless terminated by the Issuer.

Section 2.02. Purposes. The proceeds of the Refunding Obligations shall be used only for the purposes set forth in one or more Certificates of Determination and may include the refunding, refinancing, restructuring or payment, including by purchasing, exchanging or tendering therefor, of all or any portion of any Outstanding Obligations or Parity Debt, Cross-

Credit Obligations or other Refunding Obligations issued under this Supplemental Resolution deemed advisable by an Authorized Officer.

Section 2.03. Dates, Maturities, Principal Amounts and Interest. The Refunding Obligations, except as otherwise provided in the Resolution, shall be dated the date or dates determined in the related Certificate of Determination. The Refunding Obligations shall mature on the date or dates and in the year or years and principal amount or amounts, and shall bear interest at the rate or rates per annum, if any, specified in or determined in the manner provided in the related Certificate of Determination.

Section 2.04. Interest Payments. The Refunding Obligations shall bear interest from their date or dates and be payable on such date or dates as may be determined pursuant to the related Certificate of Determination. Except as otherwise provided in the related Certificate of Determination, interest on the Refunding Obligations shall be computed on the basis of twelve 30-day months and a 360-day year.

Section 2.05. Denominations, Numbers and Letters. Unless otherwise provided in the related Certificate of Determination, the Refunding Obligations shall be issued in fully registered form without coupons in the denomination of \$5,000 or any integral multiple thereof. The Refunding Obligations shall be lettered and numbered as provided in the related Certificate of Determination.

Section 2.06. Places of Payment and Paying Agent. Except as otherwise provided in the related Certificate of Determination, principal and Redemption Price of the Refunding Obligations shall be payable to the registered owner of each Refunding Obligation when due upon presentation of such Refunding Obligation at the principal corporate trust office of the Trustee. Except as otherwise provided in the related Certificate of Determination, interest on the registered Refunding Obligations will be paid by check or draft mailed on the interest payment date by the Paying Agent, to the registered owner at his address as it appears on the registration books or, at the option of any Owner of at least one million dollars (\$1,000,000) in principal amount of the Refunding Obligations, by wire transfer in immediately available funds on each interest payment date to such Owner thereof upon written notice from such Owner to the Trustee, at such address as the Trustee may from time to time notify such Owner, containing the wire transfer address (which shall be in the continental United States) to which such Owner wishes to have such wire directed, if such written notice is received not less than twenty (20) days prior to the related interest payment date (such notice may refer to multiple interest payments).

Section 2.07. Sinking Fund Installments. The Refunding Obligations, if any, determined in the related Certificate of Determination shall be subject to redemption (a) in part, by lot, (b) pro rata (in the case of taxable Refunding Obligations), or (c) otherwise as determined in accordance with Section A-404 of the Resolution, as set forth in the related Certificate of Determination, on each date in the year or years determined in the related Certificate of Determination at the principal amount thereof plus accrued interest up to but not including the date of redemption thereof, from mandatory Sinking Fund Installments which are required to be made in amounts sufficient to redeem on each such date the principal amount of such Refunding Obligations.

Section 2.08. Redemption Prices and Terms. The Refunding Obligations may also be subject to redemption prior to maturity, at the option of the Issuer, upon notice as provided in Article A-IV of the Resolution, at any time as a whole or in part (and by lot within a maturity, or otherwise as determined in accordance with Section A-404 of the Resolution, if less than all of a maturity is to be redeemed), from maturities designated by the Issuer on and after the date and in the years and at the Redemption Prices (expressed as a percentage of principal amount) determined in the related Certificate of Determination, plus accrued interest up to but not including the redemption date. Notwithstanding the foregoing, in the case of taxable Refunding Obligations, if set forth in the Certificate of Determination, the taxable Refunding Obligations may be made subject to pro rata redemption and/or a make-whole redemption premium.

Section 2.09. Delegation to an Authorized Officer. 1. There is hereby delegated to each Authorized Officer, subject to the limitations contained in this Supplemental Resolution, the following powers with respect to the Refunding Obligations:

(a) to determine whether and when to issue any Refunding Obligations, whether to issue the Refunding Obligations as Senior Lien Obligations or as Second Lien Obligations, the amount of the proceeds of the Refunding Obligations to be applied to refunding purposes as well as the specific Obligations or Parity Debt or Cross-Credit Obligations, or portions of any of them to be refunded and the date or dates, if any, on which such refunded obligations shall be redeemed, and the amount of the proceeds of the Refunding Obligations estimated to be necessary to pay the Costs of Issuance of the Refunding Obligations and capitalized interest, if any;

(b) to determine the purpose or purposes for which the Refunding Obligations are being issued, which shall be one or more of the purposes set forth in Section 2.02 of this Supplemental Resolution;

(c) to determine the principal amounts of the Refunding Obligations to be issued for the purposes set forth in Section 2.02 of this Supplemental Resolution and whether such principal amounts constitute a separate Series or a subseries of Refunding Obligations, and to determine Accreted Values and Appreciated Values, if applicable;

(d) to determine the maturity date and principal amount of each maturity of the Refunding Obligations and the amount and due date of each Sinking Fund Installment, if any;

(e) to determine the date or dates which the Refunding Obligations shall be dated and the interest rate or rates of the Refunding Obligations or the manner of determining such interest rate or rates; provided, however, that any Obligations issued as fixed rate Tax-Exempt Obligations shall be subject to a maximum interest rate of not greater than 10% per annum, any Obligations issued as fixed rate Taxable Obligations shall be subject to a maximum interest rate of not greater than 12% per annum, any Variable Interest Rate Obligations issued as Tax-Exempt Obligations shall be subject to a maximum interest rate of not greater than 15% per annum, any Variable Interest Rate Obligations issued as Taxable Obligations shall be subject to a maximum interest rate of not greater than 18% per annum and any Parity Reimbursement Obligations shall be subject to a maximum interest rate of not greater than 25% per annum, or, in each such case, such higher rate or rates as determined by the Issuer's Board;

(f) to determine the Redemption Price or Redemption Prices, if any, and the redemption terms, if any, for the Refunding Obligations; provided, however, that if the Refunding Obligations are to be redeemable at the election of the Issuer, the Redemption Price (except in the case of taxable Refunding Obligations) shall not be greater than one hundred three percent (103%) of the principal amount of the Refunding Obligations to be redeemed, plus accrued interest thereon up to but not including the date of redemption and in the case of taxable Refunding Obligations the Redemption Price may be determined pursuant to provisions relating to pro rata redemption and/or make-whole redemption;

(g) to determine whether the sale of the Refunding Obligations shall be conducted on either a negotiated or competitive bid basis and, as applicable, to determine the purchase price for the Refunding Obligations to be paid by the purchaser or purchasers referred to in one or more Purchase Agreements or a bank direct purchase agreement, or the purchase price for the Refunding Obligations to be paid by the winning bidder, if such sale is conducted by competitive bid pursuant to a Notice of Sale, in either case as such document is described in Section 2.10 of this Supplemental Resolution, which may include such original issue discount and original issue premium as shall be determined in the related Certificate of Determination; provided, however, that the underwriters' discount reflected in such purchase price shall not exceed \$10.00 for each one thousand dollars (\$1,000) principal amount of the Refunding Obligations;

(h) to take all actions required for the Refunding Obligations to be eligible under the rules and regulations of The Depository Trust Company ("DTC") for investment and trading as uncertificated securities, to execute and deliver a standard form of letter of representation with DTC and, notwithstanding any provisions to the contrary contained in this Supplemental Resolution, to include in the related Certificate of Determination such terms and provisions as may be appropriate or necessary to provide for uncertificated securities in lieu of Refunding Obligations issuable in fully registered form;

(i) to determine whether to issue all or any portion of the Refunding Obligations as Tax-Exempt Obligations, Taxable Obligations, Put Obligations, Variable Interest Rate Obligations or as any other form of Obligations permitted by the Resolution and any matters related thereto, including (i) the terms and provisions of any such Obligations, (ii) the selection of remarketing agents, tender agents, auction agents, dealers, bidding agents, calculation agents or any other agents or parties to ancillary arrangements and the terms of any such arrangements, and (iii) the methods for determining the accrual of Debt Service;

(j) to determine the advisability, as compared to an unenhanced transaction, of obtaining one or more Credit Facilities, to select a provider or providers thereof, to determine and accept the terms and provisions and price thereof, to determine such other matters related thereto as in the opinion of the officer executing the related Certificate of Determination shall be considered necessary or appropriate and to effect such determinations by making any changes in or additions to this Supplemental Resolution required by Credit Facility providers, if any, or required by a Rating Agency in order to attain or maintain specific ratings on the Refunding Obligations, or relating to the mechanisms for the repayment of amounts advanced thereunder or payment of fees, premiums, expenses or any other amounts, notices, the provision of information, and such other matters of a technical, mechanical, procedural or descriptive nature necessary or

appropriate to obtain or implement a Credit Facility with respect to the Refunding Obligations, and to make any changes in connection therewith;

(k) to make such changes in or from the form of this Supplemental Resolution as may be required by a Rating Agency in order to attain or maintain specific ratings on the Refunding Obligations;

(l) to make such changes in or from the form of this Supplemental Resolution as may be necessary or desirable in order to cure any ambiguities, inconsistencies or other defects;

(m) to determine whether to (i) enter into an escrow agreement or other arrangement in connection with the issuance of Refunding Obligations, including the selection of escrow agents, verification agents and the manner of determining specified matters relating to the defeasance of the refunded obligations and (ii) purchase SLGs or open market securities and the form and substance thereof and any related investment agreement, and to determine the application of any amounts released in connection with any such refunding;

(n) to determine that such Refunding Obligations comply with all Board policies relating to the issuance of refunding obligations in effect at the time of such determination; and

(o) to determine such other matters specified in or permitted by (i) Sections 202, 203, 204 and A-201 of the Resolution or (ii) this Supplemental Resolution, and to determine matters relating to the payment or defeasance of Obligation Anticipation Notes, including preparation of any documentation therefor.

2. Any Authorized Officer shall execute one or more Certificates of Determination evidencing the determinations made pursuant to this Supplemental Resolution and any such Certificate of Determination shall be conclusive evidence of the determinations of such Authorized Officer, as stated therein. More than one Certificate of Determination may be delivered to the extent more than one Series or subseries of Refunding Obligations are delivered from time to time, or other authority is exercised under this Supplemental Resolution from time to time and each such Certificate of Determination shall be delivered to the Trustee concurrently with the authentication and delivery of the respective Series or subseries of Refunding Obligations by the Trustee or other documentation. Determinations set forth in any Certificate of Determination shall have the same effect as if set forth in this Supplemental Resolution.

3. Any such Authorized Officer may exercise any authority delegated under this Supplemental Resolution from time to time following issuance of any Refunding Obligations, as appropriate for any purposes, including to change interest rate modes or auction periods; to obtain or terminate one or more Credit Facilities, including substitute or additional Credit Facilities; to enter into one or more bank direct purchase agreements or similar instruments; to appoint new or additional agents or other parties deemed appropriate to a particular form or mode of Obligation or manner of sale; to enter into one or more remarketing agreements, firm remarketing agreements, continuing disclosure agreements or other agreements in connection with the remarketing of any Refunding Obligations; if any Refunding Obligations shall consist of multiple subseries, to change the principal amounts and number of the individual subseries or to

combine one or more subseries into a single Series or subseries; or, if any Refunding Obligations consist of a single Series or subseries, to divide such Series or subseries into two or more subseries and to determine the principal amount of such subseries. In connection with the remarketing, by negotiated sale, competitive bid or direct or private placement, of any Refunding Obligations, any such Authorized Officer is hereby authorized to make public and to authorize the use and distribution by remarketing agents or other appropriate parties of a remarketing circular (including a preliminary remarketing circular), or other disclosure document, in substantially the form most recently executed or delivered by the Issuer in connection with the remarketing of Obligations, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable. Any Authorized Officer shall execute one or more amendments to the applicable Certificate or Certificates of Determination evidencing the determinations made pursuant to this paragraph and any such amended Certificate of Determination shall be conclusive evidence of the determinations of such Authorized Officer, as stated therein.

Section 2.10. Sale of Refunding Obligations. Each Authorized Officer is hereby authorized either (i) to sell and award all or any portion of the Refunding Obligations through a competitive bidding process to purchasers meeting the requirements of one or more Notices of Sale (as hereinafter defined) to be published in order to give notice of the competitive sale of the Refunding Obligations; (ii) to sell and award all or any portion of the Refunding Obligations through a negotiated sale to the purchasers who are referred to in the bond purchase agreement and who shall be selected from the then current list of approved underwriters or shall be an authorized purchaser under a federal or State program; or (iii) to sell and award all or any portion of the Refunding Obligations through a direct sale to the financial institution or institutions selected by the Authorized Officer who shall be referred to in the continuing covenant, direct purchase or similar agreement. Each Authorized Officer is hereby authorized to sell and award the Refunding Obligations to the purchasers referred to in the preceding sentence in the case of a bond purchase agreement, in substantially the form most recently executed or delivered by the Issuer in connection with the sale of Obligations, or, with respect to a continuing covenant, direct purchase or similar agreement, containing bank facility terms and provisions (including, without limitation, increased costs, term-out, events of default and remedies) in substantially the form set forth in a letter of credit reimbursement agreement most recently executed or delivered by the Issuer, including, if applicable, that the direct purchaser offer to the Issuer a term-out provision of no less than five years, with such revisions to reflect the terms and provisions of the Refunding Obligations as may be approved by the Authorized Officer executing the bond purchase, continuing covenant or similar agreement (each, a “Purchase Agreement”). Each Authorized Officer is hereby authorized to agree to the selection of the representative of the underwriters or the other purchasers or facility providers as referred to in the Purchase Agreement or Agreements and to execute and deliver the Purchase Agreement or Agreements for and on behalf and in the name of the Issuer with such changes, omissions, insertions and revisions as may be approved by the Authorized Officer executing the Purchase Agreement or Agreements, said execution being conclusive evidence of such approval and concurrence in the selection of the representative of the underwriters.

If it is determined that any sale of Bonds shall be conducted on a competitive bid basis, each Authorized Officer is hereby further authorized to conduct the sale and award of the Refunding Obligations on the basis of a competitive bid, pursuant to the terms of a notice of sale, including bid form (the “Notice of Sale”), in a form, including any limitations on permitted bidders

and a description of the basis for determining the winning bidder or bidders, determined by such Authorized Officer. Each Authorized Officer is hereby authorized to conduct such competitive sale of the Refunding Obligations in a manner consistent with this Supplemental Resolution and to utilize the services of the Authority's financial advisor and the services of an electronic bidding service, as such Authorized Officer shall determine, and the execution by such Authorized Officer of a letter of award shall be conclusive evidence of such award.

Each Authorized Officer is hereby authorized to make public and to authorize the use and distribution by said purchasers or other appropriate parties of a preliminary official statement, offering circular, or other disclosure document (the "Preliminary Official Statement") in connection with each public offering or any private placement of the Refunding Obligations, in substantially the form most recently executed or delivered by the Issuer in connection with the sale of Obligations, with such changes, omissions, insertions and revisions as such officer shall deem advisable. The Issuer authorizes any of said officers to deliver a certification to the effect that such Preliminary Official Statement or Official Statement, if deemed necessary or appropriate, together with such other documents, if any, described in such certificate, was deemed final as of its date for purposes of Rule 15c2-12 of the Securities and Exchange Commission as applicable.

Each Authorized Officer is hereby authorized to make public and to authorize distribution of a final official statement, offering circular or other disclosure document (the "Official Statement") in substantially the form of each Preliminary Official Statement or the most recently executed and delivered Official Statement if there is not a Preliminary Official Statement, with such changes, omissions, insertions and revisions as such officer shall deem advisable, to sign such Official Statement and to deliver such Official Statement to the purchasers of such issue of the Refunding Obligations, such execution being conclusive evidence of the approval of such changes, omissions, insertions and revisions.

Each Authorized Officer is hereby authorized to execute and deliver for and on behalf and in the name of the Issuer, to the extent determined by such Authorized Officer to be necessary or convenient, a Continuing Disclosure Agreement, substantially in the form of the Continuing Disclosure Agreement most recently executed and delivered by the Issuer, with such changes, omissions, insertions and revisions as such Authorized Officer shall deem advisable (the "Continuing Disclosure Agreement"), said execution being conclusive evidence of the approval of such changes, omissions, insertions and revisions.

The proceeds of each good faith deposit, if any, received by the Issuer from the purchasers of each issue of Refunding Obligations under the terms of the related Purchase Agreement or Notice of Sale may be invested by the Issuer pending application of the proceeds of such good faith deposit for the purposes provided in Section 2.02 of this Supplemental Resolution at the time of the issuance and delivery of such Refunding Obligations.

Each Authorized Officer (including any Assistant Secretary of the Issuer) is hereby authorized and directed to execute, deliver, amend, replace or terminate any and all documents and instruments (including any remarketing agreements, dealer agreements, broker-dealer agreements, tender agent agreements, or auction agency agreements, any escrow agreements, any investment agreements or arrangements, or any reimbursement agreements or documents or instruments relating to a Credit Facility deemed appropriate to a given form or mode of an Obligation) and to

do and cause to be done any and all acts necessary or proper for carrying out each Purchase Agreement or Notice of Sale, each Continuing Disclosure Agreement, the terms of any Credit Facility or other such agreement or arrangement, and the issuance, sale and delivery of each issue of the Refunding Obligations and for implementing the terms of each issue of the Refunding Obligations and the transactions contemplated hereby or thereby.

When reference is made in this Supplemental Resolution to the authorization of an Authorized Officer to do any act, such act may be accomplished by any of such officers individually.

Section 2.11. Forms of Refunding Obligations and Trustee's Authentication Certificate. Subject to the provisions of the Resolution, the form of registered Refunding Obligations, and the Trustee's certificate of authentication, shall be substantially in the form set forth in Exhibit One to the Resolution including, if necessary, any changes to comply with the requirements of DTC or the provisions of this Supplemental Resolution or any Certificate of Determination.

Section 2.12. Appointment of Trustee and Paying Agent. Unless otherwise provided by Certificate of Determination, [The Bank of New York Mellon] shall be the Trustee under the Resolution and the Paying Agent for the Refunding Obligations.

ARTICLE III

DISPOSITION OF REFUNDING OBLIGATION PROCEEDS

Section 3.01. Disposition of Refunding Obligation Proceeds. Any proceeds of the sale of the Refunding Obligations, other than accrued interest and capitalized interest, if any, shall be deposited, simultaneously with the issuance and delivery of a series or subseries of the Refunding Obligations, or shall otherwise be disposed of or applied pursuant to the related Certificate of Determination as follows:

(a) such proceeds shall be (i) deposited in the Refunding Obligations Proceeds Account, which is hereby established in the Proceeds Fund for each such series or subseries, and applied to the refunding of any Obligations or Parity Debt or Cross-Credit Obligations, or portions of any of them, in accordance with Section 2.02 hereof or (ii) otherwise applied to such refunding; and

(b) the balance of such proceeds shall be (i) deposited in the Refunding Obligations Costs of Issuance Account, which is hereby established in the Proceeds Fund for each such series or subseries, and applied to the payment of Costs of Issuance, or (ii) otherwise applied to the payment of Costs of Issuance.

Unless otherwise provided in a Certificate of Determination, the accrued interest and capitalized interest, if any, received on the sale of the Refunding Obligations shall be deposited in the Debt Service Fund.

ARTICLE IV

TAX COVENANTS AND DEFEASANCE

Section 4.01. Tax Covenants Relating to the Refunding Obligations. The Issuer covenants that, in order to maintain the exclusion from gross income for Federal income tax purposes of the interest on the Refunding Obligations issued as Tax-Exempt Obligations, the Issuer will satisfy, or take such actions as are necessary to cause to be satisfied, each provision of the Code necessary to maintain such exclusion. In furtherance of this covenant, the Issuer agrees to comply with such written instructions as may be provided by Bond Counsel. In furtherance of the covenant contained in the preceding sentence, the Issuer agrees to continually comply with the provisions of any “Arbitrage and Use of Proceeds Certificate” or “Tax Certificate” to be executed by the Issuer in connection with the execution and delivery of any Refunding Obligations issued as Tax-Exempt Obligations, as amended from time to time.

Notwithstanding any other provision of the Resolution to the contrary, upon the Issuer’s failure to observe, or refusal to comply with, the above covenant (a) the Owners of the Refunding Obligations, or the Trustee acting on their behalf, shall be entitled to the rights and remedies provided to Owners or the Trustee under Section C-402 of the Resolution, other than the right (which is hereby abrogated solely as to the Issuer’s failure to observe, or refusal to comply with the above covenant) to declare the principal of all Obligations then Outstanding, and the interest accrued thereon, to be due and payable pursuant to Section 567 of the Issuer Act, and (b) neither the Owners of the Obligations of any Series or holders of any Parity Debt (other than the Owners of the Refunding Obligations or the Trustee acting on their behalf), nor the Trustee acting on their behalf, shall be entitled to exercise any right or remedy provided to the Owners, the Parity Debt holders or the Trustee under the Resolution based upon the Issuer’s failure to observe, or refusal to comply with, the above covenant.

The provisions of the foregoing covenants set forth in this Section shall not apply to any Refunding Obligations, including any subseries thereof, which the Issuer determines pursuant to the applicable Certificate of Determination to issue as Taxable Obligations.

Section 4.02. Defeasance. In the event the Issuer shall seek, prior to the maturity or redemption date thereof, to pay or cause to be paid, within the meaning and with the effect expressed in the Resolution, all or less than all Outstanding Refunding Obligations issued as Tax-Exempt Obligations and the provisions of Section 4.01 hereof shall then be of any force or effect, then, notwithstanding the provisions of Article A-IX of the Resolution, the Refunding Obligations issued as Tax-Exempt Obligations which the Issuer then seeks to pay or cause to be paid shall not be deemed to have been paid within the meaning and with the effect expressed in Section A-901 of the Resolution unless (i) the Issuer has confirmed in writing that the Owners of the Refunding Obligations issued as Tax-Exempt Obligations which the Issuer then seeks to pay or cause to be paid will continue, after such action, to have the benefit of a covenant to the effect of the covenant of the Issuer contained in Section 4.01 hereof or (ii) there shall have been delivered to the Trustee an Opinion of Bond Counsel to the effect that non-compliance thereafter with the applicable provisions of the Code will not affect the then current treatment of interest on the Refunding Obligations issued as Tax-Exempt Obligations in determining gross income for Federal income tax purposes.

RESOLUTION

WHEREAS, Triborough Bridge and Tunnel Authority (“MTA Bridges and Tunnels”) intends to finance capital assets, whether in the nature of personal or real property (or any interest therein), which are used or useful for a transit or transportation purpose other than a marine or aviation purpose as provided in Public Authorities Law Section 553(r) and as more fully described in the accompanying staff summary and capital program documents referenced therein (the “Project”);

WHEREAS, MTA Bridges and Tunnels desires to finance the Project through the issuance of tax-exempt debt or tax-advantaged debt, if available, and other sources as described in the approved capital programs, including moneys derived from the Metropolitan Transportation Authority and its affiliates and subsidiaries, and expects to reimburse expenditures made from such other sources with proceeds of such tax-exempt or tax-advantaged debt;

NOW THEREFORE, BE IT:

RESOLVED, that the statements contained in this Resolution with respect to the reimbursement of the expenditures described in this resolution are intended to be statements of official intent as required by, and in conformance with, the provisions of Treasury Regulation Section 1.150-2(e); and

RESOLVED, that the expenditures in connection with the Project to be reimbursed pursuant to this Resolution have been incurred and paid not more than 60 days prior to the date hereof or will be incurred and paid after the date hereof in connection with the Project; and

RESOLVED, that MTA Bridges and Tunnels reasonably expects that the maximum principal amount of tax-exempt or tax-advantaged debt (including bonds, commercial paper and bond anticipation notes) to be issued by MTA Bridges and Tunnels subsequent to the date hereof to pay Project expenditures in 2021 (whether directly or as a reimbursement), in addition to the amounts previously authorized by other resolutions intended to be statements of official intent as required by, and in conformance with the provisions of Treasury Regulation Section 1.150-2(e), is \$1.3 billion (plus associated financing costs); and

RESOLVED, that this Resolution shall take effect immediately.

March 17, 2021

RESOLUTION

WHEREAS, due to the MTA Transformation Plan, new positions and titles are being created and the duties and responsibilities of those titles and positions are being restructured to streamline the organization;

WHEREAS, prior resolutions and actions taken by this Board for the MTA and its subsidiaries and affiliates may have designated specific positions or titles to take certain actions, especially in financial transactions; and

WHEREAS, it is therefore important that the Board delegate to the Chairman and the Chief Financial Officer the power to designate authorized officers and representatives to take all actions under any and all financial agreements, including, without limitation, bond resolutions, trust indentures, trust agreements and other financial agreements and instruments, in substitution for or in addition to such prior designations;

NOW THEREFORE, BE IT:

RESOLVED, that the Chairman and the Chief Financial Officer are each hereby authorized to designate authorized officers and representatives to take all actions under any and all financial agreements, including, without limitation, bond resolutions, trust indentures, trust agreements and other financial agreements and instruments, in substitution for or in addition to such prior designations; and

RESOLVED, that this Resolution shall take effect immediately.

March 17, 2021

CHAPIN AND BANGS CO	1000142693	4 X 3 X 38 X 20FT ANGLE A36	Completed	Commodities/Supplies	Authority Contract - Compes	5/5/2020	5/5/2020	Y	5/15/2020	19567.71	19567.71	19567.71	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			165 RIVER ST	BRIDGEPORT	CT	06604		USA	
CIRCUIT BREAKER SALES INC	1000151885	WESTINGHOUSE 1500H-1000 GRT	Completed	Commodities/Supplies	Authority Contract - Compes	12/21/2020	12/21/2020	Y	2/15/2021	31550.00	0.00	0.00	31550.00	2	NYS	N	N	0	Y	Below Dollar Threshold			79 MAIN STREET	SEYMOUR	CT	06483		USA	
COLONIAL HARDWARE CORP	1000134579	LANYARD Y LIGHT WEIGHT HARDW	Completed	Commodities/Supplies	Authority Contract - Compes	11/13/2019	11/13/2019	Y	12/16/2019	18570.00	18570.00	18570.00	0.00	4	NYS	N	N	0	Y	Below Dollar Threshold			33 COMMERC ST	SPRINGFIELD	NY	07081		USA	
COMPLUNK TECHNOLOGIES, INC.	1000146929	MFG PART SRX550-454P-MUNIFER	Open	Telecommunication Eq	Purchased Under State Contract						18127.98				NYS Vendor	Y						260 WEST 39TH ST	STE 302	NEW YORK	NY	10018		USA	
EAGLE AUTO MALL SALES, INC.	1000122098	Spec 1CHC 3M HD Chassis Cab U	Completed	Commodities/Supplies	Authority Contract - Compes	3/18/2019	3/18/2019	Y	3/18/2019	18746.52	18746.52	18746.52	0.00	2	NYS	N	N	0	Y	NYS/NYC/CourtyContract			1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA	
EAGLE AUTO MALL SALES, INC.	1000128748	Chevrolet Tahoe SSV Fire Chief Vehi	Completed	Commodities/Supplies	Purchased Under State Contract						68950.72				NYS Vendor	Y						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EAGLE AUTO MALL SALES, INC.	1000123495	Spec 8R 4 Wheeling vinless Van	Open	Commodities/Supplies	Purchased Under State Contract						96479.34				NYS Vendor	N						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EAGLE AUTO MALL SALES, INC.	1000137823	Spec 2PKC - 34 Ton 4wd Quad Cab	Open	Commodities/Supplies	Purchased Under State Contract						56819.88				NYS Vendor	Y						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EAGLE AUTO MALL SALES, INC.	1000142326	44 Quad Cab Chassis Single Rear W	Open	Commodities/Supplies	Purchased Under State Contract						0.00				NYS Vendor	N						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EAGLE AUTO MALL SALES, INC.	1000143758	SPEC 55 Extended Wheelbase - 15 P	Open	Commodities/Supplies	Purchased Under State Contract						0.00				NYS Vendor	N						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EAGLE AUTO MALL SALES, INC.	1000146815	SPEC 1KXTH44 3M Extended Cab Un	Open	Commodities/Supplies	Purchased Under State Contract						0.00				NYS Vendor	N						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EAGLE AUTO MALL SALES, INC.	1000146933	Spec 18TP 6M Chassis Cab w Utility	Open	Commodities/Supplies	Purchased Under State Contract						0.00				NYS Vendor	N						1330 OLD COUNTRY RD	RIVERHEAD	NY	11901		USA		
EDMER SANITARY SUPPLY CO. INC.	1000130106	CLOTH DISINFECTANT DISPOSABLE	Completed	Commodities/Supplies	Authority Contract - Compes	8/21/2019	8/21/2019	Y	1/28/2020	31435.20	10478.40	31435.20	0.00	5	NYS	N	Y	3	Y	Below Dollar Threshold			519 EAST MEADOW AVE	EAST MEADOW	NY	11554	3999	USA	
ENGINEERED PLASTICS INC.	1000145198	TILE TACTILE SURFACE APPLIED AER	Completed	Commodities/Supplies	Authority Contract - Compes	7/7/2020	7/7/2020	Y	8/21/2020	16590.00	16590.00	16590.00	0.00	4	NYS	N	Y	1	Y	Below Dollar Threshold			300 INTERNATIONAL DR	WILLIAMSVILLE	NY	14221		USA	
EPUL DYNAMICS	1000134783	FLASHLIGHT LED DUAL-LIGHT GREEN	Completed	Commodities/Supplies	Authority Contract - Compes	11/18/2019	11/18/2019	Y	1/7/2020	52632.00	52632.00	52632.00	0.00	5	NYS	N	Y	5	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000135176	JACK TRACK ALUMINUM ALLOY FRAM	Completed	Commodities/Supplies	Authority Contract - Compes	11/25/2019	11/25/2019	Y	1/14/2020	20036.34	20036.34	20036.34	0.00	2	NYS	Y	Y	1	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000137727	TRACK MAT BARRIER KIT GT75PLUS	Completed	Commodities/Supplies	Authority Contract - Compes	1/22/2020	1/22/2020	Y	3/2/2020	21967.92	21967.92	21967.92	0.00	3	NYS	N	N	0	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000137943	GARLOCK PRO20 2FT RAILGUARD	Completed	Commodities/Supplies	Authority Contract - Compes	1/27/2020	1/27/2020	Y	2/28/2020	16346.56	16346.56	16346.56	0.00	2	NYS	Y	Y	1	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000141595	Res 17299 - BrightGreen NY1714	Completed	Commodities/Supplies	Authority Contract - Compes	4/7/2020	4/7/2020	Y	4/27/2020	19769.75	19769.75	19769.75	0.00	4	NYS	N	Y	1	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000142752	MIL SERIES HORIZONTAL INDOOR	Completed	Commodities/Supplies	Authority Contract - Compes	6/1/2020	6/1/2020	Y	9/4/2020	49750.86	49750.86	49750.86	0.00	2	NYS	N	Y	1	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000144018	CLEANER AIR 3 X 10 FT SANI DASH	Completed	Commodities/Supplies	Authority Contract - Compes	6/8/2020	6/8/2020	Y	8/14/2020	38181.66	38181.66	38181.66	0.00	2	NYS	N	Y	1	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000145903	DYNAMIC-DEGREASER SIMPLE GREEN	Completed	Commodities/Supplies	Authority Contract - Compes	12/21/2020	7/22/2020	Y	9/14/2021	17820.00	0.00	17820.00	0.00	4	NYS	N	Y	4	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000151134	Nuzle Sand 30 00X125 LG STLYN	Open	Commodities/Supplies	Authority Contract - Compes	12/3/2020	12/3/2020	Y	1/6/2021	15213.30	0.00	15213.30	0.00	2	NYS	Y	Y	1	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
EPUL DYNAMICS	1000151188	Air Curtain 96 Long Unheated 120V1	Open	Commodities/Supplies	Authority Contract - Compes	12/4/2020	12/4/2020	Y	12/14/2020	32632.81	0.00	32632.81	0.00	2	NYS	Y	Y	2	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050		USA	
ERIC WRIPING PRODUCTS	1000146940	WSPES DISINFECTING PERFORMANCE	Open	Commodities/Supplies	Authority Contract - Compes	8/17/2020	8/17/2020	Y	7/22/2020	21735.00	5216.40	5216.40	16518.60	1	Foreign	N	Y	0	Y	Below Dollar Threshold			19 BENNETT ST	LYNN	MA	01905		USA	
F AND F INDUSTRIAL EQUIPMENT CORP	1000143785	ADHESIVE ANCHORING ALL SEASON	Completed	Commodities/Supplies	Authority Contract - Compes	6/12/2020	6/12/2020	Y	11/25/2020	22176.00	22176.00	22176.00	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold			195 TOWER DRIVE	MIDDLETOWN	NY	10941	2034	USA	
FLEET MAINTENANCE, INC.	1000130524	Spec 9B - HD Tractor	Completed	Commodities/Supplies	Purchased Under State Contract						120131.36				NYS Vendor	Y						67 RANSIER DRIVE	WEST SENECA	NY	14224		USA		
FLEET MAINTENANCE, INC.	1000134488	Spec 7H - Heavy Duty Fuel AND Lub	Open	Commodities/Supplies	Purchased Under State Contract						281826.82				NYS Vendor	N						67 RANSIER DRIVE	WEST SENECA	NY	14224		USA		
FLEET MAINTENANCE, INC.	1000145505	Spec 4F - Heavy Duty Tri-Axle Dump	Open	Commodities/Supplies	Purchased Under State Contract						0.00				NYS Vendor	N						67 RANSIER DRIVE	WEST SENECA	NY	14224		USA		
FORD AND ULRICH, INC.	1000147310	PENCO Model Welded Gen2 Descr	Open	Commodities/Supplies	Authority Contract - Compes	8/25/2020	8/25/2020	Y	9/30/2020	37796.00	37796.00	37796.00	0.00	2	Foreign	N	Y	1	Y	Below Dollar Threshold			P O BOX 213	459 WASHINGTON	MO	64673		USA	
FREHONT INDUSTRIAL CORP	1000123240	GLOVE NITRILE EXAM XX-LARGE POW	Completed	Commodities/Supplies	Authority Contract - Compes	4/5/2019	4/5/2019	Y	12/1/2019	17640.00	5880.00	17640.00	0.00	9	NYS	N	Y	3	Y	Below Dollar Threshold			33 COMAC LOOP, UNIT 6	ROCKONKOMA	NY	11779		USA	
FREHONT INDUSTRIAL CORP	1000124233	HEAVY DUTY LED BEAM IMPACT ANGR	Completed	Commodities/Supplies	Authority Contract - Compes	4/25/2019	4/25/2019	Y	3/10/2020	42531.84	14313.60	42531.84	0.00	4	NYS	N	Y	3	Y	Discretionary Procurement			33 COMAC LOOP, UNIT 6	ROCKONKOMA	NY	11779		USA	
FREHONT INDUSTRIAL CORP	1000123543	HEAVY DUTY LED BEAM IMPACT ANGR	Completed	Commodities/Supplies	Authority Contract - Compes	3/20/2020	3/20/2020	Y	3/20/2020	67320.00	32640.00	67320.00	0.00	2	NYS	N	Y	1	Y	Discretionary Procurement			33 COMAC LOOP, UNIT 6	ROCKONKOMA	NY	11779		USA	
FREHONT INDUSTRIAL CORP	1000137424	GLOVES MAXFLEX ULTIMATE SIZE	Completed	Commodities/Supplies	Authority Contract - Compes	1/15/2020	1/15/2020	Y	2/29/2020	15616.80	15616.80	15616.80	0.00	5	NYS	N	Y	2	Y	Below Dollar Threshold			33 COMAC LOOP, UNIT 6	ROCKONKOMA	NY	11779		USA	
FREHONT INDUSTRIAL CORP	1000143151	GLOVE NITRILE EXAM MEDIUM POW	Completed	Commodities/Supplies	Authority Contract - Compes	5/15/2020	5/15/2020	Y	7/14/2020	18540.00	412.00	412.00	18128.00	11	NYS	N	N	1	Y	Below Dollar Threshold			33 COMAC LOOP, UNIT 6	ROCKONKOMA	NY	11779		USA	
FREHONT INDUSTRIAL CORP	1000150318	BT RAIL TWISITER SIZE 1-14 IN FOR	Open	Commodities/Supplies	Authority Contract - Compes	11/9/2020	11/9/2020	Y	10/28/2020	18687.50	18687.50	18687.50	0.00	5	NYS	N	Y	3	Y	Below Dollar Threshold			33 COMAC LOOP, UNIT 6	ROCKONKOMA	NY	11779		USA	
G AND B SPECIALTIES CORP	1000149894	GANDB 101922-021 STUD 0750 X 11	Open	Commodities/Supplies	Authority Contract - Compes	10/28/2020	10/28/2020	Y	12/28/2020	25019.00	3706.25	3706.25	21312.75	3	Foreign	N	Y	2	Y	Below Dollar Threshold			535 W 3RD ST	PO BOX 3057	BERKSWICK	PA	18603		USA
GABRIELLI TRUCK SALES LTD	1000134541	Spec 1E 3M Aerial Truck	Open	Commodities/Supplies	Purchased Under State Contract						0.00				NYS Vendor	N						153-20 SOUTH CANTON	JAMAICA	NY	11434		USA		
GLOBAL EQUIPMENT COMPANY, INC	1000141929	Secural 10W x 8D x 8' 4H Agr-Cher	Completed	Commodities/Supplies	Authority Contract - Compes	4/16/2020	4/16/2020	Y	5/13/2020	18225.00	18225.00	18225.00	0.00	4	NYS	N	Y	1	Y	Below Dollar Threshold			POST OFFICE BOX 905713	JAMAICA	NC	28290	5713	USA	
GLOBE ELECTRIC SUPPLY CO INC	1000122805	ERICO ED100K120S SURGE PROT	Completed	Commodities/Supplies	Authority Contract - Compes	3/29/2019	3/29/2019	Y	5/20/2019	20177.80	7062.23	20177.80	0.00	19	Foreign	Y	Y	2	Y	Below Dollar Threshold			10902 ROARK RD	HOUSTON	TX	77099		USA	
GLOBE ELECTRIC SUPPLY CO INC	1000145836	TILE TACTILE SURFACE APPLIED AER	Completed	Commodities/Supplies	Authority Contract - Compes	7/20/2020	7/20/2020	Y	5/79/2021	57929.32	57929.32	57929.32	0.00	5	Foreign	Y	Y	5	Y	Discretionary Procurement			10902 ROARK RD	HOUSTON	TX	77099		USA	
GNE SPLY CO	1000134554	HARNES LINEMANS LARGE BODY S	Completed	Commodities/Supplies	Authority Contract - Compes	11/13/2019	11/13/2019	Y	12/13/2019	15490.00	15490.00	15490.00	0.00	6	NYS	N	Y	0	Y	Below Dollar Threshold			1391 E BOONE INDUSTRI	COLUMBIA	MO	65202		USA	
GRABBAR	1000148804	LED LIGHTING CONTROL SYSTEM FC	Open	Commodities/Supplies	Authority Contract - Compes	10/1/2020	10/1/2020	Y	10/29/2020	17000.00	0.00	17000.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold			25 RESEARCH PARKWAY	WALLINGFORD	CT	06492		USA	
GRABBAR	1000140026	Headcase Single Model Fiber Jumper	Open	Commodities/Supplies	Authority Contract - Compes	11/5/2020	11/5/2020	Y	12/7/2020	28219.50	0.00	28219.50	0.00	10	Foreign	N	Y	0	Y	Below Dollar Threshold			25 RESEARCH PARKWAY	WALLINGFORD					

Agency	Account Number	Description	Open	Other	Authority Contract -	Completed	Y	5/14/2025	28083.75	0.00	0.00	28083.75	5	NYS	N	N	N	N	132-04 11TH AVE	COLLEGE POINT	NY	11356			USA	
NYTC INC	20000000000000	Unscheduled Maintenance	Open	Other	Authority Contract -	Completed	4/23/2020	4/23/2020	0.00	0.00	0.00	0.00	0.00	NYS Vendor	N	N	N	N								
NATIONAL INDUSTRIES FOR THE BLIND	20000000000000	Marketplace for tones	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order	Completed			12380.36										136 STATE ST, 2ND FL	ALBANY	NY	12207			USA	
LIMOSYS LLC	20000000000000	5 Year Contract for E-Hall	Open	Other	Authority Contract -	Completed	7/28/2020	8/7/2020	0.00	0.00	23440.47	0.00	0.00	Foreign	N	Y	0	N								USA
ABCO LOCK AND ALARM	20000000000000	Req 27340 - Locksmith services	Open	Commodities/Supplies	Authority Contract -	Completed	10/15/2020	10/22/2020	0.00	0.00	112430.26	0.00	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold						USA
FRED BEANS PARTS, INC.	20000000000000	Req 289884 R/A 330519	Open	Commodities/Supplies	Authority Contract -	Completed	12/4/2020	12/28/2020	0.00	0.00	26670.00	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	26670.00					USA
FRED BEANS PARTS, INC.	20000000000000	3-year Purch and Del Truck Part	Open	Commodities/Supplies	Authority Contract -	Completed	12/3/2020	12/8/2020	0.00	0.00	23740.00	0.00	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold						USA
GABRIELLI TRUCK SALES LTD	20000000000000	3-year Contract for Purch and P	Open	Commodities/Supplies	Authority Contract -	Completed	12/3/2020	12/8/2020	0.00	0.00	35300.00	0.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	35300.00					USA
PRUDENT INDUSTRIAL CORP	20000000000000	Full Protection System	Open	Commodities/Supplies	Authority Contract -	Completed	12/14/2020	12/1/2021	46366.00	0.00	0.00	0.00	0.00	3	NYS	N	Y	0	Y	Below Dollar Threshold						USA
DETZ COURT REPORTING	258162-2000	Stenographic Court Reporting	Open	Consulting Services	Authority Contract -	Completed	11/1/2019	11/1/2019	0.00	0.00	94720.00	0.00	0.00	7	NYS	N	Y	0	Y	Below Dollar Threshold						USA
LH REPORTING SERVICES INC	258162-2000	Stenographic Court Reporting	Open	Consulting Services	Authority Contract -	Completed	11/1/2019	11/1/2019	0.00	0.00	23541.00	0.00	0.00	7	NYS	N	Y	0	Y	Below Dollar Threshold						USA
MR. HUDSON'S CLEANING SERVICES, LLC	274162-2000	585 FARE MACHINE CLEANING	Open	Other	Authority Contract -	Completed	1/1/2021	1/1/2021	0.00	0.00	258042.78	148149.63	148149.63	2	NYS	N	N	0	N							USA
QUENCH USA, INC.	EA100759	Bottles Water Coolers	Open	Other Professional Serv	Authority Contract -	Completed	5/23/2012	5/23/2012	0.00	0.00	25337.50	2692.13	108646.23	3	Foreign	N	Y	0	Y	Below Dollar Threshold						USA
CANON SOLUTIONS AMERICA INC.	EA121399	All-Agency Copier Equipment	Open	Commodities/Supplies	Authority Contract -	Completed	1/2/2013	6/15/2012	0.00	0.00	44019.20	26971.82	37575.52	3	NYS	N	N	0	N							USA
IRON MOUNTAIN INC	EA141827	5 yr Records and retention mgmt	Open	Other	Authority Contract -	Completed	4/3/2014	3/20/2018	0.00	0.00	176000.00	38633.10	149361.25	2	NYS	N	N	0	N							USA
NYS INDUSTRIES FOR THE DISABLED	EA152461	Temporary Personnel Services	Open	Other Professional Serv	Non Contract Procurement/Purchase Order	Completed			185866.69						NYS Vendor	N	N	0	N							USA
JANEL INC	DI17209A	91-65-3679 MIRROR ASSEMBLY ST	Completed	Commodities/Supplies	Authority Contract -	Completed	1/9/2018	3/7/2018	0.00	0.00	72777.35	8174.99	58826.15	4	Foreign	Y	Y	4	Y	Discretionary Procurement						USA
ABT PRODUCTS AND SERVICES LTD	DI17209B	91-65-3680 MIRROR ASSEMBLY CU	Completed	Commodities/Supplies	Authority Contract -	Completed	1/9/2018	3/7/2018	0.00	0.00	65975.00	6496.00	58825.00	4	NYS	Y	Y	4	Y	Discretionary Procurement						USA
EVERGREEN CORP GIFTS AND PROMOTI	DI172227	3YR Contract Parking Permit Bu	Open	Commodities/Supplies	Authority Contract -	Completed	3/2/2018	3/2/2018	0.00	0.00	15654.00	5544.48	15654.00	0	0	0	0	0	0	Discretionary Procurement						USA
ABT PRODUCTS AND SERVICES LTD	DI172887	85-19-45135ALC ADUSTER AUTO	Completed	Commodities/Supplies	Authority Contract -	Completed	3/7/2018	3/9/2018	0.00	0.00	180026.98	34715.74	180026.98	0	0	0	0	0	0	Discretionary Procurement						USA
RF CONTROLS, LLC	DI183411	85-75-0009VALVE ENGINE AIR	Completed	Commodities/Supplies	Authority Contract -	Completed	7/2/2018	9/27/2018	0.00	0.00	42557.25	72801.75	32103.85	1	Foreign	Y	Y	3	Y	Discretionary Procurement						USA
WESTBROOK MARKETING LLC	DI183450	61-10-6429 BAC CLEAR 8 IN WID	Completed	Commodities/Supplies	Authority Contract -	Completed	7/26/2018	10/13/2018	0.00	0.00	114657.00	24038.58	66311.24	3	NYS	N	Y	0	Y	Discretionary Procurement						USA
A AND A BRAKE SERVICE CO INC	DI183464	85-19-0022 SPIDER ASSEMBLY R	Completed	Commodities/Supplies	Authority Contract -	Completed	8/20/2018	11/24/2018	0.00	0.00	49120.00	3748.90	47243.35	7	NYS	N	Y	0	Y	Discretionary Procurement						USA
ABT PRODUCTS AND SERVICES LTD	DI183509	87-21-0013FILLER NECK ASSEMBL	Open	Commodities/Supplies	Authority Contract -	Completed	8/9/2018	8/9/2018	0.00	0.00	56602.50	8603.58	19169.38	3	Foreign	N	Y	3	Y	Discretionary Procurement						USA
COUNTRY TRUCK AND AUTO SERVICE INC	DI18354A	Unscheduled Maintenance	Open	Commodities/Supplies	Authority Contract -	Completed	10/29/2018	12/29/2018	0.00	0.00	51120.26	29995.94	49774.99	3	NYS	Y	Y	3	Y	Discretionary Procurement						USA
NYS INDUSTRIES FOR THE DISABLED UN	DI18354B	Unscheduled Maintenance	Open	Commodities/Supplies	Authority Contract -	Completed	7/29/2014	7/29/2014	0.00	0.00	139337.42	24757.89	139298.84	38.58	5	NYS	N	Y	1	N						USA
AXLE TECH INTERNATIONAL LLC	DI172997	92-85-3002 KIT BRAKE DRIVE A	Completed	Other Professional Serv	Non Contract Procurement/Purchase Order	Completed			921849.29						NYS Vendor	N	N	0	N							USA
FRED BEANS PARTS, INC.	DI173022	OEM FORD PARTS	Open	Commodities/Supplies	Authority Contract -	Completed	7/20/2017	8/30/2017	0.00	0.00	149316.00	1119.87	11799.64	3	Foreign	N	N	0	N							USA
NEOPART TRANSIT LLC	DI183882	76-19-8000 AIR BAG ASSEMBLY F	Open	Commodities/Supplies	Authority Contract -	Completed	10/24/2017	10/24/2017	0.00	0.00	225000.00	63081.76	19380.02	2	Foreign	N	Y	0	N							USA
FEELERS ELECTRONICS INC.	DI183426	88-53-7869TRANSUCKER PRESSURE	Completed	Commodities/Supplies	Authority Contract -	Completed	8/17/2018	10/13/2018	0.00	0.00	252170.80	62038.48	124532.38	3	Foreign	N	Y	0	N							USA
FRASER TOOL AND GAUGE LLC	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							USA
NFI PARTS	DI183477	88-57-8000BRAKE SHOCE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract -	Completed	10/4/2018	10/28/2018	0.00	0.00	135464.00	5617.25	96874.75	3	NYS	N	Y	0	N							

HOSO GROUP LLC	900000000002	AV Conference Room Equipment	Open	Commodities/Supplies	Authority Contract - Compel	2/27/2019	2/27/2019	Y	3/16/2020	44686.00	0.00	44686.00	0.00	5	NYS	N	Y	0	Y	Below Dollar Threshold			433 BROADWAY, STE 532	NEW YORK	NY	10013				USA	
ORACLE AMERICA, INC.	900000000002	Oracle Knowledge Management	Open	Commodities/Supplies	Authority Contract - Compel	2/8/2019	2/25/2019	Y	5/29/2021	63477.05	28547.34	48144.30	15322.75	3	NYS	N	Y	1	N				500 ORACLE PARKWAY	REDWOOD SHORES	CA	94065				USA	
CAMBRIDGE SYSTEMATICS, INC.	900000000002	Development Open Tier Planner	Open	Consulting Services	Authority Contract - Non-Com	4/1/2019	4/1/2019	Y	12/31/2021	4952343.00	2098825.27	######	2166774.33	0	NYS	N	N	0	Y	Sole Source			100 CAMBRIDGE PARK DR	CAMBRIDGE	MA	02140				USA	
PELHAM CW CORP.	900000000002	North Bronx Car Wash- PD	Open	Other	Authority Contract - Compel	2/12/2019	3/1/2019	Y	5/28/2022	60280.00	11685.00	18725.50	41550.50	1	NYS	N	N	0	Y	Below Dollar Threshold			4391 BOSTON POST RD	PELHAM MANOR	NY	10803				USA	
WHITESTONE CAR WASH	900000000002	Jamaica Car Wash- PD	Open	Other	Authority Contract - Compel	2/12/2019	3/1/2019	Y	2/27/2022	17100.00	1966.50	2731.00	14369.00	1	NYS	N	N	0	Y	Below Dollar Threshold			150-65 CROSS ISLAND PA	WHITESTONE	NY	11357				USA	
PSI INTERNATIONAL, INC.	900000000002	NICTA Reg 55687 Dev	Open	Consulting Services	Authority Contract - Compel	2/19/2019	2/19/2019	Y	2/18/2021	60000.00	189502.08	34791.66	285208.32	7	NYS	Y	Y	3	N				4000 LEGATO RD	SUITE 850	FAIRFAX	VA	22033			USA	
BEELINE.COM INC	900000000002	Services Provenance Solution	Open	Technology - Software	Authority Contract - Compel	2/20/2019	2/20/2019	Y	12/31/2019	85000.00	11880.00	11880.00	73120.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			12724 GRAN BAY PKWY W	SUITE 200	JACKSONVILLE	FL	32258			USA	
WASH ROLL CAR WASH	900000000002	Frederick Car Wash- PD	Open	Other	Authority Contract - Compel	3/8/2019	3/1/2019	Y	2/28/2022	15660.00	1583.00	2952.50	12807.50	1	NYS	N	N	0	Y	Below Dollar Threshold			120 W SUFFOLK AVE	CENTRAL ISLIP	NY	11723				USA	
VICOM COMPUTER SERVICES INC	900000000002	BLMADO Smartest Server License Req	Open	Other Professional Serv	Authority Contract - Compel	3/11/2019	3/1/2019	Y	3/11/2024	21824.50	0.00	21824.50	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold			400 BROAD HOLLOW RD	STE 1	FARMINGDALE	NY	11735			USA	
PRESDINO NETWORK SOLUTIONS LLC	900000000002	PKC-HARDWARE FOR RCL UPGRADE	Open	Commodities/Supplies	Authority Contract - Compel	3/5/2019	3/5/2019	Y	3/4/2020	2025642.00	0.00	######	0.00	3	NYS	N	Y	0	Y	NYS/NYC/Courts/Contract			110 PARKWAY DRIVE S	HAIRPAUSE	NY	11752				USA	
ALPHA CAR WASH LLC	900000000002	PD Car Wash- Manhattan	Open	Other	Authority Contract - Compel	3/19/2019	3/1/2019	Y	2/28/2022	20160.00	1679.00	2129.00	18031.00	1	NYS	N	N	0	Y	Below Dollar Threshold			425 11TH AVE	NEW YORK	NY	10036				USA	
GOCHARGE LLC	900000000002	GoCharge Maintenance support Rene	Open	Commodities/Supplies	Authority Contract - Non-Com	3/20/2019	3/20/2019	Y	12/31/2020	78958.00	39402.50	78958.00	63.00	0	NYS	N	N	0	Y	Below Dollar Threshold			750 3RD AVE, 9TH FL	NEW YORK	NY	10017				USA	
CARHOSHOT TECHNOLOGY CORP	900000000002	Aquila Maintenance Renewal 3y	Open	Commodities/Supplies	Authority Contract - Compel	3/25/2019	4/2/2019	Y	4/1/2022	2281431.75	832644.22	######	788310.28	1	NYS	N	N	0	Y	Below Dollar Threshold			1860 MICHAEL FARADAY	ESTE 100	HERSTON	VA	20190			USA	
PCNG INC	900000000002	Vormetric Annual Maintenance AND S	Open	Commodities/Supplies	Authority Contract - Compel	3/27/2019	1/14/2019	Y	1/13/2020	53736.00	0.00	53736.00	0.00	4	NYS	N	Y	1	N				13755 SUNRISE VALLEY D	SUITE 750	REDWOOD	VA	20171	4608		USA	
SHI INTERNATIONAL CORP	900000000002	Rapid 7 Maintenance renewal 2019-2	Open	Commodities/Supplies	Authority Contract - Compel	3/28/2019	3/30/2019	Y	3/29/2021	171503.05	10798.73	171503.05	0.00	4	NYS	N	Y	1	N				P.O. BOX 8500-41155	PHILADELPHIA	PA	19178				USA	
COMPLINK TECHNOLOGIES, INC.	900000000002	Cisco Collaboration Flex Plan	Open	Telecommunication Eq	Purchased Under State Contract					151920.00					NYS Vendor	N	N	0	Y				260 WEST 39TH ST	STE 302	NEW YORK	NY	10018				USA
LIFE CYCLE TECHNICAL SERVICES INC	900000000002	Capital Funds	Open	Commodities/Supplies	Authority Contract - Compel	4/1/2016	4/1/2016	Y	3/30/2021	2084303.15	788916.57	######	497.66	21	Foreign	N	Y	3	N				4360 CORPORATE RD	NORTH CHARLESTON	SC	29405	7445			USA	
MYTHICS INC	900000000002	OracleSWANDSupport CTSS	Open	Technology - Software	Purchased Under State Contract					17424.00					Foreign	N	Y	0	Y				4525 MAIN ST, STE 1500	VIRGINIA BEACH	VA	23462				USA	
B AND H PHOTO VIDEO, INC	900000000002	Apple 15-inch MacBooks with access	Open	Commodities/Supplies	Authority Contract - Compel	4/11/2019	4/1/2019	Y	4/13/2020	29235.00	0.00	29235.00	0.00	4	NYS	N	N	0	Y	Below Dollar Threshold			420 NINTH AVE	NEW YORK	NY	10001				USA	
VECTRAFOR AG USA INC	900000000002	APIS 15 Upgrade	Open	Consulting Services	Authority Contract - Non-Com	4/11/2019	4/1/2019	Y	5/31/2023	239032.00	90208.00	27928.00	211104.00	1	Foreign	N	N	0	Y				61 SHROOD DRIVE	NEW PROVIDENCE	NJ	07094				USA	
SEAFOR CAR WASH	900000000002	Frederick Car Wash- MTAP	Open	Other	Authority Contract - Compel	4/12/2019	3/1/2019	Y	2/28/2022	15207.40	935.23	2952.50	13480.89	1	NYS	N	N	0	Y	Below Dollar Threshold			3470 MERRICK RD	SEAFORD	DE	11783				USA	
EVERBRIDGE INC	900000000002	Everbridge Critical Desk Menu	Open	Technology - Software	Authority Contract - Compel	5/1/2019	4/30/2019	Y	4/29/2022	1299794.08	587941.86	######	563786.11	3	NYS	N	Y	1	N				155 LAKE AVE, STE 900	PASADENA	CA	91101				USA	
INSIGHT PUBLIC SECTOR, INC.	900000000002	Appense - Vard Default/Mgmt Support	Open	Technology - Software	Authority Contract - Compel	4/12/2019	8/22/2019	Y	6/30/2021	293940.00	124120.00	293940.00	0.00	5	NYS	N	Y	1	N				P.O. BOX 713096	COLUMBUS	OH	43271	3096			USA	
ASCENTRA	900000000002	Countermeasures Drills	Open	Consulting Services	Authority Contract - Compel	5/6/2019	6/7/2019	Y	6/6/2022	26390.00	1319.50	26390.00	0.00	8	Foreign	N	Y	0	Y				8300 BOONE BLVD, STE 5	VIENNA	VA	22182	2681			USA	
TELESTOFT LLC	900000000002	Telecom Billing Audit Services	Open	Consulting Services	Authority Contract - Compel	5/1/2019	5/1/2019	Y	4/30/2022	27000.00	3103.66	3103.66	23966.34	1	NYS	N	Y	0	Y	Below Dollar Threshold			1661 E CAMELBACK RD #3	PHOENIX	AZ	85016				USA	
COMPLINK TECHNOLOGIES, INC.	900000000002	Orisv ShareFile Lic Renewal Period 5	Open	Commodities/Supplies	Authority Contract - Compel	4/18/2019	4/18/2019	Y	6/9/2020	16200.00	0.00	16200.00	0.00	3	NYS	N	Y	1	Y	Below Dollar Threshold			260 WEST 39TH ST	STE 302	NEW YORK	NY	10018				USA
HEWLETT PACKARD ENTERPRISE CO	900000000002	Hewlett Packard Hardware Mice Supp	Open	Technology - Hardware	Purchased Under State Contract					400950.54					Foreign	N	N	0	Y				6280 AMERICA CTR DR	SAN JOSE	CA	95002				USA	
INSIGHT PUBLIC SECTOR, INC.	900000000002	VPN/Pulse Renewal	Open	Technology - Software	Purchased Under State Contract					0.00					Foreign	N	N	0	Y				P.O. BOX 713096	COLUMBUS	OH	43271	3096			USA	
Q.E.D., INC.	900000000002	Req 14327 line 2	Open	Consulting Services	Authority Contract - Compel	4/23/2019	3/25/2019	Y	12/31/2019	22440.00	79995.00	216975.00	7665.00	20	NYS	N	Y	16	N				350 SEVENTH AVE	10TH FLOOR	NEW YORK	NY	10001				USA
NOREGON SYSTEMS INC	900000000002	Allison Licenses	Open	Commodities/Supplies	Authority Contract - Non-Com	4/24/2019	4/24/2019	Y	7/1/2021	98660.00	0.00	97800.00	860.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			7009 ALBERT PICK RD	GREENSBORO	NC	27409				USA	
VISTEON INC	900000000002	Consulting Services PS Debt Forecast	Open	Consulting Services	Authority Contract - Compel	4/24/2019	4/24/2019	Y	8/3/2020	54720.00	16212.35	41106.84	13613.16	2	Foreign	N	Y	0	Y	Below Dollar Threshold			189 HOLLOW TREE RIDGE	DAREN	CT	06820				USA	
SOURCE OF FUTURE TECHNOLOGY INC	900000000002	Req 14327 line 1	Open	Consulting Services	Authority Contract - Compel	4/24/2019	11/5/2019	Y	12/31/2020	210035.00	99175.00	207712.50	2322.50	20	NYS	N	Y	16	N				161 EAVE OF THE AMERICA	SUITE 504	NEW YORK	NY	10013				USA
VECOM COMPUTER SERVICES INC	900000000002	Cisco Meraki Hardware Maintenance A	Open	Commodities/Supplies	Authority Contract - Compel	4/28/2019	4/28/2019	Y	5/24/2024	961943.94	104331.23	248020.21	312993.72	3	NYS	N	Y	1	N				400 BROAD HOLLOW RD	STE 1	PARKING	NY	11235			USA	
WASHINGTON COMPUTER SVCS.	900000000002	Frederick ETP Email Threat Prevention	Open	Consulting Services	Purchased Under State Contract					0.00					NYS Vendor	N	N	0	Y				32 W 39TH ST	SUITE 900	NEW YORK	NY	10018				USA
OMA CONSULTING SERVICES	900000000002	2019 Oracle	Open	Other Professional Serv	Authority Contract - Compel	4/29/2019	4/29/2019	Y	5/29/2021	317952.78	181134.46	317952.78	0.00	1	NYS	N	Y	1	Y	Discretionary Procurement			700 TROY SCHENECTADY	LATHAM	NY	12110				USA	
AUTOMOTIVE RENTALS, INC.	900000000002	Fleet Maintenance Services	Open	Other	Purchased Under State Contract					520365.47					Foreign	N	N	0	Y				4001 LEADENHALL RD	MOUNT LAUREL	NJ	08054				USA	
YORKTEL	900000000002	Webcasting AND Captioning Servic	Open	Telecommunication Eq	Authority Contract - Compel	5/6/2019	4/25/2019	Y	12/31/2020	158000.00	36828.00	83994.00	74006.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			81 CORBETT WAY	EATONTOWN	NJ	07724				USA	
MYTHICS INC	900000000002	Oracle Extended Support	Open	Commodities/Supplies	Authority Contract - Compel	6/14/2019		Y		6144.67					Foreign	N	N	0	Y				4525 MAIN ST, STE 1500	VIRGINIA BEACH	VA	23462				USA	
COMPLINK TECHNOLOGIES, INC.	900000000002	HP Load Runner Maintenance Renew	Open	Commodities/Supplies	Authority Contract - Compel	5/20/2019	6/1/2019	Y	5/30/2020	155139.50	0.00	155139.50	0.00	2	NYS	N	Y	1	N				260 WEST 39TH ST	STE 302	NEW YORK	NY	10018				USA
COMPLINK TECHNOLOGIES, INC.	900000000002	Orisv Professional Maintenance Supp	Open	Commodities/Supplies	Authority Contract - Compel	5/21/2019	6/30/2019	Y	6/29/2020	459877.58	0.00	459877.58	3	NYS	N	Y	1	N				260 WEST 39TH ST	STE 302	NEW YORK	NY	10018				USA	
COMPLINK TECHNOLOGIES, INC.	900000000002	Orisv Maintenance Renewal	Open	Commodities/Supplies	Authority Contract - Compel	5/21/2019	6/14/2019	Y	12/12/2021	728034.30	174476.72	634354.30	93680.00	3	NYS	N	Y	1	N				260 WEST 39TH ST	STE 302	NEW YORK	NY	10018			USA	
MYTHICS INC	900000000002	Oracle Solaris Maintenance	Open	Technology - Software	Purchased Under State Contract					17045.01					Foreign	N	N	0	Y												

Agency	Account Number	Description	Open	Commodities/Supplies	Authority Contract - Non-Comp	Authority Contract - Comp	3/1/2019	3/1/2019	Y	2/28/2021	1234425.75	117464.11	99886.99	63556.76	3	NYS	N	N	N	0	Y	Emergency or Critical Need	#####	40 WIGHT AVE	HUNT VALLEY	MD	21030		USA	
JMT OF NEW YORK INC	081432A	Canarie Tunnel Independent Consult	Open	Consulting Services	Authority Contract - Comp		3/1/2019	3/1/2019	Y	2/28/2021	1234425.75	117464.11	99886.99	63556.76	3	NYS	N	N	N	0	Y	Emergency or Critical Need	#####	40 WIGHT AVE	HUNT VALLEY	MD	21030		USA	
MTA-HQ	MTA POLICE -	OT Cook for Direct Patrol Strategy Int	Open	Commodities/Supplies	Authority Contract - Non-Comp		6/20/2012	11/6/2007	Y	12/31/2030	16349850.95	0.00	990052.64	#####	0	NYS	N	N	N	0	N	#####		C/O MTA	NEW YORK	NY	10017		USA	
MOIVE AND COMPANY LLC	MTA0001-010	NY Tough Campaign	Open	Other Professional Serv	Authority Contract - Non-Comp		8/6/2020	8/6/2020	Y	8/6/2020	49065.00	49065.00	49065.00	0.00	0	NYS	N	N	N	0	N	#####		993 POST RD EAST	WESTPORT	CT	06880		USA	
ORANGE COUNTY	PD011-0100	Req 14588 This requisition will be as	Open	Other	Authority Contract - Non-Comp		9/6/2019	10/2/2019	Y	9/6/2020	645121.93	0.00	645121.93	0.00	0	NYS	N	N	N	0	Y	Sole Source	645121.93	ATTN: RESOURCES BOX 11	QUARRY ROAD	GOSHEN	NY	10924		USA
WSP USA INC	X15099-06-1	Replica Data for Covid 19	Open	Consulting Services	Authority Contract - Comp		6/3/2019	6/1/2019	Y	12/31/2021	1500000.00	0.00	0.00	1500000.00	2	NYS	N	N	N	0	Y	Inter-agency Agreement		ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
NDXON READOLF LP, ATTY AT LAW	03003-0101	NDXON DEC 2010 BOND WORK	Open	Legal Services	Non Contract Procurement/Purchase Order						2008489.09					NYS Vendor								437 MADISON AVENUE	NEW YORK	NY	10022		USA	
HAWKINS DEARFIELD AND WOOD LP	FI005-0100	HAWKINS TETA 2010A	Open	Consulting Services	Non Contract Procurement/Purchase Order						973828.91					NYS Vendor								67 WALL STREET	NEW YORK	NY	10005		USA	
WOODS INVESTORS SERVICE	FI006-0100	Hawley TRB 2010E	Open	Financial Services	Non Contract Procurement/Purchase Order						741125.00					NYS Vendor								P.O. BOX 402597	ATLANTA	GA	30368	0597	USA	
CARTER LEVYARD AND MILBRUN LLP	FI009-0100	CARTER LEVYARD TETA 2009	Open	Consulting Services	Non Contract Procurement/Purchase Order						395201.65					NYS Vendor								2 WALL STREET	13TH FLOOR	NEW YORK	NY	10005		USA
PRECISION ANALYTICS	FI010-0100	Precision Analytics verifiati	Open	Consulting Services	Non Contract Procurement/Purchase Order						0.00					NYS Vendor								7 MAPLE AVE	LINET 10	MORRISTOWN	NJ	07960		USA
BARCLAYS BANK PLC	FI013-0100	Barclays LDC Fees for CP-2 pro	Open	Consulting Services	Non Contract Procurement/Purchase Order						49026.00					NYS Vendor								75 WALL STREET	NEW YORK	NY	10265		USA	
CTIBANK, N.A.	FI017-0100	Q2 2011 Liquidity Fee for CP2	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order						89797.50					NYS Vendor								111 WALL STREET	9TH FLOOR	NEW YORK	NY	10043		USA
ROYAL BANK OF CANADA	FI018-0100	2nd Qtr 2011 Liquidity Fee	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order						45000.00					NYS Vendor								ROYAL BANK EXPORT	FINANCE CO. L	NEW YORK	NY	10005		USA
CHAPMAN AND GUTLER LLP	FI020-0100	LEGAL SERVICES	Open	Other	Non Contract Procurement/Purchase Order						345035.28					NYS Vendor								POST OFFICE BOX 71291	CHICAGO	IL	60694		USA	
ORRICK, HERRINGTON AND SUTCLIFFE	FI034-0100	DTF 2008B-3a Legal Fees	Open	Consulting Services	Non Contract Procurement/Purchase Order						577304.64					NYS Vendor								599 LEXINGTON AVE.	NEW YORK	NY	10022		USA	
WILMINGTON TRUST COMPANY	FI039-0100	Trustee Lease Fees	Open	Consulting Services	Non Contract Procurement/Purchase Order						6350.00					NYS Vendor								RODNEY SQUARE NORTH	1100 NORTH M	WILMINGTON	DE	19890	1626	USA
KROLL BOND RATING AGENCY INC	FI041-0100	Ratings Fees	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order						162500.00					NYS Vendor								845 THIRD AVE., 8TH FL	NEW YORK	NY	10022		USA	
MOORE AND VAN ALLEN PLLC	FI043-0100	Legal Services	Open	Telecommunication Equ	Non Contract Procurement/Purchase Order						0.00					Foreign								100 NORTH TRYON STREET	SUITE 4700	CHARLOTTE	NC	28202		USA
BANK OF AMERICA, N.A.	FI051-0100	TRB 2002E-1	Open	Financial Services	Non Contract Procurement/Purchase Order						638.00					NYS Vendor								401 NORTH TRYON STREET	NC1-021-02-20	CHARLOTTE	NC	28255		USA
KITFAK ROCK LP	FI052-0100	CP7 Subpages D	Open	Consulting Services	Non Contract Procurement/Purchase Order						0.00					NYS Vendor								P.O. BOX 30057	CHICAGO	IL	60610		USA	
THOMPSON HINE LLP	FI053-0100	Trustee Payment	Open	Consulting Services	Non Contract Procurement/Purchase Order						20000.00					NYS Vendor								100 PUBLIC SQUARE	3200 KEY CENTER	CLEVELAND	OH	44124		USA
GRANT STREET GROUP INC	FI061-0100	Financ Outlier	Open	Financial Services	Non Contract Procurement/Purchase Order						56000.00					NYS Vendor								339 BOSTON AV	SUITE#1400	PITTSBURGH	PA	15223		USA
D SEATON AND ASSOCIATES, PA	FI062-0100	DTF BAN 2015A	Open	Other	Non Contract Procurement/Purchase Order						654739.80					NYS Vendor								3318 BRADENHAM LANE	DAVIE	FL	33328		USA	
SMOP GLOBAL RATINGS	FI065-0100	TRB 2017A	Open	Financial Services	Non Contract Procurement/Purchase Order						573244.00					NYS Vendor								2542 COLLECTION CTR DR	CHICAGO	IL	60693		USA	
FITCH RATINGS	FI066-0100	TRB 2016D	Open	Financial Services	Non Contract Procurement/Purchase Order						460500.00					NYS Vendor								GENERAL POST OFFICE	P.O. BOX 26858	NEW YORK	NY	10087		USA
PAPARONE LA BROKERAGE	FI068-0100	DTF 17B	Open	Other	Non Contract Procurement/Purchase Order						40500.00					NYS Vendor								30 BROAD ST STE 1482	NEW YORK	NY	10004		USA	
JONES LANG LASALLE BROKERAGE, INC	FI075-0100	Hudson Lab Yard 1 adn 2	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order						0.00					NYS Vendor								28 LIBERTY ST	STE 3000	NEW YORK	NY	10005		USA
SIEMERT CINCINROSS SHAM AND CO LLC	FI079-0100	Req 14853 TRB 2012A-2	Open	Other Professional Serv	Non Contract Procurement/Purchase Order						0.00					NYS Vendor								100 WALL ST, 18TH FL	NEW YORK	NY	10005		USA	
COZEN O'CONNOR ETC	FI080-0100	Req 16670 TRB 2020B-1	Open	Other	Non Contract Procurement/Purchase Order						40000.00					NYS Vendor								277 PARK AVE	NEW YORK	NY	10172		USA	
OUTRONT MEDIA GROUP LLC	FI081-0100	Req 16758 OMVY Advertising Campa	Open	Other	Authority Contract - Comp		4/28/2020	4/28/2020	Y	4/28/2022	7723854.83	93482.50	93482.50	7630372.33	0	NYS	N	N	N	0	Y	Below Dollar Threshold		185 HIGHWAY 46	FAIRFIELD	NJ	07004		USA	
PUBLIC RESOURCES ADVISORY GROUP	FI16309-0100	financial advisory services	Open	Financial Services	Authority Contract - Comp		12/21/2016	12/19/2016	Y	12/31/2021	3071373.48	755985.80	#####	252758.68	15	NYS	N	N	N	0	Y			39 BROADWAY, STE 1210	NEW YORK	NY	10006		USA	
ESPLANDE GARDENS, INC	RE016-0100	Permanent And Temporary Easement	Open	Other	Authority Contract - Non-Comp		4/13/2011	4/13/2011	Y	4/26/2019	3079663.16	50000.00	#####	50000.00	1	NYS	N	N	N	0	Y		3079663.16	2569 ADAM CLAYTON POW	NEW YORK	NY	10039		USA	
255 E 87 OWNERS CORP.	RE029-0100	Property Acquisition Payment	Open	Consulting Services	Purchased Under State Contract						0.00					NYS Vendor								250 E 87TH STREET	NEW YORK	NY	10128		USA	
EDISON WY PARKING, LLC	RE089-0100	Technology - Consulting	Open	Technology - Consulting	Authority Contract - Comp		7/27/2012	7/27/2012	Y	7/20/2021	49516.40	0.00	49516.40	0.00	0	NYS	N	N	N	0	Y	Contrt Assign, from Agency		100 WASHINGTON STREET	NEWARK	NJ	07102		USA	
TOMSLAVA GROUP	RE100-0100	Settlement Fee Payment	Open	Commodities/Supplies	Authority Contract - Comp		11/16/2012	11/16/2012	Y	12/31/2030	3234782.00	0.00	0.00	3234782.00	0	NYS	N	N	N	0	Y			151-21 19TH AVENUE	WHITESTONE	NY	11357		USA	
GREENGLASS TRAGING LLC	RE105-0100	EMR-Relocate HQ/Construction	Open	Consulting Services	Authority Contract - Comp		1/7/2013	1/7/2013	Y	1/29/2021	348574.40	158238.12	249311.10	105143.30	0	NYS	N	N	N	0	Y	Sole Source		54 STATE ST	6TH FLOOR	ALBANY	NY	12207		USA
63 COMPANY LLC	RE115-0100	Protective Lease Payment	Open	Consulting Services	Authority Contract - Comp		5/22/2013	5/22/2013	Y	6/30/2020	130394.78	0.00	#####	881.41	0	NYS	N	N	1	Y			59 E 54TH ST	ROOM 51	NEW YORK	NY	10022		USA	
ESTATE OF STANLEY BIENSTOCK	RE141-0100	Property Agreement Payment	Open	Other	Authority Contract - Non-Comp		11/8/2013	11/8/2013	Y	12/31/2030	633250.55	0.00	632537.00	98.55	0	NYS	N	N	0	Y			330 WEST 43RD STREET	27B	NEW YORK	NY	10036		USA	
301 69 OWNERS CORP.	RE158-0100	Property Agreement Payment	Open	Other	Authority Contract - Non-Comp		2/21/2014	2/21/2014	Y	12/31/2030	4381035.85	0.00	#####	591.00	0	NYS	N	N	0	Y			C/O RUDO REALTY MANAGE	641 LEXINGTON	NEW YORK	NY	10022		USA	
110-333 EAST SHORE ROAD LLC	RE197-0100	EMR-333 E Shore Rd Marhsaet	Open	Other	Authority Contract - Non-Comp		8/14/2014	8/14/2014	Y	8/31/2021	294030.00	0.00	294030.00	0.00	0	NYS	N	N	0	Y			1181 MARCUS AVE, STE E	LAKE SUCCESS	NY	11042		USA		
PAUL WEISS RIFKIND WHARTON AND	RE205-0100	EMR - Paul Weiss	Open	Other	Authority Contract - Non-Comp		1/21/2015	12/1/2015	Y	1/31/2022	440546.65	720318.55	#####	1224593.22	0	NYS	N	N	0	Y			GARRISON, LLP	1285 AVENUE C	NEW YORK	NY	10019	6064	USA	
PI TELECOM INFRASTRUCTURE LLC	RE210-0100	EMR-MTAPD Radio Towers	Open	Other	Authority Contract - Non-Comp		3/16/2016	3/16/2016	Y	3/31/2021	550000.00	1062195.77	#####	1418133.64	0	NYS	N	N	0	Y			2855 LE JEUNE RD, 4TH F	CORAL GABLES	FL	33134		USA		
HYATT EQUITIES INC	RE225-0100	Property Agreement	Open	Other	Authority Contract - Comp		3/25/2016	3/25/2016	Y	3/25/2021	15640366.72	0.00	#####	0.00	0	NYS	N	N	0	Y			71 S WACKER DR	CHICAGO	IL	60649		USA		
EAST COAST ABSTRACT INC	RE232-0100	EMR - MNR Sleepy Hollow Rail Side	Open	Other	Authority Contract - Comp		12/19/2016	12/19/2016	Y	12/31/2019	8104800.00	5200000.00	#####	160026.01	0	NYS	N	N	0	Y			100 QUENTIN ROOSEVELT	SUITE 101	GARDEN CITY	NY	11530		USA	
335 MADISON AVENUE LLC	RE238-0100	Advance Fee Payment	Open	Other	Authority Contract - Non-Comp		11/22/2017	11/22/2017	Y	1/31/2020	278155.00	0.00	278155.00	0.00	0	NYS	N	N	0	Y			235 MADISON AVE	15TH FL	NEW YORK	NY	10017		USA	
HOLDREY, NEWMAN, REGAL AND KENNEDY	RE239-0100	Property Agreement	Open	Other	Authority Contract - Non-Comp		1/24/2017	1/24/2017	Y	1/30/2020	250000.00	0.00	163157.35	86832.65	0	NYS	N													

VOESTALPINE RAILWAY SYSTEMS NORTH	4000154273	NORT RAKG01030000PLATECPM13	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/16/2020	11/16/2020	Y	3/16/2021	27066.00	0.00	0.00	27066.00	1	Foreign	N	N	0	Y	Below Dollar Threshold	27066.00		3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL		35217		USA	
FIBERGATEPOSTSTRUCTURESCAN	4000154101	FiberGateGrating2inchdegratingGrat	Open	Commodities/Supplies	Authority Contract - Compet	11/10/2020	11/10/2020	Y	12/21/2020	27135.00	0.00	0.00	27135.00	3	Foreign	N	N	0	Y	Below Dollar Threshold			5151 BELLTINE ROAD	DALLAS	TX		75254	7028	USA	
IGNINTERNATIONALCORPORATION	4000148616	BUSHINGRUBBERSEPERICALROADS	Open	Commodities/Supplies	Authority Contract - Compet	5/26/2020	5/26/2020	Y	11/19/2020	27200.40	13600.20	13600.20	27200.40	1	Foreign	N	N	0	Y	Below Dollar Threshold			56 CONNORS RD	VILLA RICA	GA		301880		USA	
CABLECELL	4000144396	CABLECONDITIONALTOVERANDCAS	Completed	Commodities/Supplies	Authority Contract - Compet	2/7/2020	2/7/2020	Y	5/20/2020	27215.00	10893.26	10893.26	16321.74	5	Foreign	N	N	0	N				2584 S HORSESHOE DRIV	NAPLES	FL		34104		USA	
VOESTALPINE RAILWAY SYSTEMS NORTH	4000148309	RODADVEKRODASSEMBLYMPVOST	Open	Commodities/Supplies	Authority Contract - Compet	5/15/2020	5/15/2020	Y	10/19/2020	27220.00	27220.00	27220.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL		35217		USA	
PRECISIONASSENTOTCOMPANY	4000149287	GAUIGHEIGHTAUBARGGRILLRDRAND	Open	Commodities/Supplies	Authority Contract - Compet	11/9/2020	11/9/2020	Y	6/4/2021	27225.00	0.00	0.00	27225.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			375 GARFIELD ROAD	DAYTON	OH		45449		USA	
WABTEPASSENGERTRANSIT	4000149287	WABTEPASSENGERTRANSIT	Open	Commodities/Supplies	Authority Contract - Compet	6/10/2020	6/10/2020	Y	12/1/2020	27225.00	27225.00	27225.00	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			130 RIDGEVIEW CENTER	DUNCAN	SC		29334		USA	
MITSUBISHI ELECTRIC POWER PRODUC	4000138523	CLATEASSHOOPERRESERVOIRCPK	Completed	Commodities/Supplies	Authority Contract - Compet	9/12/2019	9/12/2019	Y	1/12/2020	27287.40	0.00	0.00	27287.40	1	Foreign	N	N	0	Y	Below Dollar Threshold			530 WILSON DRIVE	WARRANDALE	PA		15266		USA	
NEWARKBENTON	4000138579	CAPACITORRELECTRICALTY1500VDF	Open	Commodities/Supplies	Authority Contract - Compet	9/13/2019	9/13/2019	Y	12/1/2020	27465.48	27463.20	27463.20	62.28	1	Foreign	N	N	0	N				300 S RIVERSIDE PLAZA	STE 2200	CHICAGO	IL	60606		USA	
MUCHANENVIRONMENTAL	4000143865	HYRALLVACUUMTRUCK	Open	Commodities/Supplies	Authority Contract - Compet	1/29/2020	1/29/2020	Y	3/7/2020	27500.00	21250.00	21250.00	6250.00	5	Foreign	N	N	0	N				481 GRAND AVE	NEW HAVEN	CT		6513		USA	
VOESTALPINE RAILWAY SYSTEMS NORTH	4000136485	BRACKETHOLLOWSTREETTEPPOINT	Completed	Commodities/Supplies	Authority Contract - Compet	7/9/2019	7/9/2019	Y	12/16/2019	27500.00	27500.00	27500.00	0.00	1	NYS	N	N	0	Y	Below Dollar Threshold			3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL		35217		USA	
TEKTRONICS ELECTRONIC COMPONENTS	4000137113	DETECTORCURRENTBALANCELOW	Completed	Commodities/Supplies	Authority Contract - Compet	8/6/2019	8/6/2019	Y	1/17/2020	27588.00	27588.00	27588.00	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			500 ROUTE 25A	ROCKY POINT	NY		11778		USA	
RSHUGHESO	4000149558	GLOVESDISPOSABLESABLES	Open	Commodities/Supplies	Authority Contract - Compet	6/18/2020	6/18/2020	Y	7/30/2020	27600.00	27600.00	27600.00	0.00	3	NYS	N	N	0	Y	Below Dollar Threshold			100 - E TEC ST	HICKSVILLE	NY		11801		USA	
BOI-BEARINGS DISTRIBUTORS INC	4000155227	BEARINGASSEMBLYPINIONSDIN17CR	Open	Commodities/Supplies	Authority Contract - Compet	12/16/2020	12/16/2020	Y	7/5/2021	27605.55	0.00	0.00	27605.55	1	Foreign	N	N	0	Y	Below Dollar Threshold			640 ACCESS RD	STRAITFORD	CT		6615		USA	
LFMAGWEARINC	4000141740	PLATETITWINHOOSKREWAGHOL	Completed	Commodities/Supplies	Authority Contract - Compet	11/25/2019	11/25/2019	Y	1/20/2020	27700.00	27700.00	27700.00	0.00	2	Foreign	N	N	0	Y	Below Dollar Threshold			415 HOLIDAY DRIVE	PITTSBURGH	PA		15220	2793	USA	
VFOAGWEARINC	4000155174	VFOAgearwovenbraivrace	Open	Commodities/Supplies	Authority Contract - Compet	12/15/2020	12/15/2020	Y	12/15/2020	27735.00	27735.00	27735.00	0.00	3	Foreign	N	N	0	N				PO BOX 640396	LAKEWOOD	CO		15264	396	USA	
PROGRESS L&S ASSOCIATES LLC	4000149558	ASSEMBLYTINSULATEDGEAUGER	Open	Commodities/Supplies	Authority Contract - Compet	6/18/2020	6/18/2020	Y	9/24/2020	27750.00	27750.00	27750.00	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			P O BOX 1037	ALBERTVILLE	AL		35950		USA	
VEGICLOSINGASSOCIATES LLC	400056568	PNTXCarLease	Open	Consulting Services	Authority Contract - Compet	9/4/2014	9/4/2014	Y	2/18/2020	27912.00	1196.00	21932.00	9980.00	5	Foreign	N	N	0	N				333 S BROAD ST	MERIDEN	CT		6450		USA	
MITSUBISHI ELECTRIC POWER PRODUC	4000133917	BEARINGCAPPIHOSHAFTHMOTORS	Completed	Commodities/Supplies	Authority Contract - Compet	5/9/2019	5/9/2019	Y	7/1/2020	27991.44	18650.96	27991.44	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			530 KEYSTONE DRIVE	WARRANDALE	PA		15086		USA	
GENERAL EQUIPMENT LLC	4000154173	LowerBodyBRPartinMotor66470	Open	Commodities/Supplies	Authority Contract - Compet	11/10/2020	11/10/2020	Y	11/10/2020	27994.40	0.00	0.00	27994.40	1	Foreign	N	N	0	Y	Below Dollar Threshold			3300 INNOVATION PLACE	YOUNGSTOWN	OH		44509		USA	
KAWASKAI RAIL CAR INC	4000143209	CLATEASSHOOPERRESERVOIRCPK	Completed	Commodities/Supplies	Authority Contract - Compet	1/8/2020	1/8/2020	Y	8/14/2020	28014.00	28014.00	28014.00	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			28 WELLS AVE	BUILDING NO 4	ROCKESPORT	NJ	07003		USA	
KILLANDREW ELECTRIC	4000141917	SWITCHONCONDUCTINGDISPINSW	Completed	Commodities/Supplies	Authority Contract - Compet	12/2/2019	12/2/2019	Y	3/10/2020	28121.98	28121.98	28121.98	0.00	5	NYS	N	N	0	Y	Below Dollar Threshold			SUPPLY OF L INC	HICKSONET	NY		11767		USA	
ABEQUIMENTRENTAL INC	4000141739	AbEquipmentRentRentInServiceAgre	Open	Consulting Services	Authority Contract - Compet	11/25/2019	11/25/2019	Y	5/15/2020	28139.85	24824.31	24824.31	3315.52	5	NYS	N	N	0	Y	Below Dollar Threshold			1050 GRAND BOULEVARD	DEER PARK	NY		11729		USA	
OLDCASTPREGRECASTINGSOLUTIONS	4000153405	VALT POLYMERCOVERDAMMONOP	Open	Commodities/Supplies	Authority Contract - Compet	10/20/2020	10/20/2020	Y	11/24/2020	28314.00	0.00	0.00	28314.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			2 INVERNESS ST	CANDIAC	MI		54554	QC	OTHER	CAN
KONKONWAYANDTORREYLLC	4000150481	DRAFTYOKASSEMBLYPEPHGRADE	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/22/2020	7/22/2020	Y	9/9/2020	28343.40	28343.40	28343.40	0.00	1	Foreign	N	N	0	Y	Sole Source	28343.40		109 48TH STREET	PITTSBURGH	PA		15201		USA	
OLYMPIC GYM AND SAFETY COMPANY	4000151171	GLOVESVINYLDISPOSABLESABLES	Completed	Commodities/Supplies	Authority Contract - Compet	8/17/2020	8/17/2020	Y	8/21/2020	28375.00	28375.00	28375.00	0.00	1	NYS	N	N	0	Y	Below Dollar Threshold			75 MAIN AVE	ELMWOOD PARK	NJ	07407			USA	
UNIVERSALREADYMDCINC	4000134238	CONCRETECLASSPUMPINGAPPLICA	Completed	Commodities/Supplies	Authority Contract - Compet	5/17/2019	5/17/2019	Y	5/12/2020	28400.00	3905.00	28400.00	0.00	1	NYS	N	N	0	N				197 ATLANTIC AVE	GARDEN CITY PK	NY		11040		USA	
EPAUL DYNAMICS	4000146520	TRANSFORMERRPH110610OYCLES	Completed	Commodities/Supplies	Authority Contract - Compet	3/25/2020	3/25/2020	Y	4/24/2020	28493.82	28493.82	28493.82	0.00	3	NYS	Y	N	3	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY		11050		USA	
RODCLACONCRETETIENIC	4000131578	RODTHREADEDWITHLOCKWASHER	Completed	Commodities/Supplies	Authority Contract - Compet	3/11/2019	3/11/2019	Y	6/1/2021	28560.00	28560.00	28560.00	0.00	3	Foreign	N	N	0	Y	Below Dollar Threshold			1819 DENVER WEST DR	SUITE#450	LAKEWOOD	CO	80401		USA	
RODCLACONCRETETIENIC	4000131546	RODTHREADEDWITHLOCKWASHER	Completed	Commodities/Supplies	Authority Contract - Compet	3/11/2019	3/11/2019	Y	4/24/2021	28560.00	28560.00	28560.00	0.00	2	Foreign	N	Y	1	Y	Below Dollar Threshold			1819 DENVER WEST DR	SUITE#450	LAKEWOOD	CO	80401		USA	
LEWISSTANDINCOMPANY	4000148932	BOLTHEELLOCK SQUAREHEAD 1 inch	Completed	Commodities/Supplies	Authority Contract - Compet	6/2/2020	6/2/2020	Y	7/17/2020	28750.00	28750.00	28750.00	0.00	4	Foreign	N	Y	1	Y	Below Dollar Threshold			700 TWELVE OAKS CTR	SUITE#711	WAYZATA	MN	55391		USA	
JKMULSKY RAILWAY SUPPLY CO INC	4000152333	CABLEASSYHEPPEMELDOLYDELL	Open	Commodities/Supplies	Authority Contract - Compet	9/18/2020	9/18/2020	Y	7/1/2021	28782.00	0.00	0.00	28782.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			295 W 49TH ST	READING	PA		19606		USA	
PANOROUSALP	4000149970	CLATEASSHOOPERRESERVOIRCPK	Open	Commodities/Supplies	Authority Contract - Compet	3/12/2020	3/12/2020	Y	8/19/2020	28800.00	0.00	0.00	28800.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			501 SHARPTOWN ROAD	P.O. BOX 367	NEW MILFORD	CT	06754		USA	
IGNINTERNATIONALCORPORATION	4000156992	CABLECOUPLERLARGECPH2808A	Open	Commodities/Supplies	Authority Contract - Compet	1/21/2021	1/21/2021	Y	8/20/2020	28800.00	0.00	0.00	28800.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			566 DANBURY RD	P.O. BOX 1957	NEW MILFORD	CT	06756		USA	
SILCO MANUFACTURING CORP	4000148182	CHANNELSHORTTEFORTHIRDRAIL	Completed	Commodities/Supplies	Authority Contract - Compet	11/27/2019	11/27/2019	Y	3/6/2020	28932.00	28932.00	28932.00	0.00	5	Foreign	N	N	0	Y	Below Dollar Threshold			3 FAIRFIELD CRESCENT	W CALDWELL	NJ		7096		USA	
HITACHI RAIL STS USA INC	4000136951	TOTOBENDINGSPRINGSDINCHLOTS	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/1/2019	8/29/2019	Y	10/30/2019	28970.28	2284.92	2284.92	26685.36	1	Foreign	N	N	0	Y	Sole Source	28970.28		P.O. BOX 7780-1513	PHILADELPHIA	PA		19182		USA	
JKMULSKY RAILWAY SUPPLY CO INC	4000140320	CABLEASSYHEPPEMELDOLYDELL	Completed	Commodities/Supplies	Authority Contract - Compet	10/22/2019	10/22/2019	Y	12/4/2019	29080.04	29080.04	29080.04	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			295 W 49TH ST	READING	PA		19606		USA	
PRYSMANCABLESANDSYSTEMS,LLC	4000147368	CABLECONDPPOWER10AWG5TRAC	Open	Commodities/Supplies	Authority Contract - Compet	4/15/2020	4/15/2020	Y	8/21/2020	29102.00	27719.66	27719.66	1382.34	3	Foreign	N	N	0	Y	Below Dollar Threshold			770 INDUSTRIAL DR	LEXINGTON	SC		29072		USA	
RESIDENTIALFENCESCORP	6191-056	HSFenceInRailPushingMan	Open	Design and Construction	Authority Contract - Compet	11/4/2020	11/4/2020	Y	3/31/2021	29106.00	0.00	0.00	29106.00	1	NYS	N	N	0	Y	Below Dollar Threshold			1775 ROUTE 25	RIDGE	NY		11561		USA	
ROCHLINGMACHINEPLASTICS	4000148731	SHOEBEAMCONTACTTHIRDRAILTRU	Open	Commodities/Supplies	Authority Contract - Compet	5/28/2020	5/28/2020	Y	12/1/2020	29200.00	29200.00	29200.00	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			161 WESTEC DRIVE	MOUNT PLEASANT	PA		15666		USA	
ROCHLINGMACHINEPLASTICS	4000147245	SHOEBEAMCONTACTTHIRDRAILTRU	Completed	Commodities/Supplies	Authority Contract - Non-Comp	4/13/2020	4/13/2020	Y	6/23/2020	29200.00	29200.00																			

Agency	Account Number	Item Description	Category	Contract Type	Start Date	End Date	Contract Value	Original Value	Current Value	Contract Status	Contract Number	Contract Title	Location	City	State	Zip	Other															
EASTERNCONNECTORSPECIALTYCORP	400013296	CABLECOUPLERLARGECPH2EASTER	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/16/2019	7/16/2019	182438.00	165946.00	165946.00	16492.00	1	Foreign	N	N	0	Y	Sole Source	182438.00	566 DANBURY RD	P O BOX 1957	NEW MILFORD	CT	USA	6776							
LUMFORDPRIORITYSCION	4.00E+14	FireSuppressionKiddieService	Open	Other Professional Serv	Authority Contract - Compet	8/3/2020	8/5/2020					1	NYS	N	N	0	Y				POST OFFICE BOX 61052Z		BAVSE	NY	USA	11361						
MITSUBISHI ELECTRIC POWER PRODUCT	4000143263	KTTFORREPAIRFORNYSGBMTSUBS	Completed	Commodities/Supplies	Authority Contract - Compet	1/14/2020	1/14/2020	183476.71	183476.71	183476.71	0.00	1	Foreign	N	N	0	Y				530 KEYSTONE DRIVE		WARRENDALE	PA	USA	15086						
URBANECOAPACISNC	138641-126183	PreventativeMaintenanceAndRepair	Completed	Other	Authority Contract - Compet	2/22/2019	3/1/2019	183672.06	0.00	0.00	183672.06	1	NYS	Y	Y	1	Y				88-21 192ND ST		HOLLIS	NY	USA	11423						
WSPUSINC	6340C-01-20-A	ScheduleServicePlanning	Open	Consulting Services	Authority Contract - Compet	12/29/2020	11/24/2020	183940.85	0.00	0.00	183940.85	3	NYS	Y	Y	1	Y				Inter-agency Agreement		ONE PENN PLAZA 4TH FL		NEW YORK	NY	USA	10119				
SIEMENSBOILTYINC	4000152932	GATEUNITRACKCONTRONC200	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/6/2020	10/6/2020	184234.35	0.00	0.00	184234.35	1	Foreign	N	N	0	Y				Sole Source	184234.35	PO BOX 3498		CAROL STREAM	IL	USA	60132				
SIEMENSBOILTYINC	4000147352	MOULDERPOPULATIONPHASEIEMEN	Completed	Commodities/Supplies	Authority Contract - Non-Comp	4/15/2020	4/15/2020	184903.32	184903.32	184903.32	0.00	1	Foreign	N	N	0	Y					PO BOX 3498		CAROL STREAM	IL	USA	60132					
LANDSCAPEPERFORM	4.00E+14	LandscapingAndSnowRemoval	Open	Other	Authority Contract - Compet	5/1/2018	4/30/2018	186216.00	49266.00	136691.00	0.00	3	NYS	Y	Y	0	Y				Discretionary Procurement		PO BOX 368		HARTFORD	CT	USA	06110				
TRANSISTORSINGERSYSTEMSINC	4000133505	WHEEL40DAPERLORNGWV6340DA	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/21/2019	6/21/2019	187476.00	187476.00	187476.00	0.00	1	Foreign	N	N	0	Y				Sole Source	187476.00	4489 TRUMP DR SW		CONCORD	NC	USA	28027				
LTENGINERINGSERVICESCONYLL	4.00E+14	FeasibilityStudyCarShell	Open	Consulting Services	Authority Contract - Compet	6/25/2018	8/27/2018	188269.35	85614.77	85614.77	102654.58	1	Foreign	N	N	0	Y						100 W BUTLER AVE		AMBLER	PA	USA	19002				
ROCKBOSTESSPRENANTCALTECORP	4000151097	CABLEMATICINDUCTORCONHW42G	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/13/2020	8/13/2020	188301.38	0.00	0.00	188301.38	1	Foreign	N	N	0	Y				Sole Source	188301.38	20 BRADLEY PARK RD		EAST GRANBY	CT	USA	66026				
NEWJONETERRAANALYSTINC	4.00E+14	ElevatorAndEscalatorSystems	Open	Other	Authority Contract - Compet	2/1/2019	2/1/2019	189259.20	62922.00	99851.00	89678.00	3	NYS	N	N	0	Y				NYS/NYC/CourtyContract		608 BRIDGEWATER COUR		SUMMERTOWN	NY	USA	29486				
HOUSEMANUFACTURINGINC	4.00E+14	3YearRFIDHouseOfDien	Open	Commodities/Supplies	Authority Contract - Compet	6/5/2019	5/31/2019	190900.00	50606.31	78468.43	112431.57	2	Foreign	N	N	0	Y						3001 HOUSEN DR		SWARTZ CREEK	NE	USA	68473				
WILLIAMSONMANINC	4000149874	PurchaseOfJohnsonValeTalesCPX55	Completed	Commodities/Supplies	Authority Contract - Non-Comp	6/30/2020	6/30/2020	191532.00	191532.00	191532.00	0.00	1	Foreign	N	N	0	Y						46 WINDSOR PLACE		CENTRAL ISIP	NY	USA	11722				
CREATINGDESIGNSANDFABRICATIONINC	4.00E+14	IFR2041713YRPOdecestergar2018	Open	Commodities/Supplies	Authority Contract - Compet	3/29/2018	3/30/2018	191700.00	12780.00	6326.00	12849.00	1	NYS	N	Y	0	Y						1630-A OCEAN AVE		BOEHMIA	NY	USA	11776				
ABEQUUPMENTRENTALINC	4000146872	BOOMLIFTARTICULATING60FTGASPS	Open	Commodities/Supplies	Authority Contract - Compet	4/1/2020	4/1/2020	192060.00	59240.00	59240.00	132820.00	3	NYS	N	N	0	Y						1050 GRAND BOULEVARD		DEER PARK	NY	USA	11129				
ABEQUUPMENTRENTALINC	4000097790	BOOMLIFTARTICULATING60FTGASPS	Open	Commodities/Supplies	Authority Contract - Compet	3/21/2017	4/3/2017	192060.00	10670.00	191215.00	845.00	3	NYS	N	Y	1	Y						1050 GRAND BOULEVARD		DEER PARK	NY	USA	11729				
RAILROADFACILITYPRODUCTS	4.00E+23	mBrakeshoes	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/1/2016	3/1/2016	192750.00	12644.40	153840.00	3899.80	1	Foreign	N	N	0	Y				Sole Source	192750.00	P O BOX 1349		LAURINBURG	NC	USA	28353				
AJROPELTERVICESINC	4000148003	BOLTFITTING04138DAIGNC10THD	Open	Commodities/Supplies	Authority Contract - Compet	5/6/2020	5/6/2020	193125.00	192897.50	192897.50	227.50	5	Foreign	N	N	0	Y						9304 FOREST LANE		SOUTH 25	DALLAS	TX	USA	75243			
4000149718	WHEELSAPPLERLORNGWV6340C4VND	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/25/2020	6/25/2020	193568.00	0.00	0.00	193568.00	1	Foreign	N	N	0	Y						193568.00	MRS PATRICIA BERNHARD	CJ JOSE ORTEG	AMADOR	CA	USA	95206	M	OTHER	ESP	
ANCONSTRUCTURALINNOVATIONSLLC	4000132446	WHEELSAPPLERLORNGWV6340C4VND	Completed	Commodities/Supplies	Authority Contract - Compet	5/21/2018	5/21/2018	195500.00	72000.00	195500.00	0.00	2	Foreign	N	N	0	Y							1100 BRANDYWINE BLVD		BUILDING H	GREENVILLE	SC	USA	47303		
PANDROLUSALP	4000143178	CLIPRAILSERIESRIPFORRAKRWING	Open	Commodities/Supplies	Authority Contract - Compet	1/19/2018	1/17/2018	196000.00	153490.00	153490.00	44500.00	4	Foreign	N	Y	2	Y						501 SHARPTOWN ROAD		P.O. BOX 361	BRIDGEPORT	NJ	USA	8014			
STVINC	61684-02-38-A	CR1NewStationLandOffice	Open	Consulting Services	Authority Contract - Compet	7/27/2016	7/27/2016	199122.90	0.00	0.00	128264.70	1	Foreign	N	N	0	Y						3206 PARK AVE SOUTH		NEW YORK	NY	USA	10003				
DEWBERRYENGINEERING	61684-02-09-B	Analysisanddesignofnewengine	Open	Design and Construction	Authority Contract - Compet	4/5/2016	4/5/2016	199392.59	0.00	102319.21	97073.38	6	NYS	N	N	0	Y						P.O. BOX 931		FALLS CHURCH	VA	USA	22040				
LPINC	4.00E+14	M3TruckAssessmentandMPF	Open	Consulting Services	Authority Contract - Non-Comp	1/30/2020	2/6/2020	200000.00	18000.00	18000.00	382000.00	1	Foreign	N	N	0	Y						360 PARK AVENUE SOUTH		NEW YORK	NY	USA	10010				
DIVERSIFIEDMETALFABRICATIONSCINC	4.00E+14	3YearContractofHighRailSpareParts	Open	Commodities/Supplies	Authority Contract - Compet	7/12/2017	7/12/2017	200000.00	0.00	7738.00	192622.00	3	Foreign	N	N	1	Y						P.O. BOX 931		FALLS CHURCH	VA	USA	22040				
GENERALWELDINGSUPPLYCORP	4.00E+14	Supplyvariousweldinggasandacetylene	Open	Commodities/Supplies	Authority Contract - Compet	5/22/2017	5/22/2017	202688.00	34590.99	104698.07	97989.93	3	NYS	N	N	0	Y						600 SHAMES DRIVE		WESTBURY	NY	USA	11590				
BISTRIMATERIALSINC	4000121594	WeldgradeSelectGranulFill	Open	Commodities/Supplies	Authority Contract - Compet	8/3/2018	8/3/2018	203200.00	7540.00	176496.00	26704.00	4	NYS	N	N	0	Y						175 SPRINGS FIREPLACE		EAST HAMPTON	NY	USA	11937				
MEHARINDUSTRIELLC	4000134913	BARJOINT138E36HOLPERLERSP	Completed	Commodities/Supplies	Authority Contract - Compet	6/7/2019	6/7/2019	203964.00	203964.00	203964.00	0.00	2	Foreign	N	Y	0	Y							12052 HOMESTEAD RD		HOUSTON	TX	USA	77050			
HAFCONDRYANDMACHININGCOINC	4000148895	SHOCONTACTTHRORAILRFLRSD	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/2/2020	6/2/2020	205250.00	102625.00	102625.00	0.00	1	Foreign	N	N	0	Y				Sole Source	205250.00	301 GREENWOOD AVENUE		MIDLAND PARK	NY	USA	7432				
LFBOSTERCOMPANY	4000135230	RAIL115REHGHSTRENGTHALENTG	Completed	Commodities/Supplies	Authority Contract - Compet	6/17/2019	6/17/2019	206536.00	61960.80	206536.00	0.00	5	Foreign	N	Y	0	Y							415 HOLIDAY DRIVE		PITTSBURGH	PA	USA	15220	2793		
RESIDENTIALSERVICESCORP	6191-044	HSPInstallationatHampton	Completed	Design and Construction	Authority Contract - Compet	5/9/2019	5/9/2019	207145.00	10108.00	207143.00	0.00	1	NYS	N	N	0	Y						1775 ROUTE 25		RIDGE	NY	USA	11961				
TRANSISTORSINGERSYSTEMSINC	4000124217	WHEELSAPPLERLORNGWV6340C4VND	Completed	Commodities/Supplies	Authority Contract - Compet	10/2/2018	9/30/2018	207356.00	69618.00	207356.00	0.00	2	Foreign	N	N	0	Y							4489 TRUMP DR SW		CONCORD	NC	USA	28027			
GNOSPECIATECHINC	4000132446	WHEELSAPPLERLORNGWV6340C4VND	Open	Commodities/Supplies	Authority Contract - Compet	12/17/2014	12/17/2014	208175.85	484.00	155639.25	536.36	1	Foreign	N	Y	0	Y							15 W 3RD ST		BRIDGEPORT	PA	USA	18603			
VAPOR STONE RAIL SYSTEMS	4000118330	MOTORASSEMBLYDOOROPERATORA	Completed	Commodities/Supplies	Authority Contract - Non-Comp	5/17/2018	5/17/2018	210064.00	210064.00	210064.00	0.00	1	Foreign	N	N	0	Y							10000 CAVENDISH BLVD		WILLETT ST - LAURENT	OTTAWA	QC	OTHER	CAN	21064.00	
MITSUBISHI ELECTRIC POWER PRODUCT	4000143247	KTTFORREPAIRFORNYSGBMTSUBS	Open	Commodities/Supplies	Authority Contract - Compet	1/13/2020	1/13/2020	211346.59	211346.59	211346.59	0.00	1	Foreign	N	N	0	Y							530 KEYSTONE DRIVE		WARRENDALE	PA	USA	15086			
MITSUBISHI ELECTRIC POWER PRODUCT	4000143245	KTTFORREPAIRFORNYSGBMTSUBS	Completed	Commodities/Supplies	Authority Contract - Compet	1/13/2020	1/13/2020	211346.59	211346.59	211346.59	0.00	1	Foreign	N	N	0	Y							530 KEYSTONE DRIVE		WARRENDALE	PA	USA	15086			
ATLANTICTRAKANDTURNOFFCO	4000120769	RAILGUARD96BOLTSFOR10FOR	Open	Commodities/Supplies	Authority Contract - Compet	7/17/2018	7/17/2018	212416.00	73845.00	181943.00	30473.00	1	Foreign	N	Y	0	Y							400 BROADACRES DR STE		BLOOMFIELD	NJ	USA	7009			
TILCONCONNECTITCINC	400004818	BALLASTTRAPROCKOPPERDELIVER	Open	Commodities/Supplies	Authority Contract - Compet	11/11/2017	11/11/2017	212670.00	0.00	32889.42	197980.58	3	Foreign	N	Y	1	Y							P O BOX 1357		NEW BRITAIN	CT	USA	6065	1357		
HOMEDOPTRO	4.00E+14	VictoryElevatorSprays	Open	Commodities/Supplies	Authority Contract - Compet	5/11/2020	5/1/2020	214340.88	214340.88	214340.88	0.00	1	Foreign	N	N	0	Y							PO BOX 2317		JACKSONVILLE	FL	USA	32203	2317		
VOESTALPINE RAILWAY SYSTEMS NORTH	4000153667	TURNOUT15RH15RECONVENTIONA	Open	Commodities/Supplies	Authority Contract - Compet	10/27/2020	10/27/2020	214384.00	0.00	0.00	214384.00	2	Foreign	N	N	0	Y							3930 VALLEY E INDUSTRI		BIRMINGHAM	AL	USA	35217			
MONGRAMTRANLLC	4000139432	VACUUMTOILETSVOMONGRAMTR	Open	Commodities/Supplies	Authority Contract - Compet	11/18/2019	10/30/2019	216000.00	72000.00	72000.00	144000.00																					

MAGNONSQCLDTHNG	4.00E+14	HighVolRainGear	Open	Commodities/Supplies	Authority Contract - Compst	11/27/2018	12/20/2018	Y	12/18/2020	472504.30	267294.95	397288.44	75215.86	3	NYS	N	N	N	N	20 WINDSOR ROAD	NEW BRITIAN	CT		6052		USA		
ELMTRANSITMCKORP	4.00E+14	3YearBlanketContractForReadyMk	Open	Commodities/Supplies	Authority Contract - Compst	3/6/2020	3/6/2020		3/6/2023	474400.00	9598.46	9598.46	32470.54	1	NYS	N	N	N	N	482 GRAND BLVD	WESTBURY	NY		11590		USA		
GREENVELTLANDESPACONTRACTOR	4.00E+23	3YearEmergencyWorkEmergencyTrea	Open	Other	Authority Contract - Compst	5/12/2016	5/12/2016	Y	8/30/2020	478600.00	69950.00	466512.50	12087.50	6	NYS	N	Y	N	N	31 MOFFITT BLVD	BAYSHIRE	NY		11706		USA		
NATIONALELECTRICITYCOMPANY	4.00E+14	NatElecGateBProcContract	Open	Commodities/Supplies	Authority Contract - Compst	11/20/2019	11/20/2019	Y	11/19/2020	483350.00	89940.00	89040.00	394310.00	1	Foreign	N	N	N	Y	Stock/QL/Alt vnd/Mfr/Aut	POOLER	GA		31322	706	USA		
4000134222	4.00E+14	TRACKTAMPERWITHLASER	Completed	Consulting Services	Authority Contract - Compst	5/17/2019	5/17/2019	Y	5/13/2020	485825.00	85800.00	485825.00	0.00	1	Foreign	N	N	N	Y	GLENNVILLE	OH		44139		USA			
TRUCKINGINTERNATIONALSALESAND	4.00E+14	PKMZHRRailBuckTrucks	Open	Commodities/Supplies	Authority Contract - Compst	1/25/2018	1/25/2018	Y	2/1/2019	487088.00	324672.00	487088.00	0.00	6	NYS	N	N	N	Y	1100 BRANDYME BLVD	BUILDING H	ZANESVILLE	OH	11378		USA		
AKONSTRONCTRALINNOVATIONSLLC	4000140887	TIECONPOSTTAX93RMAKONCENTR	Open	Commodities/Supplies	Authority Contract - Compst	10/31/2019	11/12/2019	Y	1/9/2020	491550.00	491550.00	491550.00	0.00	1	Foreign	N	N	N	Y	Below Dollar Threshold				4370		USA		
SASTHBYORNDWYARECONIC	4.00E+14	12081208120812081208120812081	Open	Commodities/Supplies	Authority Contract - Compst	8/31/2018	8/31/2018	Y	8/30/2021	492400.00	34077.00	492400.00	18651.21	4	NYS	N	Y	N	Y	2407 W 11TH ST	ROCKY HILL	CT		11207		USA		
AIENGENSINC	61686-02-03A	OffSiteVehicleFuelandOil	Open	Consulting Services	Authority Contract - Compst	6/23/2017	6/23/2017	Y	12/31/2020	496732.00	3736.75	396732.00	0.00	5	NYS	N	N	N	Y	Below Dollar Threshold	919 MIDDLE ST	MIDDLETOWN	CT		6497	USA		
TOESCONOLEMINTSTAYDRLLC	61686-02-19A	GeneralEngineeringConsultantConte	Open	Consulting Services	Authority Contract - Compst	12/24/2018	12/24/2018	Y	11/23/2020	499502.76	201892.85	415123.41	84379.35	5	NYS	Y	Y	N	Y	16 OAKWOOD RD	HUNTINGTON	NY		11743		USA		
SUBURBANTRAILINC	6313-75	SCHEDULEBUSSERVICES	Open	Other	Authority Contract - Compst	11/1/2018	10/25/2018	Y	10/31/2023	500000.00	0.00	312756.00	187244.00	5	NYS	N	N	N	Y	750 SOMERSET ST	NEW BRUNSWICK	NJ		8901		USA		
SUBURBANTRAILINC	6313-7E	EMERGENCYBUSSERVICES	Open	Other	Authority Contract - Compst	11/1/2018	10/24/2018	Y	10/31/2023	500000.00	0.00	0.00	500000.00	5	NYS	N	N	N	Y	750 SOMERSET ST	NEW BRUNSWICK	NJ		8901		USA		
WHITERAINBUSCONIC	6313-5E	EMERGENCYBUSSERVICES	Open	Other	Authority Contract - Compst	11/1/2018	10/24/2018	Y	10/31/2023	500000.00	44486.00	298456.00	201544.00	5	NYS	N	N	N	Y	14 FISHER LN	PO BOX 823C	WHITE PLAINS	NY	10603		USA		
COACHMANLUXURYTRANSPORTINC	6313-35	SCHEDULEBUSSERVICES	Open	Other	Authority Contract - Compst	11/1/2018	10/25/2018	Y	10/31/2023	500000.00	0.00	0.00	500000.00	5	NYS	N	N	N	Y	8205 JERICHO TURNPIKE	WOODBURY	NY		11797		USA		
COACHMANLUXURYTRANSPORTINC	6313-3E	EMERGENCYBUSSERVICES	Open	Other	Authority Contract - Compst	11/1/2018	10/24/2018	Y	10/31/2023	500000.00	34685.00	9322.00	46679.00	5	NYS	N	N	N	Y	8205 JERICHO TURNPIKE	WOODBURY	NY		11797		USA		
STVINC	4.00E+14	SupplementaryEngineeringServ	Completed	Consulting Services	Authority Contract - Compst	2/26/2020	2/26/2020	Y	2/24/2023	500000.00	0.00	0.00	500000.00	1	NYS	N	N	N	Y	225 PARK AVE SOUTH	NEW YORK	NY		10093		USA		
B-TECSOLUTIONSINC	4.00E+14	ProtectionBrackets	Open	Commodities/Supplies	Authority Contract - Compst	4/6/2017	4/6/2017	Y	8/7/2020	500000.00	147889.50	341500.00	158400.00	5	Foreign	N	N	N	Y	913 CEDAR AVE	CROYDON	PA		19021		USA		
STVINC	4.00E+23	EngineeringServicesRSSE	Open	Consulting Services	Authority Contract - Compst	6/1/2014	6/1/2014	Y	4/30/2021	500000.00	1498.82	404654.62	95345.38	2	NYS	N	N	N	Y	Inter-agency Agreement	225 PARK AVE SOUTH	NEW YORK	NY	10003		USA		
GABRIELLI TRUCK SALES LTD	4000124409	PurchaseOne11HRA1500SeriesHalteru	Open	Commodities/Supplies	Authority Contract - Compst	10/5/2018	10/5/2018	Y	10/24/2019	500356.00	166785.34	500356.00	0.00	1	NYS	N	N	N	Y	153-20 SOUTH CONDUIT	JAMAICA	NY	11434		USA			
SONMOSHAWCHINETOOLCORP	4.00E+14	HighVolBlanketContractForReadyMk	Open	Technology - Consulti	Non Contract Procurement/Purchase Order	4/23/2019	4/23/2019	Y	10/14/2019	503038.70	1110.20	245893.17	257115.53	1	NYS	N	N	N	Y	1700 N BROADWAY	ALBANY	NY		12204		USA		
ROMBOSHOEMASSTANTCONCORPORAT	4000132486	HighVolBlanketContractForReadyMk	Completed	Commodities/Supplies	Authority Contract - Non-Com	12/23/2020	12/23/2020	Y	3/1/2019	503038.70	0.00	0.00	503038.70	1	Foreign	N	N	N	Y	Sole Source	MATERIAL SOLUTIONS	629N ORDWAY	MISSISSAUGA	ON	LST 1	9 ON	OTHER	CAN
VOESTALPINE RAILWAY SYSTEMS NORTH	4000140406	SWITCHPOXKAGE136REAND1160A	Open	Commodities/Supplies	Authority Contract - Compst	19/23/2019	10/23/2019	Y	3/8/2021	505000.00	0.00	0.00	505000.00	1	Foreign	N	Y	N	Y	3930 VALLEY E INDUSTRI	BIRMINGHAM	AL		35117		USA		
VOESTALPINE RAILWAY SYSTEMS NORTH	4000132500	SWITCHPOXKAGE136REAND1160A	Open	Commodities/Supplies	Authority Contract - Compst	4/3/2019	4/3/2019	Y	6/26/2020	505000.00	505000.00	505000.00	0.00	2	Foreign	N	Y	N	Y	3930 VALLEY E INDUSTRI	BIRMINGHAM	AL		35217		USA		
PORTKILLERCONIC	4.00E+14	ModularRetainingWallpurchace	Completed	Commodities/Supplies	Authority Contract - Compst	10/11/2017	10/11/2017	Y	10/14/2018	509259.00	509259.00	509259.00	0.00	2	NYS	N	N	N	Y	PO BOX 98	SCHUYLVILLE	NY		12481		USA		
ITTKONAMERICALLC	4.00E+14	CSShockAbsorbers	Completed	Commodities/Supplies	Authority Contract - Non-Com	8/7/2017	10/25/2017	Y	10/24/2020	513400.00	0.00	90398.00	423002.00	1	Foreign	N	N	N	Y	Sole Source	19614 INTERNATIONAL V	HEYLERS	KY		41048	9526	USA	
TRANSITBUSCONINGSERVICESCONIC	4000134589	WHEEL325PLATEARSPECH107PRL	Completed	Commodities/Supplies	Authority Contract - Compst	5/30/2019	5/30/2019	Y	10/15/2020	515200.00	515200.00	515200.00	0.00	2	Foreign	N	N	N	Y	4489 TRUMPH DR SW	CONCORD	NC		28027		USA		
MACROPCSINC	4.00E+14	threeyearcontractfordecdm103	Open	Commodities/Supplies	Authority Contract - Compst	4/30/2018	7/29/2019	Y	7/28/2022	515888.50	0.00	0.00	515888.50	3	Foreign	N	N	N	Y	60 PENNSYLVANIA AVE	P O BOX 469	HEARBY	NY	7032		USA		
HAFCONDOENVAMACHINECONIC	4000135927	SHOCONTACTTHRDRALPERLDRD	Open	Commodities/Supplies	Authority Contract - Non-Com	11/21/2019	10/4/2019	Y	1/2/2020	517868.90	517188.95	517188.95	779.95	1	Foreign	N	N	N	Y	Sole Source	301 GREENWOOD AVENUE	MIDLAND PARK	NJ		7432		USA	
LRDONGREENSINC	61686-02-09C	CostEstimator	Open	Consulting Services	Authority Contract - Compst	1/28/2019	1/28/2019	Y	1/30/2020	526046.83	269449.65	39966.47	15380.36	5	NYS	N	Y	N	Y	690 DELAWARE AVE	BUFFALO	NY		14209		USA		
RAILSCONCOMP	4.00E+14	BallastHatersandAccessories	Open	Commodities/Supplies	Authority Contract - Compst	3/9/2018	3/9/2018	Y	3/8/2021	530424.00	208670.00	274991.40	25542.60	4	Foreign	N	N	N	Y	101 NEWARK WAY	MAPLEWOOD	NJ		7040		USA		
NATIONALINDUSTRIESFORTHEBLIND	4.00E+14	3YRPOVariousGoods	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order	10/5/2018	10/5/2018	Y	10/24/2019	500356.00	166785.34	500356.00	0.00	1	NYS	N	N	N	Y	NYS Vendor	DBA NYSPSF	136 STATE ST	ALBANY	NY	12207		USA	
PROGRESSRAILMOVISIONDIV	4000135716	DIRECTFATIONONPLATEINCLUDEI	Open	Commodities/Supplies	Authority Contract - Compst	6/26/2019	6/26/2019	Y	3/23/2020	531830.00	531830.00	531830.00	0.00	3	Foreign	N	N	N	Y	Below Dollar Threshold	P O BOX 1037	ALBERTVILLE	AL		35950		USA	
VOESTALPINE RAILWAY SYSTEMS NORTH	4000146286	SWITCHPOXKAGE136REAND1160A	Open	Commodities/Supplies	Authority Contract - Compst	12/23/2020	12/23/2020	Y	6/20/2023	535905.00	0.00	0.00	535905.00	1	Foreign	N	N	N	Y	Below Dollar Threshold	3930 VALLEY E INDUSTRI	BIRMINGHAM	AL		35217		USA	
PASSIONSTRANSPORTATIONGROUP	61686-2-23A	HighVolBlanketContractForReadyMk	Open	Technology - Consulti	Authority Contract - Compst	12/23/2020	12/23/2020	Y	6/20/2023	536451.98	0.00	0.00	536451.98	1	Foreign	N	N	N	Y	Sole Source	100 BROADWAY	20TH FLOOR	MISSISSAUGA	ON	LST 1	9 ON	OTHER	CAN
MACCONCORP	4.00E+14	LibandTurntableMaintenance	Open	Consulting Services	Authority Contract - Compst	11/1/2017	9/13/2017	Y	9/12/2021	537875.00	0.00	0.00	537875.00	1	NYS	N	N	N	Y	116 WILLENBROOK RD	OXFORD	CT		6478		USA		
UNITEDSTATESPATEATING	4.00E+14	3YEARBLANKET	Open	Commodities/Supplies	Authority Contract - Compst	2/14/2019	2/14/2019	Y	8/28/2021	541149.31	349564.55	481817.29	59332.02	1	Foreign	N	N	N	Y	101 GORDON DR	EXTON	PA		19341		USA		
NORDCONIC	4.00E+14	3YearContractForReadyMk	Open	Commodities/Supplies	Authority Contract - Non-Com	1/31/2019	1/31/2019	Y	1/31/2022	542340.00	43233.28	81437.34	460902.66	1	Foreign	N	N	N	Y	Sole Source	245 W FOREST HILL AVE	OAK CREEK	WI		53154		USA	
LFBOTERCOMPANY	4000155418	JOINTBONDEDINSULATED136REVE	Open	Commodities/Supplies	Authority Contract - Compst	12/23/2020	8/22/2019	Y	10/20/2021	546988.00	0.00	0.00	546988.00	1	Foreign	N	N	N	Y	415 HOLIDAY DRIVE	PITTSBURGH	PA		15220	2793	USA		
HERCANTALSINC	4.00E+23	EquipmentRentalBlanketContract	Open	Consulting Services	Authority Contract - Compst	3/23/2017	3/23/2017	Y	10/26/2018	550000.00	200.00	447581.63	102418.37	1	NYS	N	Y	N	Y	NYS/NYC/CountyContract	P.O. BOX 650280	DALLAS	TX		75255	280	USA	
ADSOVERTECORP	4.00E+14	SnowandIceRemoval	Open	Other	Authority Contract - Compst	11/14/2017	11/17/2017	Y	4/30/2021	561394.00	66197.50	25931.66	302002.34	5	NYS	N	Y	N	Y	4140 AUSTIN BLVD	ISLAND PARK	NY		11558		USA		
WATCOGLOBALSERVICES	4000133540	BUSHINGGEARLIMITTOMOTORCON	Completed	Commodities/Supplies	Authority Contract - Non-Com	5/1/2019	5/1/2019	Y	9/30/2020	562796.28	14989.38	562796.28	0.00	1	Foreign	N	N	N	Y	Sole Source	8400 S STEWART AVE	CHICAGO	IL		60618		USA	
VOESTALPINE RAILWAY SYSTEMS NORTH	4000139954	TURNOUT20136REASPERLRM20	Open	Commodities/Supplies	Authority Contract - Compst	10/15/2019	10/15/2019	Y	7/9/2020	562988.00	0.00	0.00	562988.00	3	Foreign	N	Y	N	Y	3930 VALLEY E INDUSTRI	BIRMINGHAM	AL		35217		USA		
POWERRESOURCESINTERNATIONALINC	4000127666	FurnishoncompleteChased25H4F	Completed	Commodities/Supplies	Authority Contract - Compst	12/5/2018	12/5/2018	Y	5/7/2019	571848.00	428886.00	571848.00	0.00	1	NYS	N	N	N	Y	Below Dollar Threshold	31 NANCY ST	WYBLYTON	NY		11704		USA	
PANOROLCORP	4000140536	PLATEPANDROLTIE3X1																										

Agency	Contract ID	Description	Open	Commodities/Supplies	Authority Contract - Compet	1/3/2018	1/3/2018	1/3/2023	7528488.00	2224869.86	#####	3522184.34	1	Foreign	N	N	0	Y	Sole Source	POST OFFICE BOX 5464	CHEAPEAKE	VA	23234		USA	
PLASSERAMERICACORP	4.00E+14	5YearContractorSpacePartTechnical	Open	Commodities/Supplies	Authority Contract - Compet	1/3/2018	1/3/2018	1/3/2023	7528488.00	2224869.86	#####	3522184.34	1	Foreign	N	N	0	Y	Sole Source	POST OFFICE BOX 5464	CHEAPEAKE	VA <td>23234</td> <td></td> <td>USA</td>	23234		USA	
HYERSCONTRACTPOWERLCC	1704526	PurchaseofMobileSubstation	Open	Commodities/Supplies	Authority Contract - Compet	7/29/2020	7/28/2020	7/29/2020	7659654.00	0.00	0.00	7659654.00	3	Foreign	N	N	0	N		219 E MAPLE STREET	NORTH CANTON	OH	44720		USA	
WATCOLOGSERVICES	4.00E+14	VariousOEMRepairsandcommodities	Open	Commodities/Supplies	Authority Contract - Non-Com	2/6/2019	2/6/2019	9/30/2021	8000000.00	2069693.45	0.00	0.00	573226.97	1	Foreign	N	N	0	N	8000000.00	8400 S STEWART AVE	CHICAGO	IL	60618		USA
ROGLACONCRETETEC	400015920	TIECONCRETEFASTLBSPLONGDR	Open	Commodities/Supplies	Authority Contract - Compet	7/28/2020	7/28/2020	1/4/2021	8129280.00	0.00	0.00	8129280.00	2	Foreign	N	N	0	Y	Below Dollar Threshold	1819 DENVER WEST DR	LAKEWOOD	CO	80401		USA	
WWRGANGRETTINC	4.00E+14	MROGenToolsLRRHV	Open	Commodities/Supplies	Authority Contract - Compet	1/22/2020	1/16/2020	11/14/2024	8500000.00	884616.65	#####	7615383.35	4	NYS	N	N	2	N		58 - 45 GRAND AVE	MASFETH	NY	11378		USA	
EMPRESTATEDEVELOPMENT	6370	MonthlyMaintenanceOnCons	Open	Design and Construction	Authority Contract - Compet	1/21/2020	1/21/2020	3/31/2021	8649671.17	7378178.53	#####	1316492.64	1	NYS	N	N	0	Y	Below Dollar Threshold	633 THIRD AVE 35TH FL	NEW YORK	NY	10017		USA	
KOPERSINC	4000151024	TIEHRRDRAL7X9X6PRLRLLRSPCC	Open	Commodities/Supplies	Authority Contract - Compet	8/12/2020	8/12/2020	8/15/2023	8769715.00	0.00	0.00	8769715.00	1	Foreign	N	N	0	Y		436 SEVENTH AVENUE	PITTSBURGH	PA	15219		USA	
CONSTRUCTIONSENYAKUJLAREFERRO	200001223	3YearOPLSQAOPWPHWHEELS	Open	Commodities/Supplies	Authority Contract - Compet	8/23/2011	8/23/2011	12/31/2016	909817.76	224134.03	#####	629616.73	6	Foreign	N	N	0	N		195 PATRICK BERNARDEZ	HACKENSACK	NJ	07606	M	OTHER	
RYSHAMCANLESANDSYSTEMSLLC	4.00E+14	3YearOPLSQAOPWPHWHEELS	Open	Commodities/Supplies	Authority Contract - Compet	5/30/2018	5/30/2018	5/29/2021	9161561.00	3557404.79	#####	457406.81	3	Foreign	N	N	0	N		700 INDUSTRIAL DR	LEXINGTON	SC	29073		USA	
SYNCH	61684-02-38A	TheNewLRReMwWitesPhn	Open	Design and Construction	Authority Contract - Compet	3/29/2016	3/23/2016	12/28/2021	9689102.12	1394836.32	#####	217987.03	4	Foreign	N	N	0	N		225 PARK AVE SOUTH	NEW YORK	NY	10003		USA	
WABTEPASSENGERTRANSIT	4.00E+14	MRLandAgencyOEMSpaceParts	Open	Commodities/Supplies	Authority Contract - Non-Com	2/6/2019	2/6/2019	3/5/2022	10500000.00	1239162.89	#####	908892.40	1	NYS	N	N	0	Y	Sole Source	130 RIDGEVIEW CENTER DR	DUNCAN	SC	29334		USA	
NOUVEAUELEVATORINDUSTRIESINC	131201054-L4	EscalatorPMANDRepa3YrPwServic	Open	Other	Authority Contract - Compet	12/1/2013	12/1/2013	8/31/2021	10625944.10	1069599.42	#####	3182999.48	2	NYS	N	N	0	Y		47 - 53 37TH ST	LONG ISLAND CITY	NY	11101		USA	
KOPERSINC	4000129393	TIEHRRDRAL7X9X6PRLRLLRSPCC	Open	Commodities/Supplies	Authority Contract - Compet	11/16/2019	11/16/2019	12/31/2020	11031777.50	220138.65	#####	9052904.41	2	Foreign	N	N	0	Y		436 SEVENTH AVENUE	PITTSBURGH	PA	15219		USA	
SOLOMONCORPORATION	4.00E+14	FabricationandDeliveryofMetal	Open	Consulting Services	Authority Contract - Compet	9/27/2018	9/27/2018	6/15/2020	11766075.00	2472407.25	#####	741637.00	5	Foreign	N	N	0	N		103 W MAIN ST	SOLOMON	KS	67480		USA	
NOUVEAUELEVATORINDUSTRIESINC	150401653-5A	ElevatorPMRepaAndRepairs	Open	Design and Construction	Authority Contract - Compet	3/5/2015	4/1/2015	9/30/2021	12412736.58	1490555.02	#####	2755604.96	3	NYS	N	N	0	N		47 - 53 37TH ST	LONG ISLAND CITY	NY	11101		USA	
BOMBARDIERASSTTRANSITCORPORAT	400003081	NoticeofAwardR	Open	Technology - Software	Authority Contract - Non-Com	2/3/2015	2/26/2018	6/4/2020	12956361.71	0.00	0.00	#####	1	NYS	N	N	0	N		MATERIAL SOLUTIONS	MISSISSAUGA	ON	LST 1	9	ON	OTHER
SIEMENSINDUSTRIAL	4.00E+14	5yearpartagreement	Open	Commodities/Supplies	Authority Contract - Non-Com	10/3/2016	7/28/2016	10/4/2021	13542154.00	22942.74	#####	9556585.30	1	NYS	N	N	0	Y	Sole Source	350 KENT AVE	BLK GROVE VILLAGE	IL	60007		USA	
HASCORMETROAELLINC	4.00E+14	TrackLayingMachineLMTierAccCar	Open	Other	Authority Contract - Non-Com	9/18/2019	9/17/2019	6/21/2022	14803284.50	7998532.75	#####	1892841.75	1	Foreign	N	N	0	Y		2461 EDMUND HWY	WEST LAUREL	MD	29017		USA	
VAPOR STONE RAIL SYSTEMS	4.00E+14	UNLOCKLEVELASSESSMLR2L1VAPOR	Open	Commodities/Supplies	Authority Contract - Non-Com	2/6/2019	9/30/2018	9/30/2021	15200000.00	1767235.02	#####	#####	1	Foreign	N	N	0	Y	Sole Source	10000 CAVENISH BLVD	VILLE ST - LAURENT	QC	OTHER	CAN		
RUSSELL LEVING	4.00E+14	RailcarTieService	Open	Consulting Services	Authority Contract - Compet	12/18/2015	3/17/2015	12/31/2022	15737980.00	12121790.04	#####	5022799.81	1	Foreign	N	N	0	Y		200 SMITH STREET	KEASBY	NJ	8801		USA	
LOANMANTENANCECONFINANCING	4.00E+14	LeaseofService	Open	Commodities/Supplies	Authority Contract - Compet	3/1/2017	3/1/2017	3/31/2021	14086455.36	2099495.33	#####	423263.46	1	Foreign	N	N	0	Y		1850 LINDSEY LN	MIRAMAPOLIS	IN	45466	735	USA	
HEARSTNORTHAMERICALLC	4.00E+14	3YearOEMSpacePartAgm	Open	Commodities/Supplies	Authority Contract - Non-Com	3/1/2015	3/1/2015	1/31/2021	18015000.00	6599802.30	#####	7671047.19	1	Foreign	N	N	0	N		1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157		USA	
FORTNUMSONCONTRACTINGINC	6208	WarrantyStationPlatformRehab	Completed	Design and Construction	Authority Contract - Compet	11/14/2019	3/29/2016	12/29/2019	18485983.94	202361.89	#####	0.00	0.00	0.00	0.00	0.00	0.00	0.00	630 JOHNSON AVENUE	BOHEMA	NY	11716		USA		
STEWARTTELENSRANCECO	6389	63EmployeeFacilityConsolid	Open	Other	Authority Contract - Non-Com	6/30/2020	6/30/2020	7/30/2020	19667164.40	19667164.40	#####	0.00	1	NYS	N	N	0	Y	Below Dollar Threshold	140 E 45TH ST 33RD FL	NEW YORK	NY	10017		USA	
FASTENALCORP	4.00E+14	CarC4IntrudersAlarmSystemMRO	Open	Commodities/Supplies	Authority Contract - Compet	11/1/2019	11/1/2019	10/31/2024	23500000.00	1286959.11	#####	#####	4	Foreign	N	N	2	N		40 THO ST BUILDING 7 1ST FL	BROOKLYN	NY	11232		USA	
SUNMIDSECTRIESVESINC	4.00E+14	UnarmedGuardServices	Open	Consulting Services	Authority Contract - Compet	4/1/2017	4/1/2017	1/31/2022	24087714.74	3811031.30	#####	#####	7	NYS	N	N	0	Y		390 RKR PLAZA	UNIONDALE	NY	11556	390	USA	
TURTLELANDHUGHESCIN	4.00E+14	Category1ElectricalMROforLRR5Year	Open	Commodities/Supplies	Authority Contract - Compet	2/2/2020	3/2/2020	3/1/2025	25000000.00	574359.58	#####	574359.58	4	NYS	N	N	2	N		1000 Prime Place	Huappaug	NY	11788		USA	
KAWASAKI RAIL CAR INC	4.00E+14	5yearpartagreement	Open	Other	Authority Contract - Non-Com	7/1/2016	6/30/2017	6/29/2021	28000000.00	3346615.04	#####	#####	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10751		USA
ACOMBIASINC	61684-02-01-C	PenStationCriticalInprov	Open	Consulting Services	Authority Contract - Compet	3/3/2017	3/3/2017	12/31/2020	28978167.23	10774893.34	#####	2447301.09	4	NYS	N	N	0	Y	Below Dollar Threshold	605 THIRD AVENUE	NEW YORK	NY	10108		USA	
BOMBARDIERASSTTRANSITCORPORAT	4.00E+14	SoftwareupgradeforRRcars	Open	Technology - Software	Authority Contract - Non-Com	8/15/2014	8/15/2014	12/31/2021	32518568.39	1411680.08	#####	4331562.15	1	NYS	N	N	0	Y		MATERIAL SOLUTIONS	MISSISSAUGA	ON	LST 169	ON	OTHER	
MITSUBISHI ELECTRIC POWER CORP	4.00E+14	SoleSource2YearProcurement	Open	Commodities/Supplies	Authority Contract - Non-Com	12/30/2019	2/26/2020	2/27/2022	45000000.00	4940798.03	#####	#####	1	Foreign	N	N	0	Y	Sole Source	530 KEYSTONE DRIVE	WARRENDALE	PA	15086		USA	
LOUISIADRANDASSOCIATES	6528-06-33-A	MHAConsultantSupport	Open	Consulting Services	Authority Contract - Compet	6/1/2014	6/1/2014	12/31/2022	49624930.00	5142746.62	#####	716201.78	1	Foreign	N	N	0	Y		100 W BUTLER AVE	AMBLER	PA	19002		USA	
KNOX BRAKE COMPANY LLC	4.00E+14	3YearOEMSpacePartAgm	Open	Commodities/Supplies	Authority Contract - Non-Com	3/1/2015	3/1/2015	1/31/2021	77000000.00	10421558.52	#####	#####	1	Foreign	N	N	0	Y		1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157		USA	
PROGRESSRAILROADTECHINC	4.00E+14	SRTA Station Cleaning 1 Yr	Open	Commodities/Supplies	Authority Contract - Non-Com	6/5/2013	5/15/2013	4/29/2023	12108352.40	9544892.54	#####	#####	1	Foreign	N	N	0	Y	Sole Source	PO BOX 3930	CHICAGO	IL	60673		USA	
BOMBARDIERASSTTRANSITCORPORAT	94893629	OEMSpacePartAgm	Open	Commodities/Supplies	Authority Contract - Non-Com	12/9/2010	3/1/2010	4/30/2025	33810306.90	16288183.69	#####	#####	2	NYS	N	N	0	Y	Sole Source	MATERIAL SOLUTIONS	MISSISSAUGA	ON	LST 169	ON	OTHER	
KAWASAKI RAIL CAR INC	429	PMASuppliesRailcarContract	Open	Design and Construction	Authority Contract - Compet	9/18/2013	9/18/2013	12/31/2024	56129546.00	84533683.32	#####	#####	3	NYS	N	N	0	Y		29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10791		USA
4DCSERVICESOLUTIONSINC	4.00E+14	VideoCamerasystems	Open	Commodities/Supplies	Authority Contract - Compet	2/27/2015	3/6/2015	12/31/2019	186673297.00	63934.86	#####	#####	2	Foreign	N	N	0	Y		4D SECURITY SOLUTIONS	SOUTH PLAINFIELD	NJ	7980		USA	
NYS INDUSTRIES FOR THE DISABLED NY	00909001705	SRTA JANITORIAL	Open	Other	Non Contract Procurement/Purchase Order				84744.70					NYS Vendor	N	N	0			11 COLUMBIA CIRCLE DR	ALBANY	NY	12203		USA	
CHOICE DISTRIBUTION INC.	0700001000	Hardware Replacement	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order				1587.51					NYS Vendor	N	N	0			111 BELL ST	WYBLYN	NY	11704		USA	
STAPLES	700000000002	Office Supplies Toner Paper	Open	Commodities/Supplies	Authority Contract - Compet	12/15/2016	12/15/2016	12/14/2021	85000.00	12009.30	68223.65	16776.35	10	NYS	N	N	0	Y		500 STAPLES DRIVE	FRAMINGHAM	MA	01702		USA	
SPRAGUE OPERATING RESOURCES, LLC	700000000000	SIRTKA Bulk Fuel Delivery	Open	Commodities/Supplies	Authority Contract - Compet	8/31/2017	9/1/2017	8/31/2021	49416.16	96669.23	401055.47	92610.53	6	Foreign	N	N	0	Y		4 NEW KING ST	SUITE 140	WHITE PLAINS	NY	10604		USA
JPMORGAN CHASE BANK NA	700000000000	Comprehensive Banking Svcs	Open	Financial Services	Authority Contract - Compet	10/1/2019	9/18/2019	9/30/2024	32195.00	6071.52	636.75	25838.25	1	Foreign	N	N	0	N		TIMOTHY NOBLE	4 NEW YORK	NY	10004		USA	
HULT INC	700000000000	MRO RFP- category C6 SIRTA	Open	Commodities/Supplies	Authority Contract - Compet	3/1/2019	3/1/2019	2/28/2022	132500.00	19821.53	20016.17	112483.23	3	Foreign	N	N	0	Y		P.O. BOX 21148	TULSA	OK	74121		USA	
CHOICE DISTRIBUTION INC.	700000000000	Hardware contract for SIRTA	Open	Commodities/Supplies	Authority Contract - Compet	8/1/2019	8/1/2019	7/31/2026	39000.00	12641.55	26358.45	12	NYS	N	N	0	Y		111 BELL ST	WYBLYN	NY	11704		USA		
GREENWISDS ELECTRONIC SECURITY INC	2000010000	CTV System - Network Video	Open	Technology - Hardware	Authority Contract - Compet	12/2/2019	12/2/2019	2/6/2021	496120.00																	

A ROYAL FLUSH OF NEW YORK II INC	1000082743	PORTABLE TOILETS	Open	Other	Authority Contract - Comp	1/10/2017	7/17/2017	Y	2/6/2018	25920.05	4944.50	14734.77	11185.28	1 Foreign	N	N	N	N	43 Corbin Drive	Darien	CT	06820			USA	
SAFETY KLEEN SYSTEMS INC	1000083186	PARTS CLEANER SERVICE - NWP WC	Open	Commodities/Supplies	Authority Contract - Comp	2/7/2017	8/25/2016	Y	2/7/2017	27300.00	2943.45	1368.80	1361.20	3 NYS	N	N	N	N	60 SEABOARD AVE	NORTH AMITYVILLE	NY	11701			USA	
SAFETY KLEEN SYSTEMS INC	1000083544	New York Solvent Service for Parts W	Open	Other	Authority Contract - Comp	2/15/2017	8/5/2016	Y	8/4/2017	76112.60	28439.56	58240.00	18725.55	3 NYS	N	N	N	N	60 SEABOARD AVE	NORTH AMITYVILLE	NY	11701			USA	
ARROW RAIL LINK SERVICE INC	1000085004	Busing Services for Raillink	Open	Other	Authority Contract - Comp	3/17/2017	3/17/2017	Y	12/8/2020	9461328.71	21396259.88	#####	113529.56	3 NYS	N	N	N	N	50 SNEDEKER AVE	BROOKLYN	NY	11207			USA	
A ROYAL FLUSH OF NEW YORK II INC	1000086245	Waste Disposal Services for the K9 Tr	Open	Consulting Services	Authority Contract - Comp	4/10/2017	5/13/2019	Y	4/29/2022	38000.00	15550.00	31205.00	6795.00	1 Foreign	N	N	N	N	43 Corbin Drive	Darien	CT	06820			USA	
VOESTALPINE RAILWAYS SYSTEMS NORTH	1000088193	FROG 8 1336E SLIP-GUARDED PER	Completed	Commodities/Supplies	Authority Contract - Comp	6/26/2017	6/26/2017	Y	7/18/2018	1012441.00	48315.00	#####	0.00	2 Foreign	N	N	N	N	3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL	35217			USA	
CINTAS CORP	1000090235	UNIFORM RENTALS-CLEANING CONTR	Completed	Commodities/Supplies	Authority Contract - Comp	5/17/2017	2/16/2016	Y	5/11/2017	22498.96	0.00	0.00	22498.96	1 NYS	N	N	N	N	35 FIELD LN	NORTH SALEM	NY	12550			USA	
DELTA RAILROAD CONSTRUCTION, INC.	1000092945	FOR THE 4 MONTHLY REPAIR OF THE	Completed	Other	Authority Contract - Comp	9/12/2015	7/12/2015	Y	10/16/2015	43720.00	72000.00	107350.00	0.00	1 Foreign	N	N	N	N	1648 WEST PROSPECT RD	BROOKLYN	OH	44005	1398		USA	
CASH MECHANICAL CO INC	1000093200	2018-2020 SERVICE CONTRACT FOR	Open	Commodities/Supplies	Authority Contract - Comp	8/14/2017	8/14/2017	Y	12/31/2020	32000.00	12740.00	26260.00	5740.00	1 NYS	N	N	N	N	874 E 23RD ST	BROOKLYN	NY	10466			USA	
EMCOR SERVICE TUCKER MECHANICAL	1000093200	Emergency Repairs for the HVAC Unit	Open	Consulting Services	Authority Contract - Comp	8/18/2017	8/18/2017	Y	11/5/2020	26703.00	60515.86	110583.37	56448.63	1 Foreign	N	N	N	N	367 RESEARCH PARKWAY	MERIDEN	CT	06450	7148		USA	
ROBAMA CONSULTING INC.	1000094398	COOR CONTRACT SUPPLIED IN 1 OZ	Completed	Commodities/Supplies	Authority Contract - Comp	9/15/2017	9/15/2017	Y	5/14/2021	99792.00	41580.00	99792.00	0.00	1 NYS	N	N	N	N	1 CROSS ISLAND PLAZA	SUITE# 114	ROSELAND	NY	11432		USA	
GABRIELLI TRUCK SALES LTD	1000099703	Spec 11 - 6 Man H-Rail Category MA	Completed	Commodities/Supplies	Authority Contract - Comp	1/11/2018	1/11/2018	Y	10/12/2018	268580.00	268580.00	268580.00	0.00	3 Foreign	N	N	N	N	115 SOUTH CONDUIT	JAMICA	NY	11434			USA	
UNIFIED POWER	1000100031	Upgrade of SCADA IPCS and ATS Syst	Open	Commodities/Supplies	Authority Contract - Comp	1/18/2018	1/18/2018	Y	8/6/2020	480752.00	114928.75	361035.75	119716.25	2 Foreign	N	N	N	N	217 METRO DRIVE	TERRELL	TX	75160			USA	
VOESTALPINE RAILWAYS SYSTEMS NORTH	1000100690	FROG NO 6-12 136E RAILBOUND NO	Open	Commodities/Supplies	Authority Contract - Comp	1/31/2018	1/31/2018	Y	11/30/2018	953506.00	107358.00	933496.00	20010.00	3 Foreign	N	N	N	N	3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL	35217			USA	
METRO WEATHER SERVICE, INC.	1000101969	New 3-year Weather Forecasting Ser	Open	Other Professional Serv	Authority Contract - Comp	2/28/2018	2/28/2018	Y	5/11/2018	22032.00	7956.00	18972.00	3060.00	5 NYS	N	N	N	N	132 FRANKLIN PLACE	BOX 385	WOODEMIRE	NY	11598		USA	
MITSUBISHI ELECTRIC POWER PRODUCT	1000105229	GEAR UNIT ASSEMBLY WITH HALF C	Completed	Commodities/Supplies	Authority Contract - Comp	5/3/2018	5/16/2018	Y	10/18/2019	3625650.00	322450.00	#####	0.00	1 Foreign	N	N	N	N	530 KEYSTONE DRIVE	WARRENDALE	PA	15086			USA	
CONDUIT - WAMPLER	1000105627	Design And Engineering 136410 B	Open	Commodities/Supplies	Authority Contract - Comp	5/10/2018	5/10/2018	Y	12/31/2019	3619007.68	514795.68	514795.68	3105112.00	2 Foreign	N	N	N	N	P O BOX 80990	CHICAGO	IL	60680	9090		USA	
VAPO STONE RAIL SYSTEMS	1000107216	SASH LATCH ASSEMBLY CAB SIDE PE	Completed	Commodities/Supplies	Authority Contract - Comp	6/7/2018	6/7/2018	Y	11/12/2018	17045.10	568.17	17045.10	0.00	1 Foreign	N	N	N	N	10000 CAVENDISH BLVD	VILLE ST - LAURENT	QC	OTHER	CAN			
CLEVELAND TRUCK MATERIAL, INC.	1000107378	TURNOUT NO15 RIGHT HAND 136E	Completed	Commodities/Supplies	Authority Contract - Comp	6/11/2018	6/11/2018	Y	12/3/2019	190616.00	190616.00	190616.00	0.00	2 Foreign	N	N	N	N	6600 BESSEMER AVE	CLEVELAND	OH	44127			USA	
UNIFAC RAILROAD MATERIALS INC	1000107717	TURNOUT NO15 LEFT HAND 136E	Completed	Commodities/Supplies	Authority Contract - Comp	6/18/2018	6/18/2018	Y	11/30/2018	613550.00	175300.00	613550.00	0.00	2 Foreign	N	N	N	N	P O BOX 7098	KNOXVILLE	TN	37931			USA	
VOESTALPINE RAILWAYS SYSTEMS NORTH	1000109213	NO 20 TANGENTIAL LEFT HAND CH	Completed	Commodities/Supplies	Authority Contract - Comp	7/16/2018	7/16/2018	Y	9/1/2020	1247790.64	1247790.64	#####	0.00	2 Foreign	N	N	N	N	3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL	35217			USA	
NATIONAL RAILWAY SUPPLY CO INC	1000109239	BATTERY AND BATTERY CHARGER W	Open	Commodities/Supplies	Authority Contract - Comp	7/23/2018	7/23/2018	Y	8/31/2020	278800.00	432400.00	207000.00	10.00	1 Foreign	N	N	N	N	PO BOX 11727	SAVANNAH	GA	31146			USA	
DETZ COURT REPORTING LLC	1000110011	Court Reporting Services	Open	Legal Services	Authority Contract - Comp	7/30/2018	7/31/2018	Y	8/3/2018	501301.99	3669.05	131467.50	369834.09	1 NYS	N	N	N	N	100 MERRICK RD, STE#3	ROCKVILLE CENTRE	NY	11570			USA	
CONTINENTAL LEFT TRUCK, INC.	1000110413	3 YEAR ANNUAL INSPECTION AND P	Open	Commodities/Supplies	Authority Contract - Comp	8/7/2018	8/7/2018	Y	9/6/2018	47700.00	1715.00	8148.98	39551.02	3 NYS	N	N	N	N	127-18 FOOH BLVD	SOUTH OZONE PARK	NY	11420			USA	
INTERSTATE WASTE SERVICES INC	1000110919	LOC 95 PORT JERVIS YARD CAR CLE	Open	Other	Authority Contract - Comp	8/16/2018	8/1/2018	Y	8/30/2018	142111.10	22263.56	113688.88	28422.22	0 NYS	N	N	N	N	89 BLACK MEADOW RD	CHESTER	NY	10918			USA	
FLEXIBLE LIFE LINE SYSTEMS INC	1000111384	5 YEAR ANNUAL INSPECTION FOR G	Open	Other	Authority Contract - Comp	8/24/2018	8/24/2018	Y	9/3/2018	29240.00	5610.00	11050.00	18190.00	3 Foreign	N	N	N	N	14325 W HARDY RD	HOUSTON	TX	77060			USA	
UNIFAC RAILROAD MATERIALS INC	1000111992	NO65 RIGHT HAND TURNOUT WITH	Completed	Commodities/Supplies	Authority Contract - Comp	9/5/2018	9/5/2018	Y	11/7/2019	23745.00	23745.00	23745.00	0.00	3 Foreign	N	N	N	N	P O BOX 7098	KNOXVILLE	TN	37931			USA	
CLEVELAND TRUCK MATERIAL, INC.	1000112172	THICK WEB METER RAIL 136E TRAC	Completed	Commodities/Supplies	Authority Contract - Comp	10/4/2018	9/28/2018	Y	3/6/2020	1045020.00	1045020.00	#####	0.00	1 Foreign	N	N	N	N	6600 BESSEMER AVE	CLEVELAND	OH	44127			USA	
BERGO MATERIAL SUPPLY, INC.	1000112317	Harlem Line - Maintenance Contr	Open	Other Professional Serv	Authority Contract - Comp	9/12/2018	9/12/2018	Y	9/12/2018	42585.00	6476.00	13309.45	29275.55	3 NYS	N	N	N	N	114 ALLEN BLVD	FARMINGDALE	NY	11735			USA	
CLEVELAND TRUCK MATERIAL, INC.	1000112356	TRACK SETS - THICK WEB METER R	Completed	Commodities/Supplies	Authority Contract - Comp	11/30/2018	11/30/2018	Y	3/6/2020	175200.00	175200.00	#####	0.00	2 Foreign	N	N	N	N	6600 BESSEMER AVE	CLEVELAND	OH	44127			USA	
PROGRESS RAILROAD DIVISION	1000113838	NO 7 DOUBLE SLIP SWITCH 136E	Completed	Commodities/Supplies	Authority Contract - Comp	10/10/2018	10/10/2018	Y	10/25/2019	1013205.00	924030.00	#####	0.00	4 Foreign	N	N	N	N	P O BOX 1037	ALBERTVILLE	AL	35950			USA	
CLEVELAND TRUCK MATERIAL, INC.	1000116280	FROG NO 1336E RIM PER CR PLAN	Open	Commodities/Supplies	Authority Contract - Comp	11/26/2018	11/26/2018	Y	11/25/2019	464358.00	464358.00	464358.00	0.00	3 Foreign	N	N	N	N	6600 BESSEMER AVE	CLEVELAND	OH	44127			USA	
KANAWASTA RAIL CAR, INC.	1000116679	NO10 ADMINSTR TORSION BAR NB	Completed	Commodities/Supplies	Authority Contract - Comp	11/30/2018	11/30/2018	Y	2/24/2020	23352.00	23352.00	23352.00	0.00	1 NYS	N	N	N	N	29 WELLS AVE	BUILDING NO 4	STAMFORD	CT	06907	10701		USA
CITY CARTING AND RECYCLING	1000116862	LOC 86-Ham - Sta Car OAPT Hnd	Open	Other	Authority Contract - Comp	12/5/2018	12/5/2018	Y	9/1/2020	38112.00	71739.00	24995.80	13736.20	1 Foreign	N	N	N	N	P O BOX 17250	STAMFORD	CT	06907			USA	
CITY CARTING AND RECYCLING	1000116869	Loc 6-Hamton Yard Car Cleaning No	Open	Other	Authority Contract - Comp	12/5/2018	11/1/2016	Y	12/5/2018	198058.00	24999.30	147882.90	50025.60	1 Foreign	N	N	N	N	P O BOX 17250	STAMFORD	CT	06907			USA	
SUBURBAN CARTING CORP	1000116874	Cotan Hamon Loc RBC-12 4 ctyd 4	Open	Other	Authority Contract - Comp	12/5/2018	11/1/2016	Y	4/29/2019	49719.90	5725.47	26463.32	23274.58	1 NYS	N	N	N	N	566 N STATE RD	BRACKLIFF MANOR	NY	10510			USA	
CITY CARTING AND RECYCLING	1000116887	Loc 58 Bridgeport Yd M of E And C	Open	Other	Authority Contract - Comp	12/5/2018	11/1/2016	Y	12/5/2018	29376.75	1636.02	25666.46	3710.29	1 Foreign	N	N	N	N	P O BOX 17250	STAMFORD	CT	06907			USA	
MINISSIO GROUP INC	1000116981	INSULATION JACKETS FOR REAR OF	Completed	Commodities/Supplies	Authority Contract - Comp	12/6/2018	12/6/2018	Y	12/17/2018	21500.00	21500.00	21500.00	0.00	1 NYS	N	N	N	N	77 WATER ST, 8TH FL	NEW YORK	NY	10005			USA	
VOESTALPINE RAILWAYS SYSTEMS NORTH	1000117202	POINT SW LH SET INCLUDES 1 CVD	Open	Commodities/Supplies	Authority Contract - Comp	12/27/2018	12/27/2018	Y	6/30/2020	282564.00	0.00	0.00	282564.00	1 Foreign	N	N	N	N	3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL	35217			USA	
VOESTALPINE RAILWAYS SYSTEMS NORTH	1000117207	FROG 20 MOVABLE POINT IN ACCO	Open	Commodities/Supplies	Authority Contract - Comp	12/11/2018	12/17/2018	Y	8/4/2020	450244.00	450244.00	450244.00	0.00	1 Foreign	N	N	N	N	3930 VALLEY E INDUSTRIAL	BIRMINGHAM	AL	35217			USA	
WASTE CONNECTIONS	1000117262	LOC 106-Highbridge Yard Car Clean	Open	Other	Authority Contract - Comp	12/12/2018	11/1/2016	Y	12/12/2018	92700.00	6674.40	34855.20	57848.80	1 Foreign	N	N	N	N	1099 WALL STREET WEST RM 250	LYNDHURST	NY	07071	3617		USA	
NEWMONT ELECTROL ANALYSTS INC	1000117319	Elevator and escalator w/tesing of	Open	Commodities/Supplies	Authority Contract - Comp	12/12/2018	12/14/2018	Y	12/24/2018	121468.00	16124.00	29909.00	91599.00	7 Foreign	N	N	N	N	608 BRIDGEWATER COURT	SUMMERSVILLE	SC	29486			USA	
AMERICAN TRADING SERVICES INC	1000117546	SWITCH TOGGING SW 25A 65VDC DELAY	Open	Commodities/Supplies	Authority Contract - Comp	12/17/2018	12/17/2018	Y	12/17/2018	31798.00	210.00	31798.00	0.00	1 Foreign	N	N	N	N	4379 FERK PLACE	WHITE PLAINS	MD	20695			USA	
MITSUBISHI ELECTRIC POWER PRODUCT	1000120586	CAP BEARING W/ SIDE GEAR BOX A	Completed	Commodities/Supplies	Authority Contract - Comp	2/19/2019	2/19/2019	Y	11/5/2019	59535.00	2542.50	59535.00	0.00	1 Foreign	N	N	N	N	530 KEYSTONE DRIVE	WARRENDALE	PA	15086			USA	
ALSTOM SIGNALING OPERATION LLC	1000121117	PN0102-119-USIGRAKORAN TEST	Completed	Commodities/Supplies	Authority Contract - Comp	2/28/2019	2/28/201																			

HITACHI RAIL STS USA INC	100000000802	Contract/Release for as needed Signal	Open	Commodities/Supplies	Authority Contract - Non-Co	9/1/2018	7/19/2018	7/31/2021	300005.00	171615.83	859876.56	2140128.44	1 Foreign	N	N	N	N	300005.00	P.O. BOX 7780-1513	PHILADELPHIA	PA		19182		USA	
SEPSA CORP	100000000803	280483 SEPSA OEM FOR SPTS	Open	Commodities/Supplies	Authority Contract - Non-Co	7/10/2020	7/10/2020	7/11/2022	350000.00	0.00	0.00	350000.00	1 NYS	N	N	N	N	350000.00	6 MCCREA HILL RD	BALLOTON SPA	NY		12020		USA	
SIEMENS INDUSTRY, INC.	100000000804	0NU MOE USE - 80434 FOR PTC	Open	Commodities/Supplies	Authority Contract - Non-Co	6/1/2018	6/1/2018	6/1/2020	3516789.04	2038484.18	#####	640710.64	1 Foreign	N	N	N	N	3516789.04	330 KENT AVE	ELK GROVE VILLAGE	IL		60007		USA	
NEW YORK AIR BRAKE LLC	100000000805	RANDR 2206851SN	Open	Commodities/Supplies	Authority Contract - Non-Co	4/19/2018	2/28/2018	5/28/2021	500000.00	124737.92	26021.20	4739788.80	1 Foreign	N	N	N	N	500000.00	701 NW PARKWAY DR - B	RIVERSIDE	MO		64150		USA	
VAPOR STONE RAIL SYSTEMS	010000000000	RR N AND X MOFE PARTS	Open	Commodities/Supplies	Authority Contract - Non-Co	6/17/2014	6/10/2014	6/30/2021	510000.00	594672.07	#####	1018110.17	1 Foreign	N	N	N	N	510000.00	10000 CAVENDISH BLVD	VILLE ST - LAURENT	QC		OTHER	CAN		
STV INC	83747	GEC	Open	Consulting Services	Authority Contract - Non-Co	10/20/2017	10/20/2017	7/31/2020	542294.00	106454.06	#####	1612551.60	9 NYS	N	N	N	N	542294.00	225 PARK AVE SOUTH	NEW YORK	NY		10003		USA	
STV INC	83752	GEC Construction Supervision and In	Open	Consulting Services	Authority Contract - Non-Co	10/20/2017	10/20/2017	11/30/2020	5711432.39	2512745.99	#####	290496.16	9 NYS	N	N	N	N	5711432.39	225 PARK AVE SOUTH	NEW YORK	NY		10003		USA	
WABTEC TRANSPORTATION SYSTEMS	100000000806	GE L202 PHASE II HVAC	Open	Commodities/Supplies	Authority Contract - Non-Co	9/12/2020	9/12/2020	9/12/2021	7755400.00	472338.66	#####	60780.33	0 Foreign	N	N	N	N	7755400.00	500 W MONROE ST	CHICAGO	IL		60661		USA	
MITSUBISHI ELECTRIC POWER PRODUCT	100000000807	2488720N HVAC MB	Open	Commodities/Supplies	Authority Contract - Non-Co	6/15/2019	6/17/2019	6/16/2022	794423.00	202031.31	#####	7602760.79	1 Foreign	N	N	N	N	794423.00	530 KEYSTONE DRIVE	WARENDALE	PA		15086		USA	
LTG RAIL CANADA LTD	010000000000	SS OEM MOFE	Open	Commodities/Supplies	Authority Contract - Non-Co	7/28/2016	7/28/2016	7/27/2020	800000.00	1107288.00	#####	5800635.00	1 Foreign	N	N	N	N	800000.00	151 RUE DE PARC-DETFIN	LA POTERIE	QC		OTHER	CAN		
BOMBARDIER TRANSIT CORP.	100000000808	Mobilization Payment	Open	Other	Authority Contract - Non-Co	4/21/2017	4/21/2017	8/30/2018	808999.52	371837.67	#####	163650.97	1 Foreign	N	N	N	N	808999.52	1101 PARENT STREET	SAINT-BRUNO	QC		OTHER	CAN		
DAYTON T. BROWN INC	MH88157	PTC Review Study - Eng Svs	Open	Other Professional Serv	Authority Contract - Non-Co	11/22/2017	11/22/2017	5/21/2021	811319.00	2542988.32	#####	3766284.20	1 NYS	N	N	N	N	811319.00	11715 CHURCH ST	BOEHMIA	NY		21401		USA	
WABTEC PASSENGER TRANSIT	100000000809	MOE SS WABTEC AND GRAHAM WHI	Open	Commodities/Supplies	Authority Contract - Non-Co	10/12/2018	11/5/2018	11/5/2021	927000.00	2560161.20	#####	4267583.85	1 Foreign	N	N	N	N	927000.00	130 RIDGEVIEW CENTER	DUNCAN	SC		29334		USA	
ARINC INC	MN3664	SCADA System for CTC and RTCS	Open	Other Professional Serv	Authority Contract - Non-Co	7/1/2013	9/14/2013	7/1/2023	1100000.00	109961.70	#####	109961.70	1 Foreign	N	N	N	N	1100000.00	2251 RIVA ROAD	ANNAPOLIS	VA		21401		USA	
WABTEC GLOBAL SERVICES	100000000810	MOE WABTEC GLOBAL GE ITEMS	Open	Commodities/Supplies	Authority Contract - Non-Co	10/12/2018	11/5/2018	11/5/2021	11415000.00	933856.53	#####	9937960.32	1 Foreign	N	N	N	N	11415000.00	8400 S STEWART AVE	CHICAGO	IL		60618		USA	
WABTEC TRANSPORTATION SYSTEMS	101000000000	PHASE 1 GENESIS REBUILD	Open	Commodities/Supplies	Authority Contract - Non-Co	8/12/2016	8/12/2016	12/31/2020	11728454.00	2679963.54	#####	2279141.56	1 Foreign	N	N	N	N	11728454.00	500 W MONROE ST	CHICAGO	IL		60661		USA	
WABTEC TRANSPORTATION SYSTEMS	101000000000	RANDR NOT PART OF PHASE 1	Open	Commodities/Supplies	Authority Contract - Non-Co	3/3/2015	3/1/2015	3/31/2021	12576739.00	1356630.49	#####	1431554.78	1 Foreign	N	N	N	N	12576739.00	500 W MONROE ST	CHICAGO	IL		60661		USA	
WABTEC TRANSPORTATION SYSTEMS	101000000000	SS MOFE LOCOMOTIVE PARTS	Open	Commodities/Supplies	Authority Contract - Non-Co	3/3/2015	3/3/2015	3/31/2021	12711315.00	922648.43	#####	6818237.69	1 Foreign	N	N	N	N	12711315.00	500 W MONROE ST	CHICAGO	IL		60661		USA	
VAPOR STONE RAIL SYSTEMS	100000000811	MOE SS FAVELAY AND VAPOR PTS	Open	Commodities/Supplies	Authority Contract - Non-Co	10/12/2018	11/5/2018	11/5/2021	1455000.00	1359335.95	#####	#####	1 Foreign	N	N	N	N	1455000.00	10000 CAVENDISH BLVD	VILLE ST - LAURENT	QC		OTHER	CAN		
JANICA BEARINGS CO. INC.	100000000812	TPKEN BEARING CONTRACT	Open	Commodities/Supplies	Authority Contract - Non-Co	1/26/2018	2/5/2018	3/22/2022	200552.82	377285.30	#####	#####	1 NYS	N	N	N	N	200552.82	1700 BERICH TURKPIKE	NEW HYDE PARK	NY		11040		USA	
KAWASAKI RAIL CAR INC	100000000813	Phase 1 EM Rail	Open	Other	Authority Contract - Non-Co	1/13/2017	1/13/2017	1/23/2020	3251341.00	7659422.29	#####	3709826.41	1 NYS	N	N	N	N	3251341.00	200 WELLS AVE	BUILDING NO 4	HONKERS	NY		10701		USA
MITSUBISHI ELECTRIC POWER PRODUCT	100000000814	MPPPI OEM SS CONTRACT	Open	Commodities/Supplies	Authority Contract - Non-Co	2/26/2020	2/26/2020	3/27/2022	4600000.00	276301.80	#####	#####	1 Foreign	N	N	N	N	4600000.00	530 KEYSTONE DRIVE	WARENDALE	PA		15086		USA	
BOMBARDIER MASS TRANSIT CORPORA	100000000815	CURRENT BOMB SS CONTRACT	Open	Commodities/Supplies	Authority Contract - Non-Co	3/1/2015	2/28/2015	4/30/2025	8775000.00	5685834.60	#####	#####	1 Foreign	N	N	N	N	8775000.00	6291 ORDAN DRIVE	MISSISSAUGA	ON		OTHER	CAN		
CIRCLE UNIFORMAL SUPPLIES INC	100000000816	47743760 BLEACH	Open	Commodities/Supplies	Authority Contract - Non-Co	9/30/2019	9/30/2019	1/2/2023	58741.12	16863.12	#####	41878.00	1 Foreign	N	Y	1	N	58741.12	S E 12TH ST	PATERSON	NJ		07524		USA	
PLASSER AMERICAN CORP	10000022889	PLASSER PARTS FOR PLASSER EQUI	Open	Commodities/Supplies	Authority Contract - Non-Co	1/1/2013	1/1/2013	12/31/2017	823000.00	61356.32	#####	76551.41	1 Foreign	N	N	N	N	823000.00	2001 MYERS ROAD	P. O. BOX 5464	CHESAPEAKE	VA		23724	0464	USA
SIEMENS INDUSTRY, INC.	1000026232	SERVICE CONTRACT FOR THE MAIN	Open	Other Professional Serv	Authority Contract - Non-Co	5/14/2013	9/10/2014	6/30/2020	1977638.01	139809.94	#####	30624.17	1 Foreign	N	N	N	N	1977638.01	350 KENT AVE	ELK GROVE VILLAGE	IL		60007		USA	
SIEMENS INDUSTRY, INC.	1000026233	SERVICE CONTRACT FOR THE MAIN	Open	Other	Authority Contract - Non-Co	5/14/2013	7/1/2014	6/30/2020	2586941.01	352372.00	#####	273871.00	1 Foreign	N	N	N	N	2586941.01	350 KENT AVE	ELK GROVE VILLAGE	IL		60007		USA	
CANON SOLUTIONS AMERICA, INC.	1000026506	2012 OCEANON Solutions America V	Open	Other	Authority Contract - Non-Co	5/22/2013	5/22/2013	10/15/2018	230957.96	14932.30	#####	185886.76	0 Foreign	N	N	N	N	230957.96	100 PARK BLVD	ITASCAL	IL		60143		USA	
CON-HET SOFTWARE	1000031025	CUSTOMER SERVICE	Open	Commodities/Supplies	Authority Contract - Non-Co	12/1/2014	12/1/2014	6/30/2021	1917000.00	388112.00	#####	33951.30	1 Foreign	N	N	N	N	1917000.00	3728 BENNER DR	MANSBURG	OH		45342		USA	
NORCON COMMUNICATIONS, INC	1000034366	Year 1 of 5 Maintenance for OCT AN	Open	Other Professional Serv	Authority Contract - Non-Co	2/5/2014	3/28/2014	12/31/2020	1166668.00	256608.00	#####	2925.00	1 NYS	N	N	N	N	1166668.00	510 BURNSIDE AVENUE	IRWOOD	NY		11096	1204	USA	
JOHNSON CONTROLS INC	1000048959	PREVENTATIVE MAINTENANCE AGRE	Open	Other	Authority Contract - Non-Co	9/16/2014	8/1/2014	12/31/2019	185887.34	1824.00	#####	172688.68	1 NYS	N	N	N	N	185887.34	6A AERIAL WAY	SYOSSET	NY		11791		USA	
PENTA CORP	1000044470	Maintenance Services for PENTA ECU	Open	Other	Authority Contract - Non-Co	10/16/2014	9/28/2015	3/31/2021	409330.46	27955.20	#####	109973.70	1 Foreign	N	N	N	N	409330.46	325 EDWARDS AVE	NEW ORLEANS	LA		70123		USA	
INTEGRATED DISPLAY SYSTEMS, INC.	1000049102	3-YEAR SERVICES AGREEMENT FOR	Open	Technology - Consulting	Authority Contract - Non-Co	11/25/2015	5/29/2016	5/29/2022	210550.00	0.00	#####	174975.00	1 Foreign	N	N	N	N	210550.00	1700 SHERMAN AVENUE	#170	EVANSTON	IL		60201		USA
KOHLER PARAS	1000050203	Maintenance services for New Hous	Open	Telecommunications Ser	Authority Contract - Non-Co	11/6/2015	9/10/2015	9/10/2019	2449.99	2060.99	#####	117076.44	1 Foreign	N	N	N	N	2449.99	2006 KONE COURT	HOLINE	PA		61285		USA	
RAILQUIP, INC.	1000050203	Preventative Mx and Insp of assem	Open	Consulting Services	Authority Contract - Non-Co	4/16/2015	4/16/2015	5/17/2017	214801.00	3185.00	#####	145941.43	1 Foreign	N	N	N	N	214801.00	3731 NORTHCREST DR	SUITE 6	ATLANTA	GA		30340		USA
BOMBARDIER MASS TRANSIT CORPORA	1000050269	Milestone A - Notice of Award	Open	Commodities/Supplies	Authority Contract - Non-Co	6/1/2015	6/1/2015	10/13/2020	13687991.93	168557.38	#####	7686296.01	1 Foreign	N	N	N	N	13687991.93	6291 ORDAN DRIVE	MISSISSAUGA	ON		OTHER	CAN		
STV INC	1000054264	M-7 AND M-8 COTARDS	Open	Consulting Services	Authority Contract - Non-Co	6/4/2015	6/4/2015	2/4/2021	35000.00	63807.74	#####	252302.78	1 NYS	N	N	N	N	35000.00	225 PARK AVE SOUTH	NEW YORK	NY		10003		USA	
SWISSLOG LOGISTICS INC	1000076514	2018 Total CareStar Software Licen	Open	Technology - Software	Authority Contract - Non-Co	9/19/2016	11/16/2016	7/29/2020	2134877.00	401783.27	#####	1086126.81	1 Foreign	N	N	N	N	2134877.00	161 ENTERPRISE DR	NEWPORT NEWS	VA		23603		USA	
AMERICAN TOWER CORP	1000079587	Renew Add funding with American T	Open	Commodities/Supplies	Authority Contract - Non-Co	11/15/2016	11/16/2016	2/20/2018	117092.48	25737.36	#####	110469.19	0 Foreign	N	N	N	N	117092.48	116 HUNTINGTON AVENUE	NORTHEAST BE	ROSTON	MA		02116		USA
KAWASAKI RAIL CAR INC	1000087300	Milestone A - Mobilization	Open	Design and Construction	Authority Contract - Non-Co	4/28/2017	4/28/2017	11/19/2019	9951037.60	49384.80	#####	24602.40	1 Foreign	N	N	N	N	9951037.60	29 WELLS AVE	BUILDING NO 4	HONKERS	NY		10701		USA
PROGRESS RAILHOW DIVISION	1000093140	AXLE 6-12 X 11 SOLID-FINISHED AS	Open	Commodities/Supplies	Authority Contract - Non-Co	8/17/2017	8/17/2017	10/19/2019	145424.85	0.00	#####	74872.20	1 Foreign	N	N	N	N	145424.85	ALBERTVILLE	AL		35950		USA		
SCHNEIDER ELECTRIC SYSTEMS USA INC	1000094012	PLC SCADA Support Contract for Ada	Open	Consulting Services	Authority Contract - Non-Co	9/6/2017	9/6/2017	5/26/2020	185103.25	36050.75	#####	137430.00	1 Foreign	N	N	N	N	185103.25	38 NEPONSET AVE	FOXBORO	MA		02035		USA	
SIMMONS MACHINE TOOL CORP	1000095657	MoFe N Locations - Preventative Ma	Open	Consulting Services	Authority Contract - Non-Co	10/12/2017	10/12/2017	10/12/2021	573655.00	0.00	#####	573655.00	1 Foreign	N	N	N	N	573655.00	1700 N BROADWAY	ALBANY	NY		12204		USA	
BOMBARDIER MASS TRANSIT CORPORA	1000100689	PEDAL FOOT	Open	Commodities/Supplies	Authority Contract - Non-Co	1/31/2018	1/31/2018	10/24/2018	228878.42	11886.20	#####	16935.32	0 Foreign	N	N	N	N	228878.42								

MITSUBISHI ELECTRIC POWER PRODUCT	1000129378	NET UPPER MB DX	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/4/2019	9/4/2019	Y	1/8/2020	38145.60	38145.60	38145.60	0.00	1	Foreign	N	N	Y	Below Dollar Threshold	38145.60	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
KAWASAKI RAIL CAR INC	1000130121	PLATE INSULATING	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/4/2019	9/4/2019	Y	3/17/2020	22880.00	22880.00	22880.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold	22880.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130122	PLATE INSULATING	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/4/2019	Y	6/26/2020	50148.00	0.00	0.00	50148.00	1	NYS	N	N	Y	Below Dollar Threshold	50148.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130123	GROUND LEAD ASSEMBLY TYPE 5	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/4/2019	Y	9/2/2020	119812.80	0.00	0.00	119812.80	1	Foreign	N	N	Y	Below Dollar Threshold	119812.80	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
MITSUBISHI ELECTRIC POWER PRODUCT	1000130128	NET CENTRAL MB UPPER TYPE B	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/3/2019	9/3/2019	Y	1/22/2020	15677.64	15677.64	15677.64	0.00	1	Foreign	N	N	Y	Below Dollar Threshold	15677.64	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
MITSUBISHI ELECTRIC POWER PRODUCT	1000130129	MAIN SX SHOULDER TYPE B	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	1/22/2020	70152.00	0.00	0.00	70152.00	1	Foreign	N	N	Y	Below Dollar Threshold	70152.00	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
MITSUBISHI ELECTRIC POWER PRODUCT	1000130133	COVER PROTECTIVE GAUGE LEVEL	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/25/2020	11/27/2019	Y	4/1/2021	36652.50	20026.50	20026.50	34625.90	1	Foreign	N	N	Y	Below Dollar Threshold	36652.50	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
KAWASAKI RAIL CAR INC	1000130138	POUGH AIR SPRING INTERFACE	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/3/2019	9/3/2019	Y	1/8/2020	16231.40	14431.40	14431.40	1801.00	1	NYS	N	N	Y	Below Dollar Threshold	16231.40	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130139	BUS BAR 13	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/3/2019	9/3/2019	Y	6/24/2020	28658.40	14407.30	14407.30	14251.30	1	NYS	N	N	Y	Below Dollar Threshold	28658.40	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130149	RUBBER WEATHER STRIP HOLDER	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/8/2019	9/3/2019	Y	12/11/2020	54610.00	0.00	0.00	54610.00	1	NYS	N	N	Y	Below Dollar Threshold	54610.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130153	BUS BAR 6	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/3/2019	9/3/2019	Y	5/18/2020	49233.60	0.00	0.00	49233.60	1	NYS	N	N	Y	Below Dollar Threshold	49233.60	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130154	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/3/2019	9/3/2019	Y	5/18/2020	48225.72	44200.56	44200.56	4025.16	1	NYS	N	N	Y	Below Dollar Threshold	48225.72	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130155	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2019	9/3/2019	Y	5/18/2020	24668.16	0.00	0.00	24668.16	1	NYS	N	N	Y	Below Dollar Threshold	24668.16	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130156	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2019	9/3/2019	Y	5/18/2020	22668.00	0.00	0.00	22668.00	1	NYS	N	N	Y	Below Dollar Threshold	22668.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130157	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2019	9/3/2019	Y	6/25/2020	25401.60	5248.80	5248.80	20152.80	1	NYS	N	N	Y	Below Dollar Threshold	25401.60	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130162	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/22/2019	9/3/2019	Y	5/18/2020	24477.12	0.00	0.00	24477.12	1	NYS	N	N	Y	Below Dollar Threshold	24477.12	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130163	BUS BAR 5	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2019	9/3/2019	Y	5/18/2020	46872.00	0.00	0.00	46872.00	1	NYS	N	N	Y	Below Dollar Threshold	46872.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130164	BUS BAR 4	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	8/26/2020	34634.40	0.00	0.00	34634.40	1	NYS	N	N	Y	Below Dollar Threshold	34634.40	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130165	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2019	9/3/2019	Y	5/18/2020	33339.60	0.00	0.00	33339.60	1	NYS	N	N	Y	Below Dollar Threshold	33339.60	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130166	BUS BAR 2	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	5/18/2020	52281.60	0.00	0.00	52281.60	1	NYS	N	N	Y	Below Dollar Threshold	52281.60	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130168	BUS BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/22/2019	9/3/2019	Y	5/28/2020	21731.28	0.00	0.00	21731.28	1	NYS	N	N	Y	Below Dollar Threshold	21731.28	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
MITSUBISHI ELECTRIC POWER PRODUCT	1000130208	POV 1R-17-02 064F ELECTRICAL PA	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/9/2019	Y	9/9/2020	16462.70	16462.70	16462.70	0.00	1	Foreign	N	N	Y	Below Dollar Threshold	16462.70	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
KAWASAKI RAIL CAR INC	1000130251	PLATE INTERFACE AIR SPRING TYPE	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	7/31/2020	136540.00	136540.00	136540.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold	136540.00	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
KAWASAKI RAIL CAR INC	1000130492	LOCKDOWN INSTALLATION PANTOGRAPH	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	10/9/2020	41902.40	0.00	0.00	41902.40	1	NYS	N	N	Y	Below Dollar Threshold	41902.40	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130547	READER CARD	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	3/31/2020	35280.00	0.00	0.00	35280.00	1	NYS	N	N	Y	Below Dollar Threshold	35280.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000130591	PANEL SWITCH ACSES STOPBYPASS	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/3/2019	Y	10/2/2020	83616.80	0.00	0.00	83616.80	1	NYS	N	N	Y	Sole Source	83616.80	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
SEPSA CORP	1000131203	14 LAPTOP GETAC S410 G2 Semi-Ru	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/13/2019	9/13/2019	Y	12/6/2019	67869.60	57429.60	57429.60	10440.00	1	NYS	N	N	Y	Sole Source	67869.60	6 MCCREA HILL RD	BALLSTON SPA	NY	12020	USA			
KAWASAKI RAIL CAR INC	1000132298	STEP LOOP SECOND TREAD AL SID	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/11/2019	10/14/2019	Y	2/14/2020	107061.10	107061.10	107061.10	0.00	1	NYS	N	N	Y	Below Dollar Threshold	107061.10	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000132442	RUBBER WEATHER STRIP HOLDER	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/20/2020	11/27/2019	Y	3/20/2020	134000.00	0.00	0.00	134000.00	1	NYS	N	N	Y	Below Dollar Threshold	134000.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000132443	RUBBER WEATHER STRIP HOLDER	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/20/2020	3/23/2020	Y	8/17/2020	61800.00	0.00	0.00	61800.00	1	NYS	N	N	Y	Below Dollar Threshold	61800.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KNORR BRAKE COMPANY LLC	1000132449	VALVE INLET COMPLETE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/4/2019	10/7/2019	Y	5/15/2020	64994.40	32497.20	32497.20	32497.20	1	Foreign	N	N	Y	Below Dollar Threshold	64994.40	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA			
KAWASAKI RAIL CAR INC	1000132600	PLATE INSULATING TYPE 1	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/9/2019	10/9/2019	Y	8/31/2020	72570.60	72570.60	72570.60	0.00	1	NYS	N	N	Y	Below Dollar Threshold	72570.60	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000132712	CLEAT SEAT TYPE 1 MB	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/10/2019	10/10/2019	Y	6/9/2020	60260.00	60260.00	60260.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold	60260.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
RAM INDUSTRIAL SERVICES LLC	1000132840	MOTOR TRACTION L261 BI OVERHAUL	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/11/2019	10/11/2019	Y	6/29/2020	16565.00	16565.00	16565.00	0.00	0	Foreign	N	N	Y	Re-Bid/ Re-Solicited	16565.00	2850 APPSTON STREET	GAME HILL	PA	17011	USA			
OILES AMERICA CORP	1000132906	Oiles Part 2161-0093-3V 500SPR	Completed	Commodities/Supplies	Authority Contract - Non-Comp	19/23/2013	10/23/2019	Y	2/7/2020	44576.00	44576.00	44576.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold	44576.00	4510 ENTERPRISE DR NW	CONCORD	NC	28027	USA			
KAWASAKI RAIL CAR INC	1000132909	DAMPER LATERAL MB	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/14/2019	10/14/2019	Y	10/14/2019	163473.30	170793.00	170793.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold	170793.00	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000133252	PANEL SWITCH ASSEMBLY SWITCH	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/27/2019	11/27/2019	Y	8/26/2020	34608.10	0.00	0.00	34608.10	1	NYS	N	N	Y	Below Dollar Threshold	34608.10	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
KAWASAKI RAIL CAR INC	1000133253	FITTING TEST	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/20/2020	11/27/2019	Y	5/18/2020	205131.40	555.00	555.00	204576.40	1	NYS	N	N	Y	Below Dollar Threshold	205131.40	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA		
MITSUBISHI ELECTRIC POWER PRODUCT	1000133259	INVERTER PROFLUSION	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/16/2020	11/27/2019	Y	7/27/2021	504202.56	0.00	0.00	504202.56	1	Foreign	N	N	Y	Below Dollar Threshold	504202.56	530 KEYSTONE DRIVE	WARRENDALE	PA	15086	USA			
ACTIVU	1000133327	MNR-0CC Display Wall Upgrade	Completed	Consulting/Services	Authority Contract - Non-Comp	10/22/2019	10/22/2019	Y	11/21/2019	999524.22	999524.22	999524.22	0.00	1	Foreign	N	N	Y	Sole Source	999524.22	301 ROUND HILL DRIVE	ROCKAWAY	NJ	07866	USA			
KAWASAKI RAIL CAR INC	1000133945	HANDLE ASSEMBLY MB; BPCO OPEN	Completed	Commodities/Supplies	Authority Contract - Non-Comp	11/27/2019	11/27/2019	Y	1/24/2020	42763.50	42763.50	42763.50	0.00															

Company Name	Item Description	Category	Contract Type	Start Date	End Date	Value	Unit Price	Quantity	Foreign	NA	Y	Below Dollar Threshold	Address	City	State	Zip	Other	Country						
TRANSIT SYSTEMS INC	1000151048 SPRING ASSEMBLY LEAF TYPE PAINT	Open	Commodities/Supplies	Authority Contract - Compete	12/16/2020	12/1/2020	0.00	0.00	124860.00	0	Foreign	N	N	Y	Below Dollar Threshold	161-34 WOODFORD AVENUE	PLAINVILLE	CT	06062			USA		
KC ELECTRONIC DISTRIBUTORS, INC.	1000151115 BRIDGING CLIPS FOR 66 BLOCKS S	Open	Commodities/Supplies	Authority Contract - Compete	12/2/2020	12/2/2020	0.00	0.00	1731.25	1	NYS	N	N	Y	Below Dollar Threshold	186 E BELLE MEAD RD	E SETAUKET	NY	11733			USA		
INTERSTATE BATTERIES OF NEW YORK, L.L. FOSTER COMPANY	1000151275 INTERSTATE PN DCM100 12V MAN	Open	Commodities/Supplies	Authority Contract - Compete	12/7/2020	12/7/2020	0.00	0.00	18399.20	1	NYS	N	N	Y	Below Dollar Threshold	10 JOHN WALSH BLVD.	PEEKSKILL	NY	10566			USA		
MEABK NORTH AMERICA LLC	1000151322 COVER THIRD RAIL FORTHE 150 LB	Open	Commodities/Supplies	Authority Contract - Compete	12/8/2020	1/5/2021	0.00	0.00	34020.14	1	Foreign	N	N	Y	Below Dollar Threshold	415 HOLIDAY DRIVE	PITTSBURGH	PA	15220	2793		USA		
MEABK NORTH AMERICA LLC	1000151348 CARD PANEL DISPLAY DCP M7	Open	Commodities/Supplies	Authority Contract - Compete	12/29/2020	1/2/2021	0.00	0.00	36876.00	0	Foreign	N	N	Y	Below Dollar Threshold	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157			USA		
MEABK NORTH AMERICA LLC	1000151364 PCB ASSEMBLY SYSTEM CONTROL P	Open	Commodities/Supplies	Authority Contract - Compete	12/29/2020	12/8/2020	0.00	0.00	15648.00	0	Foreign	N	N	Y	Below Dollar Threshold	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157			USA		
GRADE A PETROLEUM CORP LLC	1000151879 OIL MOTOR SAE 15W-40 FOR BROO	Open	Commodities/Supplies	Authority Contract - Compete	12/21/2020	12/31/2020	0.00	0.00	44467.50	0	NYS	N	N	Y	Below Dollar Threshold	50 EAST HAWTHORNE AV	VALLEY STREAM	NY	11580			USA		
HITACHI RAIL STS USA INC	1000152010 SWITCH CONTACT 121 LH WITH LOCK	Open	Commodities/Supplies	Authority Contract - Compete	12/28/2020	12/28/2020	0.00	0.00	22315.50	0	Foreign	N	N	Y	Below Dollar Threshold	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182			USA		
HITACHI RAIL STS USA INC	1000152011 POWER SUPPLY 6A 5V	Open	Commodities/Supplies	Authority Contract - Compete	6/11/2021	12/31/2021	0.00	0.00	20599.20	0	Foreign	N	N	Y	Below Dollar Threshold	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182			USA		
LTG RAIL CANADA LTD	1000152202 PCB POWER SUPPLY FOR COMMUN	Open	Commodities/Supplies	Authority Contract - Compete	1/26/2021	1/5/2021	0.00	0.00	18762.00	0	Foreign	N	N	Y	Below Dollar Threshold	151 RUE DE PARC-DETTIN	LA POCAITERIE	QC	G0R 1	0	QC	OTHER	CAN	
L.L. FOSTER COMPANY	1000152215 END-APPROACH 3 FT FOR 37 LB AL	Open	Commodities/Supplies	Authority Contract - Compete	1/5/2021	1/5/2021	0.00	0.00	52964.30	1	Foreign	N	N	Y	Below Dollar Threshold	415 HOLIDAY DRIVE	PITTSBURGH	PA	15220	2793		USA		
VOSTALPINE RAILWAY SYSTEMS NORTH	1000152344 RH TO CONCRETE 1011819267 PR	Open	Commodities/Supplies	Authority Contract - Compete	1/7/2021	1/7/2021	0.00	0.00	21040.00	1	Foreign	N	N	Y	Below Dollar Threshold	3930 VALLEY INDUSTRI	BIRMINGHAM	AL	35217			USA		
ROBRAIN CONSULTING INC.	1000152382 FREQUENCY CONVERTER SIGNAL PC	Open	Commodities/Supplies	Authority Contract - Compete	1/7/2021	1/7/2021	0.00	0.00	23620.00	1	NYS	N	N	Y	Below Dollar Threshold	1 CROSS ISLAND PLAZA	ROSELAND	NY	11422			USA		
POWELL ELECTRICAL SYSTEMS, INC.	1000152543 RELAY 6A 5V CONTROLS	Open	Commodities/Supplies	Authority Contract - Compete	1/11/2021	1/11/2021	0.00	0.00	31968.00	1	Foreign	N	N	Y	Below Dollar Threshold	8967 PLEASANTWOOD AVE	SUITE# 114	NORTH CANTON	OH	44720			USA	
IMPCO PRODUCTS LLC	1000152545 Topper 650 locomotive sanding unit	Open	Commodities/Supplies	Authority Contract - Compete	1/11/2021	1/11/2021	0.00	0.00	17584.00	1	Foreign	N	N	Y	Below Dollar Threshold	1537 GRIM RD	NUTTZOWN	PA	19530			USA		
HITACHI RAIL STS USA INC	1000152716 RH M3 SWITCH LANDING ONLY	Open	Commodities/Supplies	Authority Contract - Compete	6/14/2021	1/14/2021	0.00	0.00	24084.00	1	Foreign	N	N	Y	Below Dollar Threshold	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182			USA		
TRANSTECH OF SOUTH CAROLINA INC.	1000152756 BREAKER SECTION FLRY WITH THE	Open	Commodities/Supplies	Authority Contract - Compete	1/15/2021	1/15/2021	0.00	0.00	23655.54	1	Foreign	N	N	Y	Below Dollar Threshold	709 AUGUSTA ARBOR WA	FREDMONT	SC	29673			USA		
KAWASAKI RAIL CAR INC	1000152784 RUBBER NOSE LAVATORY DOOR	Open	Commodities/Supplies	Authority Contract - Compete	1/19/2021	1/19/2021	0.00	0.00	17220.00	1	NYS	N	N	Y	Below Dollar Threshold	28 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701			USA	
ERICO INTERNATIONAL CORP	1000152812 PROTECTOR SCRUB RTGN RAIL TRAI	Open	Commodities/Supplies	Authority Contract - Compete	1/19/2021	1/19/2021	0.00	0.00	15904.00	0	Foreign	N	N	Y	Below Dollar Threshold	3406 SOLON RD	SOLON	OH	44139			USA		
LOCAN CONSULTING LLC	1000152817 SERVER RUGGEDIZED ES4H SERIAL	Open	Technology - Software	Authority Contract - Compete	1/19/2021	1/19/2021	0.00	0.00	18595.26	0	NYS	N	N	Y	Below Dollar Threshold	17 Murray Street	Suite 3	JACKSONVILLE	FL	32218			USA	
SCWINGE ELECTRIC SUPPLY	1000152859 FUTURE LED AREA LIGHT 30W TYP	Open	Commodities/Supplies	Authority Contract - Compete	1/20/2021	1/20/2021	0.00	0.00	96444.40	0	Foreign	N	N	Y	Below Dollar Threshold	1351 TRAFEGORT DR.	ATTN: CONNA	FARMINGDALE	PA	19173	0069		USA	
KNORR BRAKE COMPANY LLC	1000152862 VALVE REDUCING PRESSURE PRV PN	Open	Commodities/Supplies	Authority Contract - Compete	1/20/2021	1/20/2021	0.00	0.00	23000.00	0	Foreign	N	N	Y	Below Dollar Threshold	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157			USA		
TRANSTECH OF SOUTH CAROLINA INC.	1000152866 CROSS TIE ASSEMBLY FOR 8H PAN	Open	Commodities/Supplies	Authority Contract - Compete	1/21/2021	1/21/2021	0.00	0.00	18977.04	0	Foreign	N	N	Y	Below Dollar Threshold	2907 AUGUSTA ARBOR WA	FREDMONT	SC	29673			USA		
ULTRACHEM INC.	1000153032 OIL SYNTHETIC COMPRESSORAIR BR	Open	Commodities/Supplies	Authority Contract - Compete	1/22/2021	1/22/2021	0.00	0.00	16236.50	1	Foreign	N	N	Y	Below Dollar Threshold	900 CENTERPOINT BLVD	NEW CASTLE	DE	19720			USA		
KOCH FILTER CORP	1000153056 FILTER AIR INTAKE 22X 9 IN X 1 I	Open	Commodities/Supplies	Authority Contract - Compete	1/25/2021	1/25/2021	0.00	0.00	16758.00	0	Foreign	N	N	Y	Below Dollar Threshold	8401 AIR COMMERCE DR	LOUISVILLE	KY	40219			USA		
PRYSMAN CABLES AND SYSTEMS USA LLC	1000153094 PN 202699283SIGNAL CABLE 12 CON	Open	Commodities/Supplies	Authority Contract - Compete	1/26/2021	1/26/2021	0.00	0.00	19667.50	1	Foreign	N	N	Y	Below Dollar Threshold	700 INDUSTRIAL CR	LEXINGTON	SC	29072			USA		
BOMBARDIER MASS TRANSIT CORPORA	1000153134 VISOR SUN BLACK LOW GLARE PAD	Open	Commodities/Supplies	Authority Contract - Compete	1/26/2021	1/26/2021	0.00	0.00	21125.40	1	Foreign	N	N	Y	Below Dollar Threshold	6291 ORDAN DRIVE	MISSISSAUGA	ON	L5T 1B9	9	ON	OTHER	CAN	
KELLY AND HAVES ELECTRICAL	1000153240 CARLE 6 PAN NO 14 AWG SOLID BU	Open	Commodities/Supplies	Authority Contract - Compete	1/27/2021	1/27/2021	0.00	0.00	15990.00	1	NYS	N	N	Y	Below Dollar Threshold	66 Southern Blvd	NECONSET	NY	11767			USA		
ERICO INTERNATIONAL CORP	1000153260 BOND TRACTION 13 INNEGATIVE BU	Open	Commodities/Supplies	Authority Contract - Compete	1/27/2021	1/27/2021	0.00	0.00	24440.00	1	Foreign	N	N	Y	Below Dollar Threshold	3460 SOLON RD	SOLON	OH	44139			USA		
HOCKICK INC.	1000153446 GAUGE AIR TESTING 3 IN SINGLE PE	Open	Commodities/Supplies	Authority Contract - Compete	2/2/2021	2/2/2021	0.00	0.00	17355.00	2	Foreign	N	N	Y	Below Dollar Threshold	10514 DUPONT AVE	CLEVELAND	OH	44108			USA		
KNORR BRAKE COMPANY LLC	1000153545 BOARD PC ECU MBMR REV 118 BLE	Open	Commodities/Supplies	Authority Contract - Compete	2/4/2021	2/4/2021	0.00	0.00	16624.00	1	Foreign	N	N	Y	Below Dollar Threshold	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157			USA		
MCHSTER-CARR	1000153576 SCREW HEX HEAD OFF-WHITE NYLO	Open	Commodities/Supplies	Authority Contract - Compete	2/5/2021	2/5/2021	0.00	0.00	15840.00	4	Foreign	N	N	Y	Below Dollar Threshold	P.O. BOX 7690	CHICAGO	IL	60680	7690		USA		
COXIS INC	1000153587 Warning Equipment 34400S OF	Open	Technology - Software	Authority Contract - Compete	1/14/2021	1/14/2021	0.00	0.00	359565.29	4	Foreign	N	N	Y	Sole Source			JACKSONVILLE	FL	32218			USA	
MOTION CONTROL ENGINEERING, INC.	100000000289 Motor Control	Open	Commodities/Supplies	Authority Contract - Compete	1/29/2021	1/29/2021	0.00	0.00	4268.00	1	Foreign	N	N	Y	Below Dollar Threshold	11380 WHITE ROCK ROAD	PHILADELPHIA	PA	19143	6522		USA		
GESMAR NORTH AMERICA INC	100000000290 GESMAR TRACK PART	Open	Commodities/Supplies	Authority Contract - Compete	1/24/2021	1/24/2021	0.00	496.00	14505.00	1	Foreign	N	N	Y	Below Dollar Threshold	134 PARKER DR	REAFORT	SC	29906			USA		
QUALITY ELECTRIC PRODUCTS INC	100000000291 Rfq over 15 UNDER 100 GENERAL	Open	Commodities/Supplies	Authority Contract - Compete	10/25/2018	10/25/2018	0.00	770.18	14230.82	1	Foreign	N	N	Y	Below Dollar Threshold	7760 HERMERIC AVENUE	NILES	IL	60714			USA		
THOMAS PURRELL LANDSCAPING LLC	100000000292 SASCO CREEK LAWN MAINTENANCE	Open	Commodities/Supplies	Authority Contract - Compete	3/2/2020	3/2/2020	0.00	2355.00	13376.00	2	Foreign	N	N	Y	Below Dollar Threshold	8900 W. TOWER AVENUE	GREENS FARMS	CT	06838	0231		USA		
EUROFINS ANA LABORATORIES LLC	100000000293 As needed ECU Oil Physical Testing	Open	Other Professional Serv	Authority Contract - Compete	12/1/2018	12/1/2018	0.00	3277.82	4015.24	1	Foreign	N	N	Y	Below Dollar Threshold	160 E 9TH AVE, STE D	RUNNEMED	NY	10878			USA		
STEWART AND STEVENSON POWER PR	100000000294 DETROIT DIESEL	Open	Commodities/Supplies	Authority Contract - Compete	12/8/2020	12/8/2020	0.00	0.00	20001.00	2	Foreign	N	N	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA		
STEWART AND STEVENSON POWER PR	100000000295 Rfq SMALL PURCHASE GENERAL AN	Open	Commodities/Supplies	Authority Contract - Compete	1/16/2018	1/16/2018	0.00	3198.56	1280.93	710.07	1	Foreign	N	N	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA	
OSSENING LAWN MOWER SERVICE, INC.	100000000296 Informal Rfq under 15K	Open	Commodities/Supplies	Authority Contract - Compete	8/1/2017	8/1/2017	0.00	5783.63	9049.96	10951.04	3	NYS	N	N	Y	Below Dollar Threshold	31 CAMPWOODS ROAD	COSSING	NY	10562			USA	
A-1 HYDRO CLEANING EQUIPMENT INC.	100000000297 Hoby Rigger Soap	Completed	Commodities/Supplies	Authority Contract - Compete	10/6/2017	10/5/2017	0.00	21880.00	21880.00	3	NYS	N	N	Y	Below Dollar Threshold	530 SOUTH FOURTH AVE	MOUNT VERNON	NY	10550			USA		
A-1 HYDRO CLEANING EQUIPMENT INC	100000000298 NS040024	Open	Commodities/Supplies	Authority Contract - Compete	10/9/2017	10/9/2017	0.00	3793.49	7075.34	14804.66	2	NYS	N	N	Y	Below Dollar Threshold	530 SOUTH FOURTH AVE	MOUNT VERNON	NY	10550			USA	
HOFFMAN EQUIPMENT INC.	100000000299 Informal Rfq under 15K	Open	Commodities/Supplies	Authority Contract - Compete	8/1/2017	7/31/2017	0.00	732.74	1294.40	9158.60	2	NYS	N	N	Y	Below Dollar Threshold	300 S RANDOLPHVILLE RD	PISCATAWAY	NJ	08854			USA	
FRED A COOK JR INC	100000000300 HOLDING TANK WASTE WATER RUM	Open	Design and Construction	Authority Contract - Compete	1/15/2020	1/15/2020	0.00	5700.00	5700.00	1770.169	1	NYS	N	N	Y	Below Dollar Threshold	109 ALBANY POST ROAD	MONROE	NY	10548			USA	
IK INDUSTRIAL DISTRIBUTORS INC	100000000301 Maytag PHE emergency repair	Open	Commodities/Supplies	Authority Contract - Compete	5/15/2019	5/17/2019	0.00	0.00	26688.00	0	Foreign	N	N	Y	Below Dollar Threshold	181 RAILROAD DRIVE	PHILADELPHIA	PA	19074			USA		
ENVIRONMENTAL INC	100000000302 Deodorant Freshener BR	Open	Commodities/Supplies	Authority Contract - Compete	4/3/2020	4/13/2020	0.00	30662.15	3483.00	3483.00	1	Foreign	N	N	Y	Legislation Requires NYSRC	425 WHITEHEAD AVE	SOUTH RIVER	NJ	08882			USA	
MATESON CHEMICAL CORP	100000000303 25417295 OIL - GEL PACK	Open	Commodities/Supplies	Authority Contract - Compete	6/4/2019	6/4/2019	0.00	0.00	34230.00	1	Foreign	N	N	Y	Below Dollar Threshold	510 WHITMORE ST	CANNONSMITH	NJ	08077			USA		
THE PEEBLE COMPANY	010000000000 Components for GEL Bleavators	Open	Commodities/Supplies	Authority Contract - Compete	12/8/2014	12/8/2014	0.00	1408.70	3967.70	31032.30	1	Foreign	N	N	Y	Sole Source	195 SANDHOLE PARKWY	BRAMPTON	ON	L7M 136		ON	OTHER	CAN
KELLY AND HAVES ELECTRICAL	100000000305 SS MORE 47861804	Open	Commodities/Supplies	Authority Contract - Compete	1/20/2017	1/20/2017	0.00	6279.94	31399.20	6279.94	0	NYS												

Agency	Item ID	Description	Status	Category	Contract Type	Start Date	End Date	Original Price	Current Price	Change	Unit	Quantity	Unit Price	Contract Value	Location	City	State	Zip	Other
1. JAVNEY AND SONS, INC.	1000140575	HEATER TUBULAR BRG BALLAST 5	Completed	Commodities/Supplies	Authority Contract - Comp	3/13/2020	3/13/2020	18176.00	18176.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold		
PTM, INC.	1000140852	SOLUTION DISINFECTANT BOTANIC	Completed	Commodities/Supplies	Authority Contract - Comp	3/20/2020	3/20/2020	69543.23	69543.23	69543.23	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	
AL PROJECT SERVICES INC.	1000140938	PN 80100834 12 Galvacent botanic	Open	Commodities/Supplies	Authority Contract - Comp	3/23/2020	3/23/2020	22191.00	13437.00	13437.00	8754.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	
1. JAVNEY AND SONS, INC.	1000141196	SPIKE SCREW GALVANIZED 1516 IN	Completed	Commodities/Supplies	Authority Contract - Comp	3/27/2020	3/27/2020	25000.00	25000.00	25000.00	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	
ENNIS-PLINT INC	1000141231	SOLUTION DISINFECTANT BOTANIC	Completed	Commodities/Supplies	Authority Contract - Comp	3/27/2020	3/27/2020	236520.00	236520.00	236520.00	0.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	
ALL SELF CANNERY AND HOME IMPROV	1000141254	DECAL THERMOPLASTIC WATCH THE	Completed	Commodities/Supplies	Authority Contract - Comp	3/30/2020	3/30/2020	17835.00	17835.00	17835.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	
EPAIL DYNAMICS	1000141425	FURNISH AND INSTAL 1 500 GPH	Open	Other	Authority Contract - Comp	3/30/2020	3/30/2020	149000.00	149000.00	149000.00	44720.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	
VALVE INDUSTRIES INC	1000141477	ALSTON PM01114-114-ON-DNMDC	Completed	Commodities/Supplies	Authority Contract - Comp	4/3/2020	4/3/2020	29512.25	29512.25	29512.25	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	
LEGLERS ELECTRIC CORP	1000141597	MULTIMETER DIGITAL 1000 ADC	Completed	Commodities/Supplies	Authority Contract - Comp	4/7/2020	4/7/2020	38000.00	38000.00	38000.00	0.00	2	NYS	Y	Y	2	Y	Below Dollar Threshold	
LEGLERS ELECTRIC CORP	1000141657	MULTIMETER DIGITAL FLUKE 87 V C	Completed	Commodities/Supplies	Authority Contract - Comp	4/8/2020	4/8/2020	60160.00	60160.00	60160.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	
VOSSLOH SIGNALING USA INC	1000141666	SWITCH MACHINE HYDRAULIC	Completed	Commodities/Supplies	Authority Contract - Comp	4/9/2020	4/9/2020	88075.00	88075.00	88075.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	
EPAIL DYNAMICS	1000141723	MASK SURGICAL LEVEL 3	Completed	Commodities/Supplies	Authority Contract - Comp	4/10/2020	4/10/2020	126000.00	126000.00	126000.00	0.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	
JAMICA HARDWARE AND PAINTS INC	1000141802	RESPIRATOR DISPOSABLE R55 TYPE	Open	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/20/2020	86208.00	0.00	86208.00	4	NYS	N	Y	2	Y	Below Dollar Threshold		
EPAIL DYNAMICS	1000141817	MASK SURGICAL LEVEL 3	Completed	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	163800.00	163800.00	163800.00	0.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	
PMT FORKLT CORP.	1000141841	800 Volt Fork Lifts, 6500 lb capac	Open	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	543040.00	543040.00	543040.00	0.00	1	NYS	Y	Y	1	Y	Below Dollar Threshold	
METRO HYDRAULIC JACK COMPANY	1000141881	55ton cylinder 6800e Power Trac	Open	Commodities/Supplies	Authority Contract - Comp	4/15/2020	4/15/2020	19390.88	19390.88	19390.88	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	
LEGLERS ELECTRIC CORP	1000141939	MULTIMETER DIGITAL FLUKE 87 V C	Completed	Commodities/Supplies	Authority Contract - Comp	4/16/2020	4/16/2020	37000.00	37000.00	37000.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	
MOTION INDUSTRIES, INC.	1000142037	GREASE TUBOUI INTER	Completed	Commodities/Supplies	Authority Contract - Comp	4/17/2020	4/17/2020	17212.49	17212.49	17212.49	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	
CARE SECURITY SYSTEMS INC.	1000142078	INTELLIGENT SYSTEM CONTROLLER	Completed	Commodities/Supplies	Authority Contract - Comp	4/17/2020	4/17/2020	36100.00	36100.00	36100.00	0.00	4	NYS	N	Y	0	Y	Below Dollar Threshold	
ALSTON SIGNALING OPERATION LLC	1000142307	BOARD ASSEMBLY WPI 800 RATE	Completed	Commodities/Supplies	Authority Contract - Comp	4/28/2020	4/28/2020	69620.01	69620.01	69620.01	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	
ALSTON SIGNALING OPERATION LLC	1000142455	BOARD ASSEMBLY WPI 800	Completed	Commodities/Supplies	Authority Contract - Comp	4/28/2020	4/28/2020	46630.08	11687.53	34972.56	11687.53	1	Foreign	N	Y	0	Y	Below Dollar Threshold	
NATIONAL INDUSTRIES FOR THE BLIND	1000137748	GLOVES XNEEDLE/PUNCTURE RES	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order	1/13/2021		11647.86					NYS Vendor						
UNTRAC RAILROAD MATERIALS INC	1000142460	TURNOUT NO 10 RIGHT HAND 136R	Open	Commodities/Supplies	Authority Contract - Comp	4/28/2020	4/28/2020	92295.00	0.00	92295.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold		
ZEN INDUSTRIAL SERVICES LLC	1000142555	ALCOHOL ISOPROPYL 90 DECAL REN	Completed	Commodities/Supplies	Authority Contract - Comp	4/30/2020	4/30/2020	19728.00	19728.00	19728.00	0.00	5	NYS	Y	Y	3	Y	Below Dollar Threshold	
DOC BROWNS INC	1000142558	THERMOMETER DIGITAL 60 SECOND	Completed	Commodities/Supplies	Authority Contract - Comp	4/30/2020	4/30/2020	56000.00	56000.00	56000.00	0.00	2	NYS	Y	Y	1	Y	Below Dollar Threshold	
R S HUGHES CO	1000142721	RESPIRATOR DISPOSABLE DUSTM	Open	Commodities/Supplies	Authority Contract - Comp	5/6/2020	5/6/2020	153600.00	0.00	153600.00	1	NYS	N	Y	0	Y	Below Dollar Threshold		
EPAIL DYNAMICS	1000142958	COIL CLOSED SOLENOIDFOR 15KV A	Completed	Commodities/Supplies	Authority Contract - Comp	5/12/2020	5/12/2020	16400.52	16400.52	16400.52	0.00	1	NYS	Y	Y	1	Y	Below Dollar Threshold	
BROOKS ASSOCIATES INC	1000142974	VM20 Milling Machine- OR APPROVE	Completed	Commodities/Supplies	Authority Contract - Comp	5/12/2020	5/12/2020	86400.00	86400.00	86400.00	0.00	6	Foreign	N	Y	0	Y	Below Dollar Threshold	
PROGRESS RAILROAD DIVISION	1000143116	TURNOUT NO 10 LEFT HAND 136R	Open	Commodities/Supplies	Authority Contract - Comp	6/4/2020	6/4/2020	1259555.00	0.00	1259555.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold		
PROGRESS RAILROAD DIVISION	1000144147	TURNOUT NO 10 RIGHT HAND 136R	Open	Commodities/Supplies	Authority Contract - Comp	6/10/2020	6/10/2020	322920.00	0.00	322920.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold		
ATLANTIC TRAC AND TURNOUT CO.	1000144616	GUARD RAIL ASSEMBLY COMPLETE	Open	Commodities/Supplies	Authority Contract - Comp	6/23/2020	6/23/2020	103316.00	0.00	103316.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold		
AMERICAN TRACK PRODUCTS, LLC	1000145028	JOINT COPE 132RE TO 136 RE NO	Completed	Commodities/Supplies	Authority Contract - Comp	7/1/2020	7/1/2020	28900.00	28900.00	28900.00	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	
LUCASZ CONSULTING LLC	1000145030	Square Cap Task Granite Growth	Open	Commodities/Supplies	Authority Contract - Comp	7/21/2020	7/21/2020	27373.40	27373.40	27373.40	0.00	6	NYS	Y	Y	2	Y	Below Dollar Threshold	
ROBEL NORTH AMERICA CORP	1000145100	ROBEL NORTH AMERICA PN 59800R	Completed	Commodities/Supplies	Authority Contract - Comp	7/22/2020	7/22/2020	126734.66	126734.66	126734.66	0.00	5	Foreign	N	Y	2	Y	Below Dollar Threshold	
ALSTON SIGNALING OPERATION LLC	1000145413	BOARD ASSEMBLY WPI VHD	Open	Commodities/Supplies	Authority Contract - Comp	7/10/2020	7/10/2020	15128.00	0.00	15128.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold		
CROWN LIFT TRUCKS	1000145437	Furnish/Instal Crown TP-7000 Turn	Open	Commodities/Supplies	Authority Contract - Comp	7/10/2020	7/10/2020	259523.20	0.00	259523.20	1	NYS	N	Y	0	Y	Below Dollar Threshold		
ANDYER, INC.	1000145595	BELDEN 1212 096U1000BOXES CM4	Completed	Commodities/Supplies	Authority Contract - Comp	7/15/2020	7/15/2020	37472.40	37472.40	37472.40	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	
ALSTON SIGNALING OPERATION LLC	1000145596	BOARD ASSEMBLY WPI JO BUS INTER	Open	Commodities/Supplies	Authority Contract - Comp	7/15/2020	7/15/2020	26427.00	18036.00	18036.00	8391.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	
BERGO UNITORIAL SUPPLY, INC.	1000145602	CLEANER RESTROOM FACILITIES 5	Completed	Commodities/Supplies	Authority Contract - Comp	7/15/2020	7/15/2020	73237.50	73237.50	73237.50	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	
ALSTON SIGNALING OPERATION LLC	1000145648	BOARD ASSEMBLY WPI CSK3	Completed	Commodities/Supplies	Authority Contract - Comp	7/16/2020	7/16/2020	43735.00	43735.00	43735.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	
L.B. FOSTER COMPANY	1000145669	CHANNEL FIBERGLASS FOR 37 LB AL	Completed	Commodities/Supplies	Authority Contract - Comp	7/16/2020	7/16/2020	229800.00	229800.00	229800.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	
TR-LIFT INC	1000145738	6000LB 80 Volt Electric 4-wheel Pr	Open	Commodities/Supplies	Authority Contract - Comp	7/17/2020	7/17/2020	60929.00	0.00	60929.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold		
ALSTON SIGNALING OPERATION LLC	1000146410	BOARD ASSEMBLY WPI VHD	Open	Commodities/Supplies	Authority Contract - Comp	8/3/2020	8/3/2020	42680.00	10670.00	10670.00	32010.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	
PMT FORKLT CORP.	1000146446	4000LB 36 Volt Narrow Base Rechar	Open	Commodities/Supplies	Authority Contract - Comp	8/3/2020	8/3/2020	41940.00	0.00	41940.00	3	NYS	Y	Y	3	Y	Below Dollar Threshold		
PMT FORKLT CORP.	1000146448	4000LB 36 Volt Narrow Base Rechar	Open	Commodities/Supplies	Authority Contract - Comp	8/3/2020	8/3/2020	41940.00	0.00	41940.00	2	NYS	Y	Y	3	Y	Below Dollar Threshold		
8BA PROJECT, INC.	1000146791	CONVERTER DCDC CONVERTER 15V	Open	Commodities/Supplies	Authority Contract - Comp	8/12/2020	8/12/2020	40839.20	9203.20	9203.20	31636.00	5	Foreign	N	Y	3	Y	Below Dollar Threshold	
UNTRAC RAILROAD MATERIALS INC	1000146829	TURNOUT NO10 LEFT HAND 136R	Completed	Commodities/Supplies	Authority Contract - Comp	8/12/2020	8/12/2020	138380.00	138380.00	138380.00	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	
UNTRAC RAILROAD MATERIALS INC	1000146883	TURNOUT NO 7 LEFT HAND 136RE	Open	Commodities/Supplies	Authority Contract - Comp	8/13/2020	8/13/2020	209725.00	0.00	209725.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold		
EPAIL DYNAMICS	1000146907	CEMENT REPAIR MORTAR R8000 PC	Completed	Commodities/Supplies	Authority Contract - Comp	8/14/2020	8/14/2020	15811.20	15811.20	15811.20	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	
INDUSTRIAL NETWORKING SOLUTIONS	1000147139	ANTENNA PARSCE DOBERMAN WHIT	Completed	Commodities/Supplies	Authority Contract - Comp	8/21/2020	8/21/2020	55188.00	55188.00	55188.00	0.00	4	Foreign	N	Y	3	Y	Below Dollar Threshold	
RICh TECHNOLOGIES	1000147389	ROUTER 1BR900 WITH WIFI 1000E	Completed	Commodities/Supplies	Authority Contract - Comp	8/27/2020	8/27/2020	371479.10	371479.10	371479.10	0.00	10	Foreign	N	Y	0	Y	Below Dollar Threshold	
OLYMPIC GLOVE AND SAFETY COMPANY	1000148104	COVERALL LARGE WHITE/DUPOINT T	Open	Commodities/Supplies	Authority Contract - Comp	9/16/2020	9/16/2020	23725.00	0.00	23725.00	0	Foreign	N	Y	0	Y	Below Dollar Threshold		
RB RAILWAY GROUP INC	1000148266	CLIP E RAIL E-CLIPS MUST BE SUPPL	Open	Commodities/Supplies	Authority Contract - Comp	9/21/2020	9/21/2020	76500.00	0.00	76500.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold		
RR RAILWAY GROUP INC	1000148428	CLIP E RAIL E-CLIPS MUST BE SUPPL	Open	Commodities/Supplies	Authority Contract - Comp	9/24/2020	9/24/2020	76500.00	0.00	76500.00	8	Foreign	N	Y	0	Y	Below Dollar Threshold		
ZYTRON	1000148535	DETECTOR GROUND FULT 10 TO 3	Open	Commodities/Supplies	Authority Contract - Comp	9/25/2020	9/25/2020	47850.00	0.00	47850.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold		
OLYMPIC GLOVE AND SAFETY COMPANY	1000148538	CLAMP SPECIAL RAIL WITH STAPLE	Completed	Commodities/Supplies	Authority Contract - Comp	9/25/2020	9/25/2020	16910.00	16910.00	16910.00	0.00	1	Foreign	Y	Y	1	Y	Below Dollar Threshold	
PROCESS PRODUCTS INTERNATIONAL	1000149167	35LBS 40-100 ENHANCED BR 5-12 IN W/	Open	Commodities/Supplies	Authority Contract - Comp	10/9/2020	10/9/2020	15750.00	0.00	15750.00	2								

Agency	Contract ID	Description	Status	Category	Contract Type	Start Date	End Date	Original Value	Current Value	Change	Foreign	NA	Y	Below Dollar Threshold	Address	City	State	Zip	Other	Notes
G AND B SPECIALTIES INC	1000137297	GAINIE CLOP FOOT SWITCH 906636	Open	Commodities/Supplies	Authority Contract - Comp	1/14/2020	1/14/2020	20150.00	20150.00	0.00	2	Foreign	N	N	Y	Below Dollar Threshold				
HITACHI RAIL STS USA INC	1000137376	LAYOUT LH M-3 SWITCH LOW PROF	Completed	Commodities/Supplies	Authority Contract - Comp	1/15/2020	1/15/2020	7130.45	7380.45	2380.45	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
HITACHI RAIL STS USA INC	1000137379	LAYOUT RH M-3 SWITCH LOW PROF	Completed	Commodities/Supplies	Authority Contract - Comp	1/15/2020	1/15/2020	7380.45	7380.45	7380.45	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
TRANECH OF SOUTH CAROLINA INC	1000137402	CARRIER ASSEMBLY CARBON PART	Open	Commodities/Supplies	Authority Contract - Comp	1/15/2020	1/15/2020	34950.00	34950.00	34950.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
MONOGRAM TRAIN LLC	1000137418	VALVE RINSE TOILET	Completed	Commodities/Supplies	Authority Contract - Comp	1/15/2020	1/15/2020	27076.50	27076.50	27076.50	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
SEDA INC	1000137425	PAD 2 PASSENGER LEFTHAND CRAS	Completed	Commodities/Supplies	Authority Contract - Comp	1/15/2020	1/15/2020	28584.00	28584.00	28584.00	0.00	2	Foreign	N	N	Y	Below Dollar Threshold			
LEWIS BOLT AND NUT COMPANY	1000137565	BOLT TRACK 1 X 6 NEUPLIETAL NCS	Completed	Commodities/Supplies	Authority Contract - Comp	1/17/2020	1/17/2020	39100.00	39100.00	39100.00	0.00	2	Foreign	N	N	Y	Below Dollar Threshold			
KAWASAKI RAIL CAR INC	1000137573	MOLDING R 114 X 8 BR NY 069	Open	Commodities/Supplies	Authority Contract - Comp	1/17/2020	1/17/2020	23746.00	23746.00	23746.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
KAWASAKI RAIL CAR INC	1000137580	MOLDING 3151 IN X 359 IN X 85 IN	Open	Commodities/Supplies	Authority Contract - Comp	1/17/2020	1/17/2020	25340.00	25340.00	25340.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
A AND R RAILROAD MATERIALS INC.	1000137657	RAIL 1198E PER DRAWING T5	Completed	Commodities/Supplies	Authority Contract - Comp	1/22/2020	1/22/2020	22545.90	22545.90	22545.90	0.00	4	Foreign	N	N	Y	Below Dollar Threshold			
NASG HOLDINGS LLC	1000137670	GLASS PASSENGER FIXED LARGE ST	Open	Commodities/Supplies	Authority Contract - Comp	1/22/2020	1/22/2020	17995.00	17995.00	17995.00	0.00	3	Foreign	N	N	Y	Below Dollar Threshold			
HITACHI RAIL STS USA INC	1000137757	OUTRIG ISOLATION MODULE 12V IN	Open	Commodities/Supplies	Authority Contract - Comp	1/22/2020	1/22/2020	15147.18	15147.18	15147.18	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
KONE INC	1000137795	Modernization of Stamford Elevator A	Open	Other	Authority Contract - Comp	7/29/2020	2/24/2021	15000.00	29287.55	29287.55	1207.45	1	NYS	N	N	Y	Below Dollar Threshold			
JOHN IACONO, INC	1000137951	ROTO-EXTEND FOR GR 30 OL AIR	Completed	Commodities/Supplies	Authority Contract - Comp	1/27/2020	1/27/2020	21940.00	21940.00	21940.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
MDC PRODUCTS INC	1000137976	COVER SLIP FOR THE150 LB 3RD	Completed	Commodities/Supplies	Authority Contract - Comp	1/28/2020	1/28/2020	28752.00	28752.00	28752.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
TDC TRANSIT DESIGN GROUP INC	1000138038	BALLAST LIGHTING UNIVERSAL FOR	Completed	Commodities/Supplies	Authority Contract - Comp	4/21/2020	1/28/2020	119510.00	119510.00	119510.00	0.00	5	NYS	N	N	Y	Below Dollar Threshold			
FREEDOM COMMUNICATION TECHNOLOGY	1000138344	Freedom CommTechnologies-PTC AC	Completed	Commodities/Supplies	Authority Contract - Comp	2/3/2020	2/3/2020	18945.00	18945.00	18945.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
L.B. FOSTER COMPANY	1000138458	CHANNEL FIBERGLASS FOR 37 LB AL	Completed	Commodities/Supplies	Authority Contract - Comp	2/4/2020	2/4/2020	38729.00	38729.00	38729.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
ENERTEK RAIL SYSTEMS, LLC	1000138537	THRESHOLD DOOR SIDE STAINLESS	Completed	Commodities/Supplies	Authority Contract - Comp	2/5/2020	2/12/2020	7800.00	7800.00	7800.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
VAPOR STORES RAIL SYSTEMS	1000139026	WINDOW DROP OBSERVERS HYPR	Completed	Commodities/Supplies	Authority Contract - Comp	2/5/2020	2/5/2020	80622.00	80622.00	80622.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
WINCHESTER INTERCONNECT	1000139595	WIPES 480 VOLT INTERLOCK ASSE	Completed	Commodities/Supplies	Authority Contract - Comp	2/6/2020	2/16/2020	78910.00	78910.00	78910.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
MITSUBISHI ELECTRIC POWER PRODUCT	1000139662	DISPLAY ASSY TRAIN OPERATOR TO	Completed	Commodities/Supplies	Authority Contract - Comp	2/7/2020	2/7/2020	87370.00	87370.00	87370.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
CONDUCTIX - WAMPLER	1000139668	CHANNEL FIBERGLASS FOR PARK AV	Completed	Commodities/Supplies	Authority Contract - Comp	2/7/2020	2/7/2020	29316.00	29316.00	29316.00	0.00	2	Foreign	N	N	Y	Below Dollar Threshold			
L.B. FOSTER COMPANY	1000139750	BRAKET CONTACT RAIL TYPE V FB	Completed	Commodities/Supplies	Authority Contract - Comp	2/10/2020	2/10/2020	24374.50	24374.50	24374.50	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
GARDEN STATE HIGHWAY PRODUCTS, I	1000139772	DECAL THERMOPLASTIC WATCH TH	Completed	Commodities/Supplies	Authority Contract - Comp	2/10/2020	2/10/2020	21856.16	21856.16	21856.16	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
FRANK RALPHS	1000139818	BEAM SHEP M7 3RD LB CURRENT	Open	Commodities/Supplies	Authority Contract - Comp	2/11/2020	2/11/2020	57750.00	57750.00	57750.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
RAM INDUSTRIAL SERVICES LLC	1000139893	MOTOR TRACTION 1261 LB OVERHA	Open	Commodities/Supplies	Authority Contract - Comp	2/11/2020	2/11/2020	19615.00	0.00	19615.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
VOSSLOH SIGNALING USA INC	1000139869	SWITCH MACHINE HYDRAULIC	Completed	Commodities/Supplies	Authority Contract - Comp	2/12/2020	2/12/2020	65220.00	65220.00	65220.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
TWINCO MFG. CO., INC.	1000139873	IMPEDENCE BOND BOX CD 250AMP	Completed	Commodities/Supplies	Authority Contract - Comp	2/12/2020	2/12/2020	18111.00	18111.00	18111.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
VOSSLOH SIGNALING USA INC	1000139875	SWITCH MACHINE HYDRAULIC	Completed	Commodities/Supplies	Authority Contract - Comp	2/12/2020	2/12/2020	32760.00	32760.00	32760.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
VOSSLOH SIGNALING USA INC	1000139884	SWITCH MACHINE HYDRAULIC	Completed	Commodities/Supplies	Authority Contract - Comp	2/12/2020	2/12/2020	32760.00	32760.00	32760.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
AVVA TECHNOLOGY INC	1000139904	TRANSFER PANEL SIGNAL POWER I	Completed	Commodities/Supplies	Authority Contract - Comp	2/13/2020	2/13/2020	19336.00	19336.00	19336.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
UNITED STATES SEATING	1000139926	SEAT ASSEMBLY 3 PASSENGER SEAT	Completed	Commodities/Supplies	Authority Contract - Comp	2/13/2020	2/13/2020	61220.00	61220.00	61220.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
RAM INDUSTRIAL SERVICES LLC	1000139943	MOTOR TRACTION 1261 LB OVERHA	Open	Commodities/Supplies	Authority Contract - Comp	2/13/2020	2/13/2020	17325.00	17325.00	17325.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
KELLY AND HAYS ELECTRICAL	1000139913	CABLE AWG 12 ONE CONDUCTOR S	Completed	Commodities/Supplies	Authority Contract - Comp	2/14/2020	2/14/2020	20940.00	20940.00	20940.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
EASTERN CONNECTOR SPECIALTY CORP	1000139913	LEAD COUPLER ELECTRIC LEAD CPH	Open	Commodities/Supplies	Authority Contract - Comp	2/14/2020	2/14/2020	65910.00	65910.00	65910.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
VAPOR STORES RAIL SYSTEMS	1000139918	WINDSHIELD ASSEMBLY F-END AN	Open	Commodities/Supplies	Authority Contract - Comp	2/18/2020	2/18/2020	29936.76	29936.76	29936.76	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
ALSTOM SIGNALING OPERATION LLC	1000139920	BOARD ASSEMBLY SINGLE BREAK O	Completed	Commodities/Supplies	Authority Contract - Comp	2/18/2020	2/18/2020	34564.56	34564.56	34564.56	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
LUMINATOR MASS TRANSIT LLC	1000139241	SOCKET LOCK FLOURESCENT LIGHT	Completed	Commodities/Supplies	Authority Contract - Comp	2/19/2020	2/19/2020	16908.80	16908.80	16908.80	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
KOCH FILTER CORP	1000139252	FILTER AIR INTAKE 22IN X 3IN X 1	Completed	Commodities/Supplies	Authority Contract - Comp	2/19/2020	2/19/2020	18416.16	18416.16	18416.16	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
HOYT CORP	1000139264	CONTACT TIP KIT FOR 17CM576K6A	Completed	Commodities/Supplies	Authority Contract - Comp	2/19/2020	2/19/2020	19250.00	19250.00	19250.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
EASTERN CONNECTOR SPECIALTY CORP	1000139275	CABLE TRAINLINE JUMPER HARNESS	Completed	Commodities/Supplies	Authority Contract - Comp	2/19/2020	2/19/2020	62160.00	62160.00	62160.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
KAWASAKI RAIL CAR INC	1000139283	MOLDING FLOOR 5459 IN X 83 IN	Open	Commodities/Supplies	Authority Contract - Comp	2/19/2020	2/19/2020	18120.00	0.00	18120.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
DURANTE RENTALS LLC	1000139327	Rental 120Ht One Month Rent	Completed	Commodities/Supplies	Authority Contract - Comp	2/20/2020	2/20/2020	16039.76	16039.76	16039.76	0.00	2	NYS	N	N	Y	Below Dollar Threshold			
PORTLAND WILLIAMS LLC	1000139320	PLATE OUTSIDE SHOBB IN 378 IN	Completed	Commodities/Supplies	Authority Contract - Comp	2/20/2020	2/20/2020	27403.02	27403.02	27403.02	0.00	2	NYS	N	N	Y	Below Dollar Threshold			
KAWASAKI RAIL CAR INC	1000139413	MOLDING APPROX 8030 IN X 378 IN	Open	Commodities/Supplies	Authority Contract - Comp	2/21/2020	2/21/2020	51000.00	0.00	51000.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			
EASTERN CONNECTOR SPECIALTY CORP	1000139480	CABLE JUMPER DC2 INTRACAR	Open	Commodities/Supplies	Authority Contract - Comp	2/21/2020	2/21/2020	27462.00	20956.50	6665.50	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
G AND B SPECIALTIES INC	1000139550	LOCK ELECTRIC MODEL 854	Completed	Commodities/Supplies	Authority Contract - Comp	2/25/2020	2/27/2020	95238.00	95238.00	95238.00	0.00	7	Foreign	N	N	Y	Below Dollar Threshold			
TEKONAVIC INC	1000139791	SWITCH DIMMER CONTROL #H	Completed	Commodities/Supplies	Authority Contract - Comp	2/27/2020	2/27/2020	29982.00	29982.00	29982.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
BOMBARDIER TRANSPORT(HOLDINGS)	1000139893	RADIO DATA 220MHZ N-JACK F	Open	Commodities/Supplies	Authority Contract - Comp	3/2/2020	3/2/2020	47484.80	0.00	47484.80	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			
GENESIS LLC	1000139940	CLEANER RESTROOM FACILITIES 5	Completed	Commodities/Supplies	Authority Contract - Comp	3/2/2020	3/2/2020	15976.80	15976.80	15976.80	0.00	2	Foreign	Y	N	Y	Below Dollar Threshold			
KSU NA LLC	1000139942	FOAM BOTTOM 3 PASSENGER SEAT	Completed	Commodities/Supplies	Authority Contract - Comp	3/2/2020	3/2/2020	29390.40	29390.40	29390.40	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
KSU NA LLC	1000139945	SEAT ASSEMBLY TWO PASSENGER F	Completed	Commodities/Supplies	Authority Contract - Comp	3/2/2020	3/2/2020	20615.90	20615.90	20615.90	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
BOMBARDIER MASS TRANSIT CORPORA	1000140040	WIPER BLADE ASSEMBLY 28 IN	Completed	Commodities/Supplies	Authority Contract - Comp	3/4/2020	3/4/2020	16990.00	16990.00	16990.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
SPECTRUM INDUSTRIES INC	1000140042	TAPE HEATER AUTO TRAC FOR BO	Completed	Commodities/Supplies	Authority Contract - Comp	3/4/2020	3/4/2020	37000.00	37000.00	37000.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			
MITSUBISHI ELECTRIC POWER PRODUCT	1000140015	PIPE SUSPENSION ASST A588 F	Completed	Commodities/Supplies	Authority Contract - Comp	3/4/2020	3/4/2020	24429.60	24429.60											

EPUL DYNAMICS	1000041500	MASK SURGICAL LEVEL 3	Completed	Commodities/Supplies	Authority Contract - Comp	4/3/2020	4/3/2020	Y	4/8/2020	23600.00	23600.00	23600.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050				USA	
EPUL DYNAMICS	1000041501	MASK SURGICAL LEVEL 3	Completed	Commodities/Supplies	Authority Contract - Comp	4/3/2020	4/3/2020	Y	4/9/2020	47200.00	47200.00	47200.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050				USA	
MITSUBISHI ELECTRIC POWER PRODUC	1000041525	BRUSH GROUND RETURN N7M8 NE	Completed	Commodities/Supplies	Authority Contract - Comp	4/6/2020	4/6/2020	Y	7/24/2020	99600.00	99600.00	99600.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			530 KEYSTONE DRIVE	WARRENDALE	PA	15086				USA	
MITSUBISHI ELECTRIC POWER PRODUC	1000041532	BRUSH GROUND RETURN N7M8 NE	Completed	Commodities/Supplies	Authority Contract - Comp	4/6/2020	4/6/2020	Y	8/24/2020	99600.00	99600.00	99600.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			530 KEYSTONE DRIVE	WARRENDALE	PA	15086				USA	
MITSUBISHI ELECTRIC POWER PRODUC	1000041533	BRUSH GROUND RETURN N7M8 NE	Completed	Commodities/Supplies	Authority Contract - Comp	4/6/2020	4/6/2020	Y	9/24/2020	99600.00	99600.00	99600.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			530 KEYSTONE DRIVE	WARRENDALE	PA	15086				USA	
MITSUBISHI ELECTRIC POWER PRODUC	1000041534	BRUSH GROUND RETURN N7M8 NE	Completed	Commodities/Supplies	Authority Contract - Comp	4/6/2020	4/6/2020	Y	10/26/2020	99600.00	99600.00	99600.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			530 KEYSTONE DRIVE	WARRENDALE	PA	15086				USA	
JAMAICA WIRE AND METAL CO INC	1000041572	SHEET STAINLESS STEEL 304 11 GA	Completed	Commodities/Supplies	Authority Contract - Comp	4/7/2020	4/7/2020	Y	4/13/2020	29500.00	29500.00	29500.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			107-33 180TH STREET	JAMAICA	NY	11433				USA	
EPUL DYNAMICS	1000041618	COURTNEY REPAIR KIT 26-32 FT	Completed	Commodities/Supplies	Authority Contract - Comp	4/9/2020	4/9/2020	Y	4/9/2020	20213.48	20213.48	20213.48	0.00	5	NYS	N	N	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050				USA	
UNI TRANSIT PRODUCTS, INC.	1000041640	QUILL AXLE GRAB UNIT M4 MUST NG	Open	Commodities/Supplies	Authority Contract - Comp	4/8/2020	4/8/2020	Y	11/8/2020	35520.00	35520.00	35520.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			1901 CEMAHN RD	HARLEYSVILLE	PA	19438				USA	
ALBATROS NORTH AMERICA, INC.	1000041645	CAMERA KIT ROLLING STOCK IDVER	Open	Commodities/Supplies	Authority Contract - Comp	4/8/2020	4/9/2020	Y	12/4/2020	32528.00	32528.00	32528.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			6 MCCREA HILL RD	BALTIMORE	MD	21200				USA	
MITSUBISHI ELECTRIC POWER PRODUC	1000041680	RELAY C 045 72 6V 1P1 PLASTIC PA	Completed	Commodities/Supplies	Authority Contract - Comp	4/9/2020	4/9/2020	Y	12/24/2020	35700.00	35700.00	35700.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			530 KEYSTONE DRIVE	WARRENDALE	PA	15086				USA	
EASTERN CONNECTOR SPECIALTY CORP	1000041685	CABLE CONNECTOR NO 1 JUMP	Open	Commodities/Supplies	Authority Contract - Comp	4/9/2020	4/9/2020	Y	9/24/2020	19920.00	19920.00	19920.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			566 DANBURY DR	P O BOX 1957	NEW MILFORD	CT	06776			USA	
UNION RAILROAD MATERIALS INC	1000041725	HOUSE TOP LEFT HAND COMPLETE	Completed	Commodities/Supplies	Authority Contract - Comp	4/10/2020	4/10/2020	Y	11/25/2020	17250.00	17250.00	17250.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			P.O. BOX 7098	KNOXVILLE	TN	37931				USA	
KAWASAKI RAIL CAR INC	1000041770	HINGE	Completed	Commodities/Supplies	Authority Contract - Comp	4/13/2020	4/13/2020	Y	11/30/2020	54363.80	54363.80	54363.80	0.00	1	NYS	N	N	Y	Below Dollar Threshold			29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701				USA
KAWASAKI RAIL CAR INC	1000041808	HINGE	Completed	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	Y	12/4/2020	23460.00	23460.00	23460.00	0.00	1	NYS	N	N	Y	Below Dollar Threshold			29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701				USA
KAWASAKI RAIL CAR INC	1000041814	HINGE	Completed	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	Y	7/13/2020	15409.20	15409.20	15409.20	0.00	1	NYS	N	N	Y	Below Dollar Threshold			29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701				USA
TRANSTECH OF SOUTH CAROLINA INC	1000041826	VALVE EXHAUST PATENTGRAPH	Completed	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	Y	6/23/2020	17901.96	17901.96	17901.96	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			709 AUGUSTA ARBOR WA	FREDMONT	SC	29673				USA	
SIEMENS MOBILITY INC	1000041836	S-60 GATE MECH ASSEMBLY 6 CONT	Completed	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	Y	5/14/2020	17052.48	17052.48	17052.48	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			PO BOX 3498	CAROL STREAM	IL	60132				USA	
ERICO INTERNATIONAL CORP	1000041847	KIT POWER BOND FOR 150 LB BRD	Open	Commodities/Supplies	Authority Contract - Comp	4/14/2020	4/14/2020	Y	6/15/2020	15276.60	15276.60	15276.60	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			34600 SOLOIN RD	SOLOIN	OH	44139				USA	
ALBATROS NORTH AMERICA, INC.	1000041867	BOARD PRINTER CIRCUIT LDT8 REV	Open	Commodities/Supplies	Authority Contract - Comp	4/15/2020	4/15/2020	Y	9/22/2020	137592.00	0.00	0.00	137592.00	0	NYS	N	N	Y	Below Dollar Threshold			6 MCCREA HILL RD	BALTIMORE	MD	21200				USA	
ALBATROS NORTH AMERICA, INC.	1000041878	VENT RECORDER TYPE 1 H7	Open	Commodities/Supplies	Authority Contract - Comp	4/15/2020	4/15/2020	Y	9/22/2020	241350.00	0.00	0.00	241350.00	0	NYS	N	N	Y	Below Dollar Threshold			109 WEG DRIVE	P.O. BOX 5484	NEW MILFORD	CT	06780				USA
SUMMIT PAPER COMPANY	1000041955	CLEANER DISJUNCTIVE TUR AND	Open	Commodities/Supplies	Authority Contract - Comp	4/16/2020	4/16/2020	Y	5/4/2020	39720.00	7824.84	7824.84	18985.16	5	Foreign	N	N	Y	Below Dollar Threshold			9480 S CLINTON AVE	NEW HARTFIELD	NC	27089				USA	
EASTERN CONNECTOR SPECIALTY CORP	1000041975	CABLE JUMP HARNESST 240120 VA	Open	Commodities/Supplies	Authority Contract - Comp	4/16/2020	4/16/2020	Y	7/15/2020	65640.00	65640.00	65640.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			566 DANBURY DR	P O BOX 1957	NEW MILFORD	CT	06776				USA
BACH-SIMPSON CORP	1000042060	TRANSUCOR PRESSURE 200PSI	Completed	Commodities/Supplies	Authority Contract - Comp	4/17/2020	4/17/2020	Y	11/8/2020	26964.00	26964.00	26964.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			109 MEG DRIVE	P.O. BOX 5484	LONDON	MD	21644	6	ON	OTHER	CAN
SPECTRUM INDUSTRIES INC	1000042068	LOAD INDICATOR CAB-MOUNTED M	Completed	Commodities/Supplies	Authority Contract - Comp	4/17/2020	4/17/2020	Y	8/16/2020	27500.00	27500.00	27500.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			461 BOSTON ST, UNIT E5	TOPSFIELD	MA	01983				USA	
SIEMENS MOBILITY INC	1000042087	LIGHT ASSY LED FLASHING DIALLE	Completed	Commodities/Supplies	Authority Contract - Comp	4/19/2020	4/20/2020	Y	5/5/2020	35542.52	35542.52	35542.52	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			PO BOX 3498	CAROL STREAM	IL	60132				USA	
SIEMENS MOBILITY INC	1000042088	SIGNAL FLUJ GO-NGO CLS-100 HE	Completed	Commodities/Supplies	Authority Contract - Comp	4/19/2020	4/20/2020	Y	6/18/2020	46287.52	46287.52	46287.52	0.00	2	Foreign	N	N	Y	Below Dollar Threshold			PO BOX 3498	CAROL STREAM	IL	60132				USA	
SIEMENS MOBILITY INC	1000042089	S-60 GATE MECH ASSEMBLY 6 CONT	Completed	Commodities/Supplies	Authority Contract - Comp	4/19/2020	4/20/2020	Y	6/16/2020	87902.40	87902.40	87902.40	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			PO BOX 3498	CAROL STREAM	IL	60132				USA	
SAF AMERICA INC	1000042091	BATTERY 250 AMP HOUR NICAD LOW	Completed	Commodities/Supplies	Authority Contract - Comp	4/19/2020	4/20/2020	Y	6/8/2020	45080.00	45080.00	45080.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			3 POWDERED METAL DRIVE	NORTH HAVEN	CT	06473				USA	
SIEMENS MOBILITY INC	1000042101	BLOCK MULTIPLE UNIT TERMINAL D	Completed	Commodities/Supplies	Authority Contract - Comp	4/20/2020	4/20/2020	Y	5/20/2020	24396.00	24396.00	24396.00	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			PO BOX 3498	CAROL STREAM	IL	60132				USA	
STATE AND LIBERTY CLOTHING COMPAN	1000042256	MASK CLOTH REUSABLE PLEATED	Completed	Commodities/Supplies	Authority Contract - Comp	4/22/2020	4/22/2020	Y	5/15/2020	155000.00	155000.00	155000.00	0.00	5	Foreign	N	N	Y	Below Dollar Threshold			670 AIRPORT BLVD, STE E	ANN ARBOR	MI	48108				USA	
ALBATROS NORTH AMERICA, INC.	1000042290	SUPPORT OVB	Open	Commodities/Supplies	Authority Contract - Comp	4/23/2020	4/23/2020	Y	10/20/2020	113145.00	0.00	0.00	113145.00	0	NYS	N	N	Y	Below Dollar Threshold			6 MCCREA HILL RD	BALTIMORE	MD	21200				USA	
ALBATROS NORTH AMERICA, INC.	1000042290	CABLE XC 1D 19 X 3KV SHV	Completed	Commodities/Supplies	Authority Contract - Comp	4/23/2020	4/23/2020	Y	6/2/2020	23909.80	23909.80	23909.80	0.00	1	NYS	N	N	Y	Below Dollar Threshold			65 Southern Blvd	WESCONSET	NY	11767				USA	
BACH-SIMPSON CORP	1000042300	VENT RECORDER HRES REV PH 118	Open	Commodities/Supplies	Authority Contract - Comp	4/23/2020	4/23/2020	Y	8/17/2020	54975.00	54975.00	54975.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			109 WEG DRIVE	P.O. BOX 5484	NEW MILFORD	CT	06780	6	ON	OTHER	CAN
ALBATROS NORTH AMERICA, INC.	1000042368	SEAL RUBBER NEPRENE LARGE M7	Completed	Commodities/Supplies	Authority Contract - Comp	4/27/2020	4/27/2020	Y	10/5/2020	18200.00	18200.00	18200.00	0.00	0	NYS	N	N	Y	Below Dollar Threshold			6 MCCREA HILL RD	BALTIMORE	MD	21200				USA	
ALBATROS NORTH AMERICA, INC.	1000042391	SUPPLY POWER EXTERNAL 72V OVB	Completed	Commodities/Supplies	Authority Contract - Comp	4/27/2020	4/27/2020	Y	10/26/2020	49675.00	49675.00	49675.00	0.00	0	NYS	N	N	Y	Below Dollar Threshold			6 MCCREA HILL RD	BALTIMORE	MD	21200				USA	
ALBATROS NORTH AMERICA, INC.	1000042403	SEAL RUBBER NEPRENE SMALL N7	Completed	Commodities/Supplies	Authority Contract - Comp	4/27/2020	4/27/2020	Y	10/26/2020	21840.00	21840.00	21840.00	0.00	0	NYS	N	N	Y	Below Dollar Threshold			6 MCCREA HILL RD	BALTIMORE	MD	21200				USA	
ALSTOM SIGNALING OPERATOR LLC	1000042438	BOARD ASSEMBLY IVP1 LDO	Completed	Commodities/Supplies	Authority Contract - Comp	4/28/2020	4/28/2020	Y	12/29/2020	18266.94	18266.94	18266.94	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			2712 S DILLINGHAM RD	GRAN VALLEY	MO	64029				USA	
ALSTOM SIGNALING OPERATOR LLC	1000042457	BOARD ASSEMBLY IVP1 DBO 12V	Open	Commodities/Supplies	Authority Contract - Comp	4/28/2020	4/28/2020	Y	6/8/2021	26534.08	26534.08	26534.08	0.00	2	Foreign	N	N	Y	Below Dollar Threshold			2712 S DILLINGHAM RD	GRAN VALLEY	MO	64029				USA	
SIEMENS MOBILITY INC	1000042485	MST AND BASE 5 IN X 16 FT COMP	Completed	Commodities/Supplies	Authority Contract - Comp	4/29/2020	4/29/2020	Y	6/3/2020	22221.42	22221.42	22221.42	0.00	1	Foreign	N	N	Y	Below Dollar Threshold			PO BOX 3498	CAROL STREAM	IL	60132				USA	
AFFINITY RESOURCES COMPANY, INC.	1000042599	SENSOR SPED MAGNETIC PICK-UP	Completed	Commodities/Supplies	Authority Contract - Comp	5/1/2020	5/1/2020	Y	11/8/2020	16311.00	16311.00	16311.00	0.00	0	Foreign	N	N	Y	Below Dollar Threshold			501 MENDEL ST	UNIT D	SAN FRANCISCO	CA	94124				

Agency	Project ID	Project Name	Category	Contract Type	Start Date	End Date	Y	Q1	Q2	Q3	Q4	YTD	Contract Value	Contract #	Agency	City	State	Zip	Country					
THALES TRANSPORT AND SECURITY, INC	548013-2	R211 Carbone Equipment for the CB	Open	Design and Construction	3/27/2019	3/27/2019	Y	3/26/2024	2325902.00	3961349.92	#####	#####	2	Foreign	N	N	N	N	5500 CORPORATE DR	PITTSBURGH	PA	15237	USA	
SYSTRAC INC	SB1112	Independent Safety Assessor Signal	Open	Consulting Services	11/20/2014	11/18/2014	Y	12/31/2015	3018976.75	96793.66	#####	443045.75	2	NYS	N	Y	5	N	520 8TH AVE STE 2100	NEW YORK	NY	10018	USA	
JACOBS CIVIL CONSULTANTS, INC.	SB1116	IQ Program wide ACM Inspection Ser	Open	Consulting Services	6/1/2016	6/1/2016	Y	6/07/2022	1250000.00	213429.11	#####	#####	5	NYS	N	Y	9	N	5 PENN PLAZA	NEW YORK	NY	10005	USA	
PARSONS TRANSPORTATION GROUP CP	SB1120	Dyre Ave Signal Modernization	Open	Consulting Services	6/1/2016	6/1/2016	Y	6/7/2022	23631182.00	4804573.93	#####	9741630.70	3	NYS	N	Y	4	N	100 BROADWAY	NEW YORK	NY	10005	USA	
PARSONS TRANSPORTATION GROUP CP	SB1236	Cons Services for Design Proc and Co	Open	Consulting Services	12/27/2017	1/8/2018	Y	3/1/2025	4948157.00	1324021.44	#####	2606155.44	3	NYS	N	Y	0	N	100 BROADWAY	NEW YORK	NY	10005	USA	
SYSTRAC ENGINEERING, INC.	SB1567	Consulting Services Design Proc and	Open	Consulting Services	12/20/2017	12/20/2017	Y	12/19/2024	6142101.00	880618.18	#####	3638752.81	3	NYS	N	N	0	N	520 EIGHTH AVE 21ST FLOOR	NEW YORK	NY	10018	USA	
THALES TRANSPORT AND SECURITY, INC	5481199-2	Ultrasound Band Pilot Project	Open	Other Professional Serv	3/15/2019	3/15/2019	Y	6/30/2020	2085970.00	6662229.23	#####	42917.14	3	NYS	N	N	0	N	5500 CORPORATE DR	PITTSBURGH	PA	15237	USA	
ACCOM USA, INC.	51684-02-45-4	CP5 Control Platform	Open	Design and Construction	6/28/2016	6/23/2016	Y	4/30/2020	966589.00	1310977.26	#####	4365.78	1	NYS	N	N	0	N	605 THIRD AVENUE	NEW YORK	NY	10158	USA	
WSP USA INC	01684-02-45-4	CP5 Rocklokema Parking Garage	Open	Consulting Services	4/13/2020	4/13/2020	Y	12/31/2022	282538.00	44010.30	#####	238628.28	1	NYS	N	N	0	N	ONE PENN PLAZA 4TH FL	NEW YORK	NY	10119	USA	
WILLIS TOWERS WATSON NORTHEAST	0000000000	COVID 19 Safety Inspections	Open	Consulting Services	4/7/2020	4/7/2020	Y	2/28/2021	100000.00	458300.00	#####	541700.00	1	NYS	N	N	0	Y	PO BOX 4557	NEW YORK	NY	10261	USA	
SM SCHWARTZ ENGINEERING, DPC	000001160	Implementation and Operation of a	Open	Other	4/15/2020	3/18/2020	Y	4/15/2020	15000.00	117750.00	#####	32250.00	1	NYS	N	N	0	Y	611 BROADWAY	NEW YORK	NY	10012	USA	
STRUCTURAL METAL FABRICATORS, INC	300004681	Emergency Repair Work for the Tunn	Completed	Authority Contract - Non-Co	4/27/2020	4/30/2020	Y	5/1/2020	24917.06	24917.06	0.00	0.00	0	Foreign	N	N	0	Y	1228 LITTLE GAP RD	PALMERTON	PA	18071	USA	
SM SCHWARTZ ENGINEERING, DPC	000001160	Implementation and Operation of a	Open	Other	4/15/2020	3/18/2020	Y	4/15/2020	15000.00	117750.00	#####	32250.00	1	NYS	N	N	0	Y	611 BROADWAY	NEW YORK	NY	10012	USA	
WSP USA INC	6032031458	D81599 IQ Consultant Construction	Open	Consulting Services	12/28/2017	11/18/2018	Y	4/11/2019	4419616.00	448384.93	#####	3971231.07	0	NYS	N	N	0	Y	ONE PENN PLAZA 4TH FL	NEW YORK	NY	10119	USA	
SYSTEMS, INC.	05-MNT-2755	Weather recording monitoring and m	Open	Other	7/25/2006	7/31/2006	#####	6/30/2020	393228.21	0.00	67405.31	0.00	0	NYS	N	N	0	Y	11612 LUBURN PARK ROAD	ST. LOUIS	MO	63146	USA	
VASALA INC	05-MNT-2755-A	Weather Recording System	Open	Design and Construction	7/25/2006	7/25/2006	Y	3/31/2021	97987.82	81093.25	#####	29230.55	1	Foreign	N	N	0	Y	10 D GILL ST	WOBRURN	MA	01801	USA	
JOHN W FISHER AND ASSOCIATES INC	PSC-13-2934	Expert Technical Advisory Services	Open	Consulting Services	9/12/2013	9/12/2013	Y	9/11/2021	50000.00	21993.75	#####	453994.70	1	Foreign	N	N	0	Y	PO BOX 5183	BETHLEHEM	PA	18015	USA	
OVIRKA AND BARTILUCCI CONSULTING	59373-5-5-C	17 Substations	Open	Other Professional Serv	4/30/2008	4/30/2008	Y	8/31/2020	845777.14	2786.97	#####	289658.37	1	NYS	N	N	0	N	330 CROSSWAYS PARK DR	WOODBURY	NY	11797	USA	
SIEMENS INDUSTRY, INC.	6093-1	SCADA MAINTENANCE AND SW	Open	Technology - Consulting	7/1/2013	3/22/2019	Y	3/31/2021	43020.94	0.00	0.00	430200.94	0	NYS	N	N	0	Y	350 KENT AVE	ELK GROVE VILLAGE	IL	60007	USA	
SIEMENS MOBILITY INC	59511980	On Call Staffing and Design Svc	Open	Technology - Consulting	7/1/2013	3/1/2021	Y	2/28/2022	20000.00	0.00	0.00	20000.00	0	NYS	N	N	0	Y	PO BOX 3498	CAROL STREAM	IL	60132	USA	
STV INC	103293	ETS for Port Jervis Main Point	Open	Other Professional Serv	6/31/2019	3/1/2021	Y	5/31/2024	180610.00	998449.99	#####	998449.70	1	NYS	N	N	0	N	225 PARK AVE SOUTH	NEW YORK	NY	10003	USA	
STV INC	83752	GE3 Construction Supervision and In	Open	Consulting Services	11/16/2018	11/20/2018	Y	5/15/2021	274611.20	309972.70	#####	74137.78	20	Foreign	N	N	0	N	225 PARK AVE SOUTH	NEW YORK	NY	10003	USA	
MOTT MACDONALD NY INC	MN114475	Tunnel Inspection and design	Open	Consulting Services	10/20/2017	10/20/2017	Y	11/30/2020	571432.39	251245.99	#####	290496.16	6	NYS	N	N	0	N	225 PARK AVE SOUTH	NEW YORK	NY	10003	USA	
ACCOM USA, INC.	MN114478	CSANDI for Hardside and Scardie	Open	Consulting Services	5/9/2019	5/8/2019	Y	12/31/2021	779980.00	549116.23	#####	391346.93	3	NYS	N	N	0	N	1400 BROADWAY 21ST FL	NEW YORK	NY	10018	USA	
ACCOM USA, INC.	MN114478	CSANDI for Hardside and Scardie	Open	Consulting Services	3/9/2020	3/9/2020	Y	6/9/2022	2359781.00	80379.80	#####	229940.26	4	NYS	N	N	0	N	605 THIRD AVENUE	NEW YORK	NY	10158	USA	
DAYTON T. BROWN INC	MN116298	CSANDI for 3rd Avenue bridge replac	Open	Consulting Services	1/21/2020	1/21/2020	Y	10/20/2021	1341270.65	25900.99	#####	109036.66	1	NYS	N	N	0	Y	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119	USA	
WILLIS TOWERS WATSON NORTHEAST	0000000000	COVID 19 Safety Inspections	Open	Consulting Services	4/7/2020	4/7/2020	Y	2/28/2021	100000.00	458300.00	#####	541700.00	1	NYS	N	N	0	Y	PO BOX 4557	NEW YORK	NY	10261	USA	
ALSTOM SIGNALING INC	60000000020	MOW Complete Detent	Completed	Commodities/Supplies	3/23/2018	3/23/2018	Y	3/22/2019	31132.50	31132.50	0.00	0.00	1	NYS	N	N	0	Y	353 LEXINGTON AVENUE SUITE 800	NEW YORK	NY	14620	USA	
HITACHI RAIL STS USA INC	60000000021	MOWF ELAY PN150 EV2 02	Completed	Commodities/Supplies	6/6/2018	6/6/2018	Y	6/5/2019	135590.00	96580.00	#####	135590.00	0	0	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
ALSTOM SIGNALING OPERATION LLC	60000000021	MOW FRAME LOCKING G3 13 180	Open	Commodities/Supplies	7/25/2018	7/28/2018	Y	7/24/2019	33600.00	33600.00	#####	33600.00	0	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA
ALSTOM SIGNALING OPERATION LLC	60000000021	MOW BAR THROW LH 03 12 19	Completed	Commodities/Supplies	7/30/2018	7/30/2018	Y	7/29/2019	49500.00	49500.00	#####	49500.00	0	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA
HITACHI RAIL STS USA INC	60000000022	MOW F RELAY 02 08 5000	Completed	Commodities/Supplies	1/18/2019	1/18/2019	Y	1/17/2020	99325.08	52919.10	#####	99325.08	0	1	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	60000000022	MOW F RELAY 02 08 5000	Completed	Commodities/Supplies	2/8/2019	2/8/2019	Y	2/7/2020	523590.00	0.00	#####	523590.00	0	1	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	60000000023	MOW Switch Relay 02 08 7540	Completed	Commodities/Supplies	2/8/2019	2/8/2019	Y	2/7/2020	289725.00	0.00	#####	289725.00	0	1	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
ALSTOM SIGNALING OPERATION LLC	60000000023	MOW ALSTOM OMNIBUS RELEASES	Open	Commodities/Supplies	2/27/2019	2/27/2019	Y	2/26/2020	20054.80	20054.80	0.00	0.00	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA	
ALSTOM SIGNALING OPERATION LLC	60000000023	MOW HS SWITCH MACHINE LEFT	Open	Commodities/Supplies	3/1/2019	3/1/2019	Y	2/29/2020	953910.00	635940.00	#####	319790.00	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA	
ALSTOM SIGNALING OPERATION LLC	60000000023	MOWF HS SWITCH MACHINE RH 0	Open	Commodities/Supplies	3/5/2019	3/5/2019	Y	3/4/2020	953910.00	741930.00	#####	211980.00	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA	
ALSTOM SIGNALING OPERATION LLC	60000000023	MOW ALSTOM OMNIBUS RELEASES	Completed	Commodities/Supplies	3/8/2019	3/8/2019	Y	3/7/2020	47391.75	47391.75	#####	47391.75	0	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA
EXCEL ELEVATOR AND ESCALATOR CORP	60000000023	System Wide Escalator Safety C	Open	Other	3/19/2019	3/1/2019	Y	2/29/2020	1138937.50	156048.75	#####	151537.50	3	NYS	N	N	0	Y	PO BOX 80284	STATEN ISLAND	NY	10308	USA	
ALSTOM SIGNALING OPERATION LLC	60000000023	MOW detent complete 03 13 0	Open	Commodities/Supplies	3/26/2019	3/26/2019	Y	3/25/2020	261184.00	163240.00	#####	97940.00	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA	
HITACHI RAIL STS USA INC	60000000023	SIG MACHINE SWITCH VARIOUS	Completed	Commodities/Supplies	5/16/2019	5/16/2019	Y	5/15/2020	31680.00	31680.00	#####	31680.00	0	1	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	60000000023	SIG MACHINE SWITCH VARIOUS	Completed	Commodities/Supplies	5/17/2019	5/17/2019	Y	5/16/2020	29097.60	0.00	#####	29097.60	0	1	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
ALSTOM SIGNALING OPERATION LLC	60000000024	SIG Model T Form B Switch C	Open	Commodities/Supplies	5/28/2019	5/28/2019	Y	5/27/2020	61300.00	0.00	#####	61300.00	0	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA
HITACHI RAIL STS USA INC	60000000024	SIG HS SWITCH MOTOR PARTS RE	Completed	Commodities/Supplies	6/5/2019	6/5/2019	Y	6/4/2020	91089.11	0.00	#####	91089.11	0	1	Foreign	N	N	0	Y	P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
ALSTOM SIGNALING OPERATION LLC	60000000024	SIG BAR THROW RIGHT HAND 0	Open	Commodities/Supplies	7/15/2019	7/15/2019	Y	7/14/2020	2652.20	2652.20	#####	2652.20	0	1	Foreign	N	N	0	Y	2712 S DILLINGHAM RD	GRAN VALLEY	MI	64029	USA
WSP USA INC	D81599	IQ Consultant Construction Manage	Open	Consulting Services	7/25/2017	7/25/2017	Y	12/31/2019	4220000.00	476141.33	#####	#####	1	NYS	N	N	0	Y	ONE PENN PLAZA 4TH FL	NEW YORK	NY	10119	USA	
TY LIN INT ENGINEERING AND ARCHIT	D81617 0PR	IQ Consultant Construction Manage	Open	Consulting Services	10/20/2017	10/20/2017	Y	10/19/2018	9940231.00	1248065.54	#####	1745687.89	1	NYS	N	N	0	Y	110 WILLIAM ST 29TH FL	NEW YORK	NY	10038	USA	
SIEMENS INDUSTRY, INC.																								

THORNTON TOMASETTI INC	PSC-16-2991N	As-Needed Design	Open	Design and Construction	Authority Contract - Compes	7/11/2017	7/12/2017	Y	7/11/2017	5130156.36	742322.96	#####	399946.61	10	NYS	N	Y	5	N	40 WALL ST	NEW YORK	NY	10005		USA	
THORNTON TOMASETTI INC	PSC-18-30178	2018 Biennial Bridge Inspection AND	Open	Design and Construction	Authority Contract - Compes	4/24/2018	6/21/2019	Y	12/31/2021	1886356	995900.21	#####	518254.81	6	NYS	N	Y	0	N	40 WALL ST	NEW YORK	NY	10005		USA	
TRANSORCE	19-CBOT-2978	OBOM CBOT CAPITAL	Open	Technology - Consulting	Authority Contract - Compes	10/31/2019	10/31/2019	Y	12/31/2026	259703669.00	55174588.87	#####	#####	3	Foreign	N	Y	0	N	(F.K.A) SYNTONIC TECHM	CHICAGO	IL	60694	6100	USA	
TRANSORCE	PSC-13-2949	ORT - CAPITAL	Open	Other	Authority Contract - Compes	7/21/2014	7/21/2014	Y	7/20/2022	79529206.00	8476243.48	#####	#####	3	Foreign	N	Y	8	N	(F.K.A) SYNTONIC TECHM	CHICAGO	IL	60694	6100	USA	
TRANSORCE	PSC-13-2949A	ORT Operating	Open	Other Professional Serv	Authority Contract - Compes	7/21/2014	7/21/2014	Y	7/20/2022	92172602.00	10984217.27	#####	#####	3	Foreign	N	Y	8	N	(F.K.A) SYNTONIC TECHM	CHICAGO	IL	60694	6100	USA	
TRC	PSC-19-3029	Safety Monitoring Services	Open	Consulting Services	Authority Contract - Compes	12/11/2019	12/11/2019	Y	12/10/2021	2996470.00	406682.86	#####	46996.86	3	Foreign	N	Y	0	N	21 GRIFFIN RD N	WINDSOR	CT	06095		USA	
TRICON CONSTRUCTION COMPANY INC	PSC-19-3028	CB-18 Stipend Agreement	Completed	Design and Construction	Authority Contract - Compes	12/31/2018	12/31/2018	Y	6/30/2021	75000.00	0.00	#####	#####	4	NYS	N	Y	0	N	30 CHURCH ST	LIBERTY CORNER	NY	07938		USA	
TRIP CONSULTANTS INC	PSC-23-2978	Trucks Vehicle Counting	Open	Other Professional Serv	Authority Contract - Compes	7/28/2017	7/28/2017	Y	7/27/2022	6391276.00	44005.49	#####	#####	2	NYS	N	Y	0	N	110 W 87TH ST	BROOKLYN	NY	11205		USA	
TRIPORT INTERNATIONAL A. G. E. R. IN	12-MMT-2902	Heavy Duty Vehicles	Open	Consulting Services	Authority Contract - Compes	4/30/2014	7/1/2015	Y	12/31/2021	1078000.00	5333.78	#####	#####	2	NYS	N	Y	1	N	150 - 46 183RD ST	FACILITY #750	BAHAMCA	NY	11413	USA	
TRIPORT INTERNATIONAL A. G. E. R. IN	12-MMT-2921	Aerial Lift Maintenance	Open	Consulting Services	Authority Contract - Compes	3/1/2015	3/1/2015	Y	2/28/2022	283400.00	17632.30	#####	#####	2	NYS	N	Y	0	N	150 - 46 183RD ST	FACILITY #750	BAHAMCA	NY	11413	USA	
TULLY NUOJO JV LLC	HH-07A/7H-30	Misc Structural Rehab and Repairs AN	Open	Design and Construction	Authority Contract - Compes	12/23/2019	12/24/2019	Y	3/23/2022	43003200.00	10674532.41	#####	#####	5	NYS	N	Y	0	N	127-50 NORTHERN BLVD	FLUSHING	NY	11368		USA	
UNLIMITED FIRE AND SECURITY LLC	PSC-16-29910	As-Needed Design	Open	Design and Construction	Authority Contract - Compes	7/10/2017	7/10/2017	Y	7/9/2023	2201617.75	1454505.85	#####	#####	4	NYS	N	Y	5	N	110 WILLIAM ST, 29TH FL	NEW YORK	NY	10038		USA	
UNLIMITED FIRE AND SECURITY LLC	16-MMT-295X4	Maintenance of Fire and Smoke Alarm	Open	Design and Construction	Authority Contract - Compes	3/20/2018	3/20/2018	Y	3/30/2021	383362.70	71143.69	#####	#####	1	NYS	N	Y	0	N	20 SENN DR	CHESTER SPRINGS	PA	19425		USA	
URS CORP	PSC-11-2901	OH for QM-30 QMT Electrical Use	Open	Design and Construction	Authority Contract - Compes	10/4/2012	10/4/2012	Y	3/31/2020	657947.62	614526.58	#####	#####	4	NYS	N	Y	1	N	ALISA OTTENI, SENIOR PR	CLIFTON PARK	NY	12065		USA	
URS CORP	PSC-12-2920	Comp Tunnel Insp And QM-40 Desig	Open	Design and Construction	Authority Contract - Compes	2/15/2013	2/15/2013	Y	2/15/2020	12283483.14	275652.72	#####	#####	3	NYS	N	Y	3	N	ALISA OTTENI, SENIOR PR	CLIFTON PARK	NY	12065		USA	
VAESLA INC	16-MMT-2956	RWIS - Operating	Open	Design and Construction	Authority Contract - Compes	12/22/2017	12/22/2017	Y	12/21/2021	838768.00	0.00	#####	#####	1	Foreign	N	Y	0	N	10 - D GILL ST	WOBURN	MA	01801		USA	
VAESLA INC	16-MMT-2956A	RWIS - Capital	Open	Design and Construction	Authority Contract - Compes	12/22/2017	12/22/2017	Y	12/21/2021	2728841.00	0.00	#####	#####	1	Foreign	N	Y	0	N	10 - D GILL ST	WOBURN	MA	01801		USA	
WEEKS MARINE INC	PSC-19-3026C	CB-18 Stipend Agreement	Completed	Design and Construction	Authority Contract - Compes	12/31/2018	12/31/2018	Y	6/30/2021	75000.00	0.00	#####	#####	4	NYS	N	Y	0	N	4 COMMERCE DRIVE	CRANFORD	NY	07016	3598	USA	
WEDINGER ASSOCIATES, INC	PSC-11-2897	Design for TH-49 Stop Deck	Open	Design and Construction	Authority Contract - Compes	3/20/2012	3/20/2012	Y	6/30/2024	14848155.73	1958760.53	#####	#####	4	NYS	N	Y	0	N	PARSONS BRINCKERHOFF	375 HUDSON ST	NEW YORK	NY	10014	3656	USA
WSP USA INC	PSC-19-3078A	2016 Biennial Inspection RR Bridge	Open	Design and Construction	Authority Contract - Compes	4/5/2016	4/5/2016	Y	12/31/2021	3499992.90	0.00	#####	#####	2	NYS	N	Y	0	N	555 PLEASANTVILLE ROAD	PO BOX 2650	BRARCLIFF MANOR	NY	10510	USA	
WSP USA INC	PSC-14-2923A	Environmental Study As-Needed	Open	Other Professional Serv	Authority Contract - Compes	8/5/2014	2/1/2018	Y	8/4/2021	3351600.00	#####	#####	#####	4	NYS	N	Y	0	N	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
WSP USA INC	PSC-16-2950	RW-39 RW-60 Oversight	Open	Design and Construction	Authority Contract - Compes	13/27/2017	13/27/2017	Y	10/26/2021	4414133.25	863933.51	#####	#####	4	NYS	N	Y	0	N	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
WSP USA INC	PSC-16-2991P	As-Needed Design	Open	Design and Construction	Authority Contract - Compes	4/12/2017	4/12/2017	Y	4/11/2022	10511653.70	2138239.70	#####	#####	2	NYS	N	Y	5	N	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
WSP USA INC	PSC-17-3005B	2018 Biennial Bridge Insp And Desig	Open	Design and Construction	Authority Contract - Compes	4/18/2018	4/18/2018	Y	12/31/2020	2551164.52	542467.39	#####	#####	8	NYS	N	Y	1	N	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
WSP USA INC	PSC-18-3017C	2019 Biennial Bridge Insp And Desig	Open	Design and Construction	Authority Contract - Compes	4/24/2019	6/21/2019	Y	12/31/2021	1892666.06	678330.26	#####	#####	6	NYS	N	Y	0	N	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
WSP USA INC	PSC-19-3035A	2020 Biennial Insp And Des of Msc	Open	Design and Construction	Authority Contract - Compes	4/9/2020	4/9/2020	Y	12/31/2022	3238234.12	1494046.54	#####	#####	6	NYS	N	Y	0	N	ONE PENN PLAZA, 4TH FL	NEW YORK	NY	10119		USA	
WSPUR A JOINT VENTURE	PSC-12-2906	Design Services for Project HH-88 Re	Open	Design and Construction	Authority Contract - Compes	1/4/2013	1/4/2013	Y	7/4/2021	15283400.23	378563.34	#####	#####	6	NYS	N	Y	0	N	PO BOX 2650	BRARCLIFF MANOR	NY	10510		USA	
WSP-SELLS INTS JV	PSC-11-2885	Design Bronx Manhattan toll plazas	Open	Design and Construction	Authority Contract - Compes	12/30/2011	12/30/2011	Y	12/31/2020	22066538.97	0.00	#####	#####	8	NYS	N	Y	0	N	555 PLEASANTVILLE RD	BRARCLIFF MANOR	NY	10510		USA	
WSP-SELLS INTS JV	PSC-14-2957	Feasibility Study and Conceptual Des	Open	Consulting Services	Authority Contract - Compes	12/24/2014	12/24/2014	Y	12/31/2020	907386.33	461243.99	#####	#####	3	NYS	N	Y	0	N	555 PLEASANTVILLE RD	BRARCLIFF MANOR	NY	10510		USA	
YABOO FENCE CO. INC.	15-MMT-2945B	Fence and Gateways	Open	Design and Construction	Authority Contract - Compes	1/1/2016	8/27/2019	Y	7/31/2021	35000.00	163954.70	#####	#####	1	Foreign	N	Y	0	N	95 WEST NYACK WAY	WEST NYACK	NY	10994		USA	
AAA NORTHEAST	300000000000	Driver Impr Pro Training Class	Open	Other Professional Serv	Authority Contract - Non-Co	1/13/2020	1/13/2020	Y	1/12/2021	28750.00	15000.00	#####	#####	1	NYS	N	N	0	Y	1405 KELLUM PLACE	GARDEN CITY	NY	11530		USA	
CSX TRANSPORTATION, INC.	MOU-14-65A	Agreement to Support Project RR-65	Open	Other	Authority Contract - Non-Co	10/30/2014	10/30/2014	Y	12/31/2020	24503.00	0.00	#####	#####	1	Foreign	N	N	0	Y	PO BOX 116628	ATLANTA	GA	30368	8628	USA	
CSX TRANSPORTATION, INC.	MOU-14-65B	Agreement to Support Project RR-76	Open	Other	Authority Contract - Non-Co	10/30/2014	10/30/2014	Y	12/31/2020	400709.00	0.00	#####	#####	1	Foreign	N	N	0	Y	PO BOX 116628	ATLANTA	GA	30368	8628	USA	
JOHN W FISHER AND ASSOCIATES INC	PSC-13-2924	Expert Technical Advisory Services	Open	Consulting Services	Authority Contract - Non-Co	9/13/2013	9/13/2013	Y	8/11/2023	500000.00	21993.75	#####	#####	1	Foreign	N	Y	0	N	P.O. BOX 5183	BETHLEHEM	PA	18015	0183	USA	
LEHIGH UNIVERSITY	PSC-15-2974	Prototype Orthopedic Deck Panel Lab	Completed	Other	Authority Contract - Non-Co	2/29/2016	2/29/2016	Y	9/29/2020	296194.99	131325.87	#####	#####	0	NYS	N	Y	0	N	117 ATLIS DRIVE	BETHLEHEM	PA	18015		USA	
NOMA - US DEPARTMENT OF COMMERCE	MOA-2011-02	Air Gap Measurement System Vant	Open	Other Professional Serv	Authority Contract - Non-Co	8/1/2012	8/1/2012	Y	7/31/2022	78900.00	15918.00	#####	#####	1	Foreign	N	Y	0	N	PO BOX 97908	P. O. BOX 840	NEW YORK	NY	10008	0840	USA
NYS FIRE DEPT	CPSC-96-243D	NCFD Firefighting Training fo	Open	Other Professional Serv	Authority Contract - Non-Co	4/22/1996	4/22/1996	Y	6/30/2021	20943.95	0.00	#####	#####	0	NYS	N	N	0	Y	CHURCH STREET STATION	P. O. BOX 840	NEW YORK	NY	10008	0840	USA
NYS FIRE DEPT	CPSC-96-244D	NCFD Firefighter Refresher Tr	Open	Other Professional Serv	Authority Contract - Non-Co	4/22/1996	4/22/1996	Y	6/30/2021	439707.32	0.00	#####	#####	0	NYS	N	N	0	Y	CHURCH STREET STATION	P. O. BOX 840	NEW YORK	NY	10008	0840	USA
NYS TRANSIT AUTHORITY	CMDU-2/28/52	Drug and Alcohol Testing Prog	Open	Other Professional Serv	Authority Contract - Non-Co	3/1/1992	3/1/1992	Y	2/28/2025	919200.00	0.00	#####	#####	0	NYS	N	Y	0	N	USE FOR CAPITAL IMPACT	2 BROADWAY	NEW YORK	NY	10004		USA
NYS TRANSIT AUTHORITY	CMDU-3/28/20	MOU with NYCT for construction	Open	Other	Authority Contract - Non-Co	3/28/2002	3/28/2002	Y	3/27/2021	11356934.00	0.00	#####	#####	1	NYS	N	Y	0	N	USE FOR CAPITAL IMPACT	2 BROADWAY	NEW YORK	NY	10004		USA
NYS TRANSIT AUTHORITY	CPSC-97-247E	MOU with NYCT for Commercial D	Open	Other	Authority Contract - Non-Co	5/28/1997	9/30/1996	Y	12/31/2021	58815.35	0.00	#####	#####	0	NYS	N	Y	0	N	USE FOR CAPITAL IMPACT	2 BROADWAY	NEW YORK	NY	10004		USA
RAPID TOLL SYSTEMS INC	17-OF5-2965	Data Logger - Agency Wide	Open	Design and Construction	Authority Contract - Non-Co	12/14/2017	12/14/2017	Y	8/24/2024	548858.00	50000.00	#####	#####	1	Foreign	N	N	0	Y	1404 STONEVIEW COURT	PLANO	TX	75093		USA	
RAPID TOLL SYSTEMS INC	17-OF5-2965A	Operating- Data Logger	Open	Other	Authority Contract - Non-Co	7/13/2020	7/14/2020	Y	8/24/2024	141126.03	75666.43	#####	#####	0	NYS	N	N	0	Y	1404 STONEVIEW COURT	PLANO	TX	75093		USA	
STAPLES	3000004884	Staples Supplies	Open	Commodities/Supplies	Authority Contract - Non-Co	12/14/2020	12/14/2020	Y	12/16/2020	44468.97	39790.69	#####	#####	4	Foreign	N	Y	0	N	500 STAPLES DRIVE	FRAMINGHAM	MA	01702		USA	
VAESLA INC	15-MMT-2955A	Recording System	Open	Design and Construction	Authority Contract - Non-Co	7/25/2006	7/25/2006	Y	3/31/2021	979897.82	81093.25	#####	#####	2	Foreign	N	N	0	Y	10 - D GILL ST	WOBURN	MA	01801		USA	
ASSURID ENVIRONMENTS	300000000000	Rec of Central Services	Open	Design and Construction	Non Contract Procurement/Purchase Order	19873.49				19873.49					NYS Vendor					45 BROADWAY, 10TH FL	NEW YORK	NY	10006		USA	
IRON MOUNTAIN INC	TRTA-2054A	Records Management Services MTA	Open	Other Professional Serv	Non Contract Procurement/Purchase Order	122801.51				122801.51					NYS											

CORCRFT - NYS DEPT OF CORRECTIONAL SVS	70-17-1616 FLUID WINDSHIELD	Completed	Commodities/Supplies	Authority Contract - Compet	9/6/2019	10/1/2019	Y	3/31/2020	30316.00	18422.80	27051.20	3264.80	1	N	N							550 BROADWAY	ALBANY	NY	12204		USA	
CORCRFT - NYS DEPT OF CORRECTIONAL SVS	70-17-1616 FLUID WINDSHIELD	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2020	10/8/2020	Y	4/8/2021	30316.00	2798.40	2798.40	27517.60	1	N	N							550 BROADWAY	ALBANY	NY	12204		USA	
COUNTY TRUCK AND AUTO SERVICE INC	Unscheduled Maintenance	Open	Other	Authority Contract - Compet	4/23/2020	5/15/2020	Y	5/14/2025	42125.63	7345.09	7345.09	34780.54	5	N	N							140 E MERRICK RD	VALLEY STREAM	NY	11580		USA	
COUNTY TRUCK AND AUTO SERVICE INC	Unscheduled Maintenance	Open	Commodities/Supplies	Authority Contract - Compet	7/29/2014	7/29/2014	Y	1/31/2020	139337.42	24757.89	139298.84	38.58	5	N	Y	1						140 E MERRICK RD	VALLEY STREAM	NY	11580		USA	
CUMMINS SALES AND SERVICE	79-25-0064 Pump Water Cummi	Open	Commodities/Supplies	Authority Contract - Compet	8/20/2019	11/30/2019	Y	11/19/2020	43317.72	0.00	0.00	43317.72	3	N	Y	0						435 BERGEN AVE	NEARBY	NJ	07032		USA	
CUMMINS SALES AND SERVICE	81-33-2042 FITTING CONNECTOR	Open	Commodities/Supplies	Authority Contract - Compet	7/10/2019	10/10/2019	Y	10/9/2021	140196.00	44629.34	61052.02	79149.38	3	N	Y	0						435 BERGEN AVE	NEARBY	NJ	07032		USA	
CUMMINS SALES AND SERVICE	CUMMINS REMAN PB CONTRACT	Open	Commodities/Supplies	Authority Contract - Compet	7/31/2020	7/31/2020	Y	7/30/2021	620000.00	59276.33	59276.33	560723.67	3	N	Y	0						435 BERGEN AVE	NEARBY	NJ	07032		USA	
CUMMINS SALES AND SERVICE	81-39-1807 Valve Fuel Flow C	Open	Commodities/Supplies	Authority Contract - Compet	3/8/2019	4/19/2019	Y	9/30/2020	301236.33	10093.34	10296.40	291139.93	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-39-1088VALVE AIR CONTROL	Completed	Commodities/Supplies	Authority Contract - Compet	7/23/2018	3/8/2018	Y	6/21/2020	41112.73	9136.16	29663.53	11420.20	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	86-39-8227 SENSOR ASSEMBLY	Completed	Commodities/Supplies	Authority Contract - Compet	3/13/2018	4/13/2018	Y	6/12/2020	119878.90	15584.87	94207.05	19771.85	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	87-45-0001 FILTER ENGINE AIR	Open	Commodities/Supplies	Authority Contract - Compet	6/14/2018	8/20/2018	Y	3/13/2021	83434.00	26538.43	63538.20	18985.80	2	N	Y	1							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-21-0028 Assembly Fan Sump	Open	Commodities/Supplies	Authority Contract - Compet	7/24/2018	7/24/2018	Y	12/31/2020	123684.80	52431.60	115887.28	7797.52	3	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	88-39-0834 Module Engine Con	Open	Commodities/Supplies	Authority Contract - Compet	9/25/2018	1/11/2019	Y	12/24/2020	323383.68	134199.39	296996.65	26385.03	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	88-39-0800MODULE IGNITION	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2018	2/1/2019	Y	1/7/2021	248512.06	180619.59	235702.16	12899.90	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	97-78-2064 Catalyst Module	Open	Commodities/Supplies	Authority Contract - Compet	10/2/2018	10/9/2018	Y	1/11/2021	43636.32	0.00	21818.16	21818.16	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	88-39-8338HARNESS ENGINE ETR	Open	Commodities/Supplies	Authority Contract - Compet	10/16/2018	10/30/2018	Y	1/15/2021	136915.82	59996.62	106148.22	30767.60	2	N	Y	1							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-21-0025 Belt V Ribbed A	Completed	Commodities/Supplies	Authority Contract - Compet	1/31/2019	2/14/2019	Y	4/30/2020	24264.00	6713.04	15690.72	8573.28	4	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-39-1124SPARK PLUG ISL-G AN	Completed	Commodities/Supplies	Authority Contract - Compet	11/27/2018	12/11/2018	Y	2/26/2020	114416.25	14564.96	90905.44	23510.81	1	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	87-21-0132 ELEMENT SIEG	Open	Commodities/Supplies	Authority Contract - Compet	4/6/2019	5/7/2019	Y	7/7/2021	42416.00	12689.60	23936.00	18480.00	3	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	97-78-9099 Filter Diesel Par	Open	Commodities/Supplies	Authority Contract - Compet	7/17/2019	8/26/2019	Y	7/23/2021	135555.00	67777.50	115271.75	20325.25	4	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-39-1807 VALVE FUEL FLOW C	Open	Commodities/Supplies	Authority Contract - Compet	5/1/2019	6/13/2019	Y	7/23/2021	122222.00	78888.76	89222.86	32999.94	3	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	97-78-0794 Filter DIESEL	Open	Commodities/Supplies	Authority Contract - Compet	3/28/2019	4/11/2019	Y	9/27/2020	406100.30	205187.53	376177.13	29923.18	3	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	79-33-0959 KIT WATER PUMP IN	Open	Commodities/Supplies	Authority Contract - Compet	4/1/2019	4/15/2019	Y	6/30/2021	46943.00	12377.40	22677.08	24265.92	4	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	78-25-0023 CLAMP V-BAND REEL	Open	Commodities/Supplies	Authority Contract - Compet	6/6/2019	8/11/2019	Y	9/6/2021	77770.00	25805.50	30542.40	47227.60	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	87-39-1007 ACTUATOR FUEL CON	Open	Commodities/Supplies	Authority Contract - Compet	8/1/2019	10/20/2019	Y	10/31/2021	50511.30	39446.62	39446.92	11064.38	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	79-39-1351 KIT INSTALLATION	Open	Commodities/Supplies	Authority Contract - Compet	8/21/2019	10/31/2019	Y	11/20/2021	65332.68	19555.36	19555.36	45777.32	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-39-1071 Coil	Open	Commodities/Supplies	Authority Contract - Compet	7/25/2019	10/24/2019	Y	10/24/21	734947.50	304898.22	341995.57	392951.93	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	79-25-0064 Pump Water Cummi	Open	Commodities/Supplies	Authority Contract - Compet	8/20/2019	11/30/2019	Y	11/19/2020	43317.72	18492.36	18492.36	24825.36	3	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	78-25-0024 CATALYST Section	Open	Commodities/Supplies	Authority Contract - Compet	9/12/2019	12/20/2019	Y	12/11/2020	575999.10	159999.75	159999.75	415999.35	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	87-17-0018 FILTER ELEMENT	Open	Commodities/Supplies	Authority Contract - Compet	10/25/2019	1/11/2020	Y	1/24/2022	33436.20	6452.60	6452.60	26983.60	7	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-39-1124 SPARK PLUG ISL-G AN	Open	Commodities/Supplies	Authority Contract - Compet	11/14/2019	2/27/2020	Y	2/13/2021	116352.00	39915.20	39915.20	76436.80	5	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	78-39-1101 COOLER EGR	Open	Commodities/Supplies	Authority Contract - Compet	10/28/2020	11/11/2020	Y	1/27/2022	109990.80	0.00	0.00	109990.80	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	81-39-1807 VALVE FUEL SHIF	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2020	10/27/2020	Y	1/7/2022	349999.50	699.99	699.99	349299.51	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	78-39-0060 Coolant Header Gas	Open	Commodities/Supplies	Authority Contract - Compet	13/21/2020	1/14/2021	Y	3/20/2021	52377.25	0.00	0.00	52377.25	2	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	88-39-0834 MODULE ENGINE CONT	Open	Commodities/Supplies	Authority Contract - Compet	13/14/2020	12/25/2020	Y	3/13/2023	339220.96	0.00	0.00	339220.96	1	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	87-25-0005 FILTER FUEL	Open	Commodities/Supplies	Authority Contract - Compet	12/30/2020	1/30/2021	Y	3/29/2023	55926.50	0.00	0.00	55926.50	6	N	Y	0							435 BERGEN AVE	NEARBY	NJ	07032		USA
CUMMINS SALES AND SERVICE	88-25-0081 REGULATOR VOLTAGE	Open	Commodities/Supplies	Authority Contract - Compet	1/18/2019	3/20/2019	Y	4/17/2021	116034.00	49894.62	87412.28	28621.72	7	N	Y	0							1503 CLARK ST RD	AUBURN	NY	13021		USA
DART SEASONAL PRODUCTS INC	Joint w NYCT 6-27317	Open	Commodities/Supplies	Authority Contract - Compet	12/14/2020	12/14/2020	Y	6/8/2021	64800.00	0.00	0.00	64800.00	3	N	Y	0							100 CEDARHURST AVE	CEDARHURST	NY	11516		USA
DAVE ENGINEERING, PC	Environmental Consulting SVS	Open	Commodities/Supplies	Authority Contract - Compet	3/27/2015	3/27/2015	Y	6/30/2021	257500.00	18277.59	172848.06	84651.94	13	N	Y	0						1563 LVELL AVE	ROCHESTER	NY	14606		USA	
DECAROLS TRUCK RENTAL INC	88-75-0053 Starter Motor 50MT	Completed	Commodities/Supplies	Authority Contract - Compet	10/3/2017	1/9/2018	Y	1/2/2020	86273.52	4714.40	82030.56	4242.96	6	N	Y	0							1465 EMERSON ST	ROCHESTER	NY	14606		USA
DECAROLS TRUCK RENTAL INC	88-75-0059 Belt Alternator	Open	Commodities/Supplies	Authority Contract - Compet	7/8/2019	8/7/2019	Y	10/7/2021	37744.60	7733.04	11599.56	26145.04	5	N	Y	0							1465 EMERSON ST	ROCHESTER	NY	14606		USA
DETZI COURT REPORTING	Stenographic Court Reporting	Open	Consulting Services	Authority Contract - Compet	11/1/2019	11/1/2019	Y	10/31/2024	547262.00	0.00	0.00	547262.00	7	N	Y	5							100 MERRICK RD, STE# 320W	ROCKVILLE CENTRE	NY	11450		USA
DIETH AND SONS, INC.	FREIGHTLINER PARTS	Open	Commodities/Supplies	Authority Contract - Compet	1/27/2017	1/27/2017	Y	1/26/2021	65000.00	5822.37	44037.77	20961.23	2	N	Y	0							129-41 ATLANTIC AVE	RICHMOND HILL	NY	11418		USA
DIESEL RADIATOR CO INC	79-75-0237 Radiator Assembly	Completed	Commodities/Supplies	Authority Contract - Compet	10/3/2018	11/19/2018	Y	1/2/2020	487200.00	77																		

MUNCIE TRANSIT SUPPLY	87-75-0044 TENSIONER BELT CA	Open	Commodities/Supplies	Authority Contract - Compst	2/4/2019	4/6/2019	Y	5/3/2021	57134.00	30811.55	44482.90	12651.10	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	78-75-0014 Module	Open	Commodities/Supplies	Authority Contract - Compst	11/19/2018	1/25/2019	Y	2/18/2021	343278.60	39231.84	93175.63	250102.98	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	78-75-0023 AFTERCOOLER CORE	Open	Commodities/Supplies	Authority Contract - Compst	1/2/2019	2/16/2019	Y	4/1/2021	81083.10	10038.86	32433.24	48649.86	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	76-39-1000 SHOCK ABSORBER	Open	Commodities/Supplies	Authority Contract - Compst	11/15/2018	2/7/2019	Y	2/14/2021	53581.50	16074.45	39073.95	14543.55	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-75-0007 Slack Adjuster	Open	Commodities/Supplies	Authority Contract - Compst	11/14/2018	11/15/2018	Y	2/13/2021	72634.20	27202.22	68290.39	43431.81	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-67-0005 SENSOR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compst	11/16/2018	12/31/2018	Y	2/15/2021	45600.00	13024.50	27445.50	18154.50	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	81-79-0045 Head Automatic	Open	Commodities/Supplies	Authority Contract - Compst	12/5/2018	3/21/2019	Y	3/4/2021	67783.95	34413.39	53194.33	14599.62	2	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	79-39-1166 LOWER ASSEMBLY DE	Open	Commodities/Supplies	Authority Contract - Compst	1/8/2019	8/19/2019	Y	3/6/2021	36558.17	20365.65	30776.76	6281.41	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	88-69-0137 Lamp Lid Buh V3	Open	Commodities/Supplies	Authority Contract - Compst	1/3/2019	4/13/2019	Y	4/2/2021	94435.00	9203.13	22034.97	71410.03	5	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	88-65-1054 PENDANT RICON WH	Open	Commodities/Supplies	Authority Contract - Compst	13/28/2018	4/22/2019	Y	7/27/2020	97500.00	31460.00	74880.00	23620.00	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	81-75-0107 Pulley Idler Eng	Open	Commodities/Supplies	Authority Contract - Compst	4/8/2019	7/19/2019	Y	12/31/2020	72604.35	49781.00	65432.70	7180.65	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-19-8004 SLACK ADJUSTER CJ	Open	Commodities/Supplies	Authority Contract - Compst	2/6/2019	5/24/2019	Y	5/5/2021	83577.10	23889.42	37520.02	46057.08	5	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	97-78-0807 Filter MODULE EXHA	Open	Commodities/Supplies	Authority Contract - Compst	2/21/2019	3/4/2019	Y	10/27/2020	129505.00	349580.00	#####	0.00	2	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	92-91-0042 Kit Operator's	Open	Commodities/Supplies	Authority Contract - Compst	2/27/2019	5/28/2019	Y	5/26/2021	102775.20	37061.36	81130.12	21645.08	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	91-79-0692 CYLINDER ASSEMB	Open	Commodities/Supplies	Authority Contract - Compst	3/19/2019	4/19/2019	Y	9/18/2020	36234.80	2588.20	28470.20	7764.60	2	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-19-8003 SLACK ADJUSTER ST	Open	Commodities/Supplies	Authority Contract - Compst	2/26/2019	6/2/2019	Y	5/25/2021	80111.25	20081.22	35818.63	44292.62	6	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-87-0027 KIT KING PIN	Open	Commodities/Supplies	Authority Contract - Compst	4/30/2019	6/16/2019	Y	7/29/2021	81042.50	28585.90	46709.95	34332.55	7	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	81-75-0003 PULLEY CRANKSHAFT	Open	Commodities/Supplies	Authority Contract - Compst	5/17/2019	6/15/2019	Y	8/16/2021	64589.28	18454.08	33448.02	31141.26	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-75-0003 Tie Rod Assembly	Open	Commodities/Supplies	Authority Contract - Compst	4/18/2019	7/25/2019	Y	7/17/2021	65967.24	32983.62	47739.45	18227.79	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	81-75-0199 Cooler Engine Oil	Open	Commodities/Supplies	Authority Contract - Compst	4/16/2019	7/16/2019	Y	7/14/2021	84790.75	18889.12	31655.96	53136.79	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	85-75-0039 Module-ED IH	Open	Commodities/Supplies	Authority Contract - Compst	4/15/2019	7/16/2019	Y	7/14/2021	35740.00	7084.10	10364.60	23275.40	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	95-75-0018 SLACK ADJUSTER L	Open	Commodities/Supplies	Authority Contract - Compst	5/16/2019	8/5/2019	Y	3/31/2021	96449.00	47654.15	65772.35	30672.60	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-39-1131 Kit Horn Buh	Open	Commodities/Supplies	Authority Contract - Compst	5/14/2019	8/14/2019	Y	8/13/2020	15584.40	4386.72	6291.48	9292.92	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	87-01-0046 Inceptor Unia Eng	Open	Commodities/Supplies	Authority Contract - Compst	7/25/2019	7/25/2019	Y	10/24/2020	19354.50	9234.50	13662.00	5692.50	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	80-39-1018 CENTER LINK ASSEM	Open	Commodities/Supplies	Authority Contract - Compst	6/10/2019	9/16/2019	Y	9/9/2021	42340.80	10761.62	11467.30	30873.50	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	83-87-0076 Belt AC Compress	Open	Commodities/Supplies	Authority Contract - Compst	6/10/2019	9/19/2019	Y	9/9/2021	30052.80	12000.25	13544.63	16508.17	5	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	79-75-0006 Clutch - 3 Speed	Open	Commodities/Supplies	Authority Contract - Compst	6/17/2019	9/20/2019	Y	9/16/2021	71489.00	14938.00	20273.00	51216.00	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	79-75-0005 CLUTCH- 2 SPEED RA	Open	Commodities/Supplies	Authority Contract - Compst	6/21/2019	9/21/2019	Y	9/20/2021	98633.48	13218.92	18303.12	80330.36	5	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	76-75-0001 Air Bag Front Adl	Open	Commodities/Supplies	Authority Contract - Compst	6/12/2019	9/28/2019	Y	9/11/2021	59696.70	14491.26	17681.16	42015.54	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	91-73-0033 Pump Assembly	Open	Commodities/Supplies	Authority Contract - Compst	6/18/2019	9/28/2019	Y	9/17/2021	66111.60	29482.20	33949.20	32162.40	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	87-73-0003 FILLER FUELING S	Open	Commodities/Supplies	Authority Contract - Compst	7/22/2019	10/22/2019	Y	10/21/2021	263719.05	61468.35	77331.15	186387.90	2	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	83-07-8038 BELT AC COMPRESS	Open	Commodities/Supplies	Authority Contract - Compst	10/3/2019	11/3/2019	Y	11/16/2020	52897.95	791.67	3316.62	49597.33	2	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	88-29-8866 Heater Assembly	Open	Commodities/Supplies	Authority Contract - Compst	8/8/2019	7/16/2019	Y	7/15/2021	51292.80	644.18	1921.48	49399.32	2	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	89-39-1001 REARING TAPER ROLL	Open	Commodities/Supplies	Authority Contract - Compst	9/18/2019	9/19/2019	Y	12/17/2021	77511.60	17511.88	27414.64	50095.66	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	91-07-0235 MIRROR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compst	8/8/2019	11/18/2019	Y	11/17/2021	143335.50	20948.80	20948.80	122486.70	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	97-80-7003 DRAG LINK ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compst	8/12/2019	11/21/2019	Y	11/11/2021	134881.20	88663.10	98663.10	36218.10	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	90-75-0007 Gasket Diesel Par	Open	Commodities/Supplies	Authority Contract - Compst	9/13/2019	12/13/2019	Y	12/12/2021	286257.50	75965.05	75965.05	210924.45	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	88-79-0183 CONTROLER ELECTR	Open	Commodities/Supplies	Authority Contract - Compst	10/15/2019	1/23/2020	Y	1/14/2022	319620.00	91624.40	91624.40	227956.60	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	85-75-0170 HUB AND CUP ASSEM	Open	Commodities/Supplies	Authority Contract - Compst	11/7/2019	2/7/2020	Y	2/6/2021	24138.45	4597.80	4597.80	19540.65	7	N	Y	1			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	88-65-1054 PENDANT RICON WHEE	Open	Commodities/Supplies	Authority Contract - Compst	9/10/2020	9/10/2020	Y	12/9/2021	102200.00	5320.00	5320.00	96880.00	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	86-11-0016 ARM ASSEMBLYRIGHT	Open	Commodities/Supplies	Authority Contract - Compst	1/31/2020	5/1/2020	Y	4/30/2022	68495.70	1957.02	1957.02	66538.68	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	86-11-0001 TARM ASSEMBLY LEFT	Open	Commodities/Supplies	Authority Contract - Compst	1/31/2020	5/1/2020	Y	4/30/2022	54288.00	3897.60	3897.60	50390.40	4	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	85-97-0108 Rotor Disc Brake	Open	Commodities/Supplies	Authority Contract - Compst	1/30/2020	1/30/2020	Y	1/29/2021	15180.00	5336.00	5336.00	9844.00	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	86-19-0376 VALVE ASSEMBLY AUT	Open	Commodities/Supplies	Authority Contract - Compst	8/21/2020	11/1/2020	Y	11/20/2022	66408.00	2407.29	2407.29	64001.71	7	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	91-79-8692 CYLINDER ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compst	3/18/2020	6/19/2020	Y	6/9/2021	42027.90	0.00	0.00	42027.90	3	N	Y	0			3720 SOUTH MADISON STREET	MUNCIE	IN	47302			USA
MUNCIE TRANSIT SUPPLY	81-75-0037 BRACKET ASSEMBLY D	Open	Commodities/Supplies	Authority Contract - Compst	8/18/2020	11/12/2020	Y	12/17/2022	65499.00	0.00	0.00														

TRANSPORT PRODUCTS INC.	05-16-2952 Sleeve For Roller	Open	Commodities/Supplies	Authority Contract - Compet	7/10/2019	9/02/2019	Y	10/9/2021	20800.00	5674.24	7621.12	13178.88	2	N	Y	1			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRANSPORT PRODUCTS INC.	05-35-6704 PULLEY ASSEMBLY P1	Open	Commodities/Supplies	Authority Contract - Compet	9/10/2019	11/1/2019	Y	12/9/2021	131580.00	45619.56	45619.56	85960.44	2	N	Y	0			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRANSPORT PRODUCTS INC.	05-16-7705 ROLLER TRANSFER FR	Open	Commodities/Supplies	Authority Contract - Compet	9/23/2019	11/8/2019	Y	12/22/2021	46695.00	16733.79	16733.79	29961.21	2	N	Y	0			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRANSPORT PRODUCTS INC.	92-88-6226 KIT HARNESS APC	Open	Commodities/Supplies	Authority Contract - Compet	2/18/2020	3/25/2020	Y	8/17/2020	57915.00	4163.00	4163.00	16302.00	2	N	Y	0			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRANSPORT PRODUCTS INC.	92-88-6225 KIT HARNESS APC	Open	Commodities/Supplies	Authority Contract - Compet	2/14/2020	3/25/2020	Y	8/13/2020	36636.00	34506.00	34506.00	2130.00	2	N	Y	0			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRANSPORT PRODUCTS INC.	92-88-6224 KIT HARNESS AND HAR	Open	Commodities/Supplies	Authority Contract - Compet	2/19/2020	3/25/2020	Y	8/18/2020	25718.00	23421.75	23421.75	2296.25	2	N	Y	0			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRANSPORT PRODUCTS INC.	92-88-6230 KIT 16 PORT ETHER	Open	Commodities/Supplies	Authority Contract - Compet	3/6/2020	3/25/2020	Y	9/5/2020	32718.00	32718.00	32718.00	0.00	2	N	Y	0			20 VILLAGE PARK RD	CEDAR GROVE	NJ	07009			USA
TRACO SYSTEMS LLC CORP.	75-13-1355 OIL AIR CONDITON	Open	Commodities/Supplies	Authority Contract - Compet	8/27/2018	11/23/2018	Y	11/26/2021	13869.27	13869.27	13869.27	0.00	2	N	Y	0			85 OLD ROCK RD	HAWAII	HI	11980			USA
TR-DM FILTER CORP	83-39-1183 FILTER AIR CONDI	Open	Commodities/Supplies	Authority Contract - Compet	3/5/2020	4/5/2020	Y	6/4/2021	88164.00	35486.01	35486.01	52677.99	2	N	Y	0			83 INDUSTRIAL DRIVE	LOUISIA	LA	72093			USA
TRILLIUM CNG	QANDN Services CNG Facilities	Open	Other Professional Serv	Authority Contract - Compet	1/17/2018	11/1/2018	Y	3/31/2025	5857999.15	1073252.54	#####	3596705.78	3	N	N	0			PO BOX 26210	OKLAHOMA CITY	OK	73126			USA
TRUCK KING INTERNATIONAL SALES AND SERV	87-17-0018 FILTER ELEMENT A	Completed	Commodities/Supplies	Authority Contract - Compet	10/11/2017	3/1/2018	Y	1/10/2020	28947.60	449.82	17093.16	10594.44	6	N	Y	0			58 - 80 BORDEN AVE	MASPETH	NY	11378			USA
TRUCK KING INTERNATIONAL SALES AND SERV	87-75-0001 Filter Primary	Open	Commodities/Supplies	Authority Contract - Compet	11/27/2018	2/4/2019	Y	2/26/2021	38369.00	11472.96	23644.11	14724.89	10	N	Y	0			58 - 80 BORDEN AVE	MASPETH	NY	11378			USA
TURTLE AND HUGHES INC	MRO RFP- category C1, MTACB	Open	Commodities/Supplies	Authority Contract - Compet	3/2/2020	3/2/2020	Y	3/1/2025	2000000.00	13513.36	13513.36	1986466.64	12	Y	Y	2			10001 Prime Place	Hempstead	NY	11788			USA
UNITED METRO ENERGY CORP	Heating Oil 2	Open	Commodities/Supplies	Authority Contract - Compet	2/27/2015	3/1/2015	Y	2/29/2020	586159.20	5124.68	69602.75	516556.45	3	N	Y	1			500 KINGSLAND AVE	BROOKLYN	NY	11222			USA
UNLIMITED FIRE AND SECURITY LLC	Fire Alarm Services	Open	Other Professional Serv	Authority Contract - Compet	3/26/2018	4/1/2018	Y	3/31/2021	507540.33	107400.16	135126.55	37413.78	4	N	Y	0			20 SENN DR	CHESTER SPRINGS	PA	19425			USA
UPMC Administration Services INC	FMA Administration Services	Open	Consulting Services	Authority Contract - Compet	8/15/2018	8/16/2018	Y	8/15/2023	500000.00	33723.12	36079.32	463920.68	1	N	Y	0			600 GRANT ST	PITTSBURGH	PA	15219			USA
VANGUARD DIRECT INC	Comm Printing And Direct Mail Sv	Completed	Commodities/Supplies	Authority Contract - Compet	6/2/2010	6/2/2010	Y	9/14/2015	305000.00	150.00	18675.20	118224.71	1	N	N	N			519 EIGHTH AVE	NEW YORK	NY	10018			USA
VANGUARD DIRECT INC	Comm Print/Direct Mail Serv	Open	Other Professional Serv	Authority Contract - Compet	12/10/2015	12/10/2015	Y	9/14/2020	168820.00	0.00	108193.83	60626.17	1	N	N	N			519 EIGHTH AVE	NEW YORK	NY	10018			USA
VEHICLE MAINTENANCE PROGRAM, INC.	81-21-0206 FILTER ENGINE OIL	Open	Commodities/Supplies	Authority Contract - Compet	5/16/2018	7/11/2018	Y	11/10/2020	36022.00	9114.00	29066.64	6961.36	7	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	81-35-2000 FILTER ENGINE OIL	Open	Commodities/Supplies	Authority Contract - Compet	5/21/2018	9/15/2018	Y	11/20/2020	93963.75	15911.10	64017.90	29045.85	7	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	77-15-0007 WHEEL STEEL W/PI	Open	Commodities/Supplies	Authority Contract - Compet	10/25/2018	10/25/2018	Y	4/24/2020	237367.00	66982.48	27337.80	0.00	3	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	86-43-6700 BLADE ASSEMBLY	Completed	Commodities/Supplies	Authority Contract - Compet	19/20/2019	11/8/2019	Y	4/29/2020	173621.00	39214.14	160244.61	13386.39	4	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	77-75-0006 WHEEL HUB-PILOT 2	Open	Commodities/Supplies	Authority Contract - Compet	11/20/2019	12/14/2019	Y	2/19/2021	154464.96	81193.12	81193.12	73271.84	4	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	77-15-0007 WHEEL STEEL W/PI	Open	Commodities/Supplies	Authority Contract - Compet	4/7/2020	4/27/2020	Y	10/26/2021	257600.64	91233.56	91233.56	166367.08	3	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	49-20-3594 CHAIN ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	11/19/2020	12/7/2020	Y	6/6/2022	230449.05	0.00	0.00	230449.05	3	N	N	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	77-75-0006 Wheel Hub-Pilot	Completed	Commodities/Supplies	Authority Contract - Compet	9/12/2018	9/28/2018	Y	12/13/2019	205665.00	12665.68	114623.96	91041.04	4	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	89-39-1004 BEARING TAPER ROL	Completed	Commodities/Supplies	Authority Contract - Compet	10/25/2017	10/30/2017	Y	1/24/2020	41837.75	2578.11	41837.75	0.00	5	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	89-75-8503 BEARING SET TAG AX	Completed	Commodities/Supplies	Authority Contract - Compet	12/11/2017	12/26/2017	Y	3/10/2020	32702.20	3588.56	32702.20	0.00	6	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	85-75-0161 SEAL OIL REAR TAG	Completed	Commodities/Supplies	Authority Contract - Compet	1/24/2018	3/18/2018	Y	4/23/2020	41132.00	7225.17	41132.00	0.00	5	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	83-25-0001 Belt AC Compres	Open	Commodities/Supplies	Authority Contract - Compet	10/24/2018	12/30/2018	Y	1/23/2021	42312.00	10860.08	20627.10	21684.90	5	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	87-87-0181 FILTER FUEL CNG	Open	Commodities/Supplies	Authority Contract - Compet	12/13/2018	1/26/2019	Y	3/12/2021	77124.50	8325.22	22862.66	54261.84	5	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	74-87-8000 Brake Block Front	Open	Commodities/Supplies	Authority Contract - Compet	11/13/2018	12/13/2018	Y	2/12/2021	77032.05	19527.87	40822.08	36299.97	5	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	87-97-0102 Air Filter Brake Oil	Open	Commodities/Supplies	Authority Contract - Compet	12/11/2018	3/12/2019	Y	3/10/2020	523495.00	14593.27	30996.70	212456.30	9	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	130-13-6216 WORK LIGHT DROP	Open	Commodities/Supplies	Authority Contract - Compet	4/17/2018	6/15/2019	Y	7/16/2023	57216.00	2288.84	10811.94	46246.26	3	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	49-20-3591 CHAIN ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	3/13/2013	4/26/2019	Y	9/12/2020	20516.80	0.00	0.00	20516.80	2	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	49-20-3594 CHAIN ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	3/13/2013	4/26/2019	Y	12/6/2020	292248.00	63320.40	93762.90	198485.10	2	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	81-25-0002 TENSION BELT	Open	Commodities/Supplies	Authority Contract - Compet	7/10/2019	10/10/2019	Y	10/9/2021	34006.70	8296.14	8856.69	25100.91	6	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	86-43-6700 BLADE ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	2/19/2020	4/30/2020	Y	5/18/2021	199430.00	33866.84	33866.84	165563.16	6	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	85-21-0007 Driveshaft	Open	Commodities/Supplies	Authority Contract - Compet	11/12/2019	2/12/2020	Y	2/11/2022	76845.60	12413.52	12413.52	64432.08	6	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	89-75-8503 BEARING SET TAG AX	Open	Commodities/Supplies	Authority Contract - Compet	1/10/2020	3/11/2020	Y	4/9/2022	50489.60	7902.72	7902.72	42586.88	6	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	85-75-0161 SEAL OIL REAR TAG	Open	Commodities/Supplies	Authority Contract - Compet	1/21/2020	4/24/2020	Y	4/20/2022	75993.90	14792.00	14792.00	61201.90	5	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	HAND SANITIZER 2 Oz	Completed	Commodities/Supplies	Authority Contract - Compet	4/6/2020	4/6/2020	Y	5/31/2020	40050.00	40050.00	40050.00	0.00	1	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	48 Oz HAND SANITIZER	Completed	Commodities/Supplies	Authority Contract - Compet	4/6/2020	4/7/2020	Y	5/30/2020	42120.00	42120.00	42120.00	0.00	1	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VEHICLE MAINTENANCE PROGRAM, INC.	86-61-0210 AIR DRAYER ASSY	Open	Commodities/Supplies	Authority Contract - Compet	10/15/2020	11/15/2020	Y	11/14/2023	219274.50	0.00	0.00	219274.50	3	N	Y	0			3595 N DIXIE HWY BAY 7	BOCA RATON	FL	33431			USA
VENOR NETWORK INC.	862 24579- S/E 24579	Open	Commodities/Supplies	Authority Contract - Compet	4/8/2019	4/1/2018	Y	4/14/2022	208128.00	34688.00	5201.20	150496.00	5	N	N	0			500 NEPPERMAN AVE	YONKERS	NY				

NFI PARTS	91-75-0052 DOOR ASM REAR ACCE	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/26/2017	1/27/2018	Y	3/25/2020	69300.00	17325.00	69300.00	0.00	N	N					69300.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-65-0017 VALVE ASSEMBLY MAI	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/21/2017	3/11/2018	Y	3/3/2020	68432.00	13536.00	68432.00	0.00	N	N					68432.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	Door Assembly Baggage	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/27/2017	12/21/2017	Y	3/6/2020	60489.00	0.00	60489.00	0.00	N	N					60489.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	85-65-0052 SWITCH AND HARNESS	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/18/2017	3/1/2018	Y	3/2/2020	73408.00	15688.00	73408.00	0.00	N	N					73408.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-73-0066SENSOR AND HARNESS	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/15/2017	1/29/2018	Y	3/8/2020	109867.90	20427.70	109591.85	276.05	N	N					109867.90	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	86-65-0095 CYLINDER ASSEMBLY-W	Completed	Commodities/Supplies	Authority Contract - Non-Co	1/8/2018	1/22/2018	Y	4/7/2020	38222.85	7881.00	38222.85	0.00	N	N					38222.85	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	86-65-0004VALVE ASSEMBLY SEN	Completed	Commodities/Supplies	Authority Contract - Non-Co	1/11/2018	1/25/2018	Y	4/10/2020	21614.55	2588.15	2294.85	14499.70	N	N					21614.55	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	86-79-0164 FLYWHEEL MOTOR-RO	Completed	Commodities/Supplies	Authority Contract - Non-Co	1/11/2018	1/21/2018	Y	4/10/2020	28479.00	2100.00	15330.00	13400.00	N	N					28479.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-75-0157 BELT AC COMPRESS	Completed	Commodities/Supplies	Authority Contract - Non-Co	3/27/2018	4/27/2018	Y	5/26/2020	63780.40	23220.60	51105.60	12675.00	N	N					63780.40	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-69-0144 Module Assembly	Open	Commodities/Supplies	Authority Contract - Non-Co	3/27/2018	3/13/2018	Y	12/30/2020	240122.00	45866.00	186162.00	57960.00	N	N					240122.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	87-75-0022 HOUSING AIR CLEANE	Open	Commodities/Supplies	Authority Contract - Non-Co	2/1/2018	2/15/2018	Y	8/31/2020	43948.00	730.80	1461.60	42386.40	N	N					43948.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-65-1338 LATCH BUMPER AND SE	Completed	Commodities/Supplies	Authority Contract - Non-Co	2/1/2018	2/15/2018	Y	8/30/2020	27664.00	239.40	2633.40	25030.60	N	N					27664.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-65-0083 SASH ASSEMBLY PAS	Completed	Commodities/Supplies	Authority Contract - Non-Co	1/24/2018	2/8/2018	Y	4/24/2020	49932.00	3328.80	14147.40	35784.60	N	N					49932.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-75-0020VALVE HEAT	Completed	Commodities/Supplies	Authority Contract - Non-Co	1/30/2018	2/13/2018	Y	4/29/2020	39832.80	9484.00	20884.80	18988.00	N	N					39832.80	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-75-0013 Fan Clutch A	Completed	Commodities/Supplies	Authority Contract - Non-Co	2/1/2018	3/2/2018	Y	3/4/2020	48153.00	20637.00	41274.00	6879.00	N	N					48153.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	80-75-0042KNUCKLE ASSEMBLY-LH	Completed	Commodities/Supplies	Authority Contract - Non-Co	2/9/2018	2/23/2018	Y	5/8/2020	209588.50	34296.30	100983.55	108604.95	N	N					209588.50	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-65-0168 DOOR ASSEMBLY REAR	Open	Commodities/Supplies	Authority Contract - Non-Co	4/10/2018	6/9/2018	Y	12/31/2020	132458.00	43410.90	120214.80	12244.10	N	N					132458.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	80-75-0044 Axle Assembly	Completed	Commodities/Supplies	Authority Contract - Non-Co	4/11/2018	7/12/2018	Y	5/23/2019	19904.00	19904.00	19904.00	19904.00	N	N					39808.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-69-0010 Valve Pressure	Open	Commodities/Supplies	Authority Contract - Non-Co	4/27/2018	5/1/2018	Y	7/26/2020	41760.00	8938.00	19152.00	22688.00	N	N					41760.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-65-0008 REAR FAN DRIVE	Open	Commodities/Supplies	Authority Contract - Non-Co	5/14/2018	8/3/2018	Y	12/30/2020	249088.80	52705.40	232752.80	249088.80	N	N					249088.80	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-65-0071 DOOR ASSEMBLY ENTR	Open	Commodities/Supplies	Authority Contract - Non-Co	5/22/2018	6/23/2018	Y	12/31/2020	367200.00	103360.00	280180.00	87040.00	N	N					367200.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-79-5033 Radiator Charge	Completed	Commodities/Supplies	Authority Contract - Non-Co	7/6/2018	7/16/2018	Y	10/5/2019	103540.00	36720.00	103540.00	0.00	N	N					103540.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	78-75-0001 Exhaust Pipe Assm:	Open	Commodities/Supplies	Authority Contract - Non-Co	7/3/2018	7/28/2018	Y	12/30/2020	200570.00	90580.00	175984.00	24586.00	N	N					200570.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-75-0062 DOOR ASM ACCESS RH	Open	Commodities/Supplies	Authority Contract - Non-Co	7/2/2018	7/27/2018	Y	12/31/2020	78392.00	27724.00	72656.00	5736.00	N	N					78392.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-69-0028 AC Tank Arm	Open	Commodities/Supplies	Authority Contract - Non-Co	7/23/2018	10/26/2018	Y	12/31/2020	30895.00	11690.00	30895.00	0.00	N	N					30895.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-73-0007 MOTOR ELECTRIC V-W	Open	Commodities/Supplies	Authority Contract - Non-Co	7/9/2018	11/22/2018	Y	12/31/2020	115874.00	60914.00	114500.00	1374.00	N	N					115874.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-79-0148 HARNESS - TRANSMI	Open	Commodities/Supplies	Authority Contract - Non-Co	7/19/2018	11/16/2018	Y	12/31/2020	53419.20	6892.80	26799.60	26799.60	N	N					53419.20	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	87-65-0053 Tank Fuel	Open	Commodities/Supplies	Authority Contract - Non-Co	7/18/2018	8/2/2018	Y	12/31/2020	304200.00	101400.00	273780.00	304200.00	N	N					304200.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-73-0006 MOTOR ELECTRIC W/P	Open	Commodities/Supplies	Authority Contract - Non-Co	10/9/2018	11/22/2018	Y	1/8/2021	179200.00	49728.00	100800.00	78400.00	N	N					179200.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-75-0021SLIDE UNIT-W/RAME	Open	Commodities/Supplies	Authority Contract - Non-Co	10/26/2018	12/27/2018	Y	1/25/2021	54470.00	12889.00	26816.00	27654.00	N	N					54470.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-69-0320 MODULE SERVICE	Open	Commodities/Supplies	Authority Contract - Non-Co	10/18/2018	12/14/2018	Y	1/17/2021	209560.00	70122.00	191505.60	18054.40	N	N					209560.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-69-0046 Bar Assembly	Open	Commodities/Supplies	Authority Contract - Non-Co	11/6/2018	1/6/2019	Y	2/5/2021	5040.00	7596.00	23694.20	26948.80	N	N					5040.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-69-0416 KIT RETROFIT TO H	Completed	Commodities/Supplies	Authority Contract - Non-Co	11/6/2018	1/6/2019	Y	2/5/2021	46935.00	6839.10	38072.80	10862.10	N	N					46935.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-69-0416 KIT RETROFIT TO H	Open	Commodities/Supplies	Authority Contract - Non-Co	11/11/2018	2/14/2019	Y	1/31/2021	361200.00	121168.00	300322.00	361200.00	N	N					361200.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	85-65-0042 RADIOS ROD ASSY RE	Open	Commodities/Supplies	Authority Contract - Non-Co	5/9/2018	8/23/2018	Y	8/8/2020	89856.00	41987.30	89280.00	576.00	N	N					89856.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	76-65-0005 RADIOS ROD ASSY	Open	Commodities/Supplies	Authority Contract - Non-Co	5/23/2018	8/23/2018	Y	12/22/2020	24969.60	53040.00	53040.00	26112.00	N	N					79152.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-65-0071 Condenser Core Co	Open	Commodities/Supplies	Authority Contract - Non-Co	6/11/2018	7/19/2018	Y	12/31/2020	152190.00	58740.00	133500.00	18690.00	N	N					152190.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-21-0511 WELDMENT WHEELCHA	Open	Commodities/Supplies	Authority Contract - Non-Co	7/13/2017	10/16/2017	Y	10/12/2019	96897.25	1828.25	95069.00	1828.25	N	N					96897.25	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	84-39-1003 DRIVESHAFT ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Co	9/26/2017	9/26/2017	Y	12/25/2019	115012.77	827.43	115012.77	0.00	N	N					115012.77	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-25-0171 PANEL ASSEMBLY BUM	Completed	Commodities/Supplies	Authority Contract - Non-Co	10/12/2017	11/12/2017	Y	1/11/2020	185008.32	6607.44	185008.32	0.00	N	N					185008.32	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-25-0086 Extension Bumper	Completed	Commodities/Supplies	Authority Contract - Non-Co	10/24/2017	2/3/2018	Y	1/23/2020	39295.29	0.00	39295.29	0.00	N	N					39295.29	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-27-2228 DOOR ASSEMBLY REA	Completed	Commodities/Supplies	Authority Contract - Non-Co	10/25/2017	11/17/2017	Y	1/24/2020	186460.00	0.00	167814.00	18646.00	N	N					186460.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	87-27-0498 WELDMENT FUEL TANK	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/22/2017	2/18/2018	Y	3/5/2020	65151.60	26660.64	65151.60	0.00	N	N					65151.60	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-19-0484 WINDOW LOWER AND	Completed	Commodities/Supplies	Authority Contract - Non-Co	11/17/2017	12/30/2017	Y	2/16/2020	67948.00	11832.00	41412.00	25636.00	N	N					67948.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-07-8039 BELT AC ENGINE	Completed	Commodities/Supplies	Authority Contract - Non-Co																						

NFI PARTS	88-27-0461 Kit Sensor	Open	Commodities/Supplies	Authority Contract - Non-Coa	4/10/2019	7/10/2019	Y	7/9/2021	5995.20	3505.60	5608.96	5396.24	N	N			5995.20		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-29-3456 DOOR ASSEMBLY PAIN	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/12/2019	7/14/2019	Y	9/11/2021	31121.80	1556.09	6234.36	24897.44	N	N			31121.80		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-39-1089 Kit Brake Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	4/10/2019	7/19/2019	Y	12/31/2020	134308.80	60438.96	103716.24	30952.56	N	N			134308.80		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	83-87-0062 IDLER ASSEMBLY 71	Open	Commodities/Supplies	Authority Contract - Non-Coa	4/12/2019	4/12/2019	Y	10/11/2020	225220.00	133958.88	218033.36	72156.64	N	N			225220.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	76-87-0033 RADIUS RODREAR AX	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/9/2019	8/9/2019	Y	11/8/2020	72107.10	23419.40	37594.30	34151.80	N	N			72107.10		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-27-5216 Door Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/8/2019	8/31/2019	Y	8/7/2021	22779.75	16401.42	21868.56	9111.39	N	N			22779.75		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	86-21-0057 Valve Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/16/2019	8/16/2019	Y	12/30/2020	21803.85	9690.60	15989.49	3814.36	N	N			21803.85		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	87-79-0034 Rear Hub 90 Degree	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/16/2019	8/29/2019	Y	12/31/2020	18185.00	1213.00	16501.20	14195.00	N	N			18185.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-25-0004 FILTER ASSEMBLY HY	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/9/2019	8/24/2019	Y	10/8/2021	90242.40	27949.68	34160.72	56181.68	N	N			90242.40		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-39-1034 PIPE EXHAUST FLEX	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/7/2019	8/18/2019	Y	9/6/2021	18623.50	3192.60	4788.90	13834.60	N	N			18623.50		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	81-73-0007 CRADLE ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/28/2019	6/25/2019	Y	8/27/2021	62383.20	37429.02	57846.24	4536.96	N	N			62383.20		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	97-88-5105 Power Pack Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/28/2019	9/12/2019	Y	12/31/2020	48046.64	0.00	0.00	48046.64	N	N			48046.64		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-29-2166 PANEL TAIL LIGHT	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/13/2019	9/25/2019	Y	12/31/2020	27338.11	19708.87	22887.72	4450.39	N	N			27338.11		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-29-3735 PANEL IMPACT 21	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/12/2019	9/13/2019	Y	9/12/2021	129270.00	56447.90	64419.55	64850.45	N	N			129270.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-39-1080 Brake Shoe Front	Open	Commodities/Supplies	Authority Contract - Non-Coa	5/24/2019	9/6/2019	Y	8/23/2021	80916.30	40223.61	60195.51	20756.79	N	N			80916.30		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	87-39-1082 Regulator	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/21/2019	9/30/2019	Y	9/20/2021	63639.05	22383.39	28527.85	35111.20	N	N			63639.05		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-65-0019 GEAR BOX ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Coa	11/15/2019	12/30/2019	Y	2/14/2022	54350.10	25363.38	25363.38	28986.72	N	N			54350.10		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-27-2028 DOOR CURBSIDE	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/14/2019	9/28/2019	Y	9/13/2021	164191.68	29645.72	30785.94	133405.74	N	N			164191.68		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-27-2149 DOOR ASSEMBLY BA	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/12/2019	8/1/2019	Y	9/11/2021	40560.00	1352.00	8112.00	32448.00	N	N			40560.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-27-9415 Ramp Plate	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/21/2019	6/21/2019	Y	9/20/2021	360986.70	172241.56	258512.36	120473.36	N	N			360986.70		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	85-19-0033 Panel Plnd Puff	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/13/2019	7/12/2019	Y	9/12/2021	37225.00	9285.95	11912.80	26132.80	N	N			37225.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-25-0069 Muffler Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/27/2019	10/7/2019	Y	9/26/2021	95669.50	21939.35	30930.75	64738.80	N	N			95669.50		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-21-0511 WELDMENT WHEELCHR	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/5/2019	9/17/2019	Y	10/4/2021	82271.25	7313.00	31880.25	51191.00	N	N			82271.25		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-77-0003 CHARGER AIR COOLER	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/10/2019	10/14/2019	Y	10/9/2021	31977.00	4263.60	4263.60	27713.40	N	N			31977.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-65-0070 DOOR ASSEMBLY ENTR	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/31/2019	10/10/2019	Y	10/30/2021	328800.00	84940.00	93160.00	235640.00	N	N			328800.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-75-0015 DOOR ASSEY BAGGAG	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/15/2019	10/15/2019	Y	10/14/2021	510400.00	165440.00	193600.00	316800.00	N	N			510400.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-21-1013 Rubrail Assy	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/24/2019	6/24/2019	Y	9/23/2021	68604.00	0.00	18294.40	50309.60	N	N			68604.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-19-1210 FLEX SHAFT SQUARE	Open	Commodities/Supplies	Authority Contract - Non-Coa	8/15/2019	8/29/2019	Y	11/14/2021	45227.04	10492.56	12626.64	23000.40	N	N			45227.04		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	81-21-1042 Engine Mount Neop	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/27/2019	10/19/2019	Y	9/26/2021	33386.96	17241.84	17241.84	16147.12	N	N			33386.96		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-19-1211 RAMP-FLEX SHAFT FO	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/24/2019	9/24/2019	Y	12/23/2021	53844.52	6864.64	9117.10	44727.42	N	N			53844.52		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	92-88-8841 Kit Alternator	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/18/2019	10/20/2019	Y	12/31/2020	120594.60	13782.24	13782.24	106812.36	N	N			120594.60		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-21-0053 LID ASSEMBLY BATTE	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/22/2019	10/20/2019	Y	11/21/2021	54388.20	29007.04	29007.04	25381.16	N	N			54388.20		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	85-19-0033 Panel Plnd Puff	Open	Commodities/Supplies	Authority Contract - Non-Coa	6/23/2019	10/24/2019	Y	10/21/2021	0.00	0.00	538932.16	538932.16	N	N			0.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-75-0016 CAB ASSEMBLY-CRANT	Open	Commodities/Supplies	Authority Contract - Non-Coa	8/15/2019	8/15/2019	Y	11/14/2021	56757.12	51351.68	54054.60	60757.12	N	N			56757.12		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	88-75-0045 Gauge	Open	Commodities/Supplies	Authority Contract - Non-Coa	7/30/2019	11/8/2019	Y	10/29/2021	73126.56	29180.31	33947.58	40078.98	N	N			73126.56		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-65-0077 SHAFT ASM 2 FRONT	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/27/2019	9/27/2019	Y	12/26/2021	29711.00	14859.00	23279.20	6438.80	N	N			29711.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-65-0116 DRAGLINK ROD ASSEM	Open	Commodities/Supplies	Authority Contract - Non-Coa	8/9/2019	12/1/2019	Y	11/8/2021	72613.20	25854.70	25854.70	46758.50	N	N			72613.20		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	86-75-0013 Pedal Assy Accel	Open	Commodities/Supplies	Authority Contract - Non-Coa	8/2/2019	11/29/2019	Y	11/11/2021	61523.00	19975.00	19975.00	41548.00	N	N			61523.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-39-9001 DRAG LINK ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Coa	8/5/2019	11/17/2019	Y	11/4/2021	150923.25	14086.17	14086.17	136837.00	N	N			150923.25		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-25-0084 Radiator Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	8/6/2019	12/5/2019	Y	12/31/2020	380941.60	181679.84	181679.84	199261.76	N	N			380941.60		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-97-0102 RADIATORCHARGE	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/10/2019	12/10/2019	Y	12/9/2020	344722.40	86655.76	86655.76	256116.64	N	N			344722.40		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	80-25-0028 MODULE HYDRAULIC F	Open	Commodities/Supplies	Authority Contract - Non-Coa	10/15/2019	12/5/2019	Y	11/14/2022	268984.20	98991.25	98991.25	170092.95	N	N			268984.20		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	91-75-0032 GLASS SHUTT LOWER S	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/12/2019	12/12/2019	Y	12/11/2021	30648.00	3300.70	3300.70	27167.30	N	N			30648.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	84-39-1003 Drive Shaft Assemb	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/4/2019	12/26/2019	Y	12/3/2021	11191.60	46187.28	46187.28	65004.32	N	N			11191.60		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	86-75-0081 SEPARATOR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/19/2019	12/20/2019	Y	10/21/2021	95300.00	78033.00	78033.00	17779.00	N	N			95300.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-75-0039 PUMP ASSEMBLY-CI	Open	Commodities/Supplies	Authority Contract - Non-Coa	10/1/2019	11/12/2019	Y	12/31/2020	320826.00	212011.80	112911.80	107914.20	N	N			320826.00		3229 SAWMILL PARKWAY	DELAWARE	OH	43015	USA
NFI PARTS	79-73-0023 Radiator Assembly	Open	Commodities/Supplies	Authority Contract - Non-Coa	9/26/2019	12/25/2019																	

NFI PARTS	91-03-0002 Assembly Radiator	Open	Commodities/Supplies	Authority Contract - Non-Co	9/16/2020	12/16/2020	Y	9/15/2021	57541.94	0.00	0.00	57541.94	N	N				57541.94	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-79-5033 RADIATOR CHARGE AI	Open	Commodities/Supplies	Authority Contract - Non-Co	9/22/2020	11/6/2020	Y	9/21/2021	50450.70	0.00	0.00	50450.70	N	N				50450.70	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-29-3461 Door Rear Engine	Open	Commodities/Supplies	Authority Contract - Non-Co	9/14/2020	12/14/2020	Y	9/13/2021	16042.50	0.00	0.00	16042.50	N	N				16042.50	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-97-0693 Panel Exterior	Open	Commodities/Supplies	Authority Contract - Non-Co	11/23/2020	11/23/2020	Y	11/22/2021	17137.70	0.00	0.00	17137.70	N	N				17137.70	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-97-0706 Panel Exterior	Open	Commodities/Supplies	Authority Contract - Non-Co	11/23/2020	1/7/2021	Y	11/22/2021	16807.00	0.00	0.00	16807.00	N	N				16807.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-75-0016 CAC Assembly	Open	Commodities/Supplies	Authority Contract - Non-Co	10/26/2020	10/26/2020	Y	1/25/2022	56757.12	0.00	0.00	56757.12	N	N				56757.12	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-75-0662 OOR ASM ACCESS RH P	Open	Commodities/Supplies	Authority Contract - Non-Co	10/22/2020	11/2/2021	Y	1/21/2022	142387.00	0.00	0.00	142387.00	N	N				142387.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	80-29-0260 Tank Assembly	Open	Commodities/Supplies	Authority Contract - Non-Co	11/16/2020	1/1/2021	Y	3/25/2021	32364.20	0.00	0.00	32364.20	N	N				32364.20	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-21-0099 Catek PEG Generator	Open	Commodities/Supplies	Authority Contract - Non-Co	9/14/2020	10/1/2020	Y	12/13/2021	94416.00	11802.00	11802.00	82614.00	N	N				94416.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	86-21-0057 Valve Assembly	Open	Commodities/Supplies	Authority Contract - Non-Co	9/16/2020	12/31/2020	Y	12/15/2021	21382.20	0.00	0.00	21382.20	N	N				21382.20	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-27-0300 MOTOR ASSEMBLY WIP	Open	Commodities/Supplies	Authority Contract - Non-Co	10/13/2020	1/1/2021	Y	1/12/2023	25846.50	0.00	0.00	25846.50	N	N				25846.50	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-75-0008 BELT FAN DRIVE	Open	Commodities/Supplies	Authority Contract - Non-Co	11/20/2020	1/1/2021	Y	2/19/2023	425932.50	0.00	0.00	425932.50	N	N				425932.50	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-79-0148 Harness Transmissi	Open	Commodities/Supplies	Authority Contract - Non-Co	11/4/2020	1/6/2021	Y	11/3/2023	59435.46	0.00	0.00	59435.46	N	N				59435.46	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	87-65-0053 Tank Fuel	Open	Commodities/Supplies	Authority Contract - Non-Co	11/4/2020	2/4/2021	Y	2/3/2023	297440.00	0.00	0.00	297440.00	N	N				297440.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-73-0007 MOTOR ELECTRIC WIP	Open	Commodities/Supplies	Authority Contract - Non-Co	10/30/2020	1/1/2021	Y	1/29/2023	184448.88	0.00	0.00	184448.88	N	N				184448.88	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	78-75-0001 Exhaust Pipe Assy	Open	Commodities/Supplies	Authority Contract - Non-Co	11/16/2020	1/1/2021	Y	2/15/2023	282609.60	0.00	0.00	282609.60	N	N				282609.60	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-25-0084 RADIATOR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Co	9/23/2020	1/4/2021	Y	9/22/2021	30768.35	0.00	0.00	30768.35	N	N				30768.35	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-69-0028 AC TANK ASM RECEIV	Open	Commodities/Supplies	Authority Contract - Non-Co	9/24/2020	1/4/2021	Y	12/23/2022	49599.20	0.00	0.00	49599.20	N	N				49599.20	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-97-0102 RADIATORASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Co	10/6/2020	12/10/2020	Y	10/7/2021	273762.00	0.00	0.00	273762.00	N	N				273762.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-27-0572 DRAIN VALVE ASFY	Open	Commodities/Supplies	Authority Contract - Non-Co	10/29/2020	1/1/2021	Y	3/8/2022	216957.60	0.00	0.00	216957.60	N	N				216957.60	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-81-0006 KIT ALTERNATOR AN	Open	Commodities/Supplies	Authority Contract - Non-Co	10/28/2020	1/4/2021	Y	1/27/2022	24699.00	0.00	0.00	24699.00	N	N				24699.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-29-2166 PANEL TAIL LIGHT	Open	Commodities/Supplies	Authority Contract - Non-Co	10/28/2020	1/4/2021	Y	1/27/2022	24699.35	0.00	0.00	24699.35	N	N				24699.35	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	79-25-0039 PUMP ASSEMBLY CI	Open	Commodities/Supplies	Authority Contract - Non-Co	11/19/2020	1/4/2021	Y	2/18/2022	291745.00	0.00	0.00	291745.00	N	N				291745.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-65-0001 DOOR ASSEMBLY ENT	Open	Commodities/Supplies	Authority Contract - Non-Co	11/19/2020	1/4/2021	Y	2/18/2023	300722.50	0.00	0.00	300722.50	N	N				300722.50	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-39-7587 DOOR ASSEMBLY BAT	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	1/5/2021	Y	3/20/2023	51375.53	0.00	0.00	51375.53	N	N				51375.53	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	80-25-0003 FILTER ELEMENT	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	1/11/2021	Y	3/20/2022	55750.00	0.00	0.00	55750.00	N	N				55750.00	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-29-0348 SPEEDOMETER MPH 1	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	2/1/2021	Y	3/20/2022	27455.20	0.00	0.00	27455.20	N	N				27455.20	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-69-0320 MODULE SERVICE P	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	1/18/2021	Y	3/20/2023	121209.20	0.00	0.00	121209.20	N	N				121209.20	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-69-016KIT RETROFIT TO MC	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	2/1/2021	Y	3/20/2023	53229.33	0.00	0.00	53229.33	N	N				53229.33	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	88-73-0006MOTOR ELECTRIC WIP	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	1/9/2021	Y	3/20/2023	74170.60	0.00	0.00	74170.60	N	N				74170.60	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	89-21-0007 BEARING PILLLOW BL	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	1/25/2021	Y	3/20/2023	35462.40	0.00	0.00	35462.40	N	N				35462.40	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	91-07-0799 DOOR ASSEMBLY FUS	Open	Commodities/Supplies	Authority Contract - Non-Co	12/21/2020	1/12/2021	Y	3/20/2023	36169.03	0.00	0.00	36169.03	N	N				36169.03	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-21-0006 SELECTOR TRANSMI	Open	Commodities/Supplies	Authority Contract - Non-Co	9/29/2020	1/1/2021	Y	12/29/2022	51633.80	0.00	0.00	51633.80	N	N				51633.80	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NFI PARTS	83-21-0006 SELECTOR TRANSMI	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/27/2018	4/30/2019	Y	3/26/2020	103127.40	16414.97	61876.44	41250.96	N	N				103127.40	3229 SAWMILL PARKWAY	DELAWARE	OH	43015			USA
NORTH AMERICAN MOBILE SYSTEMS, INC	All Agency Bus Radio Services	Open	Telecommunication Eq	Authority Contract - Non-Co	4/20/2017	4/20/2017	Y	10/31/2021	2062996.00	194363.86	747795.99	1315290.01	N	N				2062996.00	37 - 03 WOODSIDE AVE	WOODSIDE	NJ	07032			USA
PROVOST CAR (US) INC	88-11-2452 Cable Jumper Use	Open	Commodities/Supplies	Authority Contract - Non-Co	7/31/2019	11/9/2019	Y	10/30/2021	39610.25	27935.65	29186.50	10423.75	N	N				39610.25	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123		USA
A AND D INDUSTRIAL, INC	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					44591.83				N						P.O. BOX 1044	RAHWAY	NJ	7065			USA
ABT PRODUCTS AND SERVICES LTD	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					15313.50				Y						21A BAY AVE	HUNTINGTON	NY	11743			USA
AIR AND POWER TRANSMISSION, INC.	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					48981.95				N						81 GAZZA BOULEVARD	FARMINGDALE	NY	11735			USA
AIR PURIFIERS, INC.	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					29658.00				N						1 PINE STREET	ROCKAWAY	NJ	7066			USA
ASPEN REFRIGERANTS INC	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					38533.22				N						38-18 33RD STREET	LONG ISLAND CITY	NY	11101			USA
BEMTECH, INC.	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					17420.00				N						4135 - 65 N 5TH ST	PHILADELPHIA	PA	19140			USA
BDI - BEARING DISTRIBUTORS INC	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					35709.86				N						640 ACCESS RD	STRATFORD	CT	6615			USA
CAMEROTA TRUCK PARTS	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					20769.31				N						PO BOX 1134	ENFIELD	CT	6683			USA
CBR US INC.	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					17114.94				N						185 ALBANY BROOK PKWY	SUITE#210	GAMBRIDGE	MA	02138		USA
CHOICE DISTRIBUTION INC.	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					37884.25				N						111 BELL ST	W BIRYTON	NY	11704			USA
CUBIC TRANSPORTATION SYSTEMS INC	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					221692.30				N						3650 KEARNEY MESA ROAD	SAN DIEGO	CA	92111			USA
CLUMBS SALES AND SERVICE	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					671972.44				N						435 BERGEN AVE	KEARNEY	NJ	07032			USA
D AND W DIESEL, INC.	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					15015.00				N						1903 CLARK ST RD	AUBURN	NY	13021			USA
EPUL DYNAMICS	Various Small Purchases	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order																					

ADI ACON DEVELOPMENT, INC.	6000000002021	MWBE SD008 Small Tape Insula	Open	Commodities/Supplies	Authority Contract - Compet	4/23/2019	4/23/2019	Y	7/26/2021	30504.00	2387.00	8680.00	21824.00	6	NYS	Y	Y	4	Y	Discretionary Procurement			119 OBAZUWA DR	GLEN	NY	12072			USA	
ADI ACON DEVELOPMENT, INC.	6000000002022	MWBE SD008 Only Mat black	Open	Commodities/Supplies	Authority Contract - Compet	11/19/2018	11/19/2018	Y	2/19/2021	166847.04	55615.68	152943.12	13903.92	7	NYS	N	Y	5	Y	Discretionary Procurement			119 OBAZUWA DR	GLEN	NY	12072			USA	
ADI ACON DEVELOPMENT, INC.	6000000002023	MWBE SD008 Only Mat floor	Open	Commodities/Supplies	Authority Contract - Compet	11/11/2018	11/11/2018	Y	4/12/2021	29145.60	8022.40	23920.00	5225.60	6	NYS	N	Y	4	Y	Discretionary Procurement			119 OBAZUWA DR	GLEN	NY	12072			USA	
ADI ACON DEVELOPMENT, INC.	6000000002025	MWBE SD008 Small Gauge for 1	Open	Commodities/Supplies	Authority Contract - Compet	1/6/2020	1/6/2020	Y	4/5/2022	50988.00	8463.04	8463.04	42434.96	7	NYS	Y	Y	5	Y	Discretionary Procurement			119 OBAZUWA DR	GLEN	NY	12072			USA	
ADVANCED ELECTRONIC SERVICES, INC.	6000000000000	BUS KIT OIL Separator Inverter Power	Open	Telecommunication Equip	Authority Contract - Compet	1/2/2014	1/2/2014	Y	1/5/2019	128267.57	24960.84	124399.15	3868.42	2	Foreign	N	Y	0	N	Emergency or Critical Need			101 TECHNOLOGY LN	MOUNT AIRY	NC	27030			USA	
ADVANCED RAIL MANAGEMENT CORP	6000000000024	Development and Implementation	Open	Consulting Services	Authority Contract - Compet	5/30/2019	5/30/2019	Y	5/29/2022	2678952.92	1097018.20	#####	1581934.72	1	Foreign	N	N	0	Y	Emergency or Critical Need			507 LATANIA PALM DRIVE	INDIANLAND	FL	32943			USA	
ADVANTAGE SOLUTIONS	6000000000025	MWBE SD008 Only cover size 30	Open	Commodities/Supplies	Authority Contract - Compet	3/10/2020	3/10/2020	Y	3/9/2022	681250.00	136250.00	545000.00	0.00	5	Foreign	N	Y	0	Y	Discretionary Procurement			2381 ROSECRANS AVE STE 110	EL SEGUNDO	CA	92505			USA	
ADVERTISING SOLUTIONS	6000000000026	MWBE SD008 Only respiration 1	Open	Commodities/Supplies	Authority Contract - Compet	8/13/2018	8/13/2018	Y	8/11/2021	94809.39	63200.00	71100.00	23709.39	7	Foreign	N	Y	0	Y	Discretionary Procurement			2381 ROSECRANS AVE STE 110	EL SEGUNDO	CA	92505			USA	
AFES LLC	6000000000023	Three 3 Year Contract for Nonindus	Open	Other	Authority Contract - Compet	1/28/2019	1/28/2019	Y	1/27/2022	167275.00	6500.00	35425.00	131950.00	2	Foreign	Y	Y	0	Y	Discretionary Procurement			801 Monroe Ave	South Plainfield	NJ	7080			USA	
AFFINITY RESOURCES COMPANY, INC.	6000000000027	Sub Speed Sensor 12-42-3005	Open	Commodities/Supplies	Authority Contract - Compet	11/20/2020	11/20/2020	Y	10/17/2022	73992.00	0.00	0.00	73992.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			501 MENDELL ST	UNIT D	SAN FRANCISCO	CA	94124			USA
AFFINITY RESOURCES COMPANY, INC.	6000000000028	Speed Sensor 12-42-3005	Open	Commodities/Supplies	Authority Contract - Compet	7/13/2020	7/13/2020	Y	11/23/2020	37754.00	9438.50	9438.50	28315.50	1	Foreign	N	N	0	Y	Below Dollar Threshold			501 MENDELL ST	UNIT D	SAN FRANCISCO	CA	94124			USA
AFFORDABLE SPACE STORAGE CORP.	6000000000027	HVAC Cargo Conbox box	Open	Commodities/Supplies	Authority Contract - Compet	12/30/2020	12/30/2020	Y	3/1/2021	28800.00	0.00	0.00	28800.00	3	Foreign	N	N	0	Y	Below Dollar Threshold			10 CENTENNIAL DRIVE	ROCKAWAY	NJ	7866			USA	
AIMS INTERNATIONAL	6000000000024	INF Grating Yellow Fiberglass	Completed	Commodities/Supplies	Authority Contract - Compet	1/3/2019	1/3/2019	Y	4/2/2020	32175.00	14040.00	30069.00	2106.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold			1617 PEACH LEAF ST	HOUSTON	TX	77039			USA	
AIMS INTERNATIONAL	6000000000024	INF Tubing Handrail 2 0 1	Open	Commodities/Supplies	Authority Contract - Compet	8/13/2019	8/13/2019	Y	11/12/2020	25000.00	25000.00	0.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			1617 PEACH LEAF ST	HOUSTON	TX	77039			USA	
AIR AND POWER TRANSMISSION, INC.	6000000000021	BUS Filter	Open	Commodities/Supplies	Authority Contract - Compet	8/7/2018	8/7/2018	Y	11/6/2020	53846.40	30336.00	21963.64	31881.36	1	NYS	N	Y	0	N	Sole Source			81 GAZZA BOULEVARD	FARMINGDALE	NY	11735			USA	
AIR AND POWER TRANSMISSION, INC.	6000000000019	BUS Separator Assembly	Completed	Commodities/Supplies	Authority Contract - Compet	10/17/2017	10/17/2017	Y	1/16/2020	50731.40	4476.30	25067.28	25664.12	1	NYS	N	Y	0	N	Sole Source			81 GAZZA BOULEVARD	FARMINGDALE	NY	11735			USA	
AIR AND POWER TRANSMISSION, INC.	6000000000022	BUS KIT OIL SEPARATOR UPGRADE	Completed	Commodities/Supplies	Authority Contract - Compet	10/22/2018	10/22/2018	Y	1/21/2020	37944.00	6324.00	31620.00	6324.00	1	NYS	N	Y	0	Y	Below Dollar Threshold			81 GAZZA BOULEVARD	FARMINGDALE	NY	11735			USA	
AIR PURIFIERS, INC.	6000000000023	DOB Nozzle for Niederman ECHO	Open	Commodities/Supplies	Authority Contract - Compet	1/31/2019	1/31/2019	Y	4/30/2021	309350.00	75320.00	227036.00	82314.00	2	Foreign	N	Y	1	N	Sole Source			1 PINE STREET	ROCKAWAY	NJ	7066			USA	
AIR PURIFIERS, INC.	6000000000021	Services Install OPH Exhaust	Open	Other Professional Serv	Authority Contract - Compet	8/14/2018	8/14/2018	Y	8/13/2022	104880.00	23028.00	122149.20	92665.80	2	Foreign	N	Y	0	N	Sole Source			1 PINE STREET	ROCKAWAY	NJ	7066			USA	
ABLINE HYDRALICS CORP	6000000000024	BUS 5' HEAT EXCHANGER TESTER	Open	Commodities/Supplies	Authority Contract - Compet	10/7/2019	10/7/2019	Y	11/17/2020	20896.00	20896.00	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			3557 PROGRESS DRIVE	PHILADELPHIA	PA	19178	7618		USA	
ARMATIC COMPRESSOR SYSTEMS INC	6000000000023	Sub Resistor Motors	Completed	Commodities/Supplies	Authority Contract - Compet	1/9/2019	1/9/2019	Y	11/13/2019	20510.48	8950.48	10657.54	1522.84	2	Foreign	N	Y	0	Y	Below Dollar Threshold			708 WASHINGTON AVE	CARLISBART	NJ	7072			USA	
ARMATIC COMPRESSOR SYSTEMS INC	6000000000024	SIG OSCI Service Kit	Completed	Commodities/Supplies	Authority Contract - Compet	19/10/2019	19/10/2019	Y	10/15/2019	19511.36	19511.36	19511.36	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			708 WASHINGTON AVE	CARLISBART	NJ	7072			USA	
ARMATIC COMPRESSOR SYSTEMS INC	6000000000024	SIG Water Cooled Heat Exchang	Completed	Commodities/Supplies	Authority Contract - Compet	9/23/2019	9/23/2019	Y	12/16/2019	18489.84	18489.84	18489.84	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold			708 WASHINGTON AVE	CARLISBART	NJ	7072			USA	
AROYAL COMPANY	6000000000024	Sub Maxpro Gas Booster	Completed	Commodities/Supplies	Authority Contract - Compet	10/4/2019	10/4/2019	Y	10/8/2019	21300.00	21300.00	21300.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			43 NEWARK WAY	P O BOX 129	MARPLEWOOD	NJ	7040			USA
AIRPLUS SYSTEMS LIMITED	6000000000028	Sub Air Compressor	Open	Commodities/Supplies	Authority Contract - Compet	9/9/2020	9/9/2020	Y	9/16/2020	71200.00	17800.00	17800.00	53400.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			PO BOX 31069 RPO THUN LANGLEY	BRITISH COLUMBIA	CA	V1M 0A9	BC	OTHER	CAN	
AIRTECH, INC.	6000000000027	HYD Airtech Vacuum Pump	Open	Commodities/Supplies	Authority Contract - Compet	12/10/2010	12/10/2010	Y	11/11/2021	25880.00	0.00	0.00	25880.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			150 SOUTH VAN BRUNT ST.	ENGLEWOOD	NJ	7631			USA	
ALBATROSS NORTH AMERICA, INC.	6000000000028	MOW Power and Control Board	Open	Commodities/Supplies	Authority Contract - Compet	6/29/2020	6/29/2020	Y	11/26/2020	50610.00	0.00	0.00	50610.00	7	Foreign	N	N	4	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	MOW High Voltage Reactor	Open	Commodities/Supplies	Authority Contract - Non-Com	3/4/2020	3/4/2020	Y	3/5/2020	18158.40	18158.40	18158.40	0.00	1	Foreign	N	N	0	Y	Sole Source			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	SUB 15KVA Static Inverter 18	Open	Commodities/Supplies	Authority Contract - Compet	7/20/2020	7/20/2020	Y	7/31/2020	74160.00	0.00	0.00	74160.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000025	Sub Transformer	Open	Commodities/Supplies	Authority Contract - Compet	2/19/2020	2/19/2020	Y	5/18/2021	23752.80	3958.80	3958.80	19794.00	1	NYS	N	Y	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	Sub AC Filter Assembly	Open	Commodities/Supplies	Authority Contract - Compet	5/2/2018	5/2/2018	Y	8/11/2019	157040.00	471120.00	667420.00	902980.00	1	NYS	N	Y	0	Y	Stock/OPL All vnd/Mnt/Asst			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	Sub Seal	Open	Commodities/Supplies	Authority Contract - Compet	8/13/2019	8/13/2019	Y	11/12/2020	21339.00	20759.96	20759.96	569.04	1	NYS	N	Y	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	MOW Reactor	Completed	Commodities/Supplies	Authority Contract - Compet	9/27/2019	9/27/2019	Y	9/30/2019	36084.80	36084.80	36084.80	0.00	2	Foreign	N	N	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	Sub Resistor Assembly	Open	Commodities/Supplies	Authority Contract - Compet	7/15/2020	7/15/2020	Y	7/23/2020	116750.00	116750.00	116750.00	0.00	3	Foreign	N	N	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000028	MOW High Voltage Reactor	Open	Commodities/Supplies	Authority Contract - Compet	5/7/2020	5/7/2020	Y	5/8/2020	33220.00	0.00	0.00	33220.00	8	NYS	N	Y	4	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000023	MOW Gasket for Access Door	Completed	Commodities/Supplies	Authority Contract - Compet	4/23/2018	4/23/2018	Y	7/22/2019	89957.00	13493.55	89957.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000022	SUB Low Voltage Power Supply	Completed	Commodities/Supplies	Authority Contract - Compet	10/11/2018	10/11/2018	Y	10/12/2018	20680.00	28550.00	20680.00	0.00	1	NYS	N	Y	0	N	Sole Source			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000025	SUB Transformer Hal; 13-42-7	Open	Commodities/Supplies	Authority Contract - Compet	1/10/2020	1/10/2020	Y	4/12/2021	95992.00	29796.00	29796.00	0.00	1	NYS	N	Y	1	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000024	MOW High Voltage Reactor	Completed	Commodities/Supplies	Authority Contract - Compet	9/3/2019	9/3/2019	Y	5/11/2020	19353.78	19353.78	19353.78	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA
ALBATROSS NORTH AMERICA, INC.	6000000000024	Sub Seal	Open	Commodities/Supplies	Authority Contract - Compet	8/13/2019	8/13/2019	Y	11/12/2020	30955.50	30955.50	30955.50	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold			6 MCCREA HILL RD	6 MCCREA HILL	BALLOTON SPA	NY	12020			USA

Agency	Contract ID	Description	Start Date	End Date	Amount	Original Amount	Change	Commodity	Contract Type	Competition	Priority	Emergency	Need	Location	Contractor	City	State	Zip	Country	
APPALACHIAN TIMBER SERVICES LLC	6000000002025	TRK Tie 6 x 10 x 11 AND 6	12/23/2019	12/23/2019	79476.48	39738.24	39738.24	3	Foreign	N	Y	1	Y	Emergency or Critical Need						
APPALACHIAN TIMBER SERVICES LLC	6000000002024	TRK Tapered Tie B18 66-5-1	6/5/2019	6/5/2019	58480	21760.00	21760.00	2	Foreign	N	Y	1	Y	Below Dollar Threshold						
APPALACHIAN TIMBER SERVICES LLC	6000000002024	TRK Tapered Ties Various Siz	10/3/2019	10/3/2019	355320	355320.00	0.00	2	Foreign	N	Y	1	Y	Below Dollar Threshold						
APPALACHIAN TIMBER SERVICES LLC	6000000002027	TRK Tie 6 x 10 x 10 Hard	12/16/2020	12/16/2020	60960.00	0.00	0.00	5	Foreign	N	Y	1	N	Below Dollar Threshold						
APPLIED ADAPTIVE SOLUTIONS CORP	6000000000001	Repair and maintenance of Hahn eye	3/28/2014	3/28/2014	5060094.00	602421.11	#####	3	Foreign	N	Y	2	N	Below Dollar Threshold						
APPLIED TECHNOLOGY MANUFACTURING	6000000000026	SUB Snubber Module: 13-42-102	4/21/2020	4/21/2020	38760.00	11628.00	11628.00	1	NYS	N	Y	0	Y	Below Dollar Threshold						
APPLIED TECHNOLOGY MANUFACTURING	6000000000029	TULBIE Wiping Bar 09-59-9812	1/2/2020	1/2/2020	67902.00	0.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold						
APPROVED FIRE PROTECTION CO INC	6000000000017	BUS Servicing and Recharging	2/9/2017	2/9/2017	42839.50	3823.00	41466.50	1	Foreign	N	Y	0	N	Below Dollar Threshold						
ARBILL INDUSTRIES, INC.	6000000000021	MWBE SDVOB only gloves nitr	6/19/2018	7/28/2018	199880.00	102782.15	24982.16	0.00	13	Foreign	Y	12	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000021	MWBE SDVOB only respirator s	6/18/2018	6/18/2018	39825.24	2369.64	24957.66	14867.58	10	Foreign	Y	10	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000023	MWBE SDVOB only coverall sz	4/29/2019	5/22/2019	115500.00	21525.00	42525.00	79795.00	6	Foreign	Y	6	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000024	MWBE SDVOB only respirator h	7/27/2020	7/27/2020	82336.32	7173.24	7173.24	57163.08	10	Foreign	Y	4	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000024	NEW; RESPIRATOR	6/18/2018	7/19/2019	34856.64	21326.76	25252.20	9631.44	10	Foreign	Y	10	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000028	TRK glove winter size large	9/14/2020	9/14/2020	17194.32	17194.32	0.00	2	Foreign	N	N	1	Y	Below Dollar Threshold						
ARBILL INDUSTRIES, INC.	6000000000022	MWBE SDVOB only goggles eye	10/9/2018	10/9/2018	37956.96	37705.80	63429.96	10527.00	7	Foreign	Y	6	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000023	MWBE SDVOB only gloves heavy	2/4/2019	3/20/2019	46670.40	18668.16	37363.32	9334.08	7	Foreign	Y	7	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000021	MWBE SDVOB only harness str	7/16/2018	8/4/2018	38520.00	7704.00	30816.00	7704.00	11	Foreign	Y	9	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000021	MWBE SDVOB only respirator s	6/18/2018	6/18/2018	72923.76	8408.40	48118.98	24904.10	10	Foreign	Y	10	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000025	MWBE SDVOB only knee pad	9/6/2018	9/6/2018	66220.00	9460.00	52030.00	14150.00	11	Foreign	Y	11	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000026	MWBE SDVOB only glove winter	4/26/2018	4/26/2018	112375.10	4975.00	82748.00	3139.00	14	Foreign	Y	14	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000024	NEW respirator size large	10/1/2020	10/1/2020	17194.32	17194.32	0.00	2	Foreign	N	Y	1	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000024	NEW respirator size large	6/18/2018	7/19/2018	23237.76	16969.68	16969.68	6268.08	10	Foreign	Y	10	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000026	MWBE SDVOB only respirator h	5/13/2020	6/18/2020	48653.28	5353.94	5353.94	43299.34	6	Foreign	Y	4	Y	Discretionary Procurement						
ARBILL INDUSTRIES, INC.	6000000000026	MWBE SDVOB only fire protecti	2/14/2018	2/14/2018	55288.42	5520.24	53807.38	1481.04	12	Foreign	Y	10	Y	Discretionary Procurement						
ARCELORMITTAL USA LLC	6000000000022	MOWFB 01-80-1375 1389 39 FT	11/7/2018	11/7/2018	7535885.00	1733886.00	#####	2	Foreign	N	Y	0	N	Below Dollar Threshold						
ARCELORMITTAL USA LLC	6000000000022	MOWFB 01-80-1375 39 FT UN-D	5/15/2020	5/15/2020	230775.00	0.00	0.00	2	Foreign	N	Y	0	N	Below Dollar Threshold						
ARCELORMITTAL USA LLC	6000000000028	MOW FTK01-80-1377 78 FT UN-D	5/16/2018	5/16/2018	11700896.20	1349142.48	#####	2	Foreign	N	Y	0	N	Below Dollar Threshold						
ARCELORMITTAL USA LLC	6000000000025	MOWFB 01-80-1420 39 FT 115	12/19/2019	12/19/2019	798946.65	626597.46	626597.46	172349.19	2	Foreign	N	Y	0	Y	Stock/QLP All and Mfr/Aut					
ARCELORMITTAL USA LLC	6000000000025	MOWFB 01-80-1389 39 FT UN-D	2/11/2020	2/11/2020	7208320.00	0.00	0.00	2	Foreign	N	Y	0	N	Below Dollar Threshold						
ARCELORMITTAL USA LLC	6000000000022	MOWFB01-80-1450 39 FT UNDR	1/26/2019	1/26/2019	588276.00	122557.50	514741.50	73534.50	2	Foreign	N	Y	0	N	Below Dollar Threshold					
AREAS TRANC INC	6000000000012	Staten Island Voucher Car Serv	1/31/2020	1/31/2020	2094986.15	19247.30	#####	13	NYS	N	Y	2	N	Below Dollar Threshold						
AREAC INC	6000000000026	Service NYC of 63rd St Arm	5/29/2020	5/29/2020	795000.00	0.00	0.00	2	Foreign	N	N	1	Y	Below Dollar Threshold						
AREAC INC	6000000000025	PKCS CANISSE UPGRADE	7/11/2019	7/11/2019	373490.00	449749.00	0.00	1	Foreign	N	Y	0	N	Below Dollar Threshold						
ARRO INC	6000000000027	Braker Car	19/28/2020	11/7/2020	145184992.00	0.00	0.00	#####	11	NYS	N	0	Y	Below Dollar Threshold						
ARRO INC	6000000000028	E-Hail Pilot Service Program	5/7/2018	5/7/2018	12948470.00	968725.50	#####	1	NYS	N	N	0	Y	Sole Source						
ARVA INDUSTRIES INC	R3423	PURCHASE OF TWELVE 12-3TON CR	7/27/2020	7/27/2020	19349567.00	1892855.78	#####	2	Foreign	N	Y	0	Y	Emergency or Critical Need						
ASSOCIATED RAL PRODUCTS LLC	6000000000022	MOWFB01-05-1005 JOINT BARS-	12/17/2018	12/17/2018	431727.90	99193.50	431727.90	0.00	2	Foreign	N	Y	0	N	Below Dollar Threshold					
ATECH TRAINING INC	6000000000025	BUS Atech 18018 Kits	10/15/2019	10/15/2019	61523.00	75788.42	75788.42	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC CORDAGE CORP.	6000000000026	TRK Sling Steel Wire Rope	6/23/2020	6/23/2020	49000.00	49000.00	44100.00	44100.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC CORDAGE CORP.	6000000000021	TRK Sling Steel Wire Rope	6/20/2018	6/20/2018	56400.00	9400.00	46650.00	11750.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC HYDRAULIC SYSTEMS INC	6000000000025	TRK Hydraulic Power Unit	10/25/2019	10/25/2019	391133.00	391133.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold						
ATLANTIC SPRING COMPANY	6000000000022	Spring 12-38-2433	12/9/2020	12/9/2020	46200.00	0.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold						
ATLANTIC STATES LIQUORISTS CORP.	6000000000023	MWBE SDVOB Small oil hydraulic	5/15/2018	5/15/2018	64880.00	12866.70	54483.66	10196.34	2	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC STATES LIQUORISTS CORP.	6000000000023	MWBE SDVOB Small grease elec	3/20/2019	4/18/2019	17040.40	52292.80	100979.20	94423.20	1	NYS	N	Y	0	Y	Discretionary Procurement					
ATLANTIC STATES LIQUORISTS CORP.	6000000000023	SEI oil lubricating multipup	11/11/2018	11/17/2018	36554.16	10229.76	31529.28	5264.88	4	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC STATES LIQUORISTS CORP.	6000000000023	MWBE SDVOB Small oil lubrica	10/30/2018	10/30/2018	16367.20	5489.00	5489.00	10878.20	2	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC STATES LIQUORISTS CORP.	6000000000028	DOB grease synthetic	4/9/2018	4/9/2018	88147.50	7281.75	59403.75	28743.75	3	NYS	N	Y	1	Y	Discretionary Procurement					
ATLANTIC STATES LIQUORISTS CORP.	6000000000028	DOB grease synthetic	9/29/2020	9/29/2020	16617.60	0.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold						
ATLANTIC STATES LIQUORISTS CORP.	6000000000023	MWBE SDVOB Small grease high	4/11/2019	4/28/2019	33804.00	11268.00	18780.00	15024.00	3	Foreign	N	Y	1	Y	Discretionary Procurement					
ATLANTIC TRACK AND TURNOUT CO.	6000000000026	MOWFB 01-54-1015 36 FIBERGL	5/6/2020	5/6/2020	1874007.50	601224.00	601224.00	1272783.50	3	NYS	N	Y	0	Y	NYS/NYC/CountyContract					
ATLANTIC TRACK AND TURNOUT CO.	6000000000024	MOWFB 01-58-3615 6-1 16 FIB	6/12/2019	6/12/2019	507370.00	249195.00	350220.00	157150.00	2	Foreign	N	Y	0	N	Below Dollar Threshold					
ATLANTIC TRACK AND TURNOUT CO.	6000000000022	MOW FTKR 01-58-3616 84C BIME	11/15/2018	11/15/2018	249474.00	623668.50	#####	1	Foreign	N	Y	0	N	Below Dollar Threshold						
ATLANTIC TRACK AND TURNOUT CO.	6000000000024	MOWFMLB 01-58-3610 FIBERGLAS	7/2/2019	7/2/2019	223440.00	178752.00	178752.00	44688.00	2	Foreign	N	Y	0	N	Below Dollar Threshold					
ATLANTIC TRACK AND TURNOUT CO.	6000000000026	MOWFB 01-80-1154 115 RE DRI	8/31/2020	8/31/2020	137235.00	0.00	0.00	2	Foreign	N	Y	0	N	Below Dollar Threshold						
ATLANTIC TRACK AND TURNOUT CO.	6000000000022	MOWF INSULATOR FIBERGLAS 0	10/16/2019	10/16/2019	99760.00	23200.00	99760.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLANTIC TRACK AND TURNOUT CO.	6000000000023	MOWFB 01-80-1095 84C END APP	12/19/2019	12/19/2019	275980.00	114218.00	114218.00	160862.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold					
ATLAS ROLL-OFF CORP.	6000000000026	MOW Ballast Stone Class B	9/22/2020	9/22/2020	46800.00	0.00	0.00	48800.00	3	NYS	N	Y	0	Y	Below Dollar Threshold					
AULBORN ARCHITECTURE ENGINEERING	6000000000001	Fuel Consulting Rep 0000001049	6/20/2013	6/20/2013	#####	1196272.00	15136.88	595970.65	636701.35	3	NYS	N	Y	6	N	Below Dollar Threshold				
AURORA ELECTRIC, INC	6000000000021	MWBE SDVOB only Help Point Cl	8/30/2018	8/30/2018																

BBA PROJECT, INC.	600000000027	SUB CABLE ONE CONDUCTOR 1.0	Open	Commodities/Supplies	Authority Contract - Compet	12/18/2018	1/12/2020	5/6/2021	26340.00	0.00	0.00	26340.00	3	NYS	Y	Y	3	Y	Below Dollar Threshold			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BBA PROJECT, INC.	600000000024	Relay 08-42-6038	Open	Commodities/Supplies	Authority Contract - Compet	7/26/2019	7/26/2019	4/13/2021	39603.00	151679.49	25068.99	145433.01	1	NYS	Y	Y	4	Y	Discretionary Procurement			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BBA PROJECT, INC.	600000000025	MVBE SDVOB Only Cable One Co	Completed	Commodities/Supplies	Authority Contract - Compet	10/16/2019	10/16/2019	4/15/2020	173581.10	88621.60	173581.10	0.00	4	NYS	Y	Y	4	Y	Discretionary Procurement			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BBA PROJECT, INC.	600000000023	TRX Seal Locking Nut 38-36-7	Completed	Commodities/Supplies	Authority Contract - Compet	1/25/2019	1/25/2019	4/25/2020	98900.00	18275.00	98900.00	0.00	2	NYS	Y	Y	1	Y	Below Dollar Threshold			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BBA PROJECT, INC.	600000000023	SUB Water Nozzle With Hose Tu	Open	Commodities/Supplies	Authority Contract - Compet	2/20/2019	2/20/2019	5/20/2021	19494.80	5678.92	10086.44	9408.36	2	NYS	N	Y	1	Y	Below Dollar Threshold			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BBA PROJECT, INC.	600000000024	EMO Relay	Open	Commodities/Supplies	Authority Contract - Compet	9/16/2019	9/16/2019	12/15/2020	180033.00	61340.20	76985.54	103047.46	2	NYS	Y	Y	2	Y	Below Dollar Threshold			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BBA PROJECT, INC.	600000000024	MVBE SDVOB Only Car Wiring Ca	Completed	Commodities/Supplies	Authority Contract - Compet	9/3/2019	9/3/2019	3/10/2020	20821.96	11740.88	20821.96	0.00	5	NYS	Y	Y	4	Y	Discretionary Procurement			155 NINTH AVE UNIT D	RUNNEMEDA	NJ	8078		USA
BDI - BEARING DISTRIBUTORS INC	600000000023	608 SST BEARING 6300 AND PA	Completed	Commodities/Supplies	Authority Contract - Compet	6/16/2019	6/16/2019	8/15/2020	1421146.00	23845.00	142633.00	47782.40	7	Foreign	N	Y	0	N				640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000023	BUS RST BEARING 663 AND RAC	Completed	Commodities/Supplies	Authority Contract - Compet	6/27/2018	6/27/2018	9/26/2019	81200.00	13020.00	54752.00	26448.00	4	Foreign	N	Y	0	N				640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000023	BUS BEARING SET FRONT INNER	Open	Commodities/Supplies	Authority Contract - Compet	3/15/2018	3/15/2018	6/13/2020	190440.00	49371.57	156944.07	37945.93	7	Foreign	N	Y	0	N				640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000023	Roller Bearing 132-38400	Open	Commodities/Supplies	Authority Contract - Compet	11/5/2019	11/5/2019	3/2/2022	127176.00	46366.25	46366.25	80809.75	6	Foreign	N	Y	0	Y	Below Dollar Threshold			640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000023	SUB Spherical Bearing 18-68-	Open	Commodities/Supplies	Authority Contract - Compet	1/3/2019	1/3/2019	1/5/2019	206670.00	65307.72	206643.33	206.67	6	Foreign	N	Y	0	Y	Below Dollar Threshold			640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000024	EAHDE Brake Assembly 04-13-305	Open	Commodities/Supplies	Authority Contract - Compet	10/1/2019	10/1/2019	1/1/2021	76643.91	76643.91	76643.91	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold			640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000023	Ball Bearing 12-46-8013	Open	Commodities/Supplies	Authority Contract - Compet	4/30/2019	4/30/2019	7/28/2021	86725.28	48843.52	52902.25	33822.75	9	Foreign	N	Y	0	N				640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000025	SUB Roller Bearing 12-62-2059	Open	Commodities/Supplies	Authority Contract - Compet	11/21/2019	11/21/2019	11/25/2019	42597.00	42597.00	42597.00	0.00	4	Foreign	N	Y	0	Y	Stock/QPL All vnd/Mfr/Aut			640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000026	DOB gear compact bearing 8	Open	Commodities/Supplies	Authority Contract - Compet	8/18/2020	9/26/2020	9/25/2022	57805.00	4624.40	4624.40	53180.60	3	Foreign	N	Y	0	Y	Below Dollar Threshold			640 ACCESS RD	STAFFORD	CT	6615		USA
BDI - BEARING DISTRIBUTORS INC	600000000019	SUB Roller Bearing Assembly 1	Completed	Commodities/Supplies	Authority Contract - Compet	1/25/2018	1/25/2018	4/24/2020	1109800.00	332940.00	#####	0.00	3	Foreign	N	Y	0	Y	Stock/QPL All vnd/Mfr/Aut			640 ACCESS RD	STAFFORD	CT	6615		USA
BEHMAN ELECTRONICS, INC.	600000000025	POW Inverter And Transfer Modul	Open	Commodities/Supplies	Authority Contract - Compet	1/27/2020	1/27/2020	1/19/2020	42430.00	42430.00	42430.00	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold			80 CABOT COURT	HAUPPAUGE	NY	11788		USA
BELL ELECTRICAL SUPPLY CO INC	600000000023	SUB Mbed LED Light Tube	Completed	Commodities/Supplies	Authority Contract - Compet	2/18/2020	2/18/2020	3/19/2020	20998.00	20998.00	20998.00	0.00	12	NYS	N	Y	6	Y	Below Dollar Threshold			69-09 QUEENS BLVD	WOODSIDE	NY	11377		USA
BELL ELECTRICAL SUPPLY CO INC	600000000024	SUB Electrical CFTI and CTT2	Open	Commodities/Supplies	Authority Contract - Compet	5/23/2019	6/23/2020	11/30/2020	113960.00	104005.00	104005.00	9455.00	3	NYS	N	Y	0	Y	Below Dollar Threshold			69-09 QUEENS BLVD	WOODSIDE	NY	11377		USA
BELL ELECTRICAL SUPPLY CO INC	600000000026	MVBE SDVOB Only CORE ELECTR	Open	Commodities/Supplies	Authority Contract - Compet	6/11/2020	6/11/2020	12/10/2020	36800.00	36800.00	36800.00	0.00	15	NYS	N	Y	18	Y	Discretionary Procurement			69-09 QUEENS BLVD	WOODSIDE	NY	11377		USA
BEH-LUNDA INC	600000000023	Braker Roller Car Service- 51	Open	Other	Authority Contract - Compet	3/19/2019	3/19/2019	3/28/2022	999940.37	2597168.65	#####	7322391.62	12	NYS	N	Y	0	N				184 ROBIN RD	STATEN ISLAND	NY	10305		USA
BEN JEVO MGT INC	600000000023	Staten Island Voucher Car Service fo	Open	Other	Authority Contract - Compet	13/1/2017	3/1/2018	1/31/2020	1672329.71	6130.75	#####	644891.12	13	NYS	N	Y	2	N				2 TOMPKINS AVE	STATEN ISLAND	NY	10304		USA
BENNETT MANUFACTURING CO, INC.	600000000023	W HBE SDVOB Small-Trash Recept	Open	Commodities/Supplies	Authority Contract - Compet	5/14/2019	5/15/2019	5/14/2021	183350.00	55005.00	165015.00	183350.00	4	NYS	N	Y	3	Y	Discretionary Procurement			13315 RAILROAD ST	ALDEN	NY	14004	1390	USA
BERGEN AUTO UPHOLSTERY CO INC	600000000023	Transverse Seat Cushion	Completed	Commodities/Supplies	Authority Contract - Compet	2/7/2019	2/7/2019	5/6/2020	99960.00	10285.00	99960.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			49 RIVERVIEW TERRACE	UPPER SADDLE RIVER	NJ	7458		USA
BERGEN AUTO UPHOLSTERY CO INC	600000000024	BUS Seat Frames	Completed	Commodities/Supplies	Authority Contract - Compet	7/24/2019	7/24/2019	7/25/2019	15990.90	15990.90	15990.90	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			49 RIVERVIEW TERRACE	UPPER SADDLE RIVER	NJ	7458		USA
BERGEN SEATING LLC	600000000028	Cover Passenger Seatback	Open	Commodities/Supplies	Authority Contract - Compet	4/7/2020	4/7/2020	6/7/2020	40500.00	6750.00	6750.00	33750.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			135 N MICHIGAN AVE	HENLWORTH	NJ	7033		USA
BERGEN SEATING LLC	600000000026	BUSES CURTAIN ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	6/9/2020	6/9/2020	7/3/2020	48750.00	28730.00	28730.00	20020.00	1	Foreign	N	Y	0	Y	Emergency or Critical Need			135 N MICHIGAN AVE	HENLWORTH	NJ	7033		USA
BERY INDUSTRIAL GROUP INC	600000000024	MVBE SDVOB Small Pallets 48	Completed	Commodities/Supplies	Authority Contract - Compet	6/3/2019	6/3/2019	6/4/2020	74713.60	171697.60	65374.40	4	NYS	N	Y	2	Y	Discretionary Procurement			30 MAIN ST	NYACK	NY	10960		USA	
BERY INDUSTRIAL GROUP INC	600000000026	MVBE SDVOB Small Pallets 48	Open	Commodities/Supplies	Authority Contract - Compet	5/5/2020	6/5/2020	6/4/2021	182286.00	28782.00	28782.00	153504.00	5	NYS	N	Y	2	Y	Discretionary Procurement			30 MAIN ST	NYACK	NY	10960		USA
BIG APPLE SIGN CORP	600000000028	MVBE SDVOB Only tactile ball	Open	Commodities/Supplies	Authority Contract - Compet	4/27/2018	4/27/2018	12/31/2019	119232.00	11984.72	53675.54	65556.46	2	NYS	Y	Y	Y	Y	Discretionary Procurement			247 W 35TH ST	NEW YORK	NY	10001		USA
BIG APPLE SIGN CORP	600000000021	STATION SIGNAGE porcelain em	Open	Commodities/Supplies	Authority Contract - Compet	7/11/2018	7/12/2018	12/31/2020	65099.79	11891.40	22316.90	42774.80	2	NYS	Y	Y	2	N				247 W 35TH ST	NEW YORK	NY	10001		USA
BIG APPLE SIGN CORP	600000000026	12 x 12 Square Polishing Marker Dec	Open	Commodities/Supplies	Authority Contract - Compet	6/22/2020	6/22/2020	6/22/2020	759490.00	759490.00	759490.00	0.00	2	NYS	N	Y	0	Y	Emergency or Critical Need			247 W 35TH ST	NEW YORK	NY	10001		USA
BILCO COMPANY	600000000023	MVBE Bico Slew Drive	Completed	Commodities/Supplies	Authority Contract - Compet	8/25/2019	8/25/2019	12/24/2019	37384.00	37384.00	37384.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			270 JAMES ST	NEW HAVEN	CT	06513		USA
BIOPROTECTORS LLC	600000000025	Two Step Process of Disinfecting and	Open	Commodities/Supplies	Authority Contract - Compet	3/12/2020	3/12/2020	3/11/2021	36525.00	36525.00	36525.00	0.00	1	Foreign	N	Y	0	Y	Emergency or Critical Need			1305 FULTON ST	RAHWAY	NJ	7985		USA
BKC INDUSTRIES INC	600000000024	MVBE SDVOB Small sleeve flt	Open	Commodities/Supplies	Authority Contract - Compet	9/30/2019	9/30/2019	9/29/2021	273761.28	82753.56	110584.86	163176.52	5	Foreign	N	Y	5	Y	Discretionary Procurement			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA
BKC INDUSTRIES INC	600000000028	MVBE SDVOB Only ringear vel	Completed	Commodities/Supplies	Authority Contract - Compet	4/9/2018	4/9/2018	4/8/2020	29750.00	2975.00	29750.00	0.00	3	Foreign	Y	Y	3	Y	Discretionary Procurement			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA
BKC INDUSTRIES INC	600000000021	MVBE SDVOB Only Shear polyet	Completed	Commodities/Supplies	Authority Contract - Compet	2/26/2018	2/26/2018	5/26/2020	175080.00	18237.50	131310.00	43770.00	5	Foreign	N	Y	4	Y	Discretionary Procurement			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA
BKC INDUSTRIES INC	600000000022	MVBE SDVOB Only ringear vel	Completed	Commodities/Supplies	Authority Contract - Compet	4/9/2018	4/9/2018	4/8/2020	26775.00	2975.00	26775.00	0.00	3	Foreign	Y	Y	3	Y	Discretionary Procurement			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA
BKC INDUSTRIES INC	600000000022	MVBE SDVOB Only ringear vel	Completed	Commodities/Supplies	Authority Contract - Compet	4/9/2018	4/9/2018	4/8/2020	23800.00	2975.00	20825.00	2975.00	3	Foreign	Y	Y	3	Y	Discretionary Procurement			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA
BKC INDUSTRIES INC	600000000022	MVBE SDVOB Only ringear vel	Completed	Commodities/Supplies	Authority Contract - Compet	4/9/2018	4/9/2018	4/8/2020	35700.00	5950.00	35700.00	0.00	3	Foreign	Y	Y	3	Y	Discretionary Procurement			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA
BKC INDUSTRIES INC	600000000026	MVBE SDVOB Small Push beam 6	Open	Commodities/Supplies	Authority Contract - Compet	7/7/2020	7/7/2020	10/6/2022	97200.00	97200.00	87480.00	8	Foreign	N	Y	5	Y	Below Dollar Threshold			3288 DELESA DRIVE SUITE D	FRANKLINVILLE	NJ	8322		USA	
BLP SYSTEMS AS	600000000025	OPS Planning Sensors and Comp	Completed	Technology - Hardware	Authority Contract - Non-Comp																						

BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	ARC HOM 08-68-6040	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/16/2019	7/16/2019	Bearing Bracket	5/10/13/2021	39200.00	18403.60	18403.60	21316.40	1 Foreign	N	N	0	Y	Sole Source	39200.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Proximity Switch 08-68-6023	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/6/2019	6/6/2019		9/3/2021	36503.20	14985.40	20973.60	15623.60	1 Foreign	N	N	0	Y	Sole Source	36503.20	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Arc Box Assembly 08-64-6011	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/5/2019	7/5/2019		10/1/2021	22406.40	115160.65	18674.00	37349.40	1 Foreign	N	N	0	Y	Sole Source	22406.40	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Armature Assembly 08-68-6126	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/16/2019	7/16/2019		10/13/2021	28995.50	120223.30	154792.30	135203.20	1 Foreign	N	N	0	Y	Sole Source	28995.50	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000019	08-68-6038 Armature Assembly	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/13/2017	10/13/2017		1/10/2020	180452.50	95465.40	175133.90	53186.60	1 Foreign	N	N	0	Y	Sole Source	180452.50	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Moving Contact 08-38-1768	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/24/2019	10/24/2019		1/21/2022	66240.00	4830.00	4830.00	61410.00	1 Foreign	N	N	0	Y	Below Dollar Threshold		1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	ARC HOM 08-44-6114	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/17/2019	7/17/2019		10/14/2021	113970.00	13970.00	53070.00	39150.00	1 Foreign	N	N	0	Y	Sole Source	113970.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Armature Assembly 08-68-6168	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/26/2019	4/26/2019		7/23/2021	327555.00	135240.00	100730.00	14921.00	1 Foreign	N	N	0	Y	Sole Source	327555.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Soc Coupling Hub 13-42-2457	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/7/2019	12/7/2019		3/1/2022	196000.00	24500.00	24500.00	171500.00	1 Foreign	N	N	0	Y	Sole Source	196000.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Logic Assembly 08-42-5088	Completed	Commodities/Supplies	Authority Contract - Non-Comp	5/4/2018	5/4/2018		5/8/2018	220212.00	220212.00	0.00	0.00	1 Foreign	N	N	0	Y	Sole Source	220212.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Contact Support 12-38-2710	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/27/2019	9/27/2019		12/27/2021	51940.00	23373.00	23373.00	28567.00	1 Foreign	N	N	0	Y	Sole Source	51940.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Transducer Assembly 08-68-6139	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/26/2019	2/26/2019		5/26/2021	81880.00	29192.00	51976.00	29904.00	1 Foreign	N	N	0	Y	Sole Source	81880.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Fuse 08-10-1601	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/12/2018	3/12/2018		6/9/2020	57582.00	17274.60	54063.10	3518.90	1 Foreign	N	N	0	Y	Sole Source	57582.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	600792923	REPLACE GE PROPELLION SYSTEM	Completed	Other	Authority Contract - Non-Comp	3/20/2009	3/25/2009		3/24/2012	30340134.00	154734.68	#####	16199.91	0 Foreign	N	N	0	Y	Sole Source		1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Sensor Assembly 08-68-6030	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/10/2019	9/10/2019		12/8/2021	167475.00	52635.00	52635.00	114840.00	1 Foreign	N	N	0	Y	Sole Source	167475.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000025	Sub Center Plate 12-62-2456	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/5/2020	1/9/2020		4/8/2022	17080.00	17080.00	111020.00	0.00	1 Foreign	N	N	0	Y	Below Dollar Threshold	128100.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	ARC HOM 08-68-6041	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/23/2019	1/23/2019		4/22/2021	32448.00	12506.00	25012.00	7436.00	1 Foreign	N	N	0	Y	Sole Source	32448.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	ARC HOM KIT 08-68-6177	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/19/2019	4/19/2019		7/16/2021	297135.00	105648.00	246511.00	50623.00	1 Foreign	N	N	0	Y	Sole Source	297135.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000019	Convect Assembly 08-38-15017	Completed	Commodities/Supplies	Authority Contract - Non-Comp	12/7/2017	12/7/2017		3/6/2020	94150.00	5700.00	51300.00	28950.00	2 Foreign	N	N	0	Y	Below Dollar Threshold		1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Convect Assembly 08-38-6100	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/3/2018	5/3/2018		7/31/2021	112230.00	15660.00	149220.00	7208.00	2 Foreign	N	N	0	Y	Sole Source	112230.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	ARC HOM 12-38-2035	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/5/2020	2/5/2020		6/5/2022	59000.00	47200.00	47200.00	11800.00	1 Foreign	N	N	0	Y	Sole Source	59000.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Armature Assembly 08-68-6038	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/26/2019	12/26/2019		3/25/2022	303920.00	113970.00	113970.00	899590.00	1 Foreign	N	N	0	Y	Sole Source	303920.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	08-68-6142 Transducer	Completed	Commodities/Supplies	Authority Contract - Non-Comp	2/23/2016	2/23/2016		5/23/2018	223200.00	14400.00	221760.00	1440.00	1 Foreign	N	N	0	Y	Sole Source	223200.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Relay 08-43-0385	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/28/2020	8/28/2020		6/23/2022	588833.00	0.00	0.00	588833.00	1 Foreign	N	N	1	Y	Sole Source	588833.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Fuse 08-10-1601	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/23/2020	6/23/2020		9/21/2022	36520.00	4980.00	4980.00	31540.00	1 Foreign	N	N	0	Y	Sole Source	36520.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000019	SUB SLIGHT GLASS	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/13/2017	10/13/2017		11/13/2020	37111.00	6549.00	28379.00	8732.00	1 Foreign	N	N	0	Y	Sole Source	37111.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	Armature Assembly 08-44-6875	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/26/2019	4/26/2019		7/23/2021	204000.00	76160.00	155040.00	48960.00	1 Foreign	N	N	0	Y	Sole Source	204000.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	SUB BEARING STOP	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/8/2019	7/8/2019		10/6/2021	82800.00	35880.00	35880.00	46200.00	1 Foreign	N	N	0	Y	Sole Source	82800.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Conv Assembly 08-42-5017	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/29/2020	12/29/2020		3/9/2022	39900.00	0.00	0.00	39900.00	1 Foreign	N	N	0	Y	Below Dollar Threshold		1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000024	Cell 08-38-1425	Open	Commodities/Supplies	Authority Contract - Compet	9/23/2019	9/23/2019		12/21/2021	39544.50	18711.30	18711.30	20833.20	2 Foreign	N	N	0	Y	Below Dollar Threshold		1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000027	Sensor Assy 08-68-6031	Open	Consulting Services	Authority Contract - Non-Comp	11/18/2020	11/18/2020		2/17/2023	140202.00	0.00	140202.00	0.00	2 Foreign	N	N	0	Y	Sole Source	140202.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000022	Brush Housing 12-42-3065	Open	Commodities/Supplies	Authority Contract - Compet	9/19/2018	9/19/2018		12/17/2020	80134.00	31236.00	51796.00	28428.00	2 Foreign	N	N	0	Y	Below Dollar Threshold		1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BOMBARDIER TRANSPORT(HOLDINGS)	60000000023	ARC HOM 08-68-6142	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/13/2020	4/13/2020		7/12/2022	132810.00	36348.00	36348.00	94640.00	2 Foreign	N	N	0	Y	Sole Source	132810.00	1501 LEBANON CHURCH ROAD	PITTSBURGH	PA	15236	1491	USA
BONCOR GROUP CORP	60000000020	MVBE SDOVB Only Batteries AA	Open	Commodities/Supplies	Authority Contract - Compet	7/1/2020	7/1/2020		4/27/2022	186245.38	47206.62	176656.38	11800.00	1 NYS	N	N	0	Y	Discretionary Procurement		7 BUNKER LANE	MIDDLE ISLAND	NY	11953	1910	USA
BONCOR GROUP CORP	60000000020	MVBE SDOVB Only Batteries AA	Open	Commodities/Supplies	Authority Contract - Compet	4/2/2018	4/2/2018		7/1/2020	86736.38	26039.58	78091.74	8644.64	8 NYS	Y	Y	8	Y	Discretionary Procurement		7 BUNKER LANE	MIDDLE ISLAND	NY	11953	1910	USA
BONCOR GROUP CORP	60000000025	MOW Battery Dry 6 Volt Spr	Open	Commodities/Supplies	Authority Contract - Compet	3/10/2020	3/10/2020		6/9/2021	82000.00	32826.24	32826.24	49173.76	2 NYS	Y	Y	2	Y	Below Dollar Threshold		7 BUNKER LANE	MIDDLE ISLAND	NY	11953	1910	USA
BORO SAWMILL AND TIMBER CO INC	60000000028	TRK Tropical Hardwood Ties	Open	Commodities/Supplies	Authority Contract - Compet	4/3/2018	4/3/2018		4/2/2019	364872.00	127705.20	150509.70	214362.30	4 Foreign	N	N	2	N	Emergency or Critical Need		139 RYERSON AVE.	WAYNE	NJ	7470	USA	
BORO SAWMILL AND TIMBER CO INC	60000000028	TRK Tie 6 x10 x28 Tropical	Completed	Commodities/Supplies	Authority Contract - Compet	5/3/2019	5/3/2019		5/2/2020	89835.00	89835.00	89835.00	0.00	3 Foreign	N	N	2	N	Emergency or Critical Need		139 RYERSON AVE.	WAYNE	NJ	7470	USA	
BORO SAWMILL AND TIMBER CO INC	60000000021	TRK Tropical Hardwood Ties AND	Open	Commodities/Supplies	Authority Contract - Compet	8/24/2018	8/24/2018		8/23/2019	231200.00	79050.00	90950.00	140250.00	3 Foreign	N	N	2	Y	Emergency or Critical Need		139 RYERSON AVE.	WAYNE	NJ	7470	USA	
BORO SAWMILL AND TIMBER CO INC	60000000024	TRK Tropical Hardwood Ties	Open	Commodities/Supplies	Authority Contract - Compet	12/3/2018	12/3/2018		12/2/2019	68035.00	21771.20	21771.20	42623.80	3 Foreign	N	N	2	Y	Emergency or Critical Need		139 RYERSON AVE.	WAYNE	NJ	7470	USA	
BORO SAWMILL AND TIMBER CO INC	60000000024	TRK Tropical Hardwood Ties	Completed	Commodities/Supplies	Authority Contract - Compet	12/4/2018																				

CLIFFSIDE BODY CORP	600000000001	Four Year Estimated Quality Price	Open	Commodities/Supplies	Authority Contract - Compet	11/9/2016	1721.40	60000.00	961.40	1721.40	58278.60	2	Foreign	N	Y	0	N		PO BOX 206	130 BROAD AVE	FAIRVIEW	NJ	7022	206	USA		
COACH AND EQUIPMENT BUS SALES INC	600000000028	Paratrans Driver Enclosure Co	Open	Commodities/Supplies	Authority Contract - Compet	8/11/2020	8/11/2020				843120.00	4	Foreign	N	Y	1	Y		1109 FRANKFURST AVE		BALTIMORE	MD	21226		USA		
COACH AND EQUIPMENT BUS SALES INC	600000000028	Paratrans Coach AND Equip For	Open	Commodities/Supplies	Authority Contract - Compet	8/11/2020	8/11/2020		0.00	0.00	833669.00	4	Foreign	N	Y	0	Y		1109 FRANKFURST AVE		BALTIMORE	MD	21226		USA		
COLONIAL HARDWARE CO INC	600000000023	TRX Pinch Bar 42-95-1879	Completed	Commodities/Supplies	Authority Contract - Compet	11/22/2017	11/22/2017		1737.20	10423.20	26508.00	2	Foreign	N	Y	0	Y		33 COMMERCIE ST		SPRINGFIELD	NJ	7081		USA		
COLUMBUS TRANSIT, LLC	C07#9751M	PARATRANSIT SERVICE	Completed	Consulting Services	Authority Contract - Compet	10/6/2008	10/6/2008		113164399.42	3142929.07	#####	51	NYS	N	Y	2	N		711 S COLUMBUS AVE		MOUNT VERNON	NY	10550		USA		
COMMERCIAL UBRICANTS MOOVE COR	600000000023	REPLACE grease 69-10-3404	Completed	Commodities/Supplies	Authority Contract - Compet	4/30/2018	3/14/2019		38113.70	7846.93	14572.87	23540.83	4	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000024	DOB Synthetic heavy duty tr	Open	Commodities/Supplies	Authority Contract - Compet	9/16/2019	10/20/2019		1611480.00	57692.48	63319.68	97829.32	2	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000024	DOB Synthetic heavy duty tr	Open	Commodities/Supplies	Authority Contract - Compet	10/24/2018	3/14/2019		216282.00	62094.95	84205.90	2	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA		
COMMERCIAL UBRICANTS MOOVE COR	600000000028	MWBE SDVOS Small antifrize	Open	Commodities/Supplies	Authority Contract - Compet	6/10/2020	7/27/2020		82384.95	3211.13	2111.13	79073.83	4	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000023	REPLACE ATF drum	Open	Commodities/Supplies	Authority Contract - Compet	6/20/2018	3/14/2019		77391.60	27942.10	65338.15	12033.45	4	NYS	N	Y	0	N		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000023	REPLACE antifreeze bulk	Open	Commodities/Supplies	Authority Contract - Compet	6/20/2018	3/14/2019		348068.00	124450.35	258272.07	89795.93	4	NYS	N	Y	0	N		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000027	MWBE SDVOS Small grease elec	Open	Commodities/Supplies	Authority Contract - Compet	12/16/2020	12/16/2020		35770.02	0.00	35770.02	3	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA		
COMMERCIAL UBRICANTS MOOVE COR	600000000023	REPLACE grease 69-10-3403	Completed	Commodities/Supplies	Authority Contract - Compet	4/30/2018	3/14/2019		129486.93	14575.75	67794.21	61692.72	4	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000027	MWBE SDVOS Small antifrize	Open	Commodities/Supplies	Authority Contract - Compet	12/7/2020	12/7/2020		280973.00	0.00	280973.00	7	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA		
COMMERCIAL UBRICANTS MOOVE COR	600000000025	MWBE SDVOS Small oil lubrica	Open	Commodities/Supplies	Authority Contract - Compet	2/24/2020	4/9/2020		69993.00	12407.85	12407.85	5785.15	4	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000023	REPLACE of motor bulk	Open	Commodities/Supplies	Authority Contract - Compet	5/3/2018	3/14/2019		59024.20	20039.60	46646.80	12377.40	2	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000028	MWBE SDVOS Small oil motor	Open	Commodities/Supplies	Authority Contract - Compet	8/27/2020	8/27/2020		104342.00	14841.75	14841.75	89500.25	6	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000028	MWBE SDVOS Small oil motor synt	Open	Commodities/Supplies	Authority Contract - Compet	4/14/2020	4/30/2020		189830.52	31638.42	31638.42	158192.10	6	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000028	MWBE SDVOS Small antifrize	Open	Commodities/Supplies	Authority Contract - Compet	6/22/2020	7/2/2020		392267.50	74286.36	74286.36	317981.14	4	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMERCIAL UBRICANTS MOOVE COR	600000000024	DOB Synthetic heavy duty tr	Open	Commodities/Supplies	Authority Contract - Compet	9/16/2019	10/20/2019		246958.70	162406.00	191949.80	159024.10	2	NYS	N	Y	0	Y		229 ARLINGTON AVE		229 ARLINGTON	STATEN ISLAND	NY	10303	USA	
COMMUNITY TRANSPORTATION SYSTEMS	600000000028	Central Connector Service - Commu	Open	Other	Authority Contract - Compet	6/26/2020	6/26/2020		18000.00	27965.00	27965.00	152035.00	0	NYS	N	Y	0	Y		159-05 HILLSIDE AVENUE		JAMAICA	NY	11433		USA	
COMPLETE PACKAGING AND SHIPPING	600000000028	MWBE SDVOS Small Heat Sealer Sp	Open	Commodities/Supplies	Authority Contract - Compet	6/13/2020	2/13/2020		99539.00	16998.00	16998.00	81441.00	4	NYS	N	Y	0	Y		1208 SHAMES DRIVE, UNIT A		WESTBURY	NY	11590		USA	
COMPRESSOR WORLD LLC	600000000025	Sub Pneumatic Nitrogen Genera	Completed	Commodities/Supplies	Authority Contract - Compet	1/17/2020	1/17/2020		22497.00	22497.00	22497.00	0.00	1	Foreign	N	Y	0	Y		32 RIVERSIDE DR.		PEMBROKE	MA	23959		USA	
CONSTRUCTION POLYMER CO INC	600000000025	MOWF 61-64-3347 RF-PLAT	Open	Commodities/Supplies	Authority Contract - Compet	1/15/2020	1/15/2020		702962.80	638470.80	638470.80	64492.00	2	Foreign	N	Y	0	Y		2671 TEMBERGLEN DR E		WEXFORD	PA	15090		USA	
CONSTRUCTION POLYMER CO INC	600000000025	MOW FTRK01-64-3342PL RF	Open	Commodities/Supplies	Authority Contract - Compet	3/24/2020	3/24/2020		3389987.40	0.00	0.00	3389987.40	2	Foreign	N	Y	0	Y		2671 TEMBERGLEN DR E		WEXFORD	PA	15090		USA	
CONSTRUCTION POLYMER CO INC	600000000025	MOW FTRK01-64-3379 D PLAT	Open	Commodities/Supplies	Authority Contract - Compet	3/1/2018	3/1/2018		1228850.00	168528.00	#####	56176.00	7	Foreign	N	Y	0	N		2671 TEMBERGLEN DR E		WEXFORD	PA	15090		USA	
CONTINENTAL LIFT TRUCK, INC.	600000000015	REPAIR SWEEPERS AND SCRUBBERS	Open	Other Professional Serv	Authority Contract - Non-Com	12/26/2017	12/26/2017		492620.00	19442.72	164995.53	32764.47	1	NYS	N	Y	0	N		127-18 FOCH BLVD.		SOUTH OZONE PARK	NY	11420		USA	
CONTINENTAL LIFT TRUCK, INC.	600000000015	Service Maintenance and Repair	Open	Other Professional Serv	Authority Contract - Compet	5/18/2017	11/14/2017		380200.00	6812.96	271185	353080.15	2	NYS	N	Y	0	N		127-18 FOCH BLVD.		SOUTH OZONE PARK	NY	11420		USA	
CONTINENTAL LIFT TRUCK, INC.	600000000015	Repair of Mobile Platform Lifts for NY	Open	Other	Authority Contract - Compet	4/1/2014	3/31/2014	#####	384762.25	3184.75	369099.75	15702.50	2	NYS	N	Y	0	N		127-18 FOCH BLVD.		SOUTH OZONE PARK	NY	11420		USA	
CONTINENTAL LIFT TRUCK, INC.	600000000015	SERVICES - FORKLIFT REPAIR FOR	Open	Other	Authority Contract - Compet	9/26/2017	9/26/2017		1061580.00	411607.12	#####	45305.61	3	NYS	N	Y	0	Y		127-18 FOCH BLVD.		SOUTH OZONE PARK	NY	11420		USA	
COPY GRAPHICS, INC.	600000000028	MWBE SDVOS Only Paper velum	Open	Commodities/Supplies	Authority Contract - Compet	8/11/2020	8/11/2020		69500.00	0.00	69500.00	3	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA	
COPY GRAPHICS, INC.	600000000022	MWBE SDVOS Only Paper 67 lb	Completed	Commodities/Supplies	Authority Contract - Compet	1/14/2019	1/14/2019		143000.00	44000.00	132880.00	10120.00	4	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000022	MWBE SDVOS Only Paper 11 w	Completed	Commodities/Supplies	Authority Contract - Compet	2/4/2019	2/4/2019		109440.00	30640.32	102613.68	6826.32	3	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000024	MWBE SDVOS Only Paper #40 cast	Open	Commodities/Supplies	Authority Contract - Compet	3/10/2020	3/10/2020		48400.00	22949.32	22949.32	24451.68	2	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000024	MWBE SDVOS Only Paper 70 lb	Open	Commodities/Supplies	Authority Contract - Compet	6/24/2018	6/24/2018		90000.00	18725.40	42651.60	47348.40	7	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000023	MWBE SDVOS Only Paper 70 lb	Completed	Commodities/Supplies	Authority Contract - Compet	3/19/2019	3/19/2019		23472.00	5216.00	7335.00	16137.00	3	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000024	MWBE SDVOS Only Paper 67 lb	Open	Commodities/Supplies	Authority Contract - Compet	8/12/2019	8/12/2019		67000.00	16502.10	54551.40	12448.60	5	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000025	MWBE SDVOS Only Paper 90 lb	Open	Commodities/Supplies	Authority Contract - Compet	1/13/2020	1/13/2020		46500.00	28438.47	28438.47	18061.53	4	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
COPY GRAPHICS, INC.	600000000025	MWBE SDVOS Only paper mcco	Open	Commodities/Supplies	Authority Contract - Compet	2/4/2020	3/19/2020		17278.00	3912.00	3912.00	13366.00	5	NYS	N	Y	0	Y		31 W. 34TH ST, STE 804#		31 W. 34TH ST,	NEW YORK	NY	10001		USA
CORCRAT - NYS DEPT OF CORRECTION	600000000024	DOB fluid windshield washer	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order				51537.20					NYS Vendor	N	Y	0	Y		550 BROADWAY		550 BROADWAY	ALBANY	NY	12204	2802	USA
CORCRAT - NYS DEPT OF CORRECTION	600000000024	DOB fluid windshield washer	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order				45830.40					NYS Vendor	N	Y	0	Y		550 BROADWAY		550 BROADWAY	ALBANY	NY	12204	2802	USA
CORCRAT - NYS DEPT OF CORRECTION	600000000025	Milled Toilet Cake	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order				14256.00					NYS Vendor	N	Y	0	Y		550 BROADWAY		550 BROADWAY	ALBANY	NY	12204	2802	USA
CORCRAT - NYS DEPT OF CORRECTION	600000000025	DOB Cleaner Degreaser All P	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order				65422.50					NYS Vendor	N	Y	0	Y		550 BROADWAY		550 BROADWAY	ALBANY	NY	12204	2802	USA
CORCRAT - NYS DEPT OF CORRECTION	600000000028	DOB fluid windshield washer	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order				7561.96					NYS Vendor	N	Y	0	Y		550 BROADWAY		550 BROADWAY	ALBANY	NY	12204	2802	USA
CORCRAT - NYS DEPT OF CORRECTION	600000000024	DOB fluid windshield washer	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order			</																			

EASTERN RAIL CORP	60000000025	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	2/21/2020	2/21/2020	Y	2/19/2021	28272.00	0.00	0.00	28272.00	2	Foreign	N	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000026	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	4/7/2020	4/7/2020	Y	4/6/2021	23164.60	0.00	0.00	23164.60	2	Foreign	Y	Y	1	N		2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000024	TRK FFU Ties Various Sizes	Completed	Commodities/Supplies	Authority Contract - Compel	7/25/2019	7/25/2019	Y	8/1/2019	927216.00	927216.00	0.00	0.00	2	Foreign	Y	Y	1	Y	Emergency or Critical Need	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000023	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	2/21/2020	2/21/2020	Y	2/19/2021	130240.00	0.00	0.00	130240.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000020	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	4/7/2020	4/7/2020	Y	4/6/2021	183424.00	0.00	0.00	183424.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000021	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	2/21/2020	2/21/2020	Y	2/19/2021	34480.00	0.00	0.00	34480.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000022	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	4/7/2020	4/7/2020	Y	4/6/2021	307476.00	0.00	0.00	307476.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000025	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	2/21/2020	2/21/2020	Y	2/19/2021	16264.00	0.00	0.00	16264.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000026	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	4/7/2020	4/7/2020	Y	4/6/2021	24440.00	0.00	0.00	24440.00	2	Foreign	Y	Y	1	N		2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000025	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	2/21/2020	2/21/2020	Y	2/19/2021	46584.00	0.00	0.00	46584.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000023	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	2/21/2020	2/21/2020	Y	2/19/2021	21568.00	0.00	0.00	21568.00	2	Foreign	Y	Y	1	Y	Below Dollar Threshold	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000024	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	4/7/2020	4/7/2020	Y	4/6/2021	158464.00	0.00	0.00	158464.00	2	Foreign	Y	Y	1	N		2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000025	TRK FFU Guard Timber Various	Completed	Commodities/Supplies	Authority Contract - Compel	11/4/2019	11/4/2019	Y	11/8/2019	337000.00	337000.00	0.00	0.00	2	Foreign	Y	Y	1	Y	Emergency or Critical Need	2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN RAIL CORP	60000000026	TRK FFU Ties Various Sizes	Open	Commodities/Supplies	Authority Contract - Compel	4/7/2020	4/7/2020	Y	4/6/2021	288576.00	0.00	0.00	288576.00	2	Foreign	Y	Y	1	N		2761 W 78 ST	HALEHAH	FL	33016			USA
EASTERN TRANSPORT PRODUCTS INC	60000000019	Sub Gear Set	Open	Commodities/Supplies	Authority Contract - Compel	9/27/2017	9/27/2017	Y	12/26/2015	156800.00	263568.00	418668.00	98192.00	2	Foreign	N	N	0	Y	Stock/QPL All vnd/Mfr/Aut	325 NORTH MAIN STREET	NATICK	MA	1760			USA
CENTRAL STORES INC	60000000026	BUS Vinyl 30 Gauge 38 in x 42	Completed	Commodities/Supplies	Authority Contract - Compel	5/1/2020	5/1/2020	Y	5/15/2020	83031.00	83031.00	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10820 US HWY 19 N	10820 US HWY CLEARWATER	FL	33764			USA
CENTRAL STORES INC	60000000026	BUS Vinyl 30 Gauge 54 in x 2	Completed	Commodities/Supplies	Authority Contract - Compel	5/13/2020	5/13/2020	Y	6/1/2020	68130.60	68130.60	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10820 US HWY 19 N	10820 US HWY CLEARWATER	FL	33764			USA
ECS CORP	60000000020	EAIDE 24 Escalator Steps 04-5	Open	Commodities/Supplies	Authority Contract - Compel	2/23/2018	2/23/2018	Y	5/23/2019	1995250.00	520500.00	#####	520500.00	2	Foreign	N	Y	0	N		2807 S 25TH AVE	BROOKVIEW	IL	60155			USA
EEO ELECTRICAL DISTRIBUTORS INC	60000000023	Small Purchase EMD Various c	Open	Commodities/Supplies	Authority Contract - Compel	3/4/2019	3/4/2019	Y	6/3/2020	15235.20	3888.80	15235.20	0.00	5	NYS	N	Y	3	Y	Below Dollar Threshold	2740 PARK AVE	BALDWIN	NY	11710			USA
EEO ELECTRICALS INC.	60000000022	HMCV Various Hvin Cord A C U	Completed	Commodities/Supplies	Authority Contract - Compel	11/6/2019	11/6/2019	Y	12/20/2019	69597.18	69597.18	0.00	0.00	3	NYS	Y	Y	3	Y	Below Dollar Threshold	15 CIVIL DR	SUITE 2	NY	11716			USA
EDAL MACHINES PRODUCTS, INC.	60000000025	MWBE SDVOS 001 AMMETS DIGI	Completed	Commodities/Supplies	Authority Contract - Compel	12/14/2018	12/14/2018	Y	3/13/2020	157436.50	11882.00	13672.50	23764.00	10	NYS	Y	Y	10	Y	Below Dollar Threshold	75 ORVILLE DR	SUITE 2	NY	11230			USA
EDAL MACHINES PRODUCTS, INC.	60000000024	SUB Cable Gait, 13-68-1056	Open	Commodities/Supplies	Authority Contract - Compel	9/24/2019	9/24/2019	Y	12/24/2019	19664.00	3887.00	3687.00	19777.00	2	NYS	N	Y	10	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	SUB Ground Lead Assembly, 13-	Open	Commodities/Supplies	Authority Contract - Compel	10/18/2019	10/18/2019	Y	11/18/2020	17412.50	3482.50	3482.50	13930.00	2	NYS	N	Y	1	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000023	TRK Retainer Wire Mesh	Completed	Commodities/Supplies	Authority Contract - Compel	10/17/2019	10/17/2019	Y	10/22/2019	61500.00	61500.00	61500.00	0.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	Track Wire Basket	Completed	Commodities/Supplies	Authority Contract - Compel	12/6/2019	12/6/2019	Y	12/10/2019	95043.75	95043.75	0.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000023	MWBE SDVOS Small SPECIAL BOLT	Open	Commodities/Supplies	Authority Contract - Compel	4/2/2019	4/2/2019	Y	7/2/2021	17424.00	3762.00	10296.00	7128.00	5	NYS	N	Y	2	Y	Discretionary Procurement	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	TRK Wire Mesh Basket 01-02-3	Open	Commodities/Supplies	Authority Contract - Compel	12/4/2019	12/4/2019	Y	12/3/2020	149720.00	149720.00	0.00	0.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000024	Sub Transit Info Display Can	Open	Commodities/Supplies	Authority Contract - Compel	9/26/2019	9/26/2019	Y	10/25/2021	162500.00	100000.00	125000.00	37500.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	MOWF 01-43-1426 19-A PLATE 5	Open	Commodities/Supplies	Authority Contract - Compel	2/5/2020	2/5/2020	Y	2/4/2021	59918.40	22982.40	22982.40	36936.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000026	TRK Lightweight Portable Stop	Open	Commodities/Supplies	Authority Contract - Non-Co	7/13/2020	7/13/2020	Y	7/15/2020	18525.00	0.00	0.00	18525.00	1	NYS	N	N	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000028	MWBE SDVOS Small 26 Ladder 6	Open	Commodities/Supplies	Authority Contract - Compel	7/7/2020	7/7/2020	Y	10/6/2022	74730.00	17437.00	17437.00	57293.00	6	NYS	N	Y	4	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000024	SUB Brake Shoe Key 18-44-143	Open	Commodities/Supplies	Authority Contract - Compel	6/7/2019	6/10/2019	Y	9/6/2021	45080.00	12880.00	16100.00	28980.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	MOCA Double Lock Stanchoon	Open	Commodities/Supplies	Authority Contract - Compel	4/17/2019	4/17/2019	Y	10/31/2019	355437.50	15125.00	355437.50	0.00	2	NYS	Y	Y	0	Y	Stock/QPL All vnd/Mfr/Aut	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	MOWF 01-43-1407PFE A UNIVER	Open	Commodities/Supplies	Authority Contract - Compel	10/31/2019	10/31/2019	Y	10/31/2019	24989.85	20318.85	20318.85	4671.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	TRK Wire Baskets 01-02-3065	Open	Commodities/Supplies	Authority Contract - Compel	12/12/2019	12/12/2019	Y	12/11/2020	36117.50	294367.50	394367.50	66750.00	1	NYS	N	N	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000020	MWBE SDVOS Small 32 Ladder 6	Open	Commodities/Supplies	Authority Contract - Compel	4/6/2018	4/6/2018	Y	7/6/2020	99660.00	20385.00	91732.50	7927.50	1	NYS	N	Y	0	Y	Discretionary Procurement	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000026	TRK Retainer Wire Mesh	Open	Commodities/Supplies	Authority Contract - Compel	8/12/2020	8/12/2020	Y	8/17/2020	148050.00	0.00	0.00	148050.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000023	SUB GB BRACKET	Open	Commodities/Supplies	Authority Contract - Compel	11/5/2018	11/5/2018	Y	2/5/2021	23358.00	6526.50	18549.00	4809.00	1	NYS	N	N	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000024	MOWF 01-17-2140PFCOT BOAR	Open	Commodities/Supplies	Authority Contract - Compel	9/11/2019	9/11/2019	Y	9/11/2020	91095.50	15610.00	49060.00	43035.50	2	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000023	SUB TUBULAR TERMINAL	Open	Commodities/Supplies	Authority Contract - Compel	4/22/2019	4/22/2019	Y	7/21/2021	41750.00	16700.00	20875.00	20875.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000024	MWBE SDVOS Small SHUNT	Open	Commodities/Supplies	Authority Contract - Compel	8/30/2019	9/3/2019	Y	12/3/2021	9512.00	48433.00	62160.00	33152.00	4	NYS	N	Y	0	Y	Discretionary Procurement	126 56TH ST	BROOKLYN	NY	11220	2575		USA
EDAL MACHINES PRODUCTS, INC.	60000000025	MWBE SDVOS SmallFalcon Brack	Open	Commodities/Supplies	Authority Contract - Compel	1/6/2020	1/7/2020	Y																			

EPUL DYNAMICS	6000000002021	SUB MASK 59-27-1002	Completed	Commodities/Supplies	Authority Contract - Compet	4/3/2020	4/3/2020	Y	4/4/2020	118000.00	118000.00	0.00	1	Foreign	N	N	N	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA	
EPUL DYNAMICS	6000000002028	MVBE SDOVB Small Masks	Open	Commodities/Supplies	Authority Contract - Compet	9/1/2020	9/1/2020	Y	9/2/2020	262500.00	262500.00	0.00	42	NYS	Y	Y	24	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA	
EPUL DYNAMICS	6000000002024	MASK 3 LAYER DISPOSAL SURGICAL	Open	Commodities/Supplies	Authority Contract - Compet	6/1/2020	6/1/2020	Y	6/3/2020	240000.00	0.00	0.00	1	NYS	Y	Y	Y	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA	
EPUL DYNAMICS	6000000002023	MVBE SDOVB ONLY LAMP LED BL	Open	Commodities/Supplies	Authority Contract - Compet	11/5/2019	11/5/2019	Y	2/4/2021	55500.00	18500.00	18500.00	37000.00	8	NYS	Y	Y	Y	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002027	Hand Sanitizer	Open	Commodities/Supplies	Authority Contract - Compet	11/18/2020	11/18/2020	Y	11/20/2020	52250.00	0.00	0.00	5	NYS	Y	Y	Y	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA	
EPUL DYNAMICS	6000000002023	TRK Tubing 1-1/4 OD x 95	Open	Commodities/Supplies	Authority Contract - Compet	11/17/2019	11/17/2019	Y	1/21/2020	49316.40	49618.20	50034.60	0.00	3	NYS	Y	Y	Y	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002022	Antibacterial Instant Hand San	Open	Commodities/Supplies	Authority Contract - Compet	12/29/2020	12/29/2020	Y	12/31/2020	52250.00	0.00	0.00	5	NYS	Y	Y	Y	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA	
EPUL DYNAMICS	6000000002028	CP respirators	Completed	Commodities/Supplies	Authority Contract - Compet	4/28/2020	4/28/2020	Y	4/29/2020	113702.00	113702.00	113702.00	0.00	1	NYS	Y	Y	Y	Y	Emergency or Critical Need			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002024	MVBE SDOVB Only Valve Kit	Open	Commodities/Supplies	Authority Contract - Compet	8/15/2019	8/15/2019	Y	11/17/2019	114780.00	57868.25	49346.25	45437.75	8	NYS	Y	Y	Y	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002025	MVBE SDOVB Only Stackable Stap	Open	Commodities/Supplies	Authority Contract - Compet	2/7/2020	2/7/2020	Y	5/6/2021	49874.00	49874.00	49874.00	0.00	3	NYS	Y	Y	Y	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002025	MVBE SDOVB Only Brake Chamber	Open	Commodities/Supplies	Authority Contract - Compet	11/1/2019	11/1/2019	Y	1/29/2021	64604.80	42241.60	42241.60	22363.20	9	NYS	Y	Y	Y	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002024	INF COLLUMS SHORING MATERIALS	Open	Commodities/Supplies	Authority Contract - Compet	6/16/2020	6/16/2020	Y	9/15/2020	63656.84	0.00	0.00	6	NYS	Y	Y	Y	Y	Below Dollar Threshold			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA	
EPUL DYNAMICS	6000000002024	MVBE SDOVB Only Stack Adjuste	Open	Commodities/Supplies	Authority Contract - Compet	9/23/2019	9/23/2019	Y	12/22/2020	55200.00	42090.00	42090.00	13110.00	5	NYS	Y	Y	Y	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002028	BUS MASKS	Completed	Commodities/Supplies	Authority Contract - Compet	4/13/2020	4/13/2020	Y	4/14/2020	472500.00	472500.00	472500.00	0.00	1	NYS	Y	Y	Y	Y	Emergency or Critical Need			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002028	BUS Masks	Completed	Commodities/Supplies	Authority Contract - Compet	4/9/2020	4/9/2020	Y	4/10/2020	315000.00	315000.00	315000.00	0.00	1	NYS	Y	Y	Y	Y	Emergency or Critical Need			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPUL DYNAMICS	6000000002028	Boot and Tappet kit	Open	Commodities/Supplies	Authority Contract - Compet	5/26/2020	5/26/2020	Y	8/25/2022	51433.00	7714.95	7714.95	43718.05	9	NYS	Y	Y	Y	Y	Discretionary Procurement			16 SINTSINK DRIVE EAST	PORT WASHINGTON	NY	11050			USA
EPY'S TOOL AND EQUIPMENT WAREH	6000000002024	MOW WRENCH IMPACT 42-75-025	Open	Commodities/Supplies	Authority Contract - Compet	9/3/2019	9/3/2019	Y	12/3/2020	81324.25	46471.00	81324.25	0.00	3	NYS	N	Y	Y	Y	Below Dollar Threshold			809 E 42ND ST	BROOKLYN	NY	11210			USA
EPY'S TOOL AND EQUIPMENT WAREH	6000000002022	BUS ROBINAIR REFRIGERANT	Open	Commodities/Supplies	Authority Contract - Compet	9/27/2018	9/27/2018	Y	12/30/2020	608067.00	36484.02	121613.40	486453.60	2	NYS	N	Y	Y	Y	Below Dollar Threshold			809 E 42ND ST	BROOKLYN	NY	11210			USA
ERA INDUSTRIAL SALES CORP	6000000002027	MVBE SDOVB Small Resilient Mo	Open	Commodities/Supplies	Authority Contract - Compet	10/29/2020	10/29/2020	Y	1/28/2022	22000.00	0.00	0.00	2	NYS	N	Y	Y	Y	Discretionary Procurement			89 MODULAR AVE	COMMACK	NY	11725			USA	
ERA INDUSTRIAL SALES CORP	6000000002022	Su Resilient Mount	Completed	Commodities/Supplies	Authority Contract - Compet	12/26/2018	12/26/2018	Y	3/25/2020	32400.00	4536.00	29160.00	32400.00	3	NYS	N	Y	Y	Y	Below Dollar Threshold			89 MODULAR AVE	COMMACK	NY	11725			USA
ERA INDUSTRIAL SALES CORP	6000000002027	Su Resilient Mount	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2020	10/8/2020	Y	1/17/2022	43899.00	0.00	0.00	4	NYS	N	Y	Y	Y	Discretionary Procurement			89 MODULAR AVE	COMMACK	NY	11725			USA	
ERA INDUSTRIAL SALES CORP	6000000002023	Su Rebound Cushion	Open	Commodities/Supplies	Authority Contract - Compet	1/21/2019	1/21/2019	Y	4/20/2020	27300.00	4410.00	20580.00	7350.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold			89 MODULAR AVE	COMMACK	NY	11725			USA
ERA INDUSTRIAL SALES CORP	6000000002028	MVBE SDOVB Small Resilient Mo	Open	Commodities/Supplies	Authority Contract - Compet	9/11/2020	9/11/2020	Y	12/10/2020	19200.00	0.00	0.00	1	Foreign	N	Y	Y	Y	Discretionary Procurement			89 MODULAR AVE	COMMACK	NY	11725			USA	
ERICO INTERNATIONAL CORP	6000000002025	MOWF 01-13-38325G BOND-TA	Open	Commodities/Supplies	Authority Contract - Compet	3/4/2020	3/4/2020	Y	3/3/2021	92365.00	41405.00	41405.00	50960.00	2	Foreign	N	Y	Y	Y	Below Dollar Threshold			34600 SOLON RD	SOLON	OH	44139			USA
ERICO INTERNATIONAL CORP	6000000002022	MOWFQTK01K-14-2400LS FRAME	Open	Commodities/Supplies	Authority Contract - Compet	12/18/2018	12/18/2018	Y	12/17/2019	49856.64	24928.32	49856.64	0.00	1	Foreign	N	Y	Y	Y	Below Dollar Threshold			34600 SOLON RD	SOLON	OH	44139			USA
ERICO INTERNATIONAL CORP	6000000002024	MOWF 01-14-2162 COPPER LUG-K	Open	Commodities/Supplies	Authority Contract - Compet	7/23/2019	7/23/2019	Y	7/22/2020	55892.40	51135.60	51135.60	4756.80	1	Foreign	N	Y	Y	Y	Below Dollar Threshold			34600 SOLON RD	SOLON	OH	44139			USA
ERICO INTERNATIONAL CORP	6000000002028	MOWF 01-13-3010 RAIL HEAD B	Open	Commodities/Supplies	Authority Contract - Compet	6/4/2020	6/4/2020	Y	6/3/2021	149920.00	149920.00	149920.00	0.00	2	Foreign	N	Y	Y	Y	Below Dollar Threshold			34600 SOLON RD	SOLON	OH	44139			USA
ERICO INTERNATIONAL CORP	6000000002028	MOWF 01-13-4310 COPPER STRUC	Open	Commodities/Supplies	Authority Contract - Compet	2/28/2020	2/28/2020	Y	2/26/2021	51600.00	0.00	0.00	5	Foreign	N	Y	Y	Y	Below Dollar Threshold			34600 SOLON RD	SOLON	OH	44139			USA	
ERICO INTERNATIONAL CORP	6000000002028	TRK Connector Rail Track C	Open	Commodities/Supplies	Authority Contract - Compet	5/18/2020	5/18/2020	Y	5/17/2021	16764.00	6096.00	10668.00	10668.00	1	Foreign	N	Y	Y	Y	Below Dollar Threshold			34600 SOLON RD	SOLON	OH	44139			USA
ESSENTIAL IMAGE	6000000002028	BUS Face Shield	Open	Commodities/Supplies	Authority Contract - Non-Com	7/24/2020	7/24/2020	Y	7/25/2021	40100.00	40100.00	40100.00	0.00	1	Foreign	N	N	Y	Y	Emergency or Critical Need	40100.00		2-190 WASHBURN DR	KITCHENER	NY	NZR 152	ON	OTHER	CAN
ESSENTIAL IMAGE	6000000002028	12 x 12 Social Distancing Marker de	Open	Commodities/Supplies	Authority Contract - Compet	6/3/2020	6/3/2020	Y	7/3/2020	430000.00	430000.00	430000.00	0.00	10	Foreign	N	N	Y	Y	Emergency or Critical Need			2-190 WASHBURN DR	KITCHENER	NY	NZR 152	ON	OTHER	CAN
ESSENTIAL IMAGE	6000000002028	12 x 12 Social Distancing Marker de	Completed	Commodities/Supplies	Authority Contract - Compet	6/12/2020	6/12/2020	Y	6/13/2020	68990.00	0.00	0.00	6	Foreign	N	Y	Y	Y	Emergency or Critical Need			2-190 WASHBURN DR	KITCHENER	NY	NZR 152	ON	OTHER	CAN	
ESSENTIAL IMAGE	6000000002028	65 Face Shield	Completed	Commodities/Supplies	Authority Contract - Compet	4/14/2020	4/14/2020	Y	4/15/2020	135300.00	135300.00	135300.00	0.00	1	Foreign	N	Y	Y	Y	Emergency or Critical Need			2-190 WASHBURN DR	KITCHENER	NY	NZR 152	ON	OTHER	CAN
ESSEX BROWNELL	6000000002028	SUB Venish Isolating Two	Completed	Commodities/Supplies	Authority Contract - Compet	4/18/2019	4/18/2019	Y	4/20/2020	31222.80	15611.40	31222.80	0.00	1	Foreign	N	Y	Y	Y	Below Dollar Threshold			3325 STREET ROAD - SUIT FOUR GREENW	BENSALEM	PA	19020			USA
ESSEX BROWNELL	6000000002028	SUB Venish Isolating Two	Open	Commodities/Supplies	Authority Contract - Compet	4/21/2020	4/22/2020	Y	4/22/2021	31222.80	15611.40	15611.40	15611.40	1	Foreign	N	Y	Y	Y	Below Dollar Threshold			3325 STREET ROAD - SUIT FOUR GREENW	BENSALEM	PA	19020			USA
ELECTRIC CORP	6000000002023	SUB Rod Welding 3 32 x 14	Open	Commodities/Supplies	Authority Contract - Compet	1/24/2019	1/24/2019	Y	4/26/2021	29230.74	4835.16	16923.06	12307.68	3	Foreign	N	Y	Y	Y	Below Dollar Threshold			N 94 W 14355	GARWIN MAGE	WI	53091			USA
EVENTSTAR STRUCTURES CORP	6000000002028	BUS SIMPLE FLEX BARRIER - ES-N	Open	Commodities/Supplies	Authority Contract - Non-Com	8/13/2020	8/13/2020	Y	9/16/2020	62395.00	62395.00	62395.00	0.00	1	Foreign	N	N	Y	Y	Below Dollar Threshold	62395.00		8100 NW 90TH ST	MIAMI	FL	33166			USA
EVENTSTAR STRUCTURES CORP	6000000002028	BUS Simple Flex Bus Driver Bar	Open	Commodities/Supplies	Authority Contract - Non-Com	8/4/2020	8/4/2020	Y	9/4/2020	69770.40	69770.40	69770.40	0.00	1	Foreign	N	N	Y	Y	Emergency or Critical Need			8100 NW 90TH ST	MIAMI	FL	33166			USA
EVENTSTAR STRUCTURES CORP	6000000002028	BUS Vinyl Curtain Kits ES-OPC	Open	Commodities/Supplies	Authority Contract - Non-Com	8/10/2020	8/10/2020	Y	9/11/2020	87213.00	87213.00	87213.00	0.00	1	Foreign	N	N	Y	Y	Below Dollar Threshold	87213.00		8100 NW 90TH ST	MIAMI	FL	33166			USA
EVENTSTAR STRUCTURES CORP	6000000002028	BUSCovid Sliding Bus Operator	Open	Commodities/Supplies	Authority Contract - Compet	8/3/2020	8/3/2020	Y	2/2/2021	189525.00	137216.10	137216.10	52308.90	2	Foreign	N	Y	Y	Y	Below Dollar Threshold			8100 NW 90TH ST	MIAMI	FL	33166			USA
EVENTSTAR STRUCTURES CORP	6000000002028	BUS COVID SLIDING REPAIR KIT	Open	Commodities/Supplies	Authority Contract - Non-Com	10/14/2020	10/14/2020	Y	10/21/2020	44335.32	22167.66	22167.66	22167.66	1	Foreign	N	Y	Y	Y	Emergency or Critical Need	44335								

GLOBAL CONTRACT SERVICES LLC	600000000001	Para Call Center - 000000971	Open	Other Professional Serv	Authority Contract - Compet	12/21/2012	11/12/2013	Para Call Center	Y	4/30/2013	210760251.92	18157750.88	#####	4024816.96	3	Foreign	N	N	N	N	118 - 5 MAIN ST	SALISBURY	NC	28144			USA	
GLOBAL CONTRACT SERVICES LLC	600000000023	Paratransit Call Center	Open	Other Professional Serv	Authority Contract - Compet	2/24/2020	3/25/2020			3/24/2025	206016465.40	17069055.44	#####	#####	2	Foreign	N	Y	N	N	118 - 5 MAIN ST	SALISBURY	NC	28144			USA	
GLOBAL DOMESTIC ADVISORY	600000000021	Coalescer Element	Open	Commodities/Supplies	Authority Contract - Compet	8/27/2018	8/27/2018			11/23/2020	109541.74	23996.00	1047425.54	4799.20	1	NWS	Y	Y	0	N	10227 Avenue D	Brooklyn	NY	11236-1917			USA	
GLOBAL DOMESTIC ADVISORY	600000000018	MVBE Only CURRENT COLLECTOR F	Completed	Commodities/Supplies	Authority Contract - Compet	9/25/2017	9/29/2017			12/27/2019	399300.00	52800.00	399300.00	0.00	5	NWS	Y	Y	5	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000025	SUB PRESSURE TRANSDUCER - REP	Open	Commodities/Supplies	Authority Contract - Compet	10/25/2019	10/25/2019			12/21/2020	288750.00	238350.00	238350.00	50400.00	2	NWS	Y	Y	2	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000020	MVBE SDVOB Only	Completed	Commodities/Supplies	Authority Contract - Compet	3/30/2018	3/30/2018			3/30/2020	224322.00	64992.00	192276.00	32046.00	4	NWS	Y	Y	5	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000021	MVBE SDVOB Small Bearing 18-	Open	Commodities/Supplies	Authority Contract - Compet	9/5/2018	9/5/2018			12/2/2020	59625.00	15975.00	43894.50	13820.50	4	NWS	Y	Y	5	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000021	Sub Bearing 19 3/8 AXP APD	Open	Commodities/Supplies	Authority Contract - Compet	12/28/2020	12/28/2020			1/11/2021	437169.00	3991.00	437169.00	0.00	2	NWS	Y	Y	1	N	Below Dollar Threshold	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000024	MVBE SDVOB Small STRAINER	Open	Commodities/Supplies	Authority Contract - Compet	7/31/2018	11/27/2018			11/11/2021	57294.50	31463.25	41835.75	15558.75	1	NWS	Y	Y	1	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000024	MVBE SDVOB Small Rocker Core	Open	Commodities/Supplies	Authority Contract - Compet	8/13/2018	8/14/2018			11/19/2020	30127.50	11946.75	11946.75	1980.75	1	NWS	Y	Y	1	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000022	MVBE SDVOB Small HEATER ASSEM	Open	Commodities/Supplies	Authority Contract - Compet	10/3/2018	10/4/2018			1/4/2021	159562.00	45093.75	133893.75	25668.75	4	NWS	Y	Y	3	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000024	MVBE SDVOB Small Humidity Ind	Open	Commodities/Supplies	Authority Contract - Compet	6/19/2019	6/20/2019			9/16/2021	38952.00	10955.25	14850.45	24101.55	3	Foreign	Y	Y	3	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000025	Absorbent Activated Desiccant	Open	Commodities/Supplies	Authority Contract - Compet	2/28/2020	2/28/2020			2/27/2022	97246.80	0.00	0.00	97246.80	2	NWS	Y	Y	0	Y	Below Dollar Threshold	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000015	Gaboot Cock	Completed	Commodities/Supplies	Authority Contract - Compet	1/10/2018	1/11/2018			4/8/2020	38320.00	7654.00	29588.00	8622.00	2	NWS	Y	Y	0	Y	Below Dollar Threshold	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000024	SUB OH Kit for Outlet Manifold	Open	Commodities/Supplies	Authority Contract - Compet	9/27/2019	10/17/2019			1/13/2022	27788.00	4862.90	4862.90	2325.10	2	NWS	Y	Y	0	Y	Below Dollar Threshold	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000024	SUB Ring Piston Component	Completed	Commodities/Supplies	Authority Contract - Compet	8/22/2019	8/22/2019			9/20/2019	16895.00	8447.50	16895.00	0.00	2	NWS	Y	Y	1	Y	Below Dollar Threshold	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000021	MVBE SDVOB Small Diaphragm I	Open	Commodities/Supplies	Authority Contract - Compet	6/20/2018	6/20/2018			9/16/2020	43924.00	10744.00	42038.00	1896.00	1	NWS	Y	Y	1	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL DOMESTIC ADVISORY	600000000022	SUB OH Kit for Desiccant Tower	Open	Commodities/Supplies	Authority Contract - Compet	12/4/2018	12/4/2018			3/2/2021	255500.00	62050.00	171550.00	83950.00	3	NWS	Y	Y	1	Y	Discretionary Procurement	10227 Avenue D	Brooklyn	NY	11236-1917			USA
GLOBAL EQUIPMENT COMPANY, INC	600000000019	SUP Container Collapsible	Completed	Commodities/Supplies	Authority Contract - Compet	11/27/2017	11/27/2017			11/28/2019	159000.00	19875.00	125875.00	33125.00	5	NWS	N	Y	3	N	POST OFFICE BOX 905713	CHARLOTTE	NC	28290	5712		USA	
GLOBAL FUELING SYSTEMS INC	600000000025	Tank Supplies Tank	Open	Commodities/Supplies	Authority Contract - Compet	1/24/2019	3/16/2020			1/23/2021	109503.04	89914.35	89914.35	917988.69	3	NWS	N	Y	3	N	42 FIELD ST	WEST BABYLON	NY	11704			USA	
GLOBAL FUELING SYSTEMS INC	600000000025	MVBE SDVOB Tank Gauge	Open	Commodities/Supplies	Authority Contract - Compet	9/13/2020	9/13/2020			3/31/2022	40899.00	3991.85	3961.85	42920.75	4	NWS	N	Y	4	N	42 FIELD ST	WEST BABYLON	NY	11704			USA	
GLOBAL TRAFFIC TECHNOLOGIES, LLC	600000000021	Transit Signal Priority Phase	Open	Design and Construct	Authority Contract - Compet	1/26/2017	1/26/2017			12/31/2024	31,391,043.81	117,696.37	7,218,755.83	27,672,237.17	1	Foreign	N	N	N	N	7800 THIRD ST N	BLDG 100	DAYTONA	FL	32128	5441		USA
GLOBAL TRAFFIC TECHNOLOGIES, LLC	600000000021	BE2310A	Open	Design and Construct	Authority Contract - Compet	10/8/2012	10/17/2012	#####		12/1/2022	7437364.38	548764.24	#####	4298756.27	4	Foreign	N	N	N	N	7800 THIRD ST N	BLDG 100	DAYTONA	FL	32128	5441		USA
GLOBE CONNECT LLC	600000000024	MOWB 6117-1310 PROTECTION	Open	Commodities/Supplies	Authority Contract - Compet	5/17/2019	5/17/2019			5/20/2020	200862.55	95403.00	156940.00	43922.55	3	Foreign	N	Y	1	N	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA	
GLOBE CONNECT LLC	600000000028	SUB A1B1 Header Assembly	Open	Commodities/Supplies	Authority Contract - Compet	9/25/2020	9/25/2020			2/1/2021	67140.00	0.00	0.00	67140.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000025	Sub Ground Brush Bracket 12-6	Open	Commodities/Supplies	Authority Contract - Compet	2/4/2020	2/4/2020			7/2/2020	29140.00	29140.00	29140.00	0.00	4	Foreign	N	Y	3	Y	Below Dollar Threshold	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000028	Sub Ground Brush Bracket 12-6	Open	Commodities/Supplies	Authority Contract - Compet	7/2/2020	7/2/2020			9/18/2020	54112.50	54112.50	54112.50	0.00	4	Foreign	N	Y	3	Y	Below Dollar Threshold	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000028	Sub Ground Brush Bracket 12-	Open	Commodities/Supplies	Authority Contract - Compet	9/17/2020	9/17/2020			5/3/2022	125928.00	17887.50	17887.50	108040.50	4	Foreign	N	Y	3	Y	Below Dollar Threshold	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000025	MVBE SDVOB Small PHENOLIC CAP	Open	Commodities/Supplies	Authority Contract - Compet	2/26/2020	2/27/2020			5/3/2022	22288.00	0.00	0.00	22288.00	1	Foreign	N	Y	2	Y	Discretionary Procurement	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000021	Sub Brush Boxes	Completed	Commodities/Supplies	Authority Contract - Compet	8/23/2018	8/23/2018			8/24/2018	66700.00	32571.00	66700.00	0.00	1	Foreign	N	Y	1	Y	Below Dollar Threshold	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000024	Sub Drain Hose	Open	Commodities/Supplies	Authority Contract - Compet	9/13/2019	9/13/2019			7/10/2020	57500.00	50715.00	57500.00	0.00	2	Foreign	N	Y	2	Y	Discretionary Procurement	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000022	MVBE SDVOB Small Blushing and G	Completed	Commodities/Supplies	Authority Contract - Compet	1/11/2019	1/11/2019			4/10/2020	41750.00	20040.00	41750.00	0.00	4	Foreign	N	Y	3	Y	Discretionary Procurement	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000022	MVBE SDVOB Small Sub Bonded P	Open	Commodities/Supplies	Authority Contract - Compet	10/23/2018	10/23/2018			1/22/2021	251250.00	105325.00	199975.00	52725.00	3	Foreign	N	Y	3	Y	Discretionary Procurement	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000022	MVBE SDVOB Only Gasket	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2018	10/8/2018			1/6/2021	56160.00	0.00	0.00	56160.00	1	Foreign	N	Y	3	Y	Discretionary Procurement	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE CONNECT LLC	600000000023	SUBCAP	Completed	Commodities/Supplies	Authority Contract - Compet	12/30/2018	12/30/2018			1/2/2020	18980.00	1740.00	18980.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	633 JEFFERS CIRCLE BLDG 633 JEFFERS C	EXTON	PA	19341			USA
GLOBE ELECTRIC SUPPLY CO INC	600000000021	MVBE SDVOB Only	Open	Commodities/Supplies	Authority Contract - Compet	8/28/2018	8/28/2018			8/28/2020	313125.12	96132.96	282411.36	30713.76	9	Foreign	N	Y	7	Y	Discretionary Procurement	10993 ROARK RD	HOUSTON	TX	77099			USA
GLOBE ELECTRIC SUPPLY CO INC	600000000028	Degreaser Cleaner	Open	Commodities/Supplies	Authority Contract - Compet	7/20/2020	7/20/2020			7/19/2021	121068.00	36320.40	36320.40	84747.60	15	Foreign	Y	Y	14	Y	Discretionary Procurement	10993 ROARK RD	HOUSTON	TX	77099			USA
GLYPTAL, INC.	600000000021	SGV Varnish Water Based Uret	Open	Commodities/Supplies	Authority Contract - Non-Co	11/21/2019	11/25/2019			11/24/2020	114360.00	57180.00	57180.00	0.00	1	Foreign	N	N	0	Y	Sole Source	49 DUMAS AVE	HAMPTON	NH	3842			USA
GLYPTAL, INC.	600000000027	SUB Varnish resin and water	Open	Commodities/Supplies	Authority Contract - Non-Co	12/22/2020	12/23/2020			3/22/2022	32934.00	0.00	0.00	32934.00	1	Foreign	N	N	0	Y	Sole Source	49 DUMAS AVE	HAMPTON	NH	3842			USA
GLYPTAL, INC.	600000000022	SUB Varnish resin and water	Completed	Commodities/Supplies	Authority Contract - Non-Co	12/28/2018	12/31/2018			3/30/2020	46128.00	11532.00	42380.10	3747.90	1	Foreign	N	N	0	Y	Sole Source	49 DUMAS AVE	HAMPTON	NH	3842			USA
GMP SOLUTIONS, INC.	600000000020	MVBE SDVOB Small	Completed	Commodities/Supplies	Authority Contract - Compet	3/6/2018	3/6/2018			3/6/2020	29700.00	3960.00	25740.00	3960.00	12	NWS	N	Y	8	Y	Discretionary Procurement	35 LINDEN LANE	SHIRLEY	NY	11967			USA
GMP SOLUTIONS																												

HAFCO FOUNDRY AND MACHINE CO., IN	6000000002025	TRK Wire Throver 12-62-2014	Open	Commodities/Supplies	Authority Contract - Compet	4/2/2020	4/2/2020	Y	12/1/2021	78280.00	0.00	0.00	78280.00	4	Foreign	N	Y	2	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002025	TRK End Incline 115 LB Rail	Open	Commodities/Supplies	Authority Contract - Compet	2/19/2020	2/19/2020	Y	2/18/2021	47250.00	0.00	0.00	47250.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002027	SUB FULCRUM ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	11/17/2020	11/17/2020	Y	2/16/2023	104000.00	0.00	0.00	104000.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002024	SUB ICE SCRAPER CONTACT SHOE	Open	Commodities/Supplies	Authority Contract - Compet	7/1/2019	7/1/2019	Y	10/1/2021	92300.00	21300.00	58800.00	35500.00	5	Foreign	N	Y	0	N				301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002024	SUB CONTACT SHOE	Open	Commodities/Supplies	Authority Contract - Compet	4/2/2019	4/2/2019	Y	7/1/2021	634000.00	179105.00	179105.00	454895.00	5	Foreign	N	Y	2	N				301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002025	Sub Drive Pin	Open	Commodities/Supplies	Authority Contract - Compet	3/27/2019	3/27/2019	Y	6/26/2021	37240.00	12250.00	16954.00	20286.00	3	Foreign	N	Y	1	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002025	TRK Wire Baskets 01-02-3065	Open	Commodities/Supplies	Authority Contract - Compet	12/12/2019	12/12/2019	Y	12/11/2020	101500.00	101500.00	0.00	0.00	3	Foreign	N	N	Y	0	Y	Emergency or Critical Need			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002025	SUB Inlet Assembly 16-87-1	Open	Commodities/Supplies	Authority Contract - Compet	10/22/2019	10/22/2019	Y	11/10/2021	209600.00	57476.00	57476.00	151124.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002021	SUB CONTACT SHOE	Open	Commodities/Supplies	Authority Contract - Compet	6/23/2018	6/23/2018	Y	8/21/2020	81200.00	28710.00	76800.00	47300.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002028	Chet Assembly Kit 12-34-5001	Open	Commodities/Supplies	Authority Contract - Compet	4/15/2020	4/15/2020	Y	7/15/2020	93760.00	192420.00	192420.00	73836.00	4	Foreign	N	Y	1	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002028	SUB R142 HVAC Racks	Open	Commodities/Supplies	Authority Contract - Compet	7/27/2020	7/27/2020	Y	7/31/2020	272700.00	100800.00	100800.00	171900.00	6	Foreign	N	Y	0	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HAFCO FOUNDRY AND MACHINE CO., IN	6000000002025	SUB Brake Valve Handle 18-30	Open	Commodities/Supplies	Authority Contract - Compet	11/27/2019	11/27/2019	Y	2/17/2022	133500.00	22250.00	22250.00	111250.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			301 GREENWOOD AVENUE	MIDLAND PARK	NJ	7432	USA	
HALL INDUSTRIES INC	6000000002027	Locking Tab 13-43-7885	Open	Commodities/Supplies	Authority Contract - Compet	12/28/2020	12/28/2020	Y	3/28/2022	24525.00	0.00	0.00	24525.00	2	Foreign	N	N	Y	0	Y	Below Dollar Threshold			201 EAST CARSON STREET	PITTSBURGH	PA	15219	USA
HALSTED CORP	6000000002024	SUB SIR Bag 61-10-5396	Open	Commodities/Supplies	Authority Contract - Compet	9/23/2019	9/23/2019	Y	12/22/2021	294860.00	111716.10	111716.10	183143.90	3	Foreign	N	Y	1	N				515 COMMERCE DR. STE 3	CRANBURY	NJ	8512	USA	
HARSCO INDUSTRIAL IKG	6000000000000	HEAVY DUTY SIDEWALK VENTILATOR	Open	Commodities/Supplies	Authority Contract - Compet	4/14/2016	4/14/2016	Y	4/13/2019	2065130.00	45892.08	45892.08	858.98	4	Foreign	N	Y	1	Y	Re-Bid Re-Solicited			1514 S. SHELDON RD	CHANNELVIEW	TX	77330	USA	
HARSCO METRO RAIL LLC	R34252	R34252 - Purchase of 65 Flat C	Open	Other	Authority Contract - Compet	6/11/2018	6/11/2018	Y	12/24/2023	56127515.20	30212555.46	30212555.46	25914959.74	3	Foreign	N	N	Y	0	Y	Emergency or Critical Need			2401 EDMLUND HWY	WEST COLUMBIA	SC	29170	USA
HAVSITTE REINFORCED PLASTICS LLC	6000000002024	MOWF801-07-4052 60 FIBERGLA	Open	Commodities/Supplies	Authority Contract - Compet	7/10/2019	7/10/2019	Y	7/9/2020	275816.00	134460.30	134460.30	141353.70	4	Foreign	N	Y	0	N				5599 NEW PERRY HIGHWAY	ERIE	PA	16509	3598	USA
HAVSITTE REINFORCED PLASTICS LLC	6000000002028	MOWF 01-84-9510 1 4 THICK F	Open	Commodities/Supplies	Authority Contract - Compet	5/5/2020	5/5/2020	Y	5/4/2021	110959.00	72489.00	72489.00	38106.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold			5599 NEW PERRY HIGHWAY	ERIE	PA	16509	3598	USA
HAVSITTE REINFORCED PLASTICS LLC	6000000002023	MOWF01-84-9510 11 FIBERGLA	Open	Commodities/Supplies	Authority Contract - Compet	3/12/2019	3/12/2019	Y	3/11/2020	99999.05	29189.05	99999.05	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold			5599 NEW PERRY HIGHWAY	ERIE	PA	16509	3598	USA
HAVSITTE REINFORCED PLASTICS LLC	6000000002028	MOWF01-84-9511 11 FIBERGLA	Open	Commodities/Supplies	Authority Contract - Compet	8/17/2020	8/17/2020	Y	8/16/2021	191719.50	31625.30	31625.30	0.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold			5599 NEW PERRY HIGHWAY	ERIE	PA	16509	3598	USA
HAVSITTE REINFORCED PLASTICS LLC	6000000002028	MOWF 01-84-9511 4 THICK F	Open	Commodities/Supplies	Authority Contract - Compet	5/29/2020	5/29/2020	Y	5/28/2021	19706.25	0.00	0.00	19706.25	3	Foreign	N	Y	0	Y	Below Dollar Threshold			5599 NEW PERRY HIGHWAY	ERIE	PA	16509	3598	USA
HBL AMERICA INC	6000000002024	SUB BATTERY 100AH FINE	Completed	Commodities/Supplies	Authority Contract - Compet	9/11/2019	9/11/2019	Y	9/10/2019	37650.00	37650.00	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			712 BROOK ST	SUITE 107	ROCKY HILL	CT	6697	USA
HBL AMERICA INC	6000000002024	SUB 100 AH Sintered	Completed	Commodities/Supplies	Authority Contract - Compet	9/11/2019	9/11/2019	Y	9/10/2019	52710.00	52710.00	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			712 BROOK ST	SUITE 107	ROCKY HILL	CT	6697	USA
HBL AMERICA INC	6000000002025	SUB Battery Nickel Cadum 20	Open	Commodities/Supplies	Authority Contract - Compet	12/18/2019	12/18/2019	Y	3/18/2021	869000.00	425000.00	425000.00	544000.00	3	Foreign	N	Y	1	Y	Below Dollar Threshold			712 BROOK ST	SUITE 107	ROCKY HILL	CT	6697	USA
HERNICH EQUIPMENT CO INC	6000000002023	Task Systems Testing for D08	Open	Design and Construction	Authority Contract - Compet	1/24/2019	1/24/2019	Y	3/12/2020	1212837.50	20988.72	198203.38	1014634.12	3	NYS	N	Y	0	Y	Below Dollar Threshold			42 FIELD ST	W BARLYON	NY	11704	USA	
HERNICH EQUIPMENT CO INC	6000000002023	Repair and Monitoring of FMS	Open	Other Professional Serv	Authority Contract - Compet	4/1/2019	4/1/2019	Y	3/15/2020	543934.90	31594.80	79282.04	464652.86	4	NYS	N	Y	1	N				42 FIELD STREET	WEST BARLYON	NY	11704	USA	
HERNICH EQUIPMENT CO INC	6000000002025	BUS Lube Cube Storage Tanks F	Open	Commodities/Supplies	Authority Contract - Compet	1/24/2020	1/24/2020	Y	2/7/2020	2124.00	2124.00	2124.00	0.00	2	NYS	N	Y	0	Y	Below Dollar Threshold			42 FIELD STREET	WEST BARLYON	NY	11704	USA	
HERMANN ULTRASONICS INC	6000000002026	BUS ULTRASONIC WELDING SYSTEM	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/31/2020	7/31/2020	Y	4/7/2021	47389.00	47133.77	47133.77	255.23	1	Foreign	N	Y	0	Y	Below Dollar Threshold			1261 HARDT CIRCLE	BARTLETT	IL	61003	USA	
HILTI EQUIPMENT AND SERVICES LLC	6000000002025	SERVICE-Repair Mobile Aerial L	Open	Other Professional Serv	Authority Contract - Compet	4/1/2019	3/30/2019	Y	3/31/2022	42055.47	18358.44	42055.47	0.00	2	NYS	N	Y	0	N				845 SOUTH 1ST ST	RONONCONOMA	NY	11779	USA	
HILTI EQUIPMENT AND SERVICES LLC	6000000002023	CWI Floor Scrubber Rental	Completed	Commodities/Supplies	Authority Contract - Compet	10/21/2019	3/2/2020	Y	3/7/2020	21564.00	3594.00	21564.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold			845 SOUTH 1ST ST	RONONCONOMA	NY	11779	USA	
HILTI INC	6000000002023	MRO RFP- category C60 NYCTA	Open	Commodities/Supplies	Authority Contract - Compet	3/1/2019	3/1/2019	Y	2/28/2022	3533019.54	1488181.88	1488181.88	2044836.66	3	Foreign	N	Y	0	Y	Below Dollar Threshold			P.O. BOX 21148	TULSA	OK	74121	USA	
HITACHI RAIL STS USA INC	6000000002024	Adhesive refill pack 1	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order	4/28/2020	4/28/2020	Y	4/28/2020	57735.52	0.00	57735.52	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 21148	TULSA	OK	74121	USA
HITACHI RAIL STS USA INC	6000000002025	RELAY PWR 68-24-28 MAGNETIC	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/28/2020	6/29/2019	Y	1/28/2020	57735.52	0.00	57735.52	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002025	SIG M Switch Parts	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/24/2019	10/24/2019	Y	10/29/2019	85800.00	85800.00	85800.00	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002023	SIG MACHINE SWITCH VARIOUS	Completed	Commodities/Supplies	Authority Contract - Non-Comp	5/10/2019	5/10/2019	Y	5/9/2020	55348.14	25907.64	55348.14	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002023	SIG Ground Detector 02-43-17	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/22/2019	11/22/2019	Y	11/24/2019	26496.00	26496.00	26496.00	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002023	MOWF ANSALDO SMALL PURCHASES	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/24/2019	1/24/2019	Y	1/23/2020	66283.68	44838.96	66283.68	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002024	SIG MACHINE SWITCH VARIOUS	Completed	Commodities/Supplies	Authority Contract - Non-Comp	5/17/2019	5/17/2019	Y	5/16/2020	20124.00	4644.00	20124.00	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002024	SIG Head Cylinder	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/23/2019	9/23/2019	Y	10/23/2020	38550.00	38550.00	38550.00	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002024	SIG Crankshaft 02-18-6700	Completed	Commodities/Supplies	Authority Contract - Non-Comp	11/22/2019	11/22/2019	Y	11/24/2019	34665.00	34665.00	34665.00	0.00	1	Foreign	N	N	Y	0	Y	Sole Source			P.O. BOX 7780-1513	PHILADELPHIA	PA	19182	USA
HITACHI RAIL STS USA INC	6000000002024	MOW Relay Track PV 250	Open	Commodities/Supplies	Authority Contract - Compet	6/5/2019	6/5/2019	Y	6/4/2020	98859.75	98859.75																	

HORNE PRODUCTS INC	600000002002	SUB COVER	Open	Commodities/Supplies	Authority Contract - Compet	5/16/2018	5/17/2018	Y	8/17/2020	70367.40	14442.00	52290.00	18077.40	1	NYS	N	N	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002024	Stationary Contract 08-38-1786	Open	Commodities/Supplies	Authority Contract - Compet	7/25/2019	7/25/2019	Y	10/22/2021	42705.00	19710.00	27375.00	15330.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002048	2 0 AWG Contract 12-38-0950	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2019	10/8/2019	Y	1/5/2022	17696.00	11376.00	11376.00	6320.00	3	NYS	N	Y	0	Y	Emergency or Critical Need	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002024	Contract 08-44-1426	Open	Commodities/Supplies	Authority Contract - Compet	7/25/2019	7/25/2019	Y	10/22/2021	153000.00	59670.00	74970.00	78930.00	4	NYS	N	Y	1	Y	Stock/OPL All vnd/Mnf/Aut	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002024	SUB FLUSE	Open	Commodities/Supplies	Authority Contract - Non-Com	7/31/2019	8/1/2019	Y	11/1/2021	43988.00	14688.85	14688.85	2929.15	1	NYS	N	N	0	Y	Stock/OPL All vnd/Mnf/Aut	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002024	Stationary Plan Contract	Open	Commodities/Supplies	Authority Contract - Compet	10/5/2019	10/5/2019	Y	1/12/2022	63600.00	21836.00	21836.00	41764.00	2	NYS	N	Y	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002022	Moving Arc Horn 08-38-1432	Open	Commodities/Supplies	Authority Contract - Compet	10/5/2019	10/5/2019	Y	4/2/2020	26280.00	10086.00	26280.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002019	Sub Ground Cable	Completed	Commodities/Supplies	Authority Contract - Compet	9/27/2019	9/27/2019	Y	12/24/2019	17286.00	1630.00	5230.00	11986.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002024	Contract 12-44-2715	Open	Commodities/Supplies	Authority Contract - Compet	8/16/2019	8/16/2019	Y	11/16/2021	20948.00	10740.00	14320.00	5728.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002025	SUB RIBBON FLUSE	Open	Commodities/Supplies	Authority Contract - Non-Com	12/2/2019	12/2/2019	Y	3/9/2022	48110.00	24955.00	24955.00	24955.00	1	NYS	N	N	0	Y	Stock/OPL All vnd/Mnf/Aut	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HORNE PRODUCTS INC	600000002026	SUB Senior Without Clamping	Open	Commodities/Supplies	Authority Contract - Compet	5/21/2020	5/21/2020	Y	8/22/2022	35367.00	1768.35	1768.35	33598.65	1	NYS	N	Y	0	Y	Below Dollar Threshold	144 VERDI STREET	E FARMINGDALE	NY	11735	6324	USA
HOUSEN MANUFACTURING, INC.	600000002025	TRX Rail Saw Andr Rail Mounting	Completed	Commodities/Supplies	Authority Contract - Compet	2/25/2020	2/25/2020	Y	2/26/2020	71337.50	71337.50	71337.50	0.00	4	Foreign	N	Y	1	Y	Below Dollar Threshold	3001 HOUGEN DR	SWARTZ CREEK	ME	48473	USA	
HOUSEN MANUFACTURING, INC.	600000002027	TRX 3 Year Husquarna Procebo	Open	Commodities/Supplies	Authority Contract - Compet	10/30/2020	10/30/2020	Y	10/31/2020	20000.00	0.00	0.00	20000.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	3001 HOUGEN DR	SWARTZ CREEK	ME	48473	USA	
HOYT CORP	600000002017	Stationary Contract 08-38-1414	Completed	Commodities/Supplies	Authority Contract - Compet	11/7/2017	11/7/2017	Y	2/5/2020	77850.00	8100.00	77850.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA	
HOYT CORP	600000002019	Sub Contact Tip Kit	Completed	Commodities/Supplies	Authority Contract - Compet	1/25/2018	1/25/2018	Y	4/24/2020	76496.00	20490.00	76496.00	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA	
HOYT CORP	600000002020	SUB Contract 18-18-3100 APOP	Open	Commodities/Supplies	Authority Contract - Compet	1/25/2019	1/25/2019	Y	4/23/2021	39450.00	6312.00	27615.00	11835.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA	
HOYT CORP	600000002018	Sub Contact Tip Kit 09-62-013	Completed	Commodities/Supplies	Authority Contract - Compet	6/21/2017	6/21/2017	Y	9/20/2019	374850.00	35700.00	36925.00	8925.00	2	Foreign	N	N	0	Y	Stock/OPL All vnd/Mnf/Aut	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA	
HOYT CORP	600000002022	Sub Contact Tip Kit 09-62-173	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2018	10/8/2018	Y	1/7/2021	99990.00	16665.00	49995.00	49995.00	2	Foreign	N	N	0	Y	Below Dollar Threshold	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA	
HOYT CORP	600000002020	Sub Contact Tip 09-62-140	Open	Commodities/Supplies	Authority Contract - Compet	4/20/2018	4/20/2018	Y	7/19/2020	12000.00	2550.00	9900.00	21000.00	1	Foreign	N	N	0	Y	Stock/OPL All vnd/Mnf/Aut	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA	
HOYT CORP	600000002024	Contract Tps Kit 08-42-7333	Completed	Commodities/Supplies	Authority Contract - Compet	1/16/2018	1/16/2018	Y	4/15/2020	113600.00	9500.00	9500.00	40350.00	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	520 S DEAN ST	ENGLEWOOD	NJ	7631	USA
IPERFLA TECHNOLOGIES CO.	600000002024	Service Refrigrant Reclaimant	Open	Other Professional Serv	Authority Contract - Compet	6/21/2019	6/21/2019	Y	6/20/2020	82337.00	34235.00	7782.50	4855.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	29 HOLL DRIVE	STONY POINT	NY	10980	USA	
HY TEST INDUSTRIES, INC.	600000002021	Lubricant Siding Compound 1 gallon	Open	Commodities/Supplies	Authority Contract - Compet	6/15/2018	6/15/2018	Y	6/15/2020	16718.40	1671.84	10331.04	6647.36	3	Foreign	N	Y	0	Y	Below Dollar Threshold	PO BOX 335	46 JANET LN	SPRINGFIELD	CA	92020	USA
HYDRALIC ELECTRIC COMPONENT SUP	600000002023	BUS Key Small	Open	Commodities/Supplies	Authority Contract - Compet	4/8/2019	4/8/2019	Y	7/7/2021	31605.00	3794.00	6384.00	25821.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	340 VERNON WAY	SUITE H	EL CAJON	CA	92020	USA
I. JANVEY AND SONS, INC.	600000002020	MWBE SDVOB Small	Completed	Commodities/Supplies	Authority Contract - Compet	4/18/2018	4/18/2018	Y	4/20/2020	125700.00	23123.20	121677.60	4022.40	4	NYS	N	Y	2	Y	Discretionary Procurement	P O BOX 335	HEMPSTADT	NY	11551	USA	
I. JANVEY AND SONS, INC.	600000002020	MWBE SDVOB Small	Completed	Commodities/Supplies	Authority Contract - Compet	5/16/2018	5/16/2018	Y	5/18/2020	63705.00	10010.88	35038.08	28667.52	7	NYS	N	Y	2	Y	Discretionary Procurement	P O BOX 335	HEMPSTADT	NY	11551	USA	
I. JANVEY AND SONS, INC.	600000002025	MWBE SDVOB Small General Pur	Open	Commodities/Supplies	Authority Contract - Compet	3/23/2020	3/23/2020	Y	4/22/2022	125307.60	11391.60	11391.60	113916.00	6	NYS	N	N	4	N	Discretionary Procurement	P O BOX 335	HEMPSTADT	NY	11551	USA	
ICS TECHNOLOGIES LLC	600000002024	Sub Insulated Sub 12-62-0030	Open	Commodities/Supplies	Authority Contract - Compet	6/13/2019	6/13/2019	Y	9/12/2021	130800.00	43818.00	66788.00	64092.00	4	Foreign	N	N	4	N	Discretionary Procurement	P O BOX 696	PLAINFIELD	IN	46168	USA	
IES ASSOCIATES	600000002025	Sub Capacity Valve Control	Open	Commodities/Supplies	Authority Contract - Compet	3/5/2020	3/5/2020	Y	6/3/2022	324520.00	152408.50	152408.50	172111.50	3	Foreign	N	Y	0	Y	Below Dollar Threshold	PO BOX 366	CALFON	NJ	7830	USA	
IGS INDUSTRIES INC	600000002021	Sub Shims 12-62-2413	Open	Commodities/Supplies	Authority Contract - Compet	7/20/2018	7/20/2018	Y	10/19/2020	65625.00	18375.00	65625.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	P O BOX 368	200 COUNTRY C	MEADOWLANDS	PA	15347	USA
IGS INDUSTRIES INC	600000002022	Shim Set 12-38-0233	Open	Commodities/Supplies	Authority Contract - Compet	10/11/2019	10/11/2019	Y	1/7/2022	37475.00	8994.00	8994.00	28481.00	3	Foreign	N	Y	1	Y	Below Dollar Threshold	P O BOX 368	200 COUNTRY C	MEADOWLANDS	PA	15347	USA
IGS INDUSTRIES INC	600000002027	Sub Shim 12-62-2413	Open	Commodities/Supplies	Authority Contract - Compet	10/15/2020	10/15/2020	Y	11/13/2023	36080.00	0.00	0.00	36080.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	P O BOX 368	200 COUNTRY C	MEADOWLANDS	PA	15347	USA
IGS INDUSTRIES INC	600000002023	Sub Low Speed Shim 12-62-2406	Open	Commodities/Supplies	Authority Contract - Compet	2/21/2019	2/21/2019	Y	5/20/2021	74925.00	44955.00	67425.00	7492.50	1	Foreign	N	Y	0	Y	Below Dollar Threshold	P O BOX 368	200 COUNTRY C	MEADOWLANDS	PA	15347	USA
IPERFLA CLEANING	600000002019	Sub Disinfection Antimicrobial Treatment	Open	Commodities/Supplies	Authority Contract - Compet	4/14/2020	4/24/2020	Y	12/31/2021	529033.33	157321.06	###	371681.27	3	NYS	N	N	0	Y	Emergency or Critical Need	151 DENVER AVE	AMETHYST	NY	11703	USA	
IPERFLA CLEANING	600000002019	SUB Wiper Rays and Pad Waste	Completed	Commodities/Supplies	Authority Contract - Compet	4/25/2018	4/25/2018	Y	4/23/2020	612750.00	412750.00	412750.00	0.00	0	Foreign	N	Y	0	Y	Below Dollar Threshold	235 ROUTE 1 AND 9	BRISBY CITY	NJ	7306	USA	
IPERFLA DADE	600000002025	SUB Wiper Rays and Polyeste	Open	Commodities/Supplies	Authority Contract - Compet	3/31/2020	4/28/2020	Y	7/27/2022	2267600.00	612750.00	612750.00	1674850.00	4	Foreign	N	Y	2	N	Discretionary Procurement	255 ROUTE 1 AND 9	BRISBY CITY	NJ	7306	USA	
IPERFLA DADE	600000002042	DOB Towel Roll Embossed	Open	Commodities/Supplies	Authority Contract - Compet	7/1/2019	7/1/2019	Y	9/30/2021	288327.40	84861.00	152749.80	135777.60	14	Foreign	N	N	2	N	Discretionary Procurement	255 ROUTE 1 AND 9	BRISBY CITY	NJ	7306	USA	
INDUSTRIAL U.S.A., INC.	600000002025	MWBE SDVOB Only goggles dust	Open	Commodities/Supplies	Authority Contract - Compet	11/1/2019	2/15/2020	Y	2/14/2022	37514.88	12504.96	12504.96	25009.92	5	NYS	Y	Y	3	Y	Discretionary Procurement	136 WALLABOUT ST SUITE 6A	BROOKLYN	NY	11249	USA	
INDUSTRIAL U.S.A., INC.	600000002038	MWBE SDVOB Only goggles dust	Open	Commodities/Supplies	Authority Contract - Compet	3/28/2019	3/28/2019	Y	3/27/2021	176382.24	75822.24	138672.24	37710.00	12	NYS	Y	Y	11	Y	Discretionary Procurement	136 WALLABOUT ST SUITE 6A	BROOKLYN	NY	11249	USA	
INDUSTRIAL U.S.A., INC.	600000002024	MWBE SDVOB Only liner winter	Open	Commodities/Supplies	Authority Contract - Compet	8/7/2019	8/7/2019	Y	8/6/2021	36855.00	19886.75	25974.27	10880.73	6	NYS	Y	Y	5	Y	Discretionary Procurement	136 WALLABOUT ST SUITE 6A	BROOKLYN	NY	11249	USA	
INDUSTRIAL U.S.A., INC.	600000002022	MOWEQRTR01-84-06104 HIGH S	Completed	Commodities/Supplies	Authority Contract - Compet	10/1/2018	10/1/2018	Y	10/1/2019	58900.00	26350.00	58900.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	577 W LAMONT RD	ELMHURST	IL	60126	USA	
INFRASTRATEGIES LLC	600000002026	Consulting Serv for 179 Refl	Open	Consulting Services	Authority Contract - Non-Com	8/3/2020	7/30/2020	Y	10/31/2020	75000.00	41437.50	41437.50	33562.50	1	Foreign	N	N	0	Y	Below Dollar Threshold	2211 MICHELSON DR, STE 900	IRVINE	CA	92648	USA	
INIT INNOVATIONS IN TRANSPORTATI	WJ23645	Paratransit AVLm System	Open	Technology - Hardware	Authority Contract - Compet	12/23/2005	12/23/2005	#####	9/14/2021	2588126.99	166663.86	#####	1186517.25	15	Foreign	N	N	0	N	Discretionary Procurement	1400 CROSSWAYS BLVD #1400 CROSSWA	CHESEPAKE	VA	23240	USA	
INIT INNOVATIONS IN TRANSPORTATI	605519315	PARATRANSIT AVLm SERVICES POS	Open	Technology - Hardware	Authority Contract - Compet	12/23/2005	12/23/																			

JAMAICA BEARINGS CO. INC.	6000000002026	SUB BEARINGS 12-60-0014 AND 12-	Open	Commodities/Supplies	Authority Contract - Compet	9/24/2020	9/24/2020	5	12/23/2022	494100.00	0.00	0.00	494100.00	4	NYS	N	Y	0	Y	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000181	SUB Roller Bearing 12-62-2059	Completed	Commodities/Supplies	Authority Contract - Compet	6/2/2017	6/2/2017	4	9/1/2015	77145.00	27800.00	76450.00	6950.00	4	NYS	N	N	0	Y	Stock/QLA Alt and W/Inf/Alt	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000251	SUB Roller Bearing Assembly 1	Open	Commodities/Supplies	Authority Contract - Compet	3/26/2020	3/26/2020	7	6/25/2022	725620.00	0.00	0.00	725620.00	7	NYS	N	Y	1	Y	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000232	SUB ROLLER BEARING	Open	Commodities/Supplies	Authority Contract - Compet	5/16/2019	5/16/2019	5	8/13/2021	183764.00	76133.80	102382.80	81381.20	8	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000252	SUB HOL SEAL SLEEVE	Open	Commodities/Supplies	Authority Contract - Compet	11/19/2019	11/19/2019	3	2/18/2022	1105500.00	408700.00	408700.00	696800.00	3	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000242	DC Reconditioning of Journal	Open	Other	Authority Contract - Compet	3/26/2019	3/26/2019	5	3/29/2020	249500.00	102045.50	137640.00	69860.00	3	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000243	SUB JOURNAL BEARINGS	Open	Commodities/Supplies	Authority Contract - Compet	7/31/2019	7/31/2019	3	10/1/2021	252518.00	27535.40	27535.40	176762.60	3	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000253	SUB JOURNAL BEARING	Open	Commodities/Supplies	Authority Contract - Compet	8/26/2020	8/26/2020	1	11/25/2021	164900.00	0.00	0.00	164900.00	3	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000257	SUB HOL SEAL	Open	Commodities/Supplies	Authority Contract - Compet	11/9/2020	11/9/2020	5	2/8/2022	525120.00	0.00	0.00	525120.00	5	NYS	N	Y	0	N	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000272	DC Reconditioning of Journal	Open	Consulting Services	Authority Contract - Compet	12/14/2020	12/14/2020	5	12/13/2023	885600.00	0.00	0.00	885600.00	5	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000223	SUB Ball Bearing 18-46-8007	Open	Commodities/Supplies	Authority Contract - Compet	10/29/2018	10/29/2018	5	1/25/2021	38124.00	12390.30	20015.10	18189.90	4	NYS	N	Y	0	Y	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000268	SUB Bearings 12-60-0014 AND 12-	Open	Commodities/Supplies	Authority Contract - Compet	9/24/2020	9/24/2020	4	12/23/2022	315000.00	0.00	0.00	315000.00	4	NYS	N	Y	0	Y	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000181	SUB Bearings; Roller And Ball	Completed	Commodities/Supplies	Authority Contract - Compet	6/28/2017	6/28/2017	4	9/27/2019	2334800.00	44900.00	#####	0.00	3	NYS	N	Y	0	N		1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000231	SUB Ball Bearing	Open	Commodities/Supplies	Authority Contract - Compet	3/22/2019	3/22/2019	2	6/21/2021	51570.00	19252.80	24753.60	26816.40	2	NYS	N	Y	1	Y	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA BEARINGS CO. INC.	6000000000222	SUB BEARING	Completed	Commodities/Supplies	Authority Contract - Compet	11/16/2018	11/16/2018	3	2/14/2020	98235.00	18810.00	44460.00	5365.00	3	NYS	N	Y	0	Y	Below Dollar Threshold	1700 JERICHO TURNPIKE	NEW HYDE PARK	NY	11040		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000232	MWBE SDVOB Only Fan Desk or	Open	Commodities/Supplies	Authority Contract - Compet	4/16/2019	4/16/2019	5	7/19/2021	37386.00	12462.00	27001.00	10385.00	5	NYS	N	Y	5	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000242	HVAC HVAC Parts Contract	Open	Commodities/Supplies	Authority Contract - Compet	6/21/2019	6/21/2019	4	6/22/2020	75000.00	38389.82	61770.74	1329.26	2	NYS	N	Y	1	Y	Below Dollar Threshold	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000244	INF Hydrosolve For Grout Pump	Open	Commodities/Supplies	Authority Contract - Compet	8/7/2019	8/7/2019	2	8/6/2021	53280.00	19980.00	19980.00	33300.00	2	NYS	N	Y	1	Y	Below Dollar Threshold	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000222	Plast Glass	Open	Commodities/Supplies	Authority Contract - Compet	6/10/2020	6/10/2020	2	7/8/2020	64945.00	64945.00	64945.00	0.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000221	MWBE SDVOB Small Kit Abrasiv	Open	Commodities/Supplies	Authority Contract - Compet	8/8/2018	8/8/2018	3	11/9/2020	94053.96	32590.64	73287.07	20768.89	7	NYS	N	Y	4	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000221	MWBE SDVOB Only Broom angle	Completed	Commodities/Supplies	Authority Contract - Compet	9/28/2017	9/28/2017	4	9/30/2019	37485.00	2077.50	3735.13	133.87	8	NYS	N	Y	4	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000232	MWBE SDVOB Only Fan Assembly	Open	Commodities/Supplies	Authority Contract - Compet	12/7/2018	12/7/2018	2	3/2/2020	21250.00	7800.00	14230.00	9000.00	2	NYS	N	Y	3	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000209	MWBE SDVOB Small Saws	Completed	Commodities/Supplies	Authority Contract - Compet	2/3/2018	2/3/2018	2	3/7/2020	49795.84	2765.88	5531.76	44254.08	7	NYS	N	Y	3	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000232	MWBE SDVOB Only aerosol lub	Open	Commodities/Supplies	Authority Contract - Compet	12/20/2018	12/20/2018	4	12/19/2020	130775.04	11888.64	47554.56	83220.48	4	NYS	N	Y	3	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000231	Multi-Purpose Water Based Coat	Open	Commodities/Supplies	Authority Contract - Compet	11/18/2019	11/18/2019	5	11/17/2021	20895.36	119623.68	119623.68	88471.68	8	NYS	N	Y	3	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000251	MWBE SDVOB Small Absorbent AMD	Open	Commodities/Supplies	Authority Contract - Compet	11/7/2019	11/7/2019	3	11/5/2021	30674.80	14157.60	14157.60	16517.20	3	NYS	N	Y	2	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000151	MWBE SDVOB Small	Completed	Commodities/Supplies	Authority Contract - Compet	12/28/2017	12/28/2017	11	12/30/2019	21744.36	2558.16	20465.28	1279.08	11	NYS	N	Y	8	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000151	MWBE SDVOB only; cooler water	Completed	Commodities/Supplies	Authority Contract - Compet	12/7/2017	12/7/2017	3	3/6/2020	2706.84	2379.17	2694.69	32.15	2	NYS	N	Y	2	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000268	MOCV190-REQ 70757 FLEK1-GLA	Open	Commodities/Supplies	Authority Contract - Compet	7/2/2020	7/2/2020	4	10/1/2021	300000.00	120000.00	120000.00	80000.00	4	NYS	N	Y	2	Y	Emergency or Critical Need	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000202	MWBE SDVOB Only oil compress	Open	Commodities/Supplies	Authority Contract - Compet	4/9/2018	7/28/2018	4	7/27/2020	68206.32	19487.52	48718.80	19487.52	2	NYS	N	Y	2	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000202	MWBE SDVOB Only grease silc	Open	Commodities/Supplies	Authority Contract - Compet	3/9/2020	3/9/2020	6	3/8/2022	26337.60	9876.60	9876.60	14641.00	6	NYS	N	Y	4	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000221	MWBE SDVOB Small Hi hydraulic	Open	Commodities/Supplies	Authority Contract - Compet	6/29/2018	7/30/2018	4	7/29/2020	53814.40	3733.90	32768.80	20851.60	3	NYS	N	Y	3	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMAICA HARDWARE AND PAINTS INC	6000000000238	MOCV190-REQ 70666 FLEK1-GLA	Open	Commodities/Supplies	Authority Contract - Compet	6/25/2019	6/25/2019	6	6/24/2020	38707.20	18600.00	36265.60	2441.60	12	NYS	N	Y	10	Y	Discretionary Procurement	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMES I HOWARD AND CO	6000000000209	SUB Swtch Lock; 13-44-1481	Open	Commodities/Supplies	Authority Contract - Compet	6/27/2020	6/27/2020	4	7/6/2020	2090.00	2090.00	2090.00	0.00	4	NYS	N	Y	0	Y	Below Dollar Threshold	131-01 JAMAICA AVE	RICHMOND HILL	NY	11418		USA
JAMES I HOWARD AND CO	6000000000209	SUB Swtch Lock; 13-44-1481	Open	Commodities/Supplies	Authority Contract - Compet	3/30/2018	3/30/2018	4	6/30/2020	16337.50	630.00	16117.50	2110.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000244	SUB Latch Left; 13-43-4	Open	Commodities/Supplies	Authority Contract - Compet	7/2/2019	7/2/2019	5	10/4/2021	30260.00	5795.00	5795.00	24475.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000191	SUB Solenoid For Upper Keyp	Completed	Commodities/Supplies	Authority Contract - Compet	11/8/2017	11/8/2017	1	2/10/2020	56304.00	3312.00	59972.80	331.20	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000214	SUB Swtch Solenoid; 13-44-1	Open	Commodities/Supplies	Authority Contract - Compet	8/8/2018	8/8/2018	1	11/9/2020	21450.00	900.00	18750.00	2700.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000211	Solenoid 13-68-0893	Open	Commodities/Supplies	Authority Contract - Compet	7/20/2018	7/20/2018	1	10/19/2020	34450.00	5300.00	31800.00	2650.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000251	SUB Keyp Electrical Upper;	Completed	Commodities/Supplies	Authority Contract - Compet	12/19/2019	12/19/2019	2	12/10/2019	21050.00	21050.00	21050.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000261	Solenoid 13-44-1388	Open	Commodities/Supplies	Authority Contract - Compet	5/29/2020	5/29/2020	1	9/5/2022	35250.00	5287.50	5287.50	29625.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	10 BRITTON DR	BLOOMFIELD	CT	6002		USA
JAMES I HOWARD AND CO	6000000000202	SUB Latch Lock; 13-42-6011	Completed	Commodities/Supplies	Authority Contract - Compet	10/17/2019	10/17/2019	1	10/18/2019	36180.00	36180.00	36180.00	0.00	1												

KAWASAKI RAIL CAR INC	600000000021	SUB Spring Support Rod; 13-42	Open	Commodities/Supplies	Authority Contract - Compet	6/13/2018	6/13/2018	Y	9/14/2020	54795.00	7605.00	7605.00	47151.00	1	NYS	N	Y	0	Y	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	R34188	R188 - New and Converted Cars	Open	Other	Authority Contract - Compet	5/28/2010	5/28/2010	Y	2/26/2018	483722656.65	4636133.71	#####	#####	1	NYS	N	Y	0	N		29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB Cable Assembly; 13-60-425	Open	Commodities/Supplies	Authority Contract - Compet	7/3/2019	7/3/2019	Y	7/4/2019	27854.00	27854.00	0.00	0.00	1	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB OVERHAUL KIT	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/10/2020	9/14/2020	Y	12/14/2022	174956.25	0.00	0.00	174956.25	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB RUBBER SEAT	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/19/2019	12/20/2021	Y	12/20/2021	76755.00	8925.00	8925.00	67830.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB CBTC BRACKET	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/22/2020	5/22/2020	Y	5/27/2020	53040.72	0.00	0.00	53040.72	0	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	TRIP COCK CABE ASSEMBLY STYLE	Completed	Commodities/Supplies	Authority Contract - Compet	2/11/2016	2/11/2016	Y	5/11/2018	244951.10	9930.45	221780.65	23171.05	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB Gaining Rubber Assembly;	Completed	Commodities/Supplies	Authority Contract - Compet	6/5/2019	6/5/2019	Y	6/6/2019	25726.00	25726.00	0.00	0.00	1	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB GLAND PACKING	Open	Commodities/Supplies	Authority Contract - Compet	8/19/2020	8/19/2020	Y	11/18/2022	69300.00	0.00	0.00	69300.00	0	NYS	N	Y	0	N	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000021	SUB PRIMARY SPRING SET	Open	Commodities/Supplies	Authority Contract - Compet	9/13/2018	9/13/2018	Y	12/11/2020	122840.00	30710.00	39923.00	82917.00	2	NYS	N	Y	0	N		29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000027	Sub Booster Fan	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/29/2020	10/29/2020	Y	1/27/2023	78000.00	0.00	0.00	78000.00	0	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	SUB AXLE SUPPORT	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/8/2019	4/8/2019	Y	7/8/2021	17352.00	7230.00	10132.00	10132.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000019	SUB RUBBER CAP	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/28/2017	9/28/2017	Y	12/27/2019	82720.00	22220.00	82720.00	0.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000021	Fault Light Assy 19-60-002	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/8/2018	6/8/2018	Y	9/4/2020	44000.00	18556.00	41184.00	2616.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB AIR SPRING ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/30/2019	7/30/2019	Y	10/29/2020	597367.80	149341.95	149341.95	448025.85	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000019	Packing 13-60-2019	Open	Commodities/Supplies	Authority Contract - Compet	1/5/2018	1/5/2018	Y	4/3/2020	125085.00	15500.00	111910.00	13175.00	0	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	SUB RADIUS ARM SET	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/19/2019	3/19/2019	Y	6/18/2021	53654.40	26827.20	26827.20	26827.20	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB Balancer Cab Window; 13-	Open	Commodities/Supplies	Authority Contract - Compet	4/8/2020	4/8/2020	Y	7/8/2022	58565.00	0.00	0.00	58565.00	1	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB BUSHING	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/3/2019	10/4/2019	Y	1/4/2022	78904.00	25362.00	25362.00	53542.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000025	SUB RUBBER CAP	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/23/2019	12/24/2019	Y	3/23/2022	66000.00	25300.00	25300.00	40700.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB RADIAL BAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/8/2019	10/11/2019	Y	1/11/2022	10880.00	22200.00	22200.00	7650.00	1	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	SUB WEAR PLATE	Open	Commodities/Supplies	Authority Contract - Non-Comp	13/13/2018	12/13/2018	Y	3/13/2023	64000.00	24832.00	49632.00	14368.00	1	NYS	N	Y	0	N	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	SUB BUSHING	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/24/2018	12/24/2018	Y	3/23/2021	196878.00	101422.00	101422.00	95456.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000021	SUB BOLT	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/15/2018	6/15/2018	Y	9/14/2020	51326.00	22630.10	34295.10	17030.90	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB RUBBER BOLSTER STOP	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/14/2019	8/14/2019	Y	11/12/2021	148572.40	27610.80	27610.80	120961.60	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000027	SUB Maintenance Key Switch; 1	Open	Commodities/Supplies	Authority Contract - Compet	11/25/2020	11/25/2020	Y	2/27/2023	80850.00	0.00	0.00	80850.00	4	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000017	SUB AIR SPRING ASSEMBLY	Completed	Commodities/Supplies	Authority Contract - Non-Comp	3/2/2017	3/2/2017	Y	5/31/2019	3318710.00	149341.95	#####	124516.25	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	SUB ENCODER	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/14/2019	2/14/2019	Y	5/14/2021	231700.00	165500.00	165500.00	66200.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000025	SUB REPLACEMENT RUBBER BUSHIN	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/22/2019	10/22/2019	Y	9/11/2020	39600.00	19800.00	19800.00	19800.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB Crescent Bushing 16-4-2	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/23/2019	5/24/2019	Y	8/20/2021	25216.00	19700.00	19700.00	5516.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000017	SUB ROD END BEARING	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/7/2017	3/7/2017	Y	6/6/2019	149730.00	19530.00	137130.00	15600.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000019	SUB ARC SHIELD	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/16/2017	11/16/2017	Y	2/14/2020	83751.15	19285.65	72856.90	10714.25	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB LATERAL SHOCK PPN	Completed	Commodities/Supplies	Authority Contract - Non-Comp	1/24/2020	1/24/2020	Y	4/28/2022	73515.00	19017.60	19017.60	54500.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB Cab Window Balers; 13-60-967	Open	Commodities/Supplies	Authority Contract - Compet	11/23/2019	11/23/2019	Y	3/20/2020	67349.75	22436.00	67349.75	0.00	1	Foreign	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB LOCKING PLATE	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/16/2018	8/20/2018	Y	11/19/2021	40150.00	23387.00	23387.00	16863.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	Sub Fuji Manual Releases DO	Completed	Commodities/Supplies	Authority Contract - Non-Comp	1/15/2019	1/15/2019	Y	1/18/2019	746600.00	746600.00	746600.00	0.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000021	SUB Glass Bottom; 68-60-013	Open	Commodities/Supplies	Authority Contract - Compet	8/13/2018	8/13/2018	Y	11/13/2020	48875.00	15511.25	29325.00	19550.20	2	NYS	N	Y	0	N	Below Dollar Threshold	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB Maintenance Key Switch; 1	Open	Commodities/Supplies	Authority Contract - Compet	5/14/2018	5/14/2018	Y	8/14/2020	91429.60	41739.60	84473.00	6956.00	2	NYS	N	Y	0	N		29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000018	SUB RUBBER CAP	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/4/2017	8/4/2017	Y	11/1/2019	163268.00	44882.00	161068.88	2199.12	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000026	SUB ROD END BEARING	Open	Commodities/Supplies	Authority Contract - Compet	5/7/2018	6/7/2018	Y	9/4/2020	126000.00	42126.00	89418.00	36582.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000023	SUB BEARING ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	4/16/2019	4/16/2019	Y	7/16/2021	181875.00	41225.00	41225.00	140650.00	1	NYS	N	Y	0	N		29 WELLS AVE	BUILDING NO 4	YONKERS	NY	10701	USA
KAWASAKI RAIL CAR INC	600000000024	SUB RUBBER BUSHING	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/12/2019	6/12/2019	Y	10/21/2019	49940.00	39592.00	39592.00	9888.00	1	NYS	N	N	0	Y	Sole Source	29 WELLS AVE	BUILDING NO				

KLEAR VIEW APPLIANCE CORP.	60000000027	MVBE SDOV8 Small HVAC	Open	Commodities/Supplies	Authority Contract - Compet	12/2/2020	12/2/2020	Y	3/2/2023	227950.00	0.00	0.00	227950.00	10	NYS	N	Y	4	Y	Below Dollar Threshold	3707 NOSTRAND AVE	BROOKLYN	NY	11235	USA
KLEAR VIEW APPLIANCE CORP.	60000000028	MVBE SDOV8 Small HVAC Frie	Open	Commodities/Supplies	Authority Contract - Compet	6/12/2020	6/12/2020	Y	9/12/2022	82400.00	0.00	0.00	82400.00	9	NYS	N	Y	4	Y	Below Dollar Threshold	3707 NOSTRAND AVE	BROOKLYN	NY	11235	USA
KLEAR VIEW APPLIANCE CORP.	60000000028	MVBE SDOV8 Small HVAC Frie	Open	Commodities/Supplies	Authority Contract - Compet	6/30/2020	6/30/2020	Y	9/30/2022	208775.00	0.00	0.00	208775.00	11	NYS	N	Y	5	Y	Below Dollar Threshold	3707 NOSTRAND AVE	BROOKLYN	NY	11235	USA
KLEAR VIEW APPLIANCE CORP.	60000000021	MVBE SDOV8 Small Various I	Open	Commodities/Supplies	Authority Contract - Compet	6/29/2018	6/29/2018	Y	9/29/2020	202100.00	618300.00	1302800.00	718200.00	4	NYS	N	Y	3	Y	Discretionary Procurement	3707 NOSTRAND AVE	BROOKLYN	NY	11235	USA
KLEAR VIEW APPLIANCE CORP.	60000000021	MVBE SDOV8 Small Various I	Open	Commodities/Supplies	Authority Contract - Compet	6/28/2018	6/28/2018	Y	9/28/2020	212975.00	873000.00	1813500.00	316250.00	8	NYS	N	Y	3	Y	Discretionary Procurement	3707 NOSTRAND AVE	BROOKLYN	NY	11235	USA
KLEAR VIEW APPLIANCE CORP.	60000000028	Class U Disinfection and Treat	Open	Other	Authority Contract - Compet	6/24/2020	6/24/2020	Y	12/31/2021	380000.00	811125.86	11125.86	298874.14	10	NYS	N	Y	2	Y	Emergency or Critical Need	240 W 37RD ST STE 170	NEW YORK	NY	10027	USA
KNORR BRAVE COMPANY LLC	60000000019	SUB VV1207 Overhaul Kit - 27	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/30/2017	10/30/2017	Y	1/29/2020	89942.00	201825.00	579420.00	296010.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000019	SUB 18-68-823 - single dir	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/1/2017	9/1/2017	Y	1/13/2018	11503.64	79034.44	118023.64	0.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000022	SUB Spring Out 18-68-698	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/8/2018	10/8/2018	Y	1/4/2021	60249.00	14571.50	40619.00	19630.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000022	SUB Motor Assembly 18-64-775	Open	Commodities/Supplies	Authority Contract - Non-Comp	19/30/2020	10/30/2020	Y	1/28/2022	69230.00	0.00	0.00	69230.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000024	SUB CARRIER VALVE	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/1/2019	7/1/2019	Y	10/1/2021	32648.50	15330.60	19305.20	13343.30	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000021	SUB Check Spherical	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/5/2018	9/5/2018	Y	12/4/2020	91650.00	38834.25	81831.75	98118.25	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000024	SUB VALVE ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/31/2019	8/1/2019	Y	11/1/2021	55378.80	16921.30	32304.30	23074.50	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000023	SUB Valve Supply 18-38-0798	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/19/2019	4/19/2019	Y	7/19/2021	40976.00	18912.00	27580.00	13396.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000019	SUB LEVELING VALVES	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/30/2018	1/30/2018	Y	4/29/2020	37800.00	182700.00	371700.00	6300.00	2	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000018	SUB 18-68-823 - single dir	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/1/2017	9/1/2017	Y	1/30/2018	57916.32	57916.32	57916.32	0.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000021	SUB COIL WIRE	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/23/2018	5/23/2018	Y	8/21/2020	134948.80	64552.62	134948.80	0.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000023	WV120 Air Supply Unit	Completed	Commodities/Supplies	Authority Contract - Non-Comp	3/21/2019	3/21/2019	Y	3/23/2019	990000.00	528000.00	990000.00	0.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000023	SUB Contact Tip 18-66-8334	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/28/2020	1/28/2020	Y	1/30/2020	21450.00	0.00	0.00	21450.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000025	SUB SEALING RING	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/13/2019	11/14/2019	Y	1/15/2021	43809.00	12885.00	12885.00	30924.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000022	SUB Plunger Used In Magnet V	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/29/2018	10/29/2018	Y	1/25/2021	101854.25	35229.25	72725.25	24600.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000023	SUB WIRE WOUND COIL	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/7/2020	10/13/2020	Y	1/13/2022	108800.00	0.00	0.00	108800.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000025	SUB VALVE	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/19/2019	11/19/2019	Y	2/21/2022	62568.00	30588.80	30588.80	31979.20	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000024	SUB Exhaust Valve 18-38-080	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/27/2019	9/30/2019	Y	12/27/2021	26442.00	12712.50	12712.50	13079.50	2	Foreign	N	Y	0	Y	Below Dollar Threshold	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000028	SUB INLET VALVE ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/6/2020	10/7/2020	Y	1/7/2022	130312.00	0.00	0.00	130312.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000019	SUB LEVELING VALVES	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/30/2018	1/30/2018	Y	4/29/2020	373100.00	351225.00	737100.00	0.00	2	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000024	SUB OH Kit for KM-6 Vent Valv	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/21/2019	8/20/2019	Y	11/18/2021	20000.00	56250.00	87500.00	112500.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000020	SUB Sleeve Nylon 18-68-8	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/22/2018	5/22/2018	Y	8/18/2020	56760.00	24624.00	56680.80	79.20	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000025	SUB CAM DOG ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/11/2020	3/11/2020	Y	6/11/2021	36630.00	8910.00	8910.00	27220.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000024	SUB VALVE REPLACEMENT CONTRA	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/7/2019	6/7/2019	Y	11/5/2020	162000.00	97900.00	142400.00	17800.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000028	SUB VALVE ASSEMBLY KIT	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/29/2020	6/3/2020	Y	9/3/2021	68357.50	9976.50	9976.50	58381.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000022	SUB Adjuster with Plunger Ass	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/20/2018	9/20/2018	Y	12/18/2020	155000.00	27900.00	66650.00	88320.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000025	SUB Valve Stage Set 18-44-77	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/8/2020	6/9/2020	Y	2/16/2022	194448.00	0.00	0.00	194448.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000022	SUB Cylinder Assembly 19-13-3	Completed	Commodities/Supplies	Authority Contract - Non-Comp	12/28/2018	12/28/2018	Y	1/27/2020	128320.00	42940.00	74970.00	53505.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000021	SUB Regulator Valve	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/14/2018	9/14/2018	Y	12/13/2020	74372.40	31888.44	67953.94	6418.44	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000021	SUB VALVE ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/23/2018	5/23/2018	Y	8/21/2020	184665.00	42615.00	184665.00	0.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000028	Repair of NYCPAC Brake Cylind	Open	Other	Authority Contract - Non-Comp	7/31/2020	7/31/2020	Y	7/29/2022	37440.00	4680.00	4680.00	32760.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000019	SUB Overhaul Kit for VV120-7	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/30/2017	10/30/2017	Y	1/29/2020	378480.00	84360.00	251940.00	165400.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000027	SUB REGULATOR VALVE	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/3/2020	12/7/2020	Y	3/7/2022	34352.50	0.00	0.00	34352.50	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000024	SUB J-14-14 Relay Valve 18-	Completed	Commodities/Supplies	Authority Contract - Non-Comp	7/3/2019	7/3/2019	Y	7/5/2019	3001.28	3750.16	3001.28	0.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000023	SUB Connector 18-68-9103 AP	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/29/2019	2/8/2019	Y	5/7/2021	106925.00	13747.50	32077.50	14847.50	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR BRAVE COMPANY LLC	60000000027	SUB Cam 18-66-8250	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/23/2020	12/23/2020	Y	1/24/2022	15500.00	0.00	0.00	15500.00	1	Foreign	N	Y	0	Y	Sole Source	1 ARTHUR PECK DRIVE	WESTMINSTER	MD	21157	USA
KNORR USA, LLC	60000000028	ENADE 350M Step Chain 04-14-3	Open	Commodities/Supplies	Authority Contract - Compet	10/5/2020	10/5/2020	Y	10/19/2021	201950.00	0.00	0.00	201950.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	115 W. LAKE DR, #200	GENLADE HEIGHTS	IL	60139	USA
KNORR USA, LLC	60000000022	ENADE 42 Ton Step Chain 04-14-3																							

MADDO INDUSTRIAL SUPPLY COMPANY	6000000002021	MVBE SD008 Small Valve AND KIT	Open	Commodities/Supplies	Authority Contract - Compete	6/14/2018	6/14/2018	Y	9/14/2020	78600.00	28820.00	78600.00	0.00	3	Foreign	N	Y	2	Y	Discretionary Procurement			1227-31 9th. Avenue	ALTONA	PA	16602	USA
MADDO INDUSTRIAL SUPPLY COMPANY	6000000002024	MVBE SD008 Only Gas Industri	Completed	Commodities/Supplies	Authority Contract - Compete	7/31/2018	7/31/2018	Y	1/30/2020	391482.00	190722.00	391482.00	0.00	6	Foreign	N	Y	4	Y	Discretionary Procurement			1227-31 9th. Avenue	ALTONA	PA	16602	USA
MARGEN DESIGNS INC.	6000000002028	MVBE SD008 Only	Open	Commodities/Supplies	Authority Contract - Compete	5/16/2018	5/16/2018	Y	5/18/2020	93288.00	8112.00	75586.00	13702.00	4	NYS	Y	Y	4	Y	Discretionary Procurement			2371 JACKSON AVE	SEAFORD	NY	11783	USA
MARINE VINYL FABRIC LLC	6000000002026	BUS Vinyl 30G 42 Yards	Completed	Commodities/Supplies	Authority Contract - Compete	4/27/2020	4/27/2020	Y	5/15/2020	56916.00	56916.00	56916.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			115 HANKES AVE	AURORA	IL	60505	USA
MARINE VINYL FABRIC LLC	6000000002026	BUS Vinyl 30G 22 Yards	Completed	Commodities/Supplies	Authority Contract - Compete	4/27/2020	4/27/2020	Y	5/15/2020	37848.00	37848.00	37848.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			115 HANKES AVE	AURORA	IL	60505	USA
MARINE VINYL FABRIC LLC	6000000002026	BUS Vinyl 20 to 22y	Open	Commodities/Supplies	Authority Contract - Compete	6/12/2020	6/12/2020	Y	6/30/2020	21450.00	21450.00	21450.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			115 HANKES AVE	AURORA	IL	60505	USA
MARK DAVID CANADA INC	6000000002022	SUB SPECIAL HHZYS	Open	Commodities/Supplies	Authority Contract - Compete	12/20/2018	12/20/2018	Y	3/19/2021	23000.00	10000.00	18700.00	4300.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			2011 LUCIEN-THIENS	MONTREAL	QC	HR1K18	OTHER CAN
MARK DAVID CANADA INC	6000000002021	APOP Bus Wheel-Check Loose	Completed	Commodities/Supplies	Authority Contract - Compete	10/1/2018	10/1/2018	Y	11/14/2018	33069.00	26563.00	33360.00	14908.00	56	Foreign	N	Y	0	Y	Below Dollar Threshold			2011 LUCIEN-THIENS	MONTREAL	QC	HR1K18	OTHER CAN
MARK DAVID CANADA INC	6000000002024	SUB HZYS	Open	Commodities/Supplies	Authority Contract - Compete	7/7/2019	7/7/2019	Y	10/9/2021	30000.00	16500.00	16500.00	13500.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold			2011 LUCIEN-THIENS	MONTREAL	QC	HR1K18	OTHER CAN
MARK DAVID CANADA INC	6000000002027	APOP Bus Wheel-Check Loose W	Open	Commodities/Supplies	Authority Contract - Compete	12/7/2020	12/7/2020	Y	3/22/2021	128700.00	0.00	0.00	128700.00	6	Foreign	N	Y	0	N				2011 LUCIEN-THIENS	MONTREAL	QC	HR1K18	OTHER CAN
MARK DAVID CANADA INC	6000000002025	APOP Bus Wheelcheck Loose W	Open	Commodities/Supplies	Authority Contract - Compete	11/13/2019	11/13/2019	Y	12/31/2020	155298.00	123750.00	123750.00	31548.00	1	Foreign	N	Y	0	N				2011 LUCIEN-THIENS	MONTREAL	QC	HR1K18	OTHER CAN
MARK DAVID CANADA INC	600000000204	Sub Tractor Motor Supply 09-	Open	Commodities/Supplies	Authority Contract - Compete	6/13/2019	6/13/2019	Y	9/12/2021	150000.00	40000.00	69000.00	81000.00	4	Foreign	N	Y	0	N				2011 LUCIEN-THIENS	MONTREAL	QC	HR1K18	OTHER CAN
MATRIX RAILWAY CORP	6000000002026	Rubber Pad 08-60-0296	Open	Commodities/Supplies	Authority Contract - Compete	8/11/2020	8/11/2020	Y	11/9/2022	108400.00	16937.50	16937.50	91462.50	2	NYS	Y	Y	1	Y	Below Dollar Threshold			69 NANCY ST	WEST BARYLON	NY	11704	USA
MATRIX RAILWAY CORP	6000000002025	SUB Marker Light; 13-42-0016	Open	Commodities/Supplies	Authority Contract - Compete	5/14/2021	5/14/2021	Y	11/5/2021	261657.50	141862.50	141862.50	119795.00	2	NYS	N	Y	2	Y	Discretionary Procurement			69 NANCY ST	WEST BARYLON	NY	11704	USA
MATRIX RAILWAY CORP	6000000002023	MVBE SD008 only SubLight Ma	Completed	Commodities/Supplies	Authority Contract - Compete	3/14/2019	3/14/2019	Y	1/15/2020	201500.00	45500.00	201500.00	0.00	2	NYS	N	Y	2	Y	Discretionary Procurement			69 NANCY ST	WEST BARYLON	NY	11704	USA
MCCOYS UPHOLSTERY GROUP CORP	6000000002026	BUS CLEAR VINYL SAFETY SHIELDS	Open	Commodities/Supplies	Authority Contract - Non-Com	8/14/2020	8/14/2020	Y	8/31/2020	99780.00	99780.00	99780.00	0.00	1	NYS	N	Y	0	Y	Emergency or Critical Need			69-14 49TH AVE	WOODSIDE	NY	11377	USA
MCCULLOCH RAIL	6000000002026	TRK 3 Year McCulloch Rail Pk	Open	Commodities/Supplies	Authority Contract - Compete	5/29/2020	5/29/2020	Y	5/29/2023	160000.00	0.00	0.00	160000.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			CRAIGIEMAINS, MAIN ST	BALLANTRAE, UNITED KINGDOM	CA2620NB	OTHER GBR	
MCCULLOCH RAIL	6000000002024	TRK TR1 JIBS	Completed	Commodities/Supplies	Authority Contract - Compete	11/26/2019	11/26/2019	Y	11/29/2019	57037.48	57037.48	57037.48	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			CRAIGIEMAINS, MAIN ST	BALLANTRAE, UNITED KINGDOM	CA2620NB	OTHER GBR	
MCCULLOCH RAIL	6000000002022	Service Truck Rail Transporter	Open	Other	Authority Contract - Non-Com	12/23/2020	12/23/2020	Y	12/22/2023	123120.00	0.00	0.00	123120.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			CRAIGIEMAINS, MAIN ST	BALLANTRAE, UNITED KINGDOM	CA2620NB	OTHER GBR	
MCCULLOCH RAIL	6000000002024	HOW U-REZ SR42 TR SPARE P	Completed	Commodities/Supplies	Authority Contract - Compete	8/7/2019	8/7/2019	Y	8/7/2019	27493.27	27493.27	27493.27	0.00	1	Foreign	N	N	0	Y	Below Dollar Threshold			CRAIGIEMAINS, MAIN ST	BALLANTRAE, UNITED KINGDOM	CA2620NB	OTHER GBR	
MELIOR SCIENTIFIC INC	6000000002021	320 Air Bore DR. STE 100	Open	Other Professional Serv	Authority Contract - Non-Com	8/30/2018	10/32/2018	Y	4/1/2021	298000.00	86681.00	119966.44	73338.86	1	NYS	N	0	Y	Sole Source			1205 HOMESTEAD RD	BROOKLYN, CO	MD	20754	USA	
MENARD INDUSTRIES LLC	6000000002025	MON FRK001-46-113 39 DRILL	Open	Commodities/Supplies	Authority Contract - Compete	3/26/2020	3/26/2020	Y	3/25/2021	43732.80	0.00	0.00	43732.80	4	Foreign	N	Y	0	N				1205 HOMESTEAD RD	BROOKLYN, TX	77550	USA	
MERCURY PAINT CORP	6000000002023	STA Paint Silver White 39	Open	Commodities/Supplies	Authority Contract - Non-Com	4/23/2019	4/23/2019	Y	4/24/2020	47550.00	3487.00	35187.00	43003.00	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000001918	DCE Black gloss in one gallon	Open	Commodities/Supplies	Authority Contract - Non-Com	1/18/2018	1/19/2018	Y	1/20/2020	25750.00	5150.00	15501.50	10784.50	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002028	STA Paint Red Preservative	Completed	Commodities/Supplies	Authority Contract - Non-Com	4/4/2018	4/6/2018	Y	4/6/2020	75900.00	13206.60	58025.55	12748.45	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002021	DCE Paint Alkyd Battleship	Open	Commodities/Supplies	Authority Contract - Non-Com	8/10/2018	8/14/2018	Y	8/14/2020	48640.00	14896.00	39976.00	8664.00	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002027	DCE Paint enamel alkyd glo	Open	Commodities/Supplies	Authority Contract - Compete	11/6/2020	11/19/2020	Y	11/8/2021	35520.00	4800.00	4800.00	30720.00	2	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002024	SUB Paint Latex Black Gloss	Open	Commodities/Supplies	Authority Contract - Non-Com	7/12/2019	7/12/2019	Y	7/14/2021	43960.00	26376.00	26376.00	17584.00	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002048	Industrial Calcium chloride	Open	Commodities/Supplies	Authority Contract - Compete	9/20/2019	9/20/2019	Y	9/17/2021	22836.00	34800.00	96062.50	126773.50	5	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002025	All. Gon solvent Cleaner	Completed	Commodities/Supplies	Authority Contract - Compete	11/15/2020	11/15/2020	Y	1/16/2020	16427.40	16427.40	16427.40	0.00	3	NYS	N	Y	2	Y	Below Dollar Threshold			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA	
MERCURY PAINT CORP	6000000002024	STA Paint latex coat/Traff	Open	Commodities/Supplies	Authority Contract - Non-Com	8/20/2019	8/21/2019	Y	8/20/2020	83944.80	29333.52	29333.52	54611.28	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002021	DCE Paint alkyd yellow glo	Open	Commodities/Supplies	Authority Contract - Non-Com	7/2/2018	7/3/2018	Y	7/3/2020	23550.00	5899.00	17584.00	9966.00	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002023	MVBE SD008 Small Lacker Inps	Open	Commodities/Supplies	Authority Contract - Non-Com	5/8/2018	5/8/2018	Y	5/12/2020	99904.00	19951.60	33344.80	26579.20	7	NYS	N	0	Y	Discretionary Procurement			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
MERCURY PAINT CORP	6000000002023	SP Resistor Traffic Yellow In	Completed	Commodities/Supplies	Authority Contract - Non-Com	4/19/2018	4/11/2019	Y	4/10/2020	99370.00	60145.00	99006.44	73338.86	1	NYS	N	0	Y	Sole Source			4808 FARRAGUT RD	BROOKLYN, NY	11203	USA		
HERSEN CANADA DN LTD	6000000002026	Brushholder Assy 12-46-0062	Open	Commodities/Supplies	Authority Contract - Compete	5/4/2020	5/4/2020	Y	8/4/2022	32225.00	6767.25	6767.25	25457.75	2	Foreign	N	Y	0	Y	Below Dollar Threshold			225 HARWOOD BLVD.	VAUGHAN-ONTARIO	ONT	J7V 1Y3	OTHER CAN
HERSEN CANADA DN LTD	6000000002027	Brushholder Assy 12-46-0062	Open	Consulting Services	Authority Contract - Compete	11/18/2020	2/18/2020	Y	2/17/2021	39150.00	0.00	0.00	39150.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			225 HARWOOD BLVD.	VAUGHAN-ONTARIO	ONT	J7V 1Y3	OTHER CAN
HERSEN USA PTT CORP	6000000002028	Sub Brush Spring 1260-0086	Open	Commodities/Supplies	Authority Contract - Compete	7/24/2020	7/24/2020	Y	7/29/2020	16575.00	16575.00	16575.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold			400 MYRTLE AVE	BOONTON, NJ	7005	USA	
HERSEN USA PTT CORP	6000000002033	Sub Ground Brush Replacement	Open	Commodities/Supplies	Authority Contract - Compete	5/10/2019	5/10/2019	Y	6/19/2020	39760.00	14200.00	39760.00	0.00	1	Foreign	N	0	Y	Stock/QL All vnd/Mnt/Inf			400 MYRTLE AVE	BOONTON, NJ	7005	USA		
HERSEN USA PTT CORP	6000000002023	Sub Carbon Brush 12-66-0061	Open	Commodities/Supplies	Authority Contract - Compete	5/10/2019	5/10/2019	Y	8/29/2020	23555.60	152772.00	23555.60	0.00	1	Foreign	N	0	Y	Stock/QL All vnd/Mnt/Inf			400 MYRTLE AVE	BOONTON, NJ	7005	USA		
HERSEN USA PTT CORP	6000000002025	Carbon Brush 12-46-0067	Open	Commodities/Supplies	Authority Contract - Compete	11/22/2019	11/22/2019	Y	2/18/2022	78000.00	233056.20	233056.20	546943.80	6	Foreign	N	Y	0	Y	Emergency or Critical Need			400 MYRTLE AVE	BOONTON, NJ	7005	USA	
HERSEN USA PTT CORP	6000000002027	Sub Carbon brush 12-62-2061	Open	Commodities/Supplies	Authority Contract - Compete	11/4/2020	11/4/2020	Y	2/3/2023	335650.00	0.00	0.00	335650.00	6	Foreign	N	Y	0	Y	Below Dollar Threshold			400 MYRTLE AVE	BOONTON, NJ	7005	USA	
HERSEN USA PTT CORP	6000000002027	Sub Brush Spring 12-62-2060	Open	Commodities/Supplies	Authority Contract - Compete	11/17/2020	11/17/2020	Y	2/16/2023	53010.00	0.00	0.00	53010.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold			400 MYRTLE AVE	BOONTON, NJ	7005</		

NFI PARTS	60000000021	BUS Surge Tank	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/18/2018	7/18/2018	Y	10/17/2020	55098.00	14325.48	49588.20	55098.00	1 Foreign	N	N	0	Y	Sole Source		55098.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000021	BUS KIT HARNESS ASSEMBLY REP	Completed	Consulting Services	Authority Contract - Compet	8/6/2018	8/6/2018	Y	11/5/2019	59029.20	9444.72	49584.78	9444.72	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000023	BUS LeVing Valve Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/1/2019	2/1/2019	Y	5/1/2020	45117.00	14537.70	27571.50	17454.50	1 Foreign	N	N	0	Y	Sole Source		45117.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	BUS Right Side Lower Wheelcra	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/27/2020	10/27/2020	Y	1/26/2023	36907.20	14762.88	14762.88	22144.32	1 Foreign	N	N	0	Y	Sole Source		36907.20	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000022	BUS Module	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/20/2018	12/20/2018	Y	1/4/2021	79800.00	14896.00	23400.00	56320.00	1 Foreign	N	N	0	Y	Sole Source		79800.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000024	BUS HINGE RAMP 15 MONTH SOLE	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/10/2019	10/10/2019	Y	1/8/2021	75800.00	15160.00	15160.00	60640.00	1 Foreign	N	N	0	Y	Sole Source		75800.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000025	BUS Radiator AND CAC	Completed	Commodities/Supplies	Authority Contract - Compet	10/14/2019	10/14/2019	Y	10/21/2019	16063.05	16063.05	16063.05	0.00	1 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000026	BUS Seal Assembly 3 AND Oil Cool	Open	Commodities/Supplies	Authority Contract - Compet	8/1/2018	8/1/2018	Y	10/31/2020	17000.00	17000.00	17000.00	5341.35	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	Bus sole source filter assemb	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/19/2018	3/19/2018	Y	6/18/2020	76516.50	15811.00	49656.40	4660.10	1 Foreign	N	N	0	Y	Sole Source		76516.50	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000018	BUS Valve Assembly	Completed	Commodities/Supplies	Authority Contract - Non-Comp	8/21/2017	8/21/2017	Y	11/20/2019	69947.50	13989.50	42967.75	26979.75	1 Foreign	N	N	0	Y	Sole Source		69947.50	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000023	Valve Door Control S Position	Open	Commodities/Supplies	Authority Contract - Compet	5/9/2019	5/9/2019	Y	6/9/2021	43296.94	15918.00	33109.44	10187.52	4 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000024	Steering Gear Pitman Arm	Completed	Commodities/Supplies	Authority Contract - Non-Comp	5/20/2019	5/20/2019	Y	12/9/2019	79776.50	15955.30	36697.19	43079.31	1 Foreign	N	N	0	Y	Sole Source		79776.50	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000025	BUS MCI Onion Parts	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/28/2020	2/28/2020	Y	5/27/2022	80971.00	16194.20	16194.20	64776.80	1 Foreign	N	N	0	Y	Sole Source		80971.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000025	BUS Sensor	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/15/2019	11/15/2019	Y	3/17/2022	86665.60	16270.24	16270.24	70395.36	1 Foreign	N	N	0	Y	Sole Source		86665.60	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000020	camshaft s-cam right front	Open	Commodities/Supplies	Authority Contract - Compet	3/22/2018	3/22/2018	Y	6/21/2020	51729.60	15916.60	44500.72	7228.88	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	APOP Bus BLADE ASSEMBLY WIN	Open	Commodities/Supplies	Authority Contract - Compet	12/7/2020	12/7/2020	Y	3/6/2023	134332.00	0.00	0.00	134332.00	4 Foreign	N	N	0	Y	Sole Source			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	Bus Front Brake Drum	Open	Commodities/Supplies	Authority Contract - Compet	10/30/2020	10/30/2020	Y	1/29/2022	127948.80	0.00	0.00	127948.80	8 Foreign	N	N	2	N				3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000023	Bus 97-85-0198 Caliper Assemb	Open	Commodities/Supplies	Authority Contract - Compet	5/1/2019	5/1/2019	Y	7/30/2021	61200.00	30600.00	30600.00	30600.00	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000022	Bus Door Assembly Painted Win	Completed	Commodities/Supplies	Authority Contract - Non-Comp	12/12/2018	12/12/2018	Y	3/12/2020	110870.76	17057.04	34114.08	76756.68	2 Foreign	N	N	0	Y	Sole Source		110870.76	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	BUS Radiator, CAC AND Oil Cool	Open	Commodities/Supplies	Authority Contract - Compet	11/3/2020	11/3/2020	Y	2/2/2022	120834.00	0.00	0.00	120834.00	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	Door front and rear sales break	Open	Commodities/Supplies	Authority Contract - Compet	10/8/2020	10/8/2020	Y	1/7/2022	279250.00	9717.90	9717.90	269532.10	2 Foreign	N	N	0	Y	Sole Source			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000023	Bus 91-39-7987 DOOR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/5/2019	2/5/2019	Y	5/4/2021	68902.00	15022.80	34451.00	34451.00	1 Foreign	N	N	0	Y	Sole Source		68902.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000020	Transducer Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/3/2018	4/3/2018	Y	7/2/2020	108985.30	13821.80	84623.88	24361.42	1 Foreign	N	N	0	Y	Sole Source		108985.30	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000025	Bus Valve Assembly 27 M SS	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/28/2020	2/28/2020	Y	5/27/2022	49765.80	10664.10	10664.10	39101.70	1 Foreign	N	N	0	Y	Sole Source		49765.80	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000028	BUS New Flyer Parts	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/2/2020	9/2/2020	Y	12/1/2021	56995.90	10960.75	10960.75	46035.15	1 Foreign	N	N	0	Y	Sole Source		56995.90	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000023	BUS Cable	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/8/2019	4/8/2019	Y	7/7/2020	43851.00	10962.75	32888.25	10962.75	1 Foreign	N	N	0	Y	Sole Source		43851.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000028	BUS STREETSIDE BUMPER	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/6/2020	10/6/2020	Y	1/5/2022	47226.00	11112.00	11112.00	36114.00	1 Foreign	N	N	0	Y	Sole Source		47226.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000028	BUS Valve Small APOP	Open	Commodities/Supplies	Authority Contract - Compet	5/3/2018	5/3/2018	Y	8/2/2020	59000.00	27895.20	57513.20	1486.80	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000024	BUS SEAL SHAFT REAR AXLE IN	Open	Commodities/Supplies	Authority Contract - Compet	8/27/2019	8/27/2019	Y	11/6/2020	21990.15	16915.50	16915.50	5074.65	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000027	BUS Radiator CAC AND Oil Cool	Open	Commodities/Supplies	Authority Contract - Compet	11/3/2020	11/3/2020	Y	2/2/2022	246236.00	0.00	0.00	246236.00	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000021	APOP Bus Glass Upper Assemb	Open	Commodities/Supplies	Authority Contract - Compet	9/13/2018	9/13/2018	Y	12/11/2020	51221.50	24772.58	42281.02	8940.98	19 Foreign	N	N	2	N				3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000023	BUS NF Orion Parts	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/13/2019	5/13/2019	Y	8/14/2021	38131.80	11984.28	25098.04	13073.76	2 Foreign	N	N	0	Y	Sole Source		38131.80	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000028	Bus Seat Assembly 3 Passenger	Completed	Commodities/Supplies	Authority Contract - Non-Comp	9/28/2018	9/28/2018	Y	12/28/2019	35983.80	8936.00	39983.80	0.00	2 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000028	Bus Valve Assembly SS 37M APOP	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/12/2018	6/12/2018	Y	9/16/2020	84805.00	12115.00	12115.00	72690.00	1 Foreign	N	N	0	Y	Sole Source		84805.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000024	DOOR Radius Rod Upper Rear	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/8/2018	10/8/2018	Y	1/7/2021	57200.00	29515.00	39897.00	17303.00	5 Foreign	N	N	1	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000022	APOP Bus Door Assembly Curb	Completed	Commodities/Supplies	Authority Contract - Non-Comp	12/24/2018	12/24/2018	Y	3/23/2020	164219.88	12440.90	102015.38	62204.50	1 Foreign	N	N	0	Y	Sole Source		164219.88	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000024	Bus Door Assembly Battery Coo	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/23/2019	9/23/2019	Y	12/23/2020	47761.20	10613.60	10613.60	37147.60	1 Foreign	N	N	0	Y	Sole Source		47761.20	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000024	DOB 97-88-5108 POWER PACK UNI	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/25/2019	7/25/2019	Y	8/14/2020	126018.00	7561.08	7561.08	118465.92	1 Foreign	N	N	0	Y	Sole Source		126018.00	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000026	Bus 91-39-7375 PANEL IMPACT	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/22/2020	6/22/2020	Y	9/21/2022	109792.80	13215.80	13215.80	96577.00	3 Foreign	N	N	0	Y	Below Dollar Threshold			3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015			USA
NFI PARTS	60000000021	Bus New Flyer 27300 EQ APOP	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/1/2018	5/1/2018	Y	7/31/2020	115896.00	13328.04	102761.1																	

NFI PARTS	60000000024	BUS Sensor Assembly Kit	Open	Commodities/Supplies	Authority Contract - Compet	7/16/2019	7/16/2019	Y	10/15/2020	62659.50	40644.00	40644.00	6300.50	2	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	APOP Bus Glass Exit Door N	Open	Commodities/Supplies	Authority Contract - Non-Com	9/27/2019	9/27/2019	Y	12/24/2021	133056.00	56031.36	61944.96	71111.04	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	BUS FRAME SWIVEL ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	8/1/2019	8/1/2019	Y	10/31/2020	29522.50	12652.50	17171.25	12351.25	2	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	FILTER ELEMENT	Open	Commodities/Supplies	Authority Contract - Non-Com	11/6/2019	11/6/2019	Y	2/5/2022	134113.20	23641.75	23641.75	110471.45	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000025	DOB 97-80-2004 GEAR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Com	12/9/2019	12/29/2019	Y	8/19/2020	43079.31	25528.48	25528.48	17508.83	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	Door Assembly C 4	Open	Commodities/Supplies	Authority Contract - Non-Com	7/3/2019	7/3/2019	Y	10/3/2020	70655.20	52991.40	52991.40	17663.80	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	RT Brake Linking Hardware	Open	Commodities/Supplies	Authority Contract - Non-Com	2/4/2019	2/4/2019	Y	5/3/2021	84955.20	25468.56	56025.60	28842.60	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS FRAME SWIVEL ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	10/13/2020	10/13/2020	Y	11/12/2021	30228.00	0.00	0.00	30228.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	APOP Bus Door Assembly Fusb	Open	Commodities/Supplies	Authority Contract - Non-Com	1/16/2020	1/16/2020	Y	4/15/2022	118802.40	27360.48	27360.48	95491.92	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS Valve Assembly Solemod	Completed	Commodities/Supplies	Authority Contract - Compet	1/2/2018	12/2/2018	Y	4/3/2019	156147.00	10319.38	11249.08	44897.92	2	Foreign	N	Y	0	N		3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	Sash Assembly	Open	Commodities/Supplies	Authority Contract - Non-Com	7/19/2019	7/19/2019	Y	10/19/2020	91962.51	23990.22	63973.92	27988.59	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	pump hydraulic	Completed	Commodities/Supplies	Authority Contract - Compet	11/21/2018	11/21/2018	Y	2/20/2020	146847.50	39942.52	130405.58	16446.92	2	Foreign	N	Y	0	N		3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS BUSHING FRONT RADIOUS ROD	Open	Commodities/Supplies	Authority Contract - Non-Com	2/8/2019	2/8/2019	Y	5/8/2020	55938.30	25235.30	40631.80	15306.50	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000021	bus ball joint assembly	Open	Commodities/Supplies	Authority Contract - Compet	6/20/2018	6/20/2018	Y	9/18/2020	99948.60	39738.60	98343.60	1605.60	4	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000026	BUS NF And Orion Parts	Open	Commodities/Supplies	Authority Contract - Non-Com	4/17/2020	4/17/2020	Y	7/16/2021	257146.00	42858.00	42858.00	214290.00	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	Modified Switch-Dimmer	Open	Commodities/Supplies	Authority Contract - Non-Com	3/26/2019	3/26/2019	Y	6/25/2021	71991.90	23646.12	32776.80	39215.10	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	Bus Valve Assembly Kneering	Open	Commodities/Supplies	Authority Contract - Non-Com	6/10/2019	6/10/2019	Y	9/7/2020	50674.80	29560.30	42229.00	8445.80	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000021	BUS Radiator	Completed	Commodities/Supplies	Authority Contract - Non-Com	7/13/2018	7/13/2018	Y	10/12/2019	365738.40	32510.08	357610.88	8127.52	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000022	BUS SEAL INNER REAR WHEEL HUB	Completed	Commodities/Supplies	Authority Contract - Compet	1/16/2019	1/16/2019	Y	4/15/2020	40307.40	15099.28	40243.42	63.98	2	Foreign	N	Y	0	N		3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000022	BUS RADIOUS ROD REAR AXLE LIO	Completed	Commodities/Supplies	Authority Contract - Non-Com	2/8/2019	2/8/2019	Y	5/18/2020	99782.92	31778.00	69276.04	30506.88	1	Foreign	N	Y	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	Bus Actuator Assembly Housin	Open	Commodities/Supplies	Authority Contract - Non-Com	3/26/2020	3/26/2020	Y	3/18/2021	99903.00	31552.88	31552.88	68323.12	1	Foreign	N	Y	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS Power Unit Assembly	Open	Commodities/Supplies	Authority Contract - Compet	3/16/2020	3/16/2020	Y	6/15/2021	44729.00	0.00	0.00	44729.00	1	Foreign	N	Y	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS Valve Assembly Solemod	Open	Commodities/Supplies	Authority Contract - Non-Com	11/25/2019	11/25/2019	Y	2/24/2022	43210.50	14691.57	14691.57	28518.93	3	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000020	BUS Valve Assembly Solemod	Open	Commodities/Supplies	Authority Contract - Non-Com	4/13/2018	4/13/2018	Y	7/12/2020	122445.90	31189.05	107659.98	14785.92	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	Bus 91-39-2505 PUMP ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Com	8/28/2019	8/28/2019	Y	11/27/2021	87147.00	45373.50	53159.67	33987.33	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS NF Orion Parts	Open	Commodities/Supplies	Authority Contract - Non-Com	5/13/2019	5/13/2019	Y	8/12/2021	103190.15	29482.90	29482.90	73707.25	1	Foreign	N	Y	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS TANK ASSEMBLY - SOLE SOURC	Open	Commodities/Supplies	Authority Contract - Non-Com	2/5/2020	2/5/2020	Y	5/4/2021	82904.50	33161.80	33161.80	49742.70	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000020	BUS Tank Fuel Wadment Sole S	Open	Commodities/Supplies	Authority Contract - Non-Com	4/16/2018	4/16/2018	Y	7/13/2020	53370.72	31132.92	40028.04	13246.68	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS DOOR ASSEMBLY EXTERIOR F	Open	Commodities/Supplies	Authority Contract - Non-Com	3/29/2019	3/29/2019	Y	6/28/2020	69840.00	29682.00	69840.00	0.00	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000025	Bus Hydraulic Pump Assembly	Open	Commodities/Supplies	Authority Contract - Compet	10/30/2019	10/30/2019	Y	1/29/2021	44421.00	37017.50	37017.50	7403.50	1	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	BUS Cylinder	Open	Commodities/Supplies	Authority Contract - Non-Com	7/25/2019	7/25/2019	Y	10/24/2020	29990.00	29990.00	29990.00	0.00	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	Max Axle ABS Brakes Sensor	Open	Commodities/Supplies	Authority Contract - Non-Com	1/23/2019	1/23/2019	Y	4/22/2021	57563.25	30210.50	48581.75	8991.50	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000022	BUS Valve Assembly SS APOP	Open	Commodities/Supplies	Authority Contract - Non-Com	12/10/2018	12/10/2018	Y	3/9/2021	93913.80	30754.80	57461.60	34942.00	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	Power Shift	Open	Commodities/Supplies	Authority Contract - Non-Com	8/18/2020	8/18/2020	Y	3/12/2021	93208.16	39484.32	39484.32	53723.84	1	Foreign	N	Y	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	Bus Door Assembly Side Engin	Open	Commodities/Supplies	Authority Contract - Non-Com	11/2/2018	11/2/2018	Y	1/2/2021	214687.00	29275.50	75140.45	139545.55	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	bus sole source gear box ass	Open	Commodities/Supplies	Authority Contract - Non-Com	2/4/2019	2/4/2019	Y	5/3/2021	124003.68	38751.15	90419.35	33584.33	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000026	BUS Orion Parts	Open	Commodities/Supplies	Authority Contract - Compet	9/3/2020	9/3/2020	Y	12/2/2022	584620.00	42677.26	42677.26	541924.74	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS Module	Open	Commodities/Supplies	Authority Contract - Non-Com	11/20/2019	11/20/2019	Y	2/19/2022	115506.50	38728.65	38728.65	76777.85	3	Foreign	N	Y	0	Y	Below Dollar Threshold	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000024	Bus Center Link Assembly	Open	Commodities/Supplies	Authority Contract - Compet	7/16/2019	7/16/2019	Y	10/15/2021	95576.00	38708.28	38708.28	56867.72	3	Foreign	N	Y	0	N		3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	BUS NF Orion Parts	Open	Commodities/Supplies	Authority Contract - Non-Com	5/13/2019	5/13/2019	Y	8/12/2021	87094.20	40643.96	40643.96	46450.24	1	Foreign	N	N	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000023	APOP Bus Bracket Assembly Carb	Open	Commodities/Supplies	Authority Contract - Non-Com	4/16/2019	4/16/2019	Y	7/15/2020	93275.00	38615.85	85253.35	8021.65	4	Foreign	N	Y	0	Y	Sole Source	3229 SAWMILL PARKWAY	3229 SAWMILL	DELAWARE	OH	43015		USA
NFI PARTS	60000000025	Sub Connecting Rod And Cap Assy	Open	Commodities/Supplies	Authority Contract - Compet	2/5/2020	2/5/2020	Y	5/4/2022	639																	

NYC SUPPLY COMPANY LLC	60000000020021	MWBE SDVOB Only Cleaner for	Completed	Commodities/Supplies	Authority Contract - Compes	2/12/2018	2/13/2018	Y	2/13/2020	22528.00	5632.00	16896.00	7	NYS	N	Y	7	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020024	MWBE SDVOB Only -Paint water	Open	Commodities/Supplies	Authority Contract - Compes	6/17/2019	6/24/2019	Y	6/24/2020	127000.00	22225.00	41275.00	2	NYS	N	Y	4	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020026	MWBE SDVOB Only-Paint Acrylic	Open	Commodities/Supplies	Authority Contract - Compes	4/6/2020	4/13/2020	Y	4/13/2021	37980.00	1899.00	37800.00	4	NYS	N	Y	4	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020028	MWBE SDVOB Only -Paint water	Open	Commodities/Supplies	Authority Contract - Compes	6/24/2020	6/25/2020	Y	6/25/2021	44220.00	1980.00	1980.00	4	NYS	N	Y	3	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020029	MWBE SDVOB Only/Finishes/W	Open	Consulting Services	Authority Contract - Compes	5/20/2020	5/21/2020	Y	5/20/2021	33600.00	1680.00	1680.00	5	NYS	N	Y	4	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020030	MWBE SDVOB Only-Paint Acrylic	Completed	Commodities/Supplies	Authority Contract - Compes	4/6/2019	4/9/2019	Y	4/9/2020	54975.00	5497.50	14293.50	5	NYS	N	Y	2	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020032	MWBE SDVOB Only Paint color	Open	Commodities/Supplies	Authority Contract - Compes	2/13/2019	2/26/2019	Y	2/26/2020	18750.00	3750.00	13697.50	3	NYS	N	Y	3	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020034	MWBE SDVOB Only-Paint Acrylic	Open	Commodities/Supplies	Authority Contract - Compes	6/21/2019	7/15/2019	Y	7/16/2020	94000.00	19176.00	19176.00	3	NYS	N	Y	1	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020036	MWBE SDVOB Only Black Red Ph	Open	Commodities/Supplies	Authority Contract - Compes	8/26/2020	9/27/2020	Y	5/26/2021	41450.00	7253.75	7253.75	5	NYS	N	Y	5	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020037	MWBE SDVOB Only Paint Red Ph	Open	Commodities/Supplies	Authority Contract - Compes	1/3/2020	1/21/2020	Y	1/20/2021	29990.00	3748.75	3748.75	26241.25	5	NYS	N	Y	4	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA
NYC SUPPLY COMPANY LLC	60000000020038	MWBE SDVOB Only-Paint Alkyd	Open	Commodities/Supplies	Authority Contract - Compes	8/3/2020	8/4/2020	Y	8/3/2021	19687.50	7000.00	7000.00	12687.50	3	NYS	N	Y	3	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA
NYC SUPPLY COMPANY LLC	60000000020040	MWBE SDVOB Only-Paint Acrylic	Open	Commodities/Supplies	Authority Contract - Compes	6/21/2019	7/15/2019	Y	7/16/2020	206800.00	56400.00	97760.00	109040.00	3	NYS	N	Y	3	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA
NYC SUPPLY COMPANY LLC	60000000020041	MWBE SDVOB Only Primer and Co	Open	Commodities/Supplies	Authority Contract - Compes	11/2/2020	11/3/2020	Y	2/2/2021	27345.60	0.00	0.00	27345.60	2	NYS	N	Y	2	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA
NYC SUPPLY COMPANY LLC	60000000020042	MWBE SDVOB Only Paint Color	Open	Commodities/Supplies	Authority Contract - Compes	10/24/2019	10/28/2019	Y	10/28/2020	25550.00	7665.00	7665.00	2	NYS	N	Y	2	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYC SUPPLY COMPANY LLC	60000000020043	MWBE SDVOB Only-Paint Acrylic	Open	Commodities/Supplies	Authority Contract - Compes	4/10/2019	4/11/2019	Y	4/10/2020	21900.00	44419.00	5431.30	16558.70	2	NYS	N	Y	2	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA
NYC SUPPLY COMPANY LLC	60000000020044	MWBE SDVOB Only Paint oil ba	Open	Commodities/Supplies	Authority Contract - Compes	2/13/2019	2/26/2019	Y	2/26/2020	19162.50	7300.00	17375.50	1825.00	3	NYS	N	Y	3	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA
NYC SUPPLY COMPANY LLC	60000000020045	MWBE SDVOB Only PO 3067 de-ic	Open	Commodities/Supplies	Authority Contract - Compes	3/30/2020	3/30/2020	Y	5/20/2021	63294.00	63294.00	63294.00	0.00	5	NYS	Y	5	Y	Discretionary Procurement	370 FIRST AVE SUITE MC	NEW YORK	NY	10010		USA	
NYS INDUSTRIES FOR THE DISABLED IN	60000000000195	Sub Mat spill oil absorbent	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					4525.00					NYS	Vendor				11 COLUMBIA CIRCLE DRIVE	ALBANY	NY	12203		USA	
NYS INDUSTRIES FOR THE DISABLED IN	60000000000202	DOB Pad absorbent universal	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					40735.20					NYS	Vendor				11 COLUMBIA CIRCLE DRIVE	ALBANY	NY	12203		USA	
NYS INDUSTRIES FOR THE DISABLED IN	60000000000203	699659886/69687/ 69761/ 69762/	Open	Other	Authority Contract - Compes	5/22/2020	5/22/2020	Y	12/31/2021	23309000.00	4347278.94	#####	#####	12	NYS	N	Y	2	Y	Emergency or Critical Need	11 COLUMBIA CIRCLE DRIVE	ALBANY	NY	12203		USA
NYS INDUSTRIES FOR THE DISABLED IN	60000000000204	Sub Mat 36 x 36 barrier spill	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					32026.25					NYS	Vendor				11 COLUMBIA CIRCLE DRIVE	ALBANY	NY	12203		USA	
NYS INDUSTRIES FOR THE DISABLED IN	60000000000205	DOB Mat all absorbents	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					29366.00					NYS	Vendor				11 COLUMBIA CIRCLE DRIVE	CANTON	NY	12203		USA	
OHIO GRATING, INC.	60000000020025	NF Heavy Vent Gratings With	Open	Commodities/Supplies	Authority Contract - Compes	1/17/2020	1/17/2020	Y	1/16/2021	2485780.00	874783.71	874783.71	1610996.29	3	Foreign	N	Y	0	N		52799 SOUTHWAY ST SW	ALBANY	OH	44706		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020024	MWBE SDVOB Only overall size	Open	Commodities/Supplies	Authority Contract - Compes	8/7/2019	8/7/2019	Y	8/6/2021	149100.00	39760.00	49700.00	99400.00	8	Foreign	Y	Y	6	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020025	MWBE SDVOB Only respirator s	Open	Commodities/Supplies	Authority Contract - Compes	2/5/2020	4/26/2020	Y	4/25/2021	115084.80	0.00	0.00	115084.80	13	Foreign	Y	Y	11	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020026	MWBE SDVOB Only gloves work	Open	Commodities/Supplies	Authority Contract - Compes	5/8/2020	5/8/2020	Y	5/15/2021	320096.40	64019.28	64019.28	256077.12	16	Foreign	Y	Y	14	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020025	MWBE SDVOB Only respirator s	Open	Commodities/Supplies	Authority Contract - Compes	2/18/2020	2/18/2020	Y	2/17/2021	791910.00	229821.50	229821.50	562088.50	12	Foreign	Y	Y	7	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000019195	MWBE SDVOB Only Flashlight	Completed	Commodities/Supplies	Authority Contract - Compes	1/24/2018	1/24/2018	Y	4/23/2019	105927.00	1897.20	14097.25	91829.75	5	Foreign	Y	Y	5	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020023	MWBE SDVOB Only Flashlights	Open	Commodities/Supplies	Authority Contract - Compes	5/2/2019	5/2/2019	Y	8/1/2021	186037.20	33926.40	7646.00	109591.20	5	Foreign	Y	Y	5	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020021	MWBE SDVOB Only overall for	Open	Commodities/Supplies	Authority Contract - Compes	6/19/2018	8/11/2018	Y	8/10/2020	395200.00	137180.00	197980.00	197220.00	10	Foreign	Y	Y	10	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020020	MWBE SDVOB Only gloves light	Completed	Commodities/Supplies	Authority Contract - Compes	2/14/2018	2/15/2018	Y	2/14/2020	61363.20	7535.04	37675.20	23688.00	4	Foreign	Y	Y	4	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020021	MWBE SDVOB Only overall for	Open	Commodities/Supplies	Authority Contract - Compes	6/19/2018	8/11/2018	Y	8/10/2020	111600.00	42082.50	60862.50	50917.50	10	Foreign	Y	N	10	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020022	MWBE SDVOB only gloves leather	Open	Commodities/Supplies	Authority Contract - Compes	12/21/2018	11/26/2019	Y	11/15/2021	130394.88	36203.80	79665.76	50709.12	5	Foreign	Y	N	4	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020023	MWBE SDVOB Only overall for	Completed	Commodities/Supplies	Authority Contract - Compes	4/2/2018	4/2/2018	Y	3/6/2020	39954.00	79274.00	39954.00	0.00	12	Foreign	Y	N	11	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020024	MWBE SDVOB Only respirator s	Open	Commodities/Supplies	Authority Contract - Compes	5/15/2020	5/15/2020	Y	5/14/2021	164934.00	27832.00	27832.00	137102.00	8	Foreign	Y	Y	7	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020021	MWBE SDVOB Only overall for	Open	Commodities/Supplies	Authority Contract - Compes	6/19/2018	8/11/2018	Y	8/10/2020	388800.00	94950.00	159750.00	229500.00	10	Foreign	Y	Y	10	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020023	MWBE SDVOB Only guard shin	Open	Commodities/Supplies	Authority Contract - Compes	2/4/2019	4/10/2019	Y	4/9/2021	49612.50	13230.00	44100.00	55125.00	9	Foreign	Y	Y	9	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020024	MWBE SDVOB Only hand respira	Open	Commodities/Supplies	Authority Contract - Compes	9/17/2019	12/11/2019	Y	12/10/2021	48960.00	16320.00	16320.00	32640.00	9	Foreign	Y	Y	8	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020023	MWBE SDVOB Only respirator s	Completed	Commodities/Supplies	Authority Contract - Compes	2/4/2019	2/4/2019	Y	2/3/2020	39955.00	65992.50	39955.00	0.00	11	Foreign	Y	Y	9	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020021	MWBE SDVOB Only gloves a/c	Open	Commodities/Supplies	Authority Contract - Compes	8/22/2018	8/22/2018	Y	8/21/2020	155040.00	58222.08	102216.96	52823.04	6	Foreign	Y	Y	6	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020024	MWBE SDVOB Only spectacle ey	Open	Commodities/Supplies	Authority Contract - Compes	8/19/2019	8/19/2019	Y	8/18/2021	113400.00	63292.32	88492.32	24907.68	8	Foreign	Y	Y	7	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020023	MWBE SDVOB Only gloves a/c	Open	Commodities/Supplies	Authority Contract - Compes	12/23/2020	12/23/2020	Y	12/22/2021	20375.68	0.00	0.00	20375.68	9	Foreign	Y	Y	7	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ	07407		USA
OLYMPIC GLOVE AND SAFETY COMPANY	60000000020024	MWBE SDVOB Only gloves foam	Open	Commodities/Supplies	Authority Contract - Compes	9/17/2019	9/18/2019	Y	9/17/2021	20160.00	11520.00	11520.00	8640.00	11	Foreign	Y	Y	9	Y	Discretionary Procurement	75 MAIN AVE	ELMWOOD PARK	NJ			

PERMADUR INDUSTRIES INC.	600000000021	SERVICE- Diagnose And Repair Veh	Open	Other Professional Serv	Authority Contract - Compet	10/23/2018	8/8/2018	Y	8/7/2020	90480.00	10733.06	24328.06	66151.94	2 Foreign	N	Y	0	Y	Below Dollar Threshold	186 ROUTE 206 SOUTH	HILLSBOROUGH	NJ	8844		USA	
PHENIX TECHNOLOGIES	600000000025	Purchase of a Crank Breaker	Open	Commodities/Supplies	Authority Contract - Compet	12/11/2011	12/11/2019	Y	12/16/2015	7000.00	7000.00	7000.00	0.00	1 Foreign	N	Y	0	Y	Below Dollar Threshold	75 SPEICHER DRIVE	ACCIDENT	MD	2150		USA	
PHENIX ENVIRONMENTAL LABORATOR	600000000017	OSSEnvironmental Sampling	Open	Consulting Services	Authority Contract - Compet	12/30/2016	12/30/2016	Y	11/13/2022	456198.00	66436.75	296281.65	159916.35	6 Foreign	N	Y	1	N	Below Dollar Threshold	587 EAST MIDDLE TURNPIKE	MANCHESTER	CT	6200		USA	
PHENIX SALES AND SERVICE CORP.	600000000021	Gummat 12-42-3139	Open	Commodities/Supplies	Authority Contract - Compet	9/6/2018	9/6/2018	Y	12/27/2020	28350.00	6930.00	23265.00	5985.00	2 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000021	Sub Gummat 12-42-3164	Completed	Commodities/Supplies	Authority Contract - Compet	6/27/2018	6/27/2018	Y	11/15/2019	39093.00	4980.00	39093.00	0.00	2 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000021	Switch PushButtons	Completed	Commodities/Supplies	Authority Contract - Compet	12/27/2017	12/27/2017	Y	3/26/2020	50796.00	3386.40	47469.60	3386.40	1 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000022	Lev Switch 19-46-006	Open	Commodities/Supplies	Authority Contract - Compet	4/4/2019	4/4/2019	Y	7/2/2021	353160.00	174400.00	23980.00	113560.00	3 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000022	Sub Oil Flinger 13-40-0941	Open	Commodities/Supplies	Authority Contract - Compet	10/6/2018	10/6/2018	Y	9/23/2020	130625.00	5303.00	30777.00	92248.00	3 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000023	Gummat 11-43-3164	Open	Commodities/Supplies	Authority Contract - Compet	11/19/2018	11/19/2018	Y	9/24/2020	24677.00	22380.00	22380.00	11379.00	2 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000023	Light Switch 19-44-2288	Open	Consulting Services	Authority Contract - Compet	11/18/2020	11/18/2020	Y	2/17/2023	249000.00	0.00	0.00	249000.00	3 Foreign	N	Y	0	Y	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000023	Sub Oil Flinger 12-40-0040	Open	Commodities/Supplies	Authority Contract - Compet	9/21/2018	9/21/2018	Y	12/20/2020	151800.00	22770.00	58190.00	93610.00	4 Foreign	N	Y	0	N	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000031	Sub Switch Snap Action 19-40	Open	Commodities/Supplies	Authority Contract - Compet	3/1/2019	3/1/2019	Y	5/31/2021	196000.00	34300.00	71050.00	124950.00	2 Foreign	N	Y	0	N	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000032	Sub Switch snap action 19-3	Open	Commodities/Supplies	Authority Contract - Compet	10/28/2019	10/28/2019	Y	1/22/2022	387360.00	146124.00	146124.00	237636.00	2 Foreign	N	Y	1	N	Below Dollar Threshold	200 OKESSION RD	FREEHOLD	NJ	7728	8855	USA	
PHENIX SALES AND SERVICE CORP.	600000000026	ADM cartridge air purifying	Open	Commodities/Supplies	Authority Contract - Compet	7/16/2020	7/16/2020	Y	10/15/2020	140512.32	140512.32	140512.32	0.00	6 Foreign	N	Y	2	Y	Below Dollar Threshold	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	MVBE SDVOB Only gloves mecha	Open	Commodities/Supplies	Authority Contract - Compet	5/8/2019	5/8/2019	Y	5/7/2021	66724.00	23652.00	55188.00	21536.00	5 Foreign	N	Y	4	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000024	Corrosion compound	Open	Commodities/Supplies	Authority Contract - Compet	7/18/2019	7/18/2019	Y	7/16/2021	59650.56	26511.36	33139.20	26511.36	5 Foreign	N	Y	4	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000021	MVBE SDVOB Only cartridge ai	Open	Commodities/Supplies	Authority Contract - Compet	6/6/2018	6/6/2018	Y	6/5/2020	337771.08	162100.08	337771.08	0.00	10 Foreign	N	Y	8	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000022	MVBE SDVOB Only	Open	Commodities/Supplies	Authority Contract - Compet	11/19/2018	11/19/2018	Y	11/19/2020	633048.76	146045.80	305769.70	322729.06	8 Foreign	N	Y	7	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000021	MVBE SDVOB Small solvent pen	Open	Commodities/Supplies	Authority Contract - Compet	6/18/2018	6/18/2018	Y	6/17/2020	145877.76	16208.64	109987.20	33890.56	9 Foreign	N	Y	6	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000022	MVBE SDVOB Only gloves mecha	Open	Commodities/Supplies	Authority Contract - Compet	5/8/2019	5/8/2019	Y	5/7/2021	575880.00	63072.00	126149.00	315670.00	4 Foreign	N	Y	4	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	MVBE SDVOB Only cartridge of	Open	Commodities/Supplies	Authority Contract - Compet	8/27/2019	8/27/2019	Y	11/26/2021	123640.00	82666.32	82666.32	49673.68	6 Foreign	N	Y	5	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	MVBE SDVOB Only gloves mecha	Open	Commodities/Supplies	Authority Contract - Compet	5/8/2019	5/8/2019	Y	5/7/2021	102492.00	31236.00	55188.00	47304.00	4 Foreign	N	Y	5	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	MVBE SDVOB Only gloves mecha	Open	Commodities/Supplies	Authority Contract - Compet	5/8/2019	5/8/2019	Y	5/7/2021	55188.00	15768.00	27594.00	27594.00	4 Foreign	N	Y	5	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	Sanitizer hand instant	Open	Commodities/Supplies	Authority Contract - Compet	3/13/2019	3/13/2019	Y	3/12/2021	399996.00	38376.00	52152.00	347844.00	13 Foreign	N	Y	12	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000026	MVBE SDVOB Only Alternator Be	Open	Commodities/Supplies	Authority Contract - Compet	5/14/2020	5/14/2020	Y	8/13/2022	28534.00	2892.31	28923.31	2541.69	5 Foreign	N	Y	5	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	Access Cover 08-42-5071	Open	Commodities/Supplies	Authority Contract - Compet	3/29/2019	3/29/2019	Y	6/25/2021	98901.70	50289.00	98901.70	0.00	4 Foreign	N	Y	1	Y	Below Dollar Threshold	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000025	MVBE SDVOB Only 91-33-2351 PO	Open	Commodities/Supplies	Authority Contract - Compet	2/21/2020	2/21/2020	Y	5/20/2021	84844.00	48785.30	48785.30	36058.70	5 Foreign	N	Y	5	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000025	MVBE SDVOB Only cartridge on	Open	Commodities/Supplies	Authority Contract - Compet	11/5/2019	11/5/2019	Y	2/4/2021	999887.80	907542.72	907542.72	92445.08	8 Foreign	N	Y	8	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000019	MVBE SDVOB Only lubricant pe	Open	Commodities/Supplies	Authority Contract - Compet	1/29/2018	1/29/2018	Y	1/28/2020	28863.00	3207.00	23518.00	5345.00	3 Foreign	N	Y	2	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PINA M. INC.	600000000023	MVBE SDVOB Only gloves mecha	Open	Commodities/Supplies	Authority Contract - Compet	5/8/2019	5/8/2019	Y	5/7/2021	157680.00	78840.00	134038.00	23652.00	5 Foreign	N	Y	5	Y	Discretionary Procurement	16 W MAIN ST	16 W MAIN ST	FREEHOLD	NJ	7728	USA	
PITNEY BOWES, INC.	600000000019	HR- Purchase of Postage Mainl	Open	Other	Purchased Under State Contract			Y		2276.73				NYS Vendor	N	Y	Y	Y	Discretionary Procurement	PO BOX 405371	PO BOX 405371	ATLANTA	GA	30384	5371	USA
PJ MARCO ENTERPRISES, INC.	600000000020	MVBE SDVOB Small Resouce Res	Completed	Commodities/Supplies	Authority Contract - Compet	3/1/2018	3/1/2018	Y	3/2/2020	146000.00	146000.00	29200.00	116800.00	3 NYS	N	Y	Y	Y	Discretionary Procurement	146 TROVILLE RD	COPINGUE	VA	21726	3512	USA	
PLACES AMERICAN CORP	600000000019	Repair Upgrades AND Training on	Open	Other	Authority Contract - Non-Comp	12/13/2017	12/13/2017	Y	12/12/2021	8497172.50	1641250.42	1641250.42	3341842.29	1 NYS	N	Y	0	Y	Sole Source	POST OFFICE BOX 5461	CHARLENAPE	VA	23224		USA	
PLATFORM SUPPLY CORP	600000000023	MVBE SDVOB Only cartridge of	Open	Commodities/Supplies	Authority Contract - Compet	3/2/2020	3/2/2020	Y	4/2/2020	227036.70	190369.81	190369.81	37216.89	5 NYS	N	Y	2	Y	Discretionary Procurement	PO BOX 391	FARMVILLE	VA	21728		USA	
PLAZO INDUSTRIES	600000000023	SLS LED RAIL WITH CARRIER	Open	Commodities/Supplies	Authority Contract - Compet	3/11/2019	3/11/2019	Y	6/19/2020	122728.00	64933.00	122728.00	0.00	3 Foreign	N	Y	1	N	Below Dollar Threshold	13335 B Street	Dinsha	NE	68144		USA	
PLEATHER SALES INC	600000000026	BUS VINYL CURTAIN KITS ORION	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/7/2020	8/7/2020	Y	8/31/2020	56000.00	56000.00	56000.00	0.00	1 Foreign	N	N	0	Y	Emergency or Critical Need	54835 CR 19	BRISTOL	JN	46507		USA	
PLEATHER SALES INC	600000000026	BUS VINYL CURTAIN KITS NOVA	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/7/2020	8/7/2020	Y	8/31/2020	84000.00	84000.00	84000.00	0.00	1 Foreign	N	N	0	Y	Emergency or Critical Need	54835 CR 19	BRISTOL	JN	46507		USA	
PKC ASSOCIATES	600000000026	MOW RADIO PORTABLE MODEL VX	Open	Commodities/Supplies	Authority Contract - Compet	8/7/2020	8/7/2020	Y	11/6/2021	549375.74	19540.04	19540.04	529835.70	3 Foreign	N	N	1	Y	Below Dollar Threshold	8 CROWN PLAZA	HAZLET	MI	7730		USA	
POSITIVE CLEANING SOLUTIONS INC	600000000025	STATIONS DEP CLEANING SYSTEM	Open	Other	Authority Contract - Compet	2/25/2020	2/25/2020	Y	2/24/2021	340000.00	150000.00	150000.00	190000.00	1 NYS	N	Y	Y	Y	Below Dollar Threshold	10 SERVEN RD	SUFFERN	NY	10901		USA	
POSITIVE CLEANING SOLUTIONS INC	600000000026	COVID Response Station Disinfect	Open	Other	Authority Contract - Compet	6/25/2020	6/25/2020	Y	12/31/2021	1440000.00	388950.00	388950.00	1051050.00	15 NYS	N	Y	Y	Y	Emergency or Critical Need	10 SERVEN RD	SUFFERN	NY	10901		USA	
POWER MANUFACTURING INC	600000000027	COVID sliding glass extensions	Open	Commodities/Supplies	Authority Contract - Compet	11/6/2020	11/6/2020	Y	5/5/2021	171318.96	0.00	0.00	171318.96	2 Foreign	N	Y	0	N	Below Dollar Threshold	53087 FAITH AVE	ELKHART	IN	46514		USA	
POWER MANUFACTURING INC	600000000027	DOB/COVID Sliding Glass extens	Open	Commodities/Supplies	Authority Contract - Compet	11/17/2020	11/17/2020	Y	5/11/2021	95864.40	0.00	0.00	95864.40	2 Foreign	N	Y	0	Y	Below Dollar Threshold	53087 FAITH AVE	ELKHART	IN	46514		USA	
POWER MANUFACTURING INC	600000000028	BUS/COVID Sliding Glass Extens	Open	Commodities/Supplies	Authority Contract - Compet	9/29/2020	9/29/2020	Y	2/5/2021	38758.40	250624.00	250624.00	108134.40	2 Foreign	N	Y	0	N	Below Dollar Threshold	53087 FAITH AVE	ELKHART	IN	46514		USA	
POWER MANUFACTURING INC	600000000028																									

PREVOST CAR (US) INC.	60000000022	BUS Pedal Assembly SS APOP	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/10/2018	10/10/2018	Y	1/9/2020	59940.80	17424.40	42316.40	17424.40	1 Foreign	N	N	0	Y	Sole Source	59940.80	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS Prevost Kits	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/17/2020	3/17/2020	Y	3/18/2020	27624.18	27624.18	27624.18	0.00	1 Foreign	N	N	0	Y	Below Dollar Threshold	27624.18	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS TANK FUEL STEEL 189 GAL	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/17/2019	4/17/2019	Y	7/16/2020	52403.40	17966.88	47911.68	4491.72	1 Foreign	N	N	0	Y	Sole Source	52403.40	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	APOP Bus Hydraulic Power Lasse	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/18/2020	6/18/2020	Y	9/16/2020	69058.50	26965.70	26965.70	42092.80	1 Foreign	N	N	0	Y	Sole Source	69058.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS High Voltage Power Unit Ass	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/28/2019	5/28/2019	Y	8/27/2021	76831.00	18439.44	33865.64	43025.36	1 Foreign	N	N	0	Y	Sole Source	76831.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	BUSRYEA Valve Assembly	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/31/2018	10/31/2018	Y	1/30/2020	239291.00	26142.00	174260.00	56641.00	1 Foreign	N	N	0	Y	Sole Source	239291.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS Belt Severe Duty	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/12/2019	7/12/2019	Y	10/11/2021	58575.00	25560.00	25560.00	33015.00	1 Foreign	N	N	0	Y	Sole Source	58575.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS Airline Damper	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/16/2018	9/16/2018	Y	11/29/2020	64371.40	22036.40	55462.22	8926.78	1 Foreign	N	N	0	Y	Sole Source	64371.40	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000020	Bus s prevost gear box	Completed	Commodities/Supplies	Authority Contract - Non-Comp	3/12/2018	3/12/2018	Y	5/11/2020	81239.25	23944.20	81239.25	0.00	1 Foreign	N	N	0	Y	Sole Source	81239.25	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS HOSE	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/31/2018	1/31/2018	Y	4/30/2021	64173.80	22529.10	51202.20	12971.30	1 Foreign	N	N	0	Y	Sole Source	64173.80	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS FILTER HVAC	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/6/2020	3/6/2020	Y	6/4/2021	41800.00	19000.00	19000.00	22800.00	1 Foreign	N	N	0	Y	Sole Source	41800.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS Pulley Assembly with Beari	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/9/2019	4/9/2019	Y	7/8/2021	44646.00	19134.00	30295.50	14305.50	1 Foreign	N	N	0	Y	Sole Source	44646.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000020	APOP Bus Door Assembly L&R	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/22/2018	3/22/2018	Y	6/21/2020	131199.60	23428.50	131199.60	0.00	1 Foreign	N	N	0	Y	Sole Source	131199.60	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Bus 91-33-4852 GLASS DRIVER	Completed	Commodities/Supplies	Authority Contract - Non-Comp	8/30/2018	8/30/2018	Y	11/29/2019	69309.00	19406.52	50955.57	18713.43	1 Foreign	N	N	0	Y	Sole Source	69309.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Bus 91-41-0290 Guard Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/24/2018	5/24/2018	Y	8/21/2020	115786.80	23157.36	99062.04	16724.76	1 Foreign	N	N	0	Y	Sole Source	115786.80	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS Cable Jumper	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/9/2019	7/9/2019	Y	10/8/2021	58373.00	29186.50	29186.50	29186.50	1 Foreign	N	N	0	Y	Sole Source	58373.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	BUS FILTER SPIN-ON FUEL SEC	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/26/2018	11/26/2018	Y	2/25/2021	52136.00	20305.60	47334.00	4802.20	1 Foreign	N	N	0	Y	Sole Source	52136.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000020	CORNER RH REAR END	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/16/2018	4/16/2018	Y	7/15/2020	114669.75	22581.12	106165.04	13094.71	1 Foreign	N	N	0	Y	Sole Source	114669.75	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000020	91-33-2364 Panel Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/16/2018	4/16/2018	Y	7/15/2020	90615.00	22351.70	54248.18	14366.02	1 Foreign	N	N	0	Y	Sole Source	90615.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	APOP Bus Lamp Assembly RED	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/23/2019	7/23/2019	Y	10/2/2020	58530.00	29025.00	44330.00	14300.00	1 Foreign	N	N	0	Y	Sole Source	58530.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	BUS THUNDERORN ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/12/2020	9/12/2020	Y	6/11/2021	111683.60	87362.70	87362.70	27920.90	1 Foreign	N	N	0	Y	Sole Source	111683.60	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000020	Bus 91-37-3055 RAM ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/23/2018	3/23/2018	Y	6/23/2020	236943.20	53289.32	80737.80	28605.40	1 Foreign	N	N	0	Y	Sole Source	236943.20	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS Valve AND Radiator	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/1/2019	3/1/2019	Y	5/31/2021	134941.80	53976.72	95423.13	39518.67	1 Foreign	N	N	0	Y	Sole Source	134941.80	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS Display VDM65 Charger	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/10/2019	6/10/2019	Y	9/9/2020	74095.00	56629.75	74095.00	0.00	1 Foreign	N	N	0	Y	Sole Source	74095.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS Cooler Air Charge Assemb	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/7/2019	10/7/2019	Y	1/6/2021	84830.00	62774.20	62774.20	22055.80	1 Foreign	N	N	0	Y	Sole Source	84830.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	Bus 91-41-0102 WINDSHIELD LH	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/27/2019	11/27/2019	Y	2/26/2021	87670.00	66948.00	66948.00	20722.00	1 Foreign	N	N	0	Y	Sole Source	87670.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Bus 91-33-2054 CORNER LR RE	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/31/2018	7/31/2018	Y	10/30/2020	85440.00	33834.24	81338.88	4101.12	1 Foreign	N	N	0	Y	Sole Source	85440.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Bus 91-35-2290 Glass LHR	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/7/2018	6/7/2018	Y	9/4/2020	210172.00	83014.94	181273.35	28986.65	1 Foreign	N	N	0	Y	Sole Source	210172.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	Steering drag link	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/6/2019	11/6/2019	Y	2/5/2021	128030.00	49931.70	57247.70	70782.30	1 Foreign	N	N	0	Y	Sole Source	128030.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	BUS Core Assembly Radiator	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/29/2019	10/29/2019	Y	1/28/2021	270546.50	104888.70	143003.15	127543.35	1 Foreign	N	N	0	Y	Sole Source	270546.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000020	Bus 91-41-0121	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/16/2018	4/16/2018	Y	5/15/2020	358314.00	101522.30	238876.00	119438.00	1 Foreign	N	N	0	Y	Sole Source	358314.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	DOB 97-79-4415 RADIATOR CHANG	Open	Other	Authority Contract - Non-Comp	10/20/2020	10/20/2020	Y	11/5/2021	74995.20	13888.00	13888.00	61107.20	1 Foreign	N	N	0	Y	Sole Source	74995.20	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	DOB 96057 Repairs	Open	Other	Authority Contract - Non-Comp	7/13/2017	7/13/2017	Y	12/31/2020	70788.98	158909.25	162305.25	549183.72	0 Foreign	N	N	0	Y	Sole Source	70788.98	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000028	DOB Valve Prevost Fuel Inject	Open	Commodities/Supplies	Authority Contract - Compet	9/11/2020	9/11/2020	Y	9/9/2021	15932.00	0.00	15932.00	0.00	1 Foreign	N	N	0	Y	Below Dollar Threshold	15932.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	BUS Radiator Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/6/2020	2/6/2020	Y	5/5/2021	406885.20	226833.31	306833.31	200051.89	1 Foreign	N	N	0	Y	Sole Source	406885.20	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS Cooler	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/6/2019	6/6/2019	Y	9/5/2020	93817.50	75054.00	75054.00	18763.50	1 Foreign	N	N	0	Y	Sole Source	93817.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	Bus Valve Assembly 15 M APOP S	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/7/2020	5/7/2020	Y	8/5/2021	12049.30	36831.08	36831.08	83578.22	1 Foreign	N	N	0	Y	Sole Source	12049.30	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	APOP Bus Glass Lower Panel	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/22/2019	3/22/2019	Y	6/19/2020	50849.25	31187.54	46103.32	4745.93	1 Foreign	N	N	0	Y	Sole Source	50849.25	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	BUS GLASS ASSEMBLY PASSENGER	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/22/2018	10/22/2018	Y	1/21/2020	85263.00	31263.10	85263.00	0.00	1 Foreign	N	N	0	Y	Sole Source	85263.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	HYDRAULIC FILTER	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/10/2020	1/10/2020	Y	4/9/2022	71565.00	31433.55	31433.55	40314.45	1 Foreign	N	N	0	Y	Sole Source	71565.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	Brake Application Valve Kit	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/26/2019	3/26/2019	Y	6/																	

PREVOST CAR (US) INC.	60000000024	DOB WABCO Air Compressor wit	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/20/2019	9/20/2019	Y	9/17/2021	60181.44	12814.69	13441.58	46739.86	1 Foreign	N	N	0	Y	Sole Source	60181.44	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000015	DOB FILTER OIL ENGINE LONG	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/12/2017	12/12/2017	Y	3/11/2020	66662.50	12512.50	65956.00	157.50	1 Foreign	N	N	0	Y	Sole Source	66662.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	BUS Hydraulic Oil Cooler	Completed	Commodities/Supplies	Authority Contract - Non-Comp	7/18/2018	7/18/2018	Y	10/17/2019	196137.60	12258.60	141586.83	54507.77	1 Foreign	N	N	0	Y	Below Dollar Threshold	196137.60	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	DOB 97-80-2310 DRIVE SHAFT AS	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/19/2019	4/19/2019	Y	7/17/2020	39101.70	12085.98	39101.70	0.00	1 Foreign	N	N	0	Y	Sole Source	39101.70	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	BUS Cylinder Assembly	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/23/2018	10/23/2018	Y	1/22/2020	64728.00	8990.00	48956.60	15822.40	2 Foreign	N	Y	0	Y	Below Dollar Threshold	64728.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000027	BUS GAS LATERAL ACCESS DOOR	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/2/2020	11/2/2020	Y	2/1/2022	72911.00	11665.76	11665.76	61428.24	1 Foreign	N	N	0	Y	Sole Source	72911.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	APOP BUS Assembly Door	Completed	Commodities/Supplies	Authority Contract - Non-Comp	3/2/2020	3/2/2020	Y	6/1/2022	55822.50	11164.50	11164.50	44658.00	1 Foreign	N	N	0	Y	Sole Source	55822.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000018	BUS Drive Assembly Sole	Completed	Commodities/Supplies	Authority Contract - Non-Comp	10/16/2018	10/16/2018	Y	1/15/2020	37455.00	5343.29	2911.25	6571.46	1 Foreign	N	N	0	Y	Sole Source	37455.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	BUS Fitting	Completed	Commodities/Supplies	Authority Contract - Non-Comp	8/21/2017	8/21/2017	Y	11/20/2019	95668.00	7884.00	47268.56	12299.04	1 Foreign	N	N	0	Y	Sole Source	95668.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	K8 Latch	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/27/2018	3/27/2018	Y	6/27/2020	24999.52	7352.80	15073.24	9926.28	4 Foreign	N	N	1	Y	Below Dollar Threshold	24999.52	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000027	BUS MERV 13 FILTER	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/4/2020	11/4/2020	Y	3/3/2022	175127.00	5733.61	5733.61	169393.39	1 Foreign	N	N	0	Y	Sole Source	175127.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000031	DOB 96-81-0044 Volvo Re-man E	Completed	Commodities/Supplies	Authority Contract - Non-Comp	2/21/2019	2/21/2019	Y	3/22/2019	35355.78	6000.00	35355.78	0.00	1 Foreign	N	N	0	Y	Sole Source	35355.78	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	APOP Bus Door Assembly Later	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/25/2020	6/25/2020	Y	9/24/2021	49494.50	6299.30	6299.30	43195.20	1 Foreign	N	N	0	Y	Sole Source	49494.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	APOP BUS GLASS LOWER PASSENG	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/7/2020	8/7/2020	Y	11/7/2022	71054.50	6459.50	6459.50	64595.00	1 Foreign	N	N	0	Y	Sole Source	71054.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Right Instrument LED Warning	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/14/2018	9/14/2018	Y	12/11/2020	57036.00	10836.84	2384.76	33651.24	1 Foreign	N	N	0	Y	Sole Source	57036.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000018	BUS Filter HVAC	Completed	Commodities/Supplies	Authority Contract - Non-Comp	8/18/2017	8/18/2017	Y	11/17/2019	101500.00	7250.00	94975.00	6525.00	1 Foreign	N	N	0	Y	Sole Source	101500.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Bus 91-33-3007 Pan Front Dust	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/3/2018	7/3/2018	Y	10/2/2020	35335.00	10660.50	23600.00	11845.00	1 Foreign	N	N	0	Y	Sole Source	35335.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	BUS Panel Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/20/2020	3/20/2020	Y	6/19/2022	30778.00	8394.00	8394.00	22384.00	1 Foreign	N	N	0	Y	Sole Source	30778.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Junction Box Sub-Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2018	9/6/2018	Y	12/5/2020	42620.00	8594.00	34016.00	8694.00	1 Foreign	N	N	0	Y	Sole Source	42620.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	BUS Valve	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/10/2018	12/10/2018	Y	3/9/2021	50160.75	9477.18	22294.18	27876.57	1 Foreign	N	N	0	Y	Sole Source	50160.75	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	Bus Window Assembly Driver s	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/23/2020	7/23/2020	Y	10/22/2021	37462.75	9911.23	9911.23	27551.50	1 Foreign	N	N	0	Y	Sole Source	37462.75	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	DOB 96-47-5712 E-z-man Assembly	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/1/2018	4/1/2018	Y	3/21/2021	331961.00	10373.80	72616.60	259345.00	1 Foreign	N	N	0	Y	Sole Source	331961.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	91-33-3045 Gear Box Prevost	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/24/2018	5/24/2018	Y	8/21/2020	59908.78	15363.55	52363.35	6545.42	1 Foreign	N	N	0	Y	Sole Source	59908.78	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	BUS GLASS ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/15/2020	6/15/2020	Y	9/14/2021	68220.00	6822.00	6822.00	61398.00	1 Foreign	N	N	0	Y	Sole Source	68220.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	Entrance Door Soleimid	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/21/2018	9/21/2018	Y	12/18/2020	99988.33	47579.42	61889.02	38099.31	3 Foreign	N	N	1	Y	Below Dollar Threshold	99988.33	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000023	K8 Guidance Pins and Belows	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/4/2019	2/4/2019	Y	5/3/2021	318286.00	88083.80	270173.00	48113.00	3 Foreign	N	N	0	Y	Sole Source	318286.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	Guide pin kit	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/8/2018	8/8/2018	Y	11/7/2020	292992.00	126963.20	250264.00	42728.00	13 Foreign	N	N	0	Y	Sole Source	292992.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS AXLE ASSEMBLY REAR	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/20/2019	5/20/2019	Y	8/19/2021	241025.60	72307.68	96410.24	144615.36	3 Foreign	N	N	0	Y	Sole Source	241025.60	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	DOB Element Breather and Bre	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/13/2018	6/13/2018	Y	9/12/2020	299280.00	107990.20	254188.48	45091.52	7 Foreign	N	N	0	Y	Sole Source	299280.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	DOB BUSHING KIT RADUIS ROD R	Open	Commodities/Supplies	Authority Contract - Non-Comp	7/15/2019	7/15/2019	Y	10/14/2020	24024.00	16991.52	16991.52	7032.48	3 Foreign	N	N	0	Y	Below Dollar Threshold	24024.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	DOB 97-82-0218 2F Ecofile Tra	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/14/2020	4/14/2020	Y	7/14/2020	19750.00	19750.00	19750.00	0.00	3 Foreign	N	N	0	Y	Below Dollar Threshold	19750.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	BUS Sensor APOP	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/24/2020	1/24/2020	Y	4/23/2022	38133.55	25510.03	25510.03	12623.52	3 Foreign	N	N	0	Y	Sole Source	38133.55	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	BUS Window Assembly Driver s	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/9/2018	10/9/2018	Y	1/8/2022	169499.50	42798.00	109466.50	53026.00	1 Foreign	N	N	0	Y	Discretionary Procurement	169499.50	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	APOP Bus Blade Windshield W	Completed	Commodities/Supplies	Authority Contract - Non-Comp	3/1/2018	3/1/2018	Y	5/1/2020	399469.40	192685.84	112636.06	86943.24	2 Foreign	N	N	0	Y	Sole Source	399469.40	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000021	APOP Bus Blade Windshield W	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/13/2018	9/13/2018	Y	7/11/2020	151012.00	55339.35	134817.95	16194.05	4 Foreign	N	N	0	Y	Sole Source	151012.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000025	Interior Lamp Passenger Doors	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/8/2018	4/8/2018	Y	7/8/2020	126951.00	23774.46	77440.11	48510.89	4 Foreign	N	N	0	Y	Sole Source	126951.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	BUS Sensor	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/4/2019	9/4/2019	Y	12/3/2021	35856.00	13744.80	13744.80	22111.20	2 Foreign	N	N	1	Y	Below Dollar Threshold	35856.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000026	Bus Cylinder Assembly 27 M SP	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/30/2020	4/30/2020	Y	7/29/2022	74000.00	14800.00	14800.00	59200.00	2 Foreign	N	N	0	Y	Below Dollar Threshold	74000.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	DOB Mount Engine Mecatone T	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/22/2019	5/22/2019	Y	8/21/2020	74720.00	20174.40	53051.20	21668.80	2 Foreign	N	N	0	Y	Below Dollar Threshold	74720.00	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000022	boot AOD tappet kit	Open	Commodities/Supplies	Authority Contract - Non-Comp	12/27/2018	12/27/2018	Y	3/26/2020	97257.40	63568.62	97109.48	147.92	4 Foreign	N	N	0	Y	Below Dollar Threshold	97257.40	2250 POINT BOULEVARD	STE 410	ELGIN	IL	60123	USA
PREVOST CAR (US) INC.	60000000024	APOP Bus Panel Exterior co	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/26/2019	8/26/2019	Y	11/25/2021	38750.40	17678.87															

STAG ENTERPRISE, INC.	600000000025	MWVE SDVOB Only Lubricant pe	Open	Commodities/Supplies	Authority Contract - Compet	12/2/2019	4/27/2020	MYVE	Y	4/26/2022	40560.00	40560.00	341960.00	8	Foreign	Y	Y	6	Y	Discretionary Procurement	383 WILBANKS DRIVE	BALL GROUND	GA	30107			USA	
STAG ENTERPRISE, INC.	600000000026	MWVE SDVOB Only Lubricant pe	Completed	Commodities/Supplies	Authority Contract - Compet	4/2/2018	4/27/2018	MYVE	Y	4/26/2020	166230.00	36560.00	16623.04	36.96	8	Foreign	Y	Y	6	Y	Discretionary Procurement	383 WILBANKS DRIVE	BALL GROUND	GA	30107			USA
STAG ENTERPRISE, INC.	600000000019	MWVE SDVOB Only Lubricant pe	Completed	Commodities/Supplies	Authority Contract - Compet	12/4/2017	12/4/2017	MYVE	Y	12/4/2019	10401.60	62409.60	0.00	11	Foreign	Y	Y	10	Y	Discretionary Procurement	383 WILBANKS DRIVE	BALL GROUND	GA	30107			USA	
STARPLES	600000000001	Starples - Marketplace Contact	Open	Commodities/Supplies	Authority Contract - Compet	12/15/2016	12/15/2016	MYVE	N	12/14/2018	19864000.00	2283639.74	#####	64816.49	10	NYS	N	Y	1	N		500 STARPLES DRIVE	FRAMINGHAM	MA	1702			USA
STAR POLY BAG INC.	600000000003	MWVE SDVOB only Film stretch	Open	Commodities/Supplies	Authority Contract - Compet	1/30/2019	1/30/2019	MYVE	Y	4/30/2021	50700.00	18590.00	28730.00	3	NYS	N	Y	3	Y	Discretionary Procurement	200 LIBERTY AVE	BROOKLYN	NY	11207			USA	
STAR POLY BAG INC.	600000000005	MWVE SDVOB Only Plastic Pal	Open	Commodities/Supplies	Authority Contract - Compet	3/11/2020	3/11/2020	MYVE	Y	6/10/2021	40687.04	25423.04	15264.00	3	NYS	N	Y	4	Y	Below Dollar Threshold	200 LIBERTY AVE	BROOKLYN	NY	11207			USA	
STAR POLY BAG INC.	600000000026	Sub 10 Paper Bag 6 1/2-10-5418	Open	Commodities/Supplies	Authority Contract - Compet	6/30/2020	6/30/2020	MYVE	N	9/29/2021	30200.00	21350.00	9130.00	6	NYS	N	Y	4	Y	Below Dollar Threshold	200 LIBERTY AVE	BROOKLYN	NY	11207			USA	
STARBUCKS TRANSPORTATION, INC.	600000000015	Starbucks Transit Services - STAR CRU	Open	Other Professional Serv	Authority Contract - Compet	9/12/2008	10/12/2008	MYVE	N	9/30/2020	1768206.92	1233031.46	#####	334927.24	51	NYS	N	Y	4	Y	Below Dollar Threshold	2210 EAST 69TH STREET	BROOKLYN	NY	11234			USA
STATE COMMUNICATIONS, INC.	600000000029	MWVE SDVOB Small Battery 2V	Open	Commodities/Supplies	Authority Contract - Compet	18/16/2018	10/16/2018	MYVE	N	11/15/2021	2442.55	12445.50	24891.00	9541.55	10	NYS	N	Y	5	Y	Discretionary Procurement	145 - 06 14TH AVE	WHITESTONE	NY	11357			USA
STATE COMMUNICATIONS, INC.	600000000025	MWVE SDVOB Small 2Vker Hk	Open	Commodities/Supplies	Authority Contract - Compet	19/28/2018	10/28/2018	MYVE	N	11/27/2021	46187.40	36290.10	36290.10	9897.30	9	NYS	N	Y	5	Y	Discretionary Procurement	145 - 06 14TH AVE	WHITESTONE	NY	11357			USA
STAUFFER GLOVE AND SAFETY	600000000026	ADM coverall size medium	Open	Commodities/Supplies	Authority Contract - Compet	4/17/2020	4/17/2020	MYVE	N	8/17/2020	27966.00	27966.00	27966.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	ADM face protection; headgear	Open	Commodities/Supplies	Authority Contract - Compet	7/23/2020	7/23/2020	MYVE	N	7/23/2020	15440.00	15440.00	15440.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000025	ADM respirator single use d	Open	Commodities/Supplies	Authority Contract - Compet	3/13/2020	3/13/2020	MYVE	N	3/12/2021	1639205.40	0.00	0.00	1639205.40	3	Foreign	N	Y	1	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	gloves nitrile medium	Open	Commodities/Supplies	Authority Contract - Compet	4/17/2020	4/17/2020	MYVE	N	9/14/2020	29150.00	0.00	0.00	29150.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	DOB coverall size 5XL	Open	Commodities/Supplies	Authority Contract - Compet	5/11/2020	5/11/2020	MYVE	N	5/25/2020	78162.50	78162.50	78162.50	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	ADM face protection; headgear	Completed	Commodities/Supplies	Authority Contract - Compet	4/13/2020	4/13/2020	MYVE	N	5/11/2020	19300.00	19300.00	19300.00	0.00	3	Foreign	N	Y	1	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	ADM face protection; headgear	Completed	Commodities/Supplies	Authority Contract - Compet	5/1/2020	5/1/2020	MYVE	N	5/29/2020	15440.00	15440.00	15440.00	0.00	4	Foreign	N	Y	1	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	ADM coverall size large	Open	Commodities/Supplies	Authority Contract - Compet	4/17/2020	4/17/2020	MYVE	N	8/17/2020	31152.00	31152.00	31152.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STAUFFER GLOVE AND SAFETY	600000000026	ADM coverall size 4XL	Open	Commodities/Supplies	Authority Contract - Compet	4/17/2020	4/17/2020	MYVE	N	8/17/2020	34587.00	34587.00	34587.00	0.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 45	(361 EAST SIXT	RED HILL	PA	18076		USA
STARTITE LTD	600000000026	SHC Hardlock Steel Nuts	Open	Commodities/Supplies	Authority Contract - Compet	11/20/2019	11/20/2019	MYVE	N	11/20/2019	52760.00	52760.00	52760.00	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	CORONATION RD	CRESSK BUSH	HIGH WYCOMBE	UK	HP12 3RP		GBR
STELLA-JONES CORP	600000000026	TRK Tie 6 x 10 x 6 Her	Open	Commodities/Supplies	Authority Contract - Compet	8/19/2020	8/19/2020	MYVE	N	8/05/2020	77817.60	0.00	0.00	77817.60	4	Foreign	N	Y	2	Y	Below Dollar Threshold	P.O. BOX 1496	TACOMA	WA	98401	1496		USA
STELLA-JONES CORP	600000000023	TRK Tie 6 x 10 x 12 Her	Open	Commodities/Supplies	Authority Contract - Compet	18/16/2020	10/16/2020	MYVE	N	10/15/2021	39945.60	0.00	0.00	39945.60	3	Foreign	N	Y	1	Y	Below Dollar Threshold	P.O. BOX 1496	TACOMA	WA	98401	1496		USA
STELLA-JONES CORP	600000000025	TRK Gaud Timber 6 x 6 x 1	Open	Commodities/Supplies	Authority Contract - Compet	3/5/2020	3/5/2020	MYVE	N	3/10/2020	45100.00	0.00	0.00	45100.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 1496	TACOMA	WA	98401	1496		USA
STELLA-JONES CORP	600000000025	TRK Tie 6 x 8 x 10 Herd	Open	Commodities/Supplies	Authority Contract - Compet	2/3/2021	2/3/2021	MYVE	N	2/2/2021	71040.00	53280.00	53280.00	17760.00	3	Foreign	N	Y	1	N		P.O. BOX 1496	TACOMA	WA	98401	1496		USA
STELLA-JONES CORP	600000000025	TRK Hardwood Tie 6 x 8 x14	Open	Commodities/Supplies	Authority Contract - Compet	1/24/2020	1/24/2020	MYVE	N	1/22/2021	53820.00	21060.00	21060.00	32760.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	P.O. BOX 1496	TACOMA	WA	98401	1496		USA
STELLA-JONES CORP	600000000026	Dummy Event	Open	Commodities/Supplies	Authority Contract - Compet	8/19/2020	9/30/2020	MYVE	N	8/18/2021	81347.20	0.00	0.00	81347.20	4	Foreign	N	Y	2	Y	Below Dollar Threshold	P.O. BOX 1496	TACOMA	WA	98401	1496		USA
STERIL-KONI USA INC.	600000000019	SERVICES-Fix Parallelogram AND P	Open	Other Professional Serv	Authority Contract - Compet	1/3/2018	1/3/2018	MYVE	N	1/2/2022	355930.00	1095.00	45636.36	310303.84	3	Foreign	N	Y	0	N		200 LOG CANOE CIRCLE	STEVENSVILLE	MD	21666			USA
STEWART AND STEVENSON POWER PR	600000000023	BUS Coil Heater	Open	Commodities/Supplies	Authority Contract - Compet	4/17/2019	4/17/2019	MYVE	N	7/16/2021	80640.00	25336.00	43456.00	37184.00	5	Foreign	N	Y	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000026	BUS Heater Assembly	Open	Commodities/Supplies	Authority Contract - Compet	9/1/2020	9/1/2020	MYVE	N	11/30/2021	38250.00	5100.00	5100.00	33150.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000019	BUS Detroit Diesel Remanufactu	Open	Commodities/Supplies	Authority Contract - Compet	11/22/2017	11/22/2017	MYVE	N	11/21/2019	5000000.00	5902.65	#####	2586593.23	4	Foreign	N	Y	1	N		180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000026	Coil assembly	Open	Commodities/Supplies	Authority Contract - Compet	4/16/2018	4/16/2018	MYVE	N	7/15/2020	847000.00	189728.00	779420.00	67760.00	6	Foreign	N	Y	0	N		180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000021	BUS Element Fuel Filter Net	Open	Commodities/Supplies	Authority Contract - Compet	7/19/2018	7/19/2018	MYVE	N	10/16/2020	94925.00	11204.70	42182.40	12742.60	4	Foreign	N	Y	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000026	BUS RETRIEVER AIR FILTER MERV 13	Open	Commodities/Supplies	Authority Contract - Compet	7/14/2020	7/14/2020	MYVE	N	10/13/2021	473250.00	139655.00	139655.00	33785.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000024	BUS 5 Year Allstar Transmiss	Open	Commodities/Supplies	Authority Contract - Compet	8/21/2018	8/21/2018	MYVE	N	8/20/2020	9000000.00	1479017.56	#####	7102007.60	4	Foreign	N	Y	0	N		180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000026	BUS Coil AND Hose	Open	Commodities/Supplies	Authority Contract - Compet	6/29/2020	6/29/2020	MYVE	N	9/28/2021	16120.00	1116.00	1116.00	15094.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000022	BUS Filter Fuel Element	Open	Commodities/Supplies	Authority Contract - Compet	11/21/2018	11/21/2018	MYVE	N	11/21/2018	112937.50	39723.75	92025.15	349123.35	8	Foreign	N	Y	0	N		180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000029	Motorized Valve Assembly 12V	Open	Commodities/Supplies	Authority Contract - Compet	3/30/2018	3/30/2018	MYVE	N	6/29/2020	62985.00	10917.40	44551.39	18431.61	5	Foreign	N	N	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000027	BUS FILTER MERV 13	Open	Commodities/Supplies	Authority Contract - Compet	10/15/2020	10/15/2020	MYVE	N	11/4/2022	82500.00	0.00	0.00	82500.00	4	Foreign	N	Y	0	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000027	BUS MERV 13 PLEATED FILTER	Open	Commodities/Supplies	Authority Contract - Compet	12/8/2020	12/8/2020	MYVE	N	3/7/2022	117600.00	0.00	0.00	117600.00	4	Foreign	N	Y	1	Y	Below Dollar Threshold	180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000023	BUS ELEMENT FUEL PWR FILTER	Open	Commodities/Supplies	Authority Contract - Compet	5/10/2019	5/10/2019	MYVE	N	8/9/2021	33066.00	16347.60	25068.60	7991.40	5	Foreign	N	Y	0	N		180 ROUTE 17 S	LODI	NJ	07644			USA
STEWART AND STEVENSON POWER PR	600000000024	DOB Attenuator Assembly 24 V	Open	Commodities/Supplies	Authority Contract - Compet	8/8/2019	8/8/2019	MYVE	N	8/5/2022	649500.00	148086.00	180561.00	468939.00	3	Foreign	N	Y	0	N		180 ROUTE 17 S	LO					

TURTLE AND HUGHES INC	60000000024	MVSE SDVOB Only TR-15 Cables	Completed	Commodities/Supplies	Authority Contract - Compel	6/3/2019	6/3/2019	Y	12/2/2019	382086.10	294626.60	382086.10	0.00	8	NYS	Y	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000024	MVSE SDVOB Only Communication	Open	Commodities/Supplies	Authority Contract - Compel	9/3/2019	9/3/2019	Y	3/2/2020	214286.66	98688.36	98688.36	11558.30	3	NYS	Y	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000026	Reconditioning of Circuit Breaker	Open	Other	Authority Contract - Compel	5/27/2020	5/27/2020	Y	1/26/2021	57964.00	0.00	0.00	57964.00	2	NYS	N	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000025	MRO RFP- category C1 NWC	Open	Commodities/Supplies	Authority Contract - Compel	3/2/2020	3/2/2020	Y	3/1/2025	75448695.00	2920531.33	#####	#####	12	NYS	Y	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000025	MVSE SDVOB Only Cable One	Open	Commodities/Supplies	Authority Contract - Compel	1/3/2020	1/3/2020	Y	7/2/2020	61680.00	0.00	0.00	61680.00	3	Foreign	Y	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000024	DC Heater Assembly U O Cont	Open	Commodities/Supplies	Authority Contract - Compel	5/3/2019	5/3/2019	Y	8/3/2021	311850.00	1695330.00	#####	785295.00	4	NYS	N	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000024	MVSE SDVOB Only Cable Two Co	Completed	Commodities/Supplies	Authority Contract - Compel	9/3/2019	9/3/2019	Y	3/2/2020	95900.00	95900.00	95900.00	0.00	4	NYS	Y	Y	Y	Y	Discretionary Procurement	1000 Prime Place	Hauspage	NY	11788	USA	
TURTLE AND HUGHES INC	60000000025	NEW CREDIT CARD BANK	Open	Commodities/Supplies	Authority Contract - Compel	3/26/2020	3/26/2020	Y	6/18/2021	127826.00	91807.20	91807.20	36111.80	3	Foreign	Y	Y	Y	Y	Inter-Agency Agreement	1000 Prime Place	Hauspage	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000023	SG Modification Kit 03-13-4	Open	Commodities/Supplies	Authority Contract - Compel	12/5/2019	12/5/2019	Y	3/5/2021	45700.00	25415.00	25415.00	40365.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000026	SG Board Assembly Components	Open	Commodities/Supplies	Authority Contract - Compel	6/17/2020	6/17/2020	Y	6/18/2020	108432.50	62935.00	62935.00	45497.50	5	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000023	MOWFOI-21-1250 PAPER CATCHER	Completed	Commodities/Supplies	Authority Contract - Compel	3/12/2019	3/12/2019	Y	3/11/2020	29750.00	12250.00	29750.00	0.00	3	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000023	SG Contact Block 03-21-7140	Open	Commodities/Supplies	Authority Contract - Compel	12/5/2019	12/5/2019	Y	3/5/2021	35266.50	23950.00	23950.00	1216.50	3	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000022	SUB JUMPER CABLE ASSEMBLY CO	Completed	Commodities/Supplies	Authority Contract - Compel	12/5/2018	12/5/2018	Y	12/31/2018	99485.00	59100.00	99485.00	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	SG Breaker Kits 03-22-2710	Open	Commodities/Supplies	Authority Contract - Compel	12/9/2019	12/9/2019	Y	3/9/2021	58140.00	58140.00	58140.00	0.00	3	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000026	SG RH Washboard Layout	Open	Commodities/Supplies	Authority Contract - Compel	5/1/2020	5/1/2020	Y	5/4/2020	710625.00	281250.00	281250.00	429375.00	4	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000024	SUB TRUB WEAR PLATE	Open	Commodities/Supplies	Authority Contract - Compel	6/13/2019	6/13/2019	Y	9/10/2021	37475.00	24358.75	24358.75	131.625	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000024	INF Light Weight Portable Tr	Open	Commodities/Supplies	Authority Contract - Compel	10/4/2019	10/4/2019	Y	6/16/2020	126000.00	724050.00	724050.00	53390.00	6	NYS	N	Y	Y	Y	Emergency or Critical Need	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	SG Contact Spring 02-22-299	Open	Commodities/Supplies	Authority Contract - Compel	12/9/2019	12/9/2019	Y	12/9/2020	16447.50	16447.50	16447.50	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000026	SG Washboard Heater Kit	Open	Commodities/Supplies	Authority Contract - Compel	6/2/2020	6/2/2020	Y	6/3/2020	64995.00	64995.00	64995.00	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	SG Swing Racks	Open	Commodities/Supplies	Authority Contract - Compel	6/16/2020	6/16/2020	Y	6/17/2020	58130.00	58130.00	58130.00	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	SG Switch Target Panel	Open	Commodities/Supplies	Authority Contract - Compel	3/5/2020	3/5/2020	Y	3/6/2020	45440.00	45440.00	45440.00	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	SG Time Capture Unit	Open	Commodities/Supplies	Authority Contract - Compel	12/3/2019	12/3/2019	Y	12/5/2019	57990.00	57990.00	57990.00	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000027	SG SGR Test Unit	Open	Commodities/Supplies	Authority Contract - Compel	11/4/2020	11/4/2020	Y	11/5/2020	23995.00	0.00	0.00	23995.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	SG Rebuilt Kit 03-23-4306	Open	Commodities/Supplies	Authority Contract - Compel	1/28/2020	1/28/2020	Y	4/28/2021	71225.00	46250.00	46250.00	24975.00	3	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000026	SG Impedance Bonds	Open	Commodities/Supplies	Authority Contract - Compel	10/5/2020	10/5/2020	Y	10/6/2020	113500.00	113500.00	113500.00	0.00	4	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
TWINCO MFG. CO., INC.	60000000025	MOWFOI-64-2200 STEEL GAUGE S	Open	Commodities/Supplies	Authority Contract - Compel	10/31/2019	10/31/2019	Y	10/30/2020	55000.00	34760.00	34760.00	20420.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	30 COMMERCIAL DRIVE	HAUFPAGE	NY	11788	USA	
U.S. PLASTIC COATINGS CORP	0600000000021	Insulation of wire baskets	Open	Other	Authority Contract - Compel	9/23/2019	9/23/2019	Y	9/22/2019	332836.50	41915.00	32094.23	11895.27	3	Foreign	N	N	Y	Y	Discretionary Procurement	3225 STATE RD	SELLERSVILLE	PA	18960	USA	
ULINE INC	600000000027	Threshold-Side Door 13-43-8204	Open	Commodities/Supplies	Authority Contract - Compel	10/29/2020	10/29/2020	Y	2/1/2021	31200.00	0.00	0.00	31200.00	1	Foreign	N	Y	Y	Y	Below Dollar Threshold	1901 GEHMAN RD	HARLEYSVILLE	PA	19438	USA	
ULINE INC	60000000025	Sub Replacement Lockers	Completed	Commodities/Supplies	Authority Contract - Compel	12/26/2019	12/26/2019	Y	12/26/2019	27796.00	12216.00	12216.00	15580.00	1	Foreign	N	Y	Y	Y	Below Dollar Threshold	12575 ULINE DR	PLEASANT PRARIE	WI	53158	USA	
ULINE INC	60000000026	BUS PLASTIC GRIP RAR	Open	Commodities/Supplies	Authority Contract - Compel	7/20/2020	7/20/2020	Y	7/31/2020	29988.00	29988.00	29988.00	0.00	2	Foreign	N	Y	Y	Y	Below Dollar Threshold	12575 ULINE DR	PLEASANT PRARIE	WI	53158	USA	
ULTRA-TECH ENTERPRISES, INC	600000000024	SG Portable RelayDoc B1 AND P	Open	Commodities/Supplies	Authority Contract - Compel	10/3/2019	10/3/2019	Y	10/11/2019	37024.00	37024.00	37024.00	0.00	4	Foreign	N	Y	Y	Y	Below Dollar Threshold	4701 TAYLOR ROAD	PUNTA GORDA	FL	33950	4720	USA
UNPAK CORP	600000000027	BUS KNPS Respirators	Open	Commodities/Supplies	Authority Contract - Compel	10/23/2020	10/23/2020	Y	10/24/2020	29000.00	29000.00	29000.00	0.00	35	NYS	N	Y	Y	Y	Below Dollar Threshold	PO BOX 300027	BROOKLYN	NY	11230	USA	
UNIQUE TRUCK EQUIPMENT, INC.	600000000025	SOB Adapter Fire Head Eng	Open	Commodities/Supplies	Authority Contract - Compel	9/11/2020	9/11/2020	Y	6/10/2020	31916.00	6383.20	6383.20	25532.80	2	Foreign	N	Y	Y	Y	Below Dollar Threshold	3952 44TH ST SE	GRAND RAPIDS	MI	49512	USA	
UNIQUE TRUCK EQUIPMENT, INC.	60000000025	SOB Engine Oil Pan Drain Adp	Open	Commodities/Supplies	Authority Contract - Compel	13/31/2019	13/31/2019	Y	3/20/2020	35160.00	5960.00	5960.00	29200.00	4	Foreign	N	Y	Y	Y	Below Dollar Threshold	3952 44TH ST SE	GRAND RAPIDS	MI	49512	USA	
UNITEC PARTS COMPANY	600000000021	EADE Pump Unit D4-46-3300	Completed	Commodities/Supplies	Authority Contract - Compel	6/30/2018	6/30/2018	Y	9/20/2019	209692.72	86234.80	309692.72	0.00	4	Foreign	N	Y	Y	Y	Below Dollar Threshold	212 W NEWBERRY RD	BLOOMFIELD	CT	6002	USA	
UNITEC PARTS COMPANY	60000000025	EADE Pump Unit D4-46-3300	Open	Commodities/Supplies	Authority Contract - Compel	2/14/2020	2/14/2020	Y	2/14/2021	56868.00	56868.00	56868.00	0.00	3	Foreign	N	Y	Y	Y	Below Dollar Threshold	212 W NEWBERRY RD	BLOOMFIELD	CT	6002	USA	
UNITED STATES SEATING	600000000027	BUS AIR SANITIZER PHF	Open	Commodities/Supplies	Authority Contract - Non-Co	10/30/2020	10/30/2020	Y	11/6/2020	18240.00	18240.00	18240.00	0.00	1	Foreign	N	N	Y	Y	Emergency or Critical Need	101 GORDON DR	EXTON	PA	19341	USA	
UNITED STATES SEATING	60000000026	Service Air Purification Sys	Open	Other	Authority Contract - Non-Co	6/29/2020	6/29/2020	Y	7/29/2020	24320.00	24320.00	24320.00	0.00	1	Foreign	N	N	Y	Y	Emergency or Critical Need	101 GORDON DR	EXTON	PA	19341	USA	
UNITED STATES TECHNOLOGIES INC	600000000024	Bu Maintenance of Power Sup	Open	Other	Authority Contract - Non-Co	7/24/2019	7/25/2019	Y	7/24/2020	42503.00	164651.56	220534.33	204955.68	2	Foreign	N	Y	Y	Y	Emergency or Critical Need	17-01 POLLITT DRIVE	FAIR LAWN	NJ	7410	2809	USA
UNITED STATES AND FASTENERS INC	600000000023	MTA FTB 01-09-1000 NEW CRTCT	Completed	Commodities/Supplies	Authority Contract - Compel	2/6/2019	2/6/2019	Y	10/24/2019	32190.00	4395.60	32190.00	0.00	2	Foreign	N	Y	Y	Y	Below Dollar Threshold	1500 INDUSTRIAL DRIVE	ITASCA	IL	60143	USA	
UNIVERSAL INTERIORS LLC	600000000023	SUB Cover Assembly Long 13-68	Completed	Commodities/Supplies	Authority Contract - Compel	1/24/2019	1/24/2019	Y	4/24/2020	38701.75	15480.70	38701.75	0.00	2	NYS	N	Y	Y	Y	Below Dollar Threshold	107 LAWRENCE PAQUETTE DR	CHAMPLAIN	NY	12519	USA	
UNIVERSAL MACHINE COMPANY	600000000022	Rev- Purchase of parts for co	Open	Technology - Hardware	Authority Contract - Non-Co	9/28/2018	9/28/2018	Y	9/30/2023	75000.00	11584.66	23501.86	51498.14	1	Foreign	N	N	Y	Y	Discretionary Procurement	1616 FALCON DRIVE	DESOTO	TX	75115	2418	USA
US POLYMECH, INC.	600000000024	Liquid Oil Eliminator	Open	Commodities/Supplies	Authority Contract - Compel	8/20/2019	8/20/2019	Y	8/19/2020	33093.60	41299.20	68832.00	261561.60	4	NYS	N	Y	Y	Y	Discretionary Procurement	584 CHESTNUT RIDGE RD	CHESTNUT RIDGE	NY	10977	USA	
VALVE INDUSTRIES INC	600000000025	TRK CAT Generator	Open	Commodities/Supplies	Authority Contract - Compel	2/19/2																				

VULCANITE PLY LTD	600000000262	Sub Bushing	Open	Commodities/Supplies	Authority Contract - Compet	9/24/2020	9/24/2020	Y	12/23/2020	186200.00	0.00	0.00	3	Foreign	N	Y	0	Y	Below Dollar Threshold	1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA		
VULCANITE PLY LTD	600000000263	Sub RUBBER BUFFER AND RUBBER	Open	Commodities/Supplies	Authority Contract - Compet	5/13/2019	5/13/2019	Y	8/12/2021	198000.00	104940.00	12760.00	2	Foreign	N	Y	0	N		1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA		
VULCANITE PLY LTD	600000000267	Sub SPRING	Open	Commodities/Supplies	Authority Contract - Compet	12/15/2020	12/15/2020	Y	3/14/2023	189525.00	0.00	0.00	4	Foreign	N	Y	0	N	Below Dollar Threshold	1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA		
VULCANITE PLY LTD	600000000268	Sub RUBBER BUSHING	Open	Commodities/Supplies	Authority Contract - Compet	2/20/2019	2/20/2019	Y	2/20/2020	218400.00	115050.00	218400.00	0.00	3	Foreign	N	Y	0	N		1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA	
VULCANITE PLY LTD	600000000271	Sub UPPER RUBBER SEAT	Open	Commodities/Supplies	Authority Contract - Compet	6/13/2019	6/13/2019	Y	9/10/2021	69600.00	33600.00	33600.00	36000.00	3	Foreign	N	Y	0	N	Below Dollar Threshold	1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA	
VULCANITE PLY LTD	600000000272	Sub RUBBER RADIOS ARM	Open	Commodities/Supplies	Authority Contract - Compet	10/9/2018	10/9/2018	Y	1/8/2021	901550.00	151840.00	323660.00	578900.00	2	Foreign	N	N	0	Y		1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA	
VULCANITE PLY LTD	600000000275	Sub SPRING	Open	Commodities/Supplies	Authority Contract - Compet	8/26/2020	8/26/2020	Y	11/25/2022	376000.00	0.00	0.00	5	Foreign	N	Y	0	Y	Below Dollar Threshold	1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA		
VULCANITE PLY LTD	600000000276	Sub METAL RUBBER	Open	Commodities/Supplies	Authority Contract - Compet	10/21/2020	10/21/2020	Y	1/20/2021	74800.00	0.00	0.00	4	Foreign	N	Y	0	N	Below Dollar Threshold	1800 MINERAL SPRING A/SUITE 127	NORTH PROVIDENCE	RI	2904		USA		
W AND S SUPPLY COMPANY INC	600000000278	MOHI CHANSHAW	Open	Commodities/Supplies	Authority Contract - Compet	7/20/2020	7/20/2020	Y	8/10/2020	21975.00	21975.00	21975.00	0.00	3	Foreign	N	Y	0	N	Below Dollar Threshold	16 MIDLAND AVE	BLUMWOOD PARK	NJ	7407		USA	
W AND S SUPPLY COMPANY INC	600000000279	INFORMAL INF Battery Replac	Open	Commodities/Supplies	Authority Contract - Compet	8/22/2018	8/22/2020	Y	11/21/2020	116679.00	68530.00	102439.00	14240.00	2	Foreign	N	Y	1	Y	Below Dollar Threshold	16 MIDLAND AVE	BLUMWOOD PARK	NJ	7407		USA	
W. W. GRANGER INC.	600000000282	DOB cover operator's seat	Open	Commodities/Supplies	Authority Contract - Compet	5/18/2020	5/18/2020	Y	6/17/2020	79500.00	79500.00	79500.00	0.00	7	NYS	N	Y	2	Y	Below Dollar Threshold	58 - 45 GRAND AVE	MASPETH	NY	11378		USA	
W. W. GRANGER INC.	600000000283	MRO RFP: category C NYCT	Open	Commodities/Supplies	Authority Contract - Compet	11/15/2019	11/15/2019	Y	11/14/2024	3400000.00	217981.08	217981.08	#####	12	NYS	N	Y	2	N		58 - 45 GRAND AVE	MASPETH	NY	11378		USA	
W. W. GRANGER INC.	600000000285	MRO RFP: category C NYCT	Open	Commodities/Supplies	Authority Contract - Compet	11/15/2019	11/15/2019	Y	11/14/2024	4622936.00	324264.37	324264.37	4298671.63	12	NYS	N	Y	2	N		58 - 45 GRAND AVE	MASPETH	NY	11378		USA	
WABTEC PASSENGER TRANSIT	600000000286	Sub CURRENT COLLECTOR ASSEMBLY	Open	Commodities/Supplies	Authority Contract - Compet	10/6/2020	10/6/2020	Y	10/9/2020	97000.00	0.00	0.00	1	Foreign	N	Y	0	Y	Below Dollar Threshold	130 RIDGEVIEW CENTER DR	DUNCAN	SC	29334		USA		
WABTEC PASSENGER TRANSIT	600000000289	Patron and Rod Assembly	Completed	Commodities/Supplies	Authority Contract - Non-Comp	2/21/2018	2/21/2018	Y	5/20/2019	194700.00	21240.00	134520.00	60180.00	1	Foreign	N	N	0	Y	Sole Source	194700.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000292	Sub TAIL STUD NUT	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/19/2018	11/19/2018	Y	2/19/2019	51420.00	29995.00	24280.00	17140.00	1	Foreign	N	N	0	Y	Sole Source	51420.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000293	Sub Flat Washer 16-42-2801	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/28/2020	1/29/2020	Y	4/27/2022	95400.00	21200.00	21200.00	74200.00	1	Foreign	N	N	0	Y	Sole Source	95400.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000294	Sub Overhaul Kit for TBU	Completed	Commodities/Supplies	Authority Contract - Non-Comp	1/9/2018	1/9/2019	Y	4/8/2020	108943.50	26537.00	108943.50	0.00	1	NYS	N	N	0	Y	Sole Source	108943.50		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000295	Sub Torison Helical Spring	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/6/2018	9/6/2018	Y	12/5/2020	128150.00	30756.00	108927.50	19222.50	1	Foreign	N	N	0	Y	Sole Source	128150.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000296	Sub CLUTCH WASHER	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/5/2020	5/8/2020	Y	8/6/2022	26552.50	26552.50	224997.50	1	Foreign	N	N	0	Y	Sole Source	251550.00		DUNCAN	SC	29334		USA	
WABTEC PASSENGER TRANSIT	600000000297	Sub GASKET - REPLACEMENT CONT	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/3/2019	4/3/2019	Y	7/2/2021	64500.00	28040.00	24080.00	42937.00	1	Foreign	N	N	0	Y	Sole Source	64500.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000298	Sub Ball Vent Valve	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/12/2018	9/12/2018	Y	12/11/2020	82458.00	35320.00	76350.00	6108.00	1	Foreign	N	N	0	Y	Sole Source	82458.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000299	Sub Gasket	Open	Commodities/Supplies	Authority Contract - Non-Comp	4/12/2018	4/12/2018	Y	7/9/2020	40275.00	20585.00	32220.00	8955.00	1	Foreign	N	N	0	Y	Sole Source	40275.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000301	Sub Patron Assembly and Rod	Completed	Commodities/Supplies	Authority Contract - Non-Comp	8/25/2017	8/25/2017	Y	11/22/2019	158400.00	24440.00	158400.00	0.00	1	Foreign	N	N	0	Y	Sole Source	158400.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000302	Sub TUBE	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/4/2019	9/6/2019	Y	12/3/2021	49050.00	22890.00	22890.00	26160.00	1	Foreign	N	N	0	Y	Sole Source	49050.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000303	Sub SOLID STATE TIMER	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/20/2018	9/20/2018	Y	12/18/2020	114580.90	31494.75	92084.65	22496.25	1	Foreign	N	N	0	Y	Sole Source	114580.90		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000304	Sub Elect Head Cover Gasket	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/31/2019	6/3/2019	Y	8/30/2021	91640.00	21764.50	33219.50	58403.50	1	Foreign	N	N	0	Y	Sole Source	91640.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000305	Sub Portion Relay Valve 18-	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/2/2020	1/2/2020	Y	1/14/2020	31600.00	31600.00	31600.00	0.00	1	Foreign	N	N	0	Y	Sole Source	31600.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000308	Sub MAGNETIC SPEED SENSOR	Completed	Commodities/Supplies	Authority Contract - Non-Comp	8/11/2017	8/11/2017	Y	11/8/2019	208550.00	29100.00	208550.00	0.00	1	Foreign	N	N	0	Y	Sole Source	208550.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000309	Sub Self-Lapping Valve Assemb	Completed	Commodities/Supplies	Authority Contract - Non-Comp	12/4/2018	12/4/2018	Y	12/6/2018	34650.00	34650.00	34650.00	0.00	1	Foreign	N	N	0	Y	Sole Source	34650.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000310	Sub EMERGENCY RELEASE RING KIT	Open	Commodities/Supplies	Authority Contract - Non-Comp	3/13/2018	3/14/2018	Y	6/12/2020	98940.00	32010.00	81480.00	17460.00	1	Foreign	N	N	0	Y	Sole Source	98940.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000324	Sub Resistor Ballant 18-46	Open	Commodities/Supplies	Authority Contract - Non-Comp	9/9/2019	9/11/2019	Y	12/8/2021	71000.00	21300.00	21300.00	49770.00	1	Foreign	N	N	0	Y	Sole Source	71000.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000325	Sub GASKET - REPLACEMENT CONT	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/25/2019	10/25/2019	Y	1/7/2022	62900.00	29651.00	29651.00	42149.00	1	Foreign	N	N	0	Y	Sole Source	67800.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000326	Sub Valve 18-56-7215	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/20/2020	1/20/2020	Y	4/30/2020	34200.00	25650.00	25650.00	8500.00	1	Foreign	N	N	0	Y	Sole Source	34200.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000328	Sub OH Kit for GW Air Dryer	Open	Commodities/Supplies	Authority Contract - Compet	8/29/2018	8/30/2019	Y	11/24/2021	131952.00	53605.50	53605.50	78346.50	2	Foreign	N	Y	0	N	Below Dollar Threshold	130 RIDGEVIEW CENTER DR	DUNCAN	SC	29334		USA	
WABTEC PASSENGER TRANSIT	600000000332	Sub SPECIAL SPRING	Open	Commodities/Supplies	Authority Contract - Non-Comp	2/6/2019	2/6/2019	Y	5/9/2021	67670.00	25460.00	50250.00	17420.00	1	Foreign	N	N	0	Y	Sole Source	67670.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000341	Sub GASKET	Open	Commodities/Supplies	Authority Contract - Non-Comp	6/21/2019	6/24/2019	Y	9/24/2021	66250.00	31137.50	36437.50	29812.50	1	Foreign	N	N	0	Y	Sole Source	66250.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000352	Sub Patron 18-34-3201 APOF	Open	Commodities/Supplies	Authority Contract - Non-Comp	1/28/2020	1/28/2020	Y	1/28/2020	70300.00	18500.00	18500.00	51800.00	1	Foreign	N	N	0	Y	Sole Source	70300.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000353	Sub Retainer 16-42-2418 APO	Open	Commodities/Supplies	Authority Contract - Non-Comp	11/20/2019	11/21/2019	Y	2/17/2022	57525.00	29868.75	29868.75	27656.25	1	Foreign	N	N	0	Y	Sole Source	57525.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000354	Sub Safety Valve F-3	Open	Commodities/Supplies	Authority Contract - Non-Comp	8/24/2018	8/24/2018	Y	11/20/2020	147615.00	36336.00	115821.00	31794.00	1	Foreign	N	N	0	Y	Sole Source	147615.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000355	Sub BUSHING	Open	Commodities/Supplies	Authority Contract - Non-Comp	10/3/2019	10/14/2019	Y	11/14/2022	47800.00	23900.00	23900.00	23900.00	1	Foreign	N	N	0	Y	Sole Source	47800.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000356	Sub Gasket 46-40-2900	Open	Commodities/Supplies	Authority Contract - Non-Comp	5/15/2018	5/15/2018	Y	8/14/2020	117600.00	19600.00	93100.00	24500.00	1	Foreign	N	N	0	Y	Sole Source	117600.00		DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000357	Cylinder Head																									

WABTEC PASSENGER TRANSIT	600000000261	SUB Electrical Control 18-38	Open	Commodities/Supplies	Authority Contract - Non-Com	6/18/2020	6/9/2020	Y	9/6/2022	107100.00	0.00	0.00	107100.00	1	Foreign	N	N	0	Y	Sole Source	130 RIDGEVIEW CENTER DR	DUNCAN	SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000271	SUB SPRING STOP	Open	Commodities/Supplies	Authority Contract - Non-Com	11/18/2020	12/7/2020	Y	3/7/2022	103400.00	0.00	0.00	103400.00	1	Foreign	N	N	0	Y	Sole Source	103400.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000281	SUB Body 18-38-1216	Open	Commodities/Supplies	Authority Contract - Non-Com	8/17/2020	8/17/2020	Y	8/18/2020	99540.00	0.00	0.00	99540.00	1	Foreign	N	N	0	Y	Sole Source	99540.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000291	SUB WASHER	Open	Commodities/Supplies	Authority Contract - Non-Com	12/2/2019	12/4/2019	Y	3/2/2022	63360.00	17952.00	17952.00	45408.00	1	Foreign	N	N	0	Y	Sole Source	63360.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000301	SUB Valve 18-68-8986 APOC	Open	Commodities/Supplies	Authority Contract - Non-Com	10/28/2020	11/19/2020	Y	2/16/2023	93060.00	0.00	0.00	93060.00	1	Foreign	N	N	0	Y	Sole Source	93060.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000311	SUB SHOULDER PIN	Open	Commodities/Supplies	Authority Contract - Non-Com	10/21/2020	10/21/2020	Y	1/20/2023	142290.00	0.00	0.00	142290.00	1	Foreign	N	N	0	Y	Sole Source	142290.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000321	SUB R188 TRU Kit Parking Bra	Open	Commodities/Supplies	Authority Contract - Non-Com	12/15/2020	12/16/2020	Y	3/15/2022	82950.00	0.00	0.00	82950.00	1	Foreign	N	N	0	Y	Sole Source	82950.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000331	SUB O-ring Pump	Open	Commodities/Supplies	Authority Contract - Non-Com	12/16/2020	12/16/2020	Y	3/11/2022	76999.00	0.00	0.00	76999.00	1	Foreign	N	N	0	Y	Sole Source	76999.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000341	SUB Pressure Switch 18-68-911	Open	Commodities/Supplies	Authority Contract - Non-Com	12/28/2020	12/29/2020	Y	3/28/2022	35500.00	0.00	0.00	35500.00	1	Foreign	N	N	0	Y	Sole Source	35500.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000351	SUB Pkton Seal 18-68-919	Open	Commodities/Supplies	Authority Contract - Non-Com	19/28/2020	10/29/2020	Y	1/26/2023	71550.00	0.00	0.00	71550.00	1	Foreign	N	N	0	Y	Sole Source	71550.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000361	SUB YOKE	Open	Commodities/Supplies	Authority Contract - Non-Com	6/10/2019	6/10/2019	Y	9/10/2021	254800.00	93600.00	93600.00	161200.00	1	Foreign	N	N	0	Y	Sole Source	254800.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000371	SUB Nut Tail Plug 16-42-237	Open	Commodities/Supplies	Authority Contract - Non-Com	5/12/2020	5/13/2020	Y	8/12/2021	69212.00	0.00	0.00	69212.00	1	Foreign	N	N	0	Y	Sole Source	69212.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000381	SUB BUSHING	Open	Commodities/Supplies	Authority Contract - Non-Com	12/23/2020	12/28/2020	Y	3/28/2022	63250.00	0.00	0.00	63250.00	1	Foreign	N	N	0	Y	Sole Source	63250.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000391	SUB WASHER	Open	Commodities/Supplies	Authority Contract - Non-Com	8/19/2020	8/21/2020	Y	11/21/2022	57800.00	0.00	0.00	57800.00	1	Foreign	N	N	0	Y	Sole Source	57800.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000401	SUB ARC SHIELD	Open	Commodities/Supplies	Authority Contract - Non-Com	10/9/2020	10/9/2020	Y	1/6/2023	55020.00	0.00	0.00	55020.00	1	Foreign	N	N	0	Y	Sole Source	55020.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000411	SUB D-1-A Trip Cock 18-88-00	Open	Commodities/Supplies	Authority Contract - Non-Com	6/5/2020	6/5/2020	Y	6/6/2020	52800.00	0.00	0.00	52800.00	1	Foreign	N	N	0	Y	Sole Source	52800.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000421	SUB STOP STEM	Open	Commodities/Supplies	Authority Contract - Non-Com	8/26/2020	8/28/2020	Y	11/28/2022	50375.00	0.00	0.00	50375.00	1	Foreign	N	N	0	Y	Sole Source	50375.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000431	SUB FULCRUM BUSHING	Completed	Commodities/Supplies	Authority Contract - Non-Com	12/18/2017	12/19/2017	Y	3/18/2020	166385.00	63130.00	166112.15	272.85	1	Foreign	N	N	0	Y	Sole Source	166385.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000441	SUB Oil Pump Valve 18-46-0046	Open	Commodities/Supplies	Authority Contract - Non-Com	10/28/2020	11/17/2020	Y	2/14/2023	42300.00	0.00	0.00	42300.00	1	Foreign	N	N	0	Y	Sole Source	42300.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000451	SUB FLUX HOLDER	Open	Commodities/Supplies	Authority Contract - Non-Com	6/22/2020	6/26/2020	Y	9/26/2022	41982.00	0.00	0.00	41982.00	1	Foreign	N	N	0	Y	Sole Source	41982.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000461	SUB RETAINING SCREW	Open	Commodities/Supplies	Authority Contract - Non-Com	11/4/2020	11/10/2020	Y	2/10/2023	72128.00	0.00	0.00	72128.00	1	Foreign	N	N	0	Y	Sole Source	72128.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000471	SUB Overhaul Kit for BFC TRU	Completed	Commodities/Supplies	Authority Contract - Non-Com	13/11/2018	12/11/2018	Y	12/19/2019	999856.50	358386.50	999856.50	0.00	1	Foreign	N	N	0	Y	Sole Source	999856.50		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000481	SUB OVERHAUL KIT	Open	Commodities/Supplies	Authority Contract - Non-Com	12/18/2019	12/18/2019	Y	9/13/2021	999976.25	153842.50	153842.50	846133.75	1	Foreign	N	N	0	Y	Sole Source	999976.25		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000491	SUB MAGNET VALVE	Open	Commodities/Supplies	Authority Contract - Non-Com	6/21/2019	6/24/2019	Y	9/24/2021	393040.00	158718.80	181838.80	211201.20	1	Foreign	N	N	0	Y	Sole Source	393040.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000501	SUB OH Kit for E5-30 Governor	Open	Commodities/Supplies	Authority Contract - Non-Com	8/2/2019	8/5/2019	Y	11/11/2021	345100.00	158865.00	193375.00	151725.00	1	Foreign	N	N	0	Y	Sole Source	345100.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000511	SUB Final Filter Element	Open	Commodities/Supplies	Authority Contract - Non-Com	1/28/2019	1/28/2019	Y	4/26/2021	78000.00	11700.00	53820.00	24180.00	2	Foreign	N	Y	0	Y	Below Dollar Threshold	78000.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000521	SUB Check Valve 18-74-4020	Open	Commodities/Supplies	Authority Contract - Compet	3/11/2019	3/12/2019	Y	6/8/2021	40000.00	12000.00	16000.00	24000.00	1	Foreign	N	N	0	Y	Below Dollar Threshold	40000.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000531	SUB HOSE FLEXIBLE CONNECTOR	Open	Commodities/Supplies	Authority Contract - Compet	7/24/2020	7/28/2020	Y	10/28/2022	72225.00	12037.50	12037.50	60187.50	2	Foreign	N	Y	0	Y	Below Dollar Threshold	72225.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000541	SUB Pinion Assembly 13-42-3	Open	Commodities/Supplies	Authority Contract - Non-Com	6/28/2018	6/29/2018	Y	9/28/2020	41100.00	12124.50	22399.50	18700.50	1	Foreign	N	Y	0	Y	Below Dollar Threshold	41100.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000551	SUB DESICCANT	Open	Commodities/Supplies	Authority Contract - Non-Com	12/18/2019	12/19/2019	Y	3/19/2021	310250.00	200750.00	200750.00	109500.00	1	Foreign	N	N	0	Y	Sole Source	310250.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000561	SUB OVERHAUL KIT	Open	Commodities/Supplies	Authority Contract - Non-Com	11/15/2019	12/2/2019	Y	12/2/2020	999950.00	685680.00	685680.00	314270.00	1	Foreign	N	N	0	Y	Sole Source	999950.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000571	SUB ELECTRICAL CONTROL	Open	Commodities/Supplies	Authority Contract - Non-Com	9/26/2019	9/20/2019	Y	12/30/2021	237240.00	85670.00	85670.00	151570.00	1	Foreign	N	N	0	Y	Sole Source	237240.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000581	SUB O H Kit for Spring Parkin	Completed	Commodities/Supplies	Authority Contract - Non-Com	12/11/2018	12/11/2018	Y	9/26/2020	832865.00	372997.50	832865.00	0.00	1	NYS	N	0	Y	Sole Source	832865.00		SC	29334		USA	
WABTEC PASSENGER TRANSIT	600000000591	SUB FULCRUM CYLINDER	Open	Commodities/Supplies	Authority Contract - Non-Com	6/26/2020	6/26/2020	Y	6/26/2020	32975.00	146488.00	146488.00	32975.00	1	Foreign	N	N	0	Y	Sole Source	32975.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000601	SUB SOLENOID VALVE	Open	Commodities/Supplies	Authority Contract - Non-Com	8/22/2018	8/23/2018	Y	11/23/2021	56415.00	209515.50	209515.50	367399.50	1	Foreign	N	N	0	Y	Sole Source	56415.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000611	SUB Cylinder Luer	Open	Commodities/Supplies	Authority Contract - Non-Com	12/17/2018	12/17/2018	Y	3/16/2021	999991.50	316620.00	782755.00	217236.50	1	Foreign	N	N	0	Y	Sole Source	999991.50		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000621	SUB Trip Cock Handle 18-68-8	Open	Commodities/Supplies	Authority Contract - Non-Com	3/8/2019	3/11/2019	Y	6/7/2021	668250.00	308286.00	415206.00	253044.00	1	Foreign	N	N	0	Y	Sole Source	668250.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000631	SUB WEAR PLATE	Open	Commodities/Supplies	Authority Contract - Non-Com	2/21/2019	2/21/2019	Y	5/21/2021	618750.00	293400.00	523125.00	95625.00	1	Foreign	N	N	0	Y	Sole Source	618750.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000641	SUB FREU UNIT	Open	Commodities/Supplies	Authority Contract - Non-Com	11/20/2019	11/20/2019	Y	11/22/2019	285384.00	285384.00	285384.00	0.00	1	Foreign	N	N	0	Y	Sole Source	285384.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000651	SUB O H kit for Spring Brake	Open	Commodities/Supplies	Authority Contract - Non-Com	12/11/2018	12/11/2018	Y	3/10/2021	334387.50	240759.00	267510.00	66877.50	1	NYS	N	N	0	Y	Sole Source	334387.50		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000661	SUB EMERGENCY RELEASE KIT	Open	Commodities/Supplies	Authority Contract - Non-Com	12/3/2018	12/3/2018	Y	3/3/2021	420720.00	238408.00	294504.00	126216.00	1	Foreign	N	N	0	Y	Sole Source	420720.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000671	SUB Crank Bell 18-68-8431	Open	Commodities/Supplies	Authority Contract - Non-Com	5/1/2019	5/2/2019	Y	7/29/2021	260400.00	213714.00	216938.00	43462.00	1	Foreign	N	N	0	Y	Sole Source	260400.00		SC	29334		USA
WABTEC PASSENGER TRANSIT	600000000681	SUB ACTUATING CYLINDER	Open	Commodities/Supplies	Authority Contract - Non-Com	4/6/2020	4/7/2020	Y	10/7/2020	369600.00	231000.00	231000.00														

TERRIER CLAIMS SERVICES, INC.
 THOMSON REUTERS - WEST
 WEISS, WEXLER & WORNOW, PC

	OUTSIDE COUNSEL
	OUTSIDE COUNSEL
	OUTSIDE COUNSEL

Open	Legal Services	Non Contract Procurement/Purchase Order						964.00				N					2640 HIGHWAY 70	BUILDING 12, 5 WALL	NJ	8736			USA	
Open	Legal Services	Non Contract Procurement/Purchase Order						55296.00				N					P O BOX 6292	CAROL STREAM	IL	60197	6292			USA
Open	Legal Services	Non Contract Procurement/Purchase Order						428673.00				N					11 PARK PLACE, SUITE 1400	NEW YORK	NY	10007				USA



MTA REAL ESTATE DEPARTMENT

REAL PROPERTY

DISPOSITION GUIDELINES

EFFECTIVE AS OF-

MARCH 17, 2021 ~~25 2020~~

Staff Summary

Subject PROPERTY DISPOSITION GUIDELINES
Department REAL ESTATE / CORPORATE COMPLIANCE
Department Head Name JOHN N. LIEBER / LAMOND KEARSE
Department Head Signature
Project Manager Name ANDREA TEDESCHE-GOMEZ/JULIE VASADY-KOVACS

Date MARCH 17, 2021
Vendor Name
Contract Number
Contract Manager Name
Table of Contents Ref. #

Board Action					
Order	To	Date	Approval	Info	Other
1	Finance Committee	3/17/21	X		
2	Board	3/17/21	X		

Internal Approvals			
Order	Approval	Order	Approval
1	Legal		
2	Chief Development Officer		
3	Chief of Staff		
4	Chief Financial Officer		

Purpose:

To obtain MTA Board approval of (i) revised and updated MTA Real Estate Department’s Real Property Disposition Guidelines (the “2021 Real Property Disposition Guidelines”) and (ii) updated MTA’s All-Agency Guidelines for the Disposal of Personal Property (the “2021 Personal Property Disposition Guidelines”), and the appointment of the MTA staff persons designated as the Personal Property Disposition Contracting Officers listed on Attachment C, each promulgated in accordance with Section 2895-2897 of the Public Authorities Law.

Discussion:

Section 2896 of the Public Authorities Law, enacted in 2005, requires that public authorities annually review and approve “comprehensive guidelines” adopted by resolution that detail its “operative policy and instructions” regarding disposals of real and personal property, and designate a contracting officer responsible for complying with and enforcing the guidelines. Each year the statute requires each public authority’s governing board to review and approve its guidelines by March 31st and to file a copy of the Guidelines with the State Comptroller. The proposed 2021 Real Property Disposition Guidelines, prepared by the MTA Real Estate Department and designating the Chief Development Officer of the MTA as the Real Property Disposition Contracting Officer are annexed as Attachment A to this Staff Summary. The proposed 2021 Personal Property Disposition Guidelines, prepared by MTA Corporate Compliance in consultation with MTA Agency procurement departments, are annexed as Attachment B to this Staff Summary. A list of the MTA staff persons designated as the Personal Property Disposition Contracting Officers is annexed as Attachment C to this staff summary. The attachments are available for review by the MTA Board members in the Director’s Desk Exhibit Book and on MTA.INFO.

Real Property Disposition Guidelines:

In March 2020, the MTA Board approved the MTA Real Estate Department’s current real property disposition guidelines, which detailed MTA Real Estate’s policies and procedures for the disposal of real property.

The proposed 2021 Real Property Disposition Guidelines (see Attachment A) are substantially the same as those approved last year [except for those minor changes as shown redlined in Attachment A].

Personal Property Disposition Guidelines:

In March 2020, the MTA Board approved the current All-Agency Guidelines for the Disposal of Personal Property to provide a consistent set of personal property disposal policies and practice, across the MTA agencies.

Staff Summary

FINANCE COMMITTEE MEETING PROPERTY DISPOSITION GUIDELINES (Cont'd.)

The proposed 2021 Personal Property Disposition Guidelines (see Attachment B) have a nonsubstantive title change; therefore, are substantially the same as the 2020 guidelines.

Recommendation:

It is recommended that the Board adopt the resolution attached to this Staff Summary approving the 2021 Real Property Guidelines and the 2021 Personal Property Guidelines, designating the Chief Development Officer as the Real Property Disposition Contracting Officer for the MTA and MTA Agencies, and designating the MTA and MTA agency staff members listed on Attachment C of the Real Property Disposition Guidelines as the Contracting Officers for the MTA and MTA Agencies as the officers responsible for disposition of real property.

RESOLUTION

WHEREAS, the Public Authorities Law in Section 2896 requires annual review and approval of guidelines detailing a public authority's operative policy and instructions regarding the disposition of property and designating a contracting officer responsible for complying with and enforcing such disposition guidelines;

WHEREAS, the Boards of the Metropolitan Transportation Authority and its subsidiaries and affiliates (collectively, the "MTA Agencies"), have reviewed and by this resolution wish to approve the MTA Real Estate Department's Real Property Disposition Guidelines (the "2021 Real Property Disposition Guidelines") and the MTA All-Agency Guidelines for the Disposal of Personal Property (the "2021 Personal Property Guidelines") referred to in the Staff Summary to which this Resolution is attached (the "Staff Summary") and to designate the MTA Chief Development Officer as the Real Property Disposition Contracting Officer, and to designate the MTA Agency staff persons listed in Attachment C to the Staff Summary as Personal Property Disposition Contracting Officers;

NOW THEREFORE, BE IT:

RESOLVED, that the 2021 Real Property Disposition Guidelines annexed to the Staff Summary as Attachment A are hereby approved; and

RESOLVED, that the 2021 Personal Property Guidelines annexed to the Staff Summary as Attachment B are hereby approved; and

RESOLVED, that the MTA Chief Development Officer is hereby designated as the Real Property Disposition Contracting Officer for the MTA; and

RESOLVED, that the persons listed on Attachment C to the Staff Summary are hereby designated as Personal Property Disposition Contracting Officers.

Dated: March 17, 2021

Attachment A
2021 Real Property Disposition Guidelines

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INTRODUCTION

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INTRODUCTION

MTA Real Estate Department: The MTA Real Estate Department (“RED”)¹ handles real-estate-related matters and utility franchise agreements for the Metropolitan Transportation Authority (the “MTA”) and each of the MTA’s subsidiaries and affiliates, which include: MTA New York City Transit, Manhattan and Bronx Surface Transit Operating Authority, MTA Metro-North Railroad, MTA Long Island Rail Road, MTA Bridges and Tunnels, MTA Staten Island Railway, MTA Regional Bus Operations and MTA Design and Construction (each, an “MTA Agency”, and, collectively with the MTA, the “MTA Agencies”). References in these Guidelines to the MTA or to MTA Agency are intended to refer to both MTA and the other MTA Agencies, individually and/or collectively, as the context may require.

RED Structure: RED comprises two core groups – (i) Transactions & Operations (including, Facilities Management) (“TOM”) and (ii) Transit-Oriented Development (“TOD”). The TOM group is responsible for all real estate-related transactional matters (other than development and major capital projects) for the MTA Agencies (including acquisitions, dispositions and leasing of MTA Property), tenant management, and management and operations of MTA Facilities. The TOD group is responsible for all real estate-related development matters, whether by an MTA Agency, a government or other public or quasi-public entity and/or a private entity, which affects or involves any existing or to-be acquired MTA Property and/or any existing or proposed transit-related facility or amenity, including acquisitions and dispositions of real property or interests in real property for long-term revenue generation and transportation facilities; major capital projects in conjunction with or enhanced by real estate development; other co-development arrangements with private and public entities including public-private partnerships; and other opportunities for realizing revenue from real estate opportunities and strategic planning.

RED Governance: The MTA’s Chief Development Officer (the “CDO”) oversees the RED and works with a team of senior officers, including a Deputy Chief Development Officer, Planning (the “Deputy CDO, Planning”). The Director, Real Estate Transactions and Operations (“Managing Director, TOM”)—reporting to the CDO, through the Deputy CDO, Planning -, has primary day-to-day responsibility for managing all TOM functions, with direct oversight for, among others, the Director, Transaction Management (“First Deputy, TOM”), Director, Grand Central Retail Leasing and Management Associate Director, Tenant Management, Associate Director, Operations and Deputy Director, Project Management. The Director, Transit-Oriented Development (“Managing Director, TOD”)—reporting to the CDO through the Deputy CDO, Planning—has primary day-to-day responsibility for managing all TOD functions with direct oversight for, among others, the Director, Real Estate Development, the Director, TOD Transactions and the Deputy Director, Value Capture. (See Figures 25 and 26 for TOM/TOD organizational charts as of the Effective Date of these Guidelines.)

The Chief Development Officer is responsible for the MTA’s and the MTA Agencies’ compliance with and enforcement of these Guidelines, as the Board-designated Real Property Disposition Contracting Officer for the MTA and MTA Agencies.

Scope of Guidelines: These Guidelines apply only to dispositions of real property under Sections 2895-2897 of the Public Authorities Law (“PAL”), including leasing-out or sale of real property and grants of

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interests in real property such as easements and leasehold interests, owned or leased and controlled by the MTA or other MTA Agency. The licensing-out of real property pursuant to licenses that the MTA or other MTA Agency may terminate at will in its sole and absolute discretion upon not more than 60 days' notice without compensation by the MTA or other MTA Agency including repayment of any unamortized tenant improvement costs—is not subject to PAL Section 2897 because it is not a disposition of an interest in real property. Such licensing-out is subject to the RED Policies and Procedures for the Licensing of Real Property dated November 29, 2011, as amended. If a license agreement provides that the licensee's right of use and occupancy is subject to termination only for specified reasons or upon payment of compensation by the MTA or other MTA Agency, however, then these Guidelines will apply. Similarly, the transfer of MTA Property or an interest in MTA Property to an entity or joint venture or other undertaking with another person or entity by contract, lease or other arrangement that involves MTA Property, and through which the MTA or MTA Agency will continue to own an indirect interest in the property, may be considered a mere change of identity in the form of ownership only—from a direct ownership interest to an indirect one—and thus not a disposal of such property subject to PAL Section 2897 and these Guidelines.—

The franchise licensing of utilities is also not subject to PAL Section 2987 but rather is authorized under PAL Section 1266(2) and approved by MTA Board action dated February 17, 2021 except to the extent the franchise license does not comply with all conditions for such exception as set forth in the Board approval.

These Guidelines are supplemented by the Guidelines for Selection of Tenants for Grand Central Terminal that were adopted by the MTA Board on November 18, 2009, as amended (the “GCT Leasing Guidelines”). In the event of any inconsistency or conflict between these Guidelines and the GCT Leasing Guidelines, with respect to a lease at Grand Central Terminal, the GCT Leasing Guidelines shall govern. A copy of the current GCT Leasing Guidelines is attached hereto as Attachment 1.

PAL Section 2897 provides that, as a general rule, any leasing-out or sale of real property can only be undertaken after public advertising for bids and for not less than fair market value. However, these limitations do not apply in certain circumstances, which are specified below. Accordingly, although most dispositions by sale or lease will be made pursuant to the request for proposals process that is described in Chapter III of these Guidelines (the “Lease/Sale RFP Process”), others may be made pursuant to direct negotiations as described in Chapter IV of these Guidelines (the “Lease/Sale Negotiation Process”).

These Guidelines are intended only for the internal guidance of officers and employees of the MTA. Nothing contained in these Guidelines is intended, and the same shall not be construed, to establish expressly or by implication, or confer upon any person or entity (including any prospective proposers or awardees) any right, privilege, remedy, claim or benefit under, or by reason of, any requirement or provision of these Guidelines for third parties. Except to the extent prohibited by law, any provision of these Guidelines may be waived by the MTA Board (by ratification or otherwise) or by the Chairman and Chief Executive Officer of the MTA.

¹ A glossary of defined terms used in these Guidelines appears at the end of these Guidelines at Page 47.

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Lease/Sale RFP Process: The Lease/Sale RFP Process is designed, among other things, to give effect to the following requirements under PAL Section 2897:

- the advertisement for proposals must be made prior to the leasing-out or sale, through the methods authorized by these Guidelines;
- all proposals must be publicly disclosed at the time and place stated in the advertisement; and
- the award must be made with reasonable promptness by notice to the responsible proposer whose proposal will be most advantageous to the State, price and other factors considered; provided, however that all proposals may be rejected when it is in the public interest to do so.

The Lease/Sale RFP Process can be single-step or multi-step as described in Chapter III of these Guidelines.

Lease/Sale Negotiation Process: PAL Section 2897 specifies that the Lease/Sale Negotiation Process can only be utilized under the following circumstances:

- (a) the fair market value of the property to be leased-out is an average of \$15,000.00 annually over the term or ~~sold~~the sale price does not exceed \$15,000, as applicable or
- (b) proposal prices after advertising are not reasonable, either as to all or some part of the property, or have not been independently arrived at in open competition; (even if the fair market value exceeds \$15,000); or
- (c) the disposition will be to the State or any political subdivision, and the estimated fair market value of the property and other satisfactory terms of disposal are obtained by negotiation (even if the fair market value exceeds \$15,000); or
- (d) under those circumstances permitted by subdivision seven of PAL Section 2897, which include the following:
 - (i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the asset will remain with the government or any other public entity;
 - (ii) the purpose of the transfer is within the purpose, mission or governing statute of the public authority; or
 - (iii) such action is otherwise authorized by law.

In the case of (a), (b) or (c) above, the disposition must be for no less than fair market value. In the case of (d) above, it can, but does not have to, be ~~for less than fair market value. However, if the disposition is~~ for less than fair market value, then the following information must be provided to the relevant MTA Agency Board (which must make a written determination based upon such information, that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer) and the public

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- (i) a full description of the asset;
- (ii) an appraisal of the fair market value of the asset and any other information establishing the fair market value sought by the board;
- (iii) a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the asset is situated as are required by the transfer;
- (iv) a statement of the value to be received compared to the fair market value;
- (v) the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this paragraph, a statement of the value to the private party; and
- (vi) the names of other private parties who have made an offer for such asset, the value offered, and the purpose for which the asset was sought to be used.

An “explanatory statement” is required if a leasing-out or sale pursuant to the Lease/Sale Negotiation Process meets certain criteria, as described in Chapter IV of these Guidelines. Each such explanatory statement must be transmitted to the New York State Comptroller, Director of the Budget, the Authorities Budget Office, the Commissioner of General Services and the Legislature not less than ninety days in advance of such disposition, and a copy thereof must be kept in the project file.

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CHAPTER I - INQUIRIES

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CHAPTER I - INQUIRIES

Scope - This chapter establishes guidelines for responding to verbal and written inquiries made to the RED regarding the disposition of MTA Property (“Inquiries”).

Responsibilities - RED is responsible for coordinating all responses to Inquiries. RED’s responsibilities include researching the ownership status of specific properties, contacting the applicable MTA Agencies to determine whether property is available and responding to inquirers.

Objective - To provide prompt, courteous and accurate responses to Inquiries.

Procedures – Except for Inquiries relating to the leasing of space at Grand Central Terminal, which should be referred to the Director, Grand Central Retail Leasing and Management, all Inquiries should be referred to the First Deputy Managing Director, who should either respond directly or else charge an appropriate deputy with doing so. In the first instance, the First Deputy Managing Director should ascertain:

- (1) precisely what property the inquirer is referring to, and which MTA Agency controls it; (2) whether the relevant MTA Agency owns such property or merely leases it and, in the case of leased property, to what extent subletting of such property is permissible;
- (3) what use the inquirer proposes to make of the property and whether such use would be compatible with MTA Agency use of any MTA Facilities that adjoin such property;
- (4) whether the RED has already been authorized to dispose of such property or (if not) whether the applicable MTA Agency might be amenable to disposing of such property; and
- (5) what restrictions would need to apply to any such disposition.

If the Managing Director and/or the First Deputy Managing Director determines that the subject property is available for purchase or lease, he or she (or their deputy or deputy’s designee) should so inform the inquirer, taking care to stipulate that (except as otherwise described in these Guidelines) any such sale or lease may be undertaken only in accordance with the Lease/Sale RFP Process, and should see to it that the inquirer is provided in due course with an opportunity to participate in the Lease/Sale RFP Process with respect to such property. If the First Deputy Managing Director determines that the subject property is not available for sale or lease but may be available under a license arrangement that may be revoked by the MTA at will, without cost, on not more than 60 days’ notice, he or she (or such deputy or deputy’s designee) should so explain to the inquirer, and in that case the Licensing-Out Guidelines shall apply to such property. Otherwise, the First Deputy Managing Director (or such deputy or designee) should inform the inquirer that the subject property is not available on any terms and invite the inquirer to register their interest on RED’s website for purposes of alerting the inquirer to other opportunities.

For templates for appropriate written responses, see Figures 1–5.

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CHAPTER II – ASSESSMENT OF OPPORTUNITIES

Scope – This chapter establishes guidelines for the assessment of opportunities to sell or lease MTA-controlled property that is not needed for operational purposes.

Responsibilities –RED is responsible for encouraging the MTA Agencies to identify MTA- controlled property that is either never going to be needed by the MTA Agency for operational purposes or not expected to be needed for such purposes until sometime in the future; for assessing the marketability of such property for sale, lease or license, as appropriate (taking into account relevant legal constraints, physical conditions and real estate market conditions); and for coordinating with the MTA Agencies to facilitate the disposition of such property or the licensing of such property subject to revocation when and as feasible.

Objective – To generate revenues for the MTA, consistent with the fulfillment of the MTA’s operating purposes.

Procedures – In response to Inquiries, on its own initiative and/or at the request of the MTA Agencies, the RED should evaluate MTA-controlled properties for their revenue-generating potential. To the extent that MTA-controlled property holds such potential, whether best to realize that potential by sale, lease, grant of easement rights or license will depend on an assessment of (a) the desirability and feasibility of sub-dividing and physically separating such property from adjoining MTA-controlled property, (b) the extent, if any, to which any MTA Agency may have future operational needs for use of the property is or can be envisioned, (c) whether (in any case) it will be necessary or desirable for the MTA Agency to maintain long-term control over the ownership and/or use of the property, (d) whether the MTA Agency owns the property or merely leases it, and the extent, if any, to which disposition of the property is limited by reason of the terms of any lease pursuant to which the MTA Agency controls the property or by reason of any other title encumbrances, and (e) the potential revenues to be realized. With respect to each property to be evaluated, the Managing Director and/or the First Deputy Managing Director should refer such property to the appropriate Deputy Director, who will designate a Transaction Manager to conduct the evaluation under their supervision.

In all cases where an inquiry may have potential for development as a future or existing Transit-Oriented Development matter, the Managing Director, TOM and/or the First Deputy Managing Director, TOM, will direct such inquiry to the Managing Director, TOD and/or First Deputy Managing Director, TOD, to afford him or her the opportunity to explore the development potential.

Maintenance of Marketable Property List

With respect to TOM matters, the Deputy Directors are responsible for maintaining and regularly updating the marketable property list for their respective areas of responsibility. They are also responsible for using RED’s Yardi database to run reports and periodically check active accounts for expiring terms of leased or licensed property to ensure timely marketing of those opportunities is initiated.

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A. Site Analysis

The Transaction Manager shall conduct a site inspection with a representative of Tenant Management (or RED’s Tenant Management Vendor) and the appropriate personnel of the MTA Agency in order to evaluate the condition of the property, the surrounding area, ascertain what utility services may be available for the site and identify what code compliance concerns may need to be addressed. As a part of this inspection, the Transaction Manager should assess the need for and extent to which improvements might be required, and consult with appropriate personnel of the applicable MTA Agency concerning the feasibility and potential costs of such improvements, and (assuming that the cost of such improvements would be justified by the revenue-generating potential of the property) whether it would be most efficient and cost-effective for the applicable MTA Agency to make such improvements (either in anticipation of rental or license fee income or at the direct cost of a lessee, licensee or purchaser) or for the making and/or cost of such improvements to be left to the purchaser, lessee or licensee. After the site inspection, a site assessment report will be completed, with input from all agency personnel and TMU/Tenant Management Vendor. The Transaction Manager should also consult with Information Management and MTA Legal to ensure that the Transaction Manager is aware of any contractual or other legal limitations that would affect the MTA Agency’s ability to dispose of the subject property.

In order to determine the marketability of a property, the following (where applicable) should be considered:

- Present use/condition of site
- Accessibility
- Visibility
- Surrounding uses
- Size, shape and physical characteristics of site
- Condition of street and station improvements
- Impact of any MTA Agency restrictions
- Availability of utility services
- Extent to which improvements are needed and MTA Agency funds are available to pay for such improvements
- Easements or restrictive covenants
- Traffic patterns/passenger volume counts - peak and off peak
- Existing retail at station
- Consumer activity during rush and non-rush hour periods
- Condition of neighboring properties
- Existing vacancies in the area
- New/potential development nearby
- Any operating issues noted by Tenant Management
- Adequacy of storage space
- Environmental matters

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- Any Federal Funding Requirements
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Generally, the leasing-out or sale of MTA Property constitutes an “action” under the State Environmental Quality Review Act (“SEQRA”) and thus is subject to SEQRA’s requirements. SEQRA requires review of actions to determine whether they may have a significant adverse environmental impact and, if so, preparation of an environmental impact statement before the action may be approved and undertaken. While most lease renewals will be Type II actions under SEQRA regulations – actions which the State Department of Environmental Conservation has found to have no significant adverse impacts – and thereby exempt from review, and some new leases may also fall within a Type II category, the Transaction Manager should consult with MTA Legal as early in the process as practical to determine what measures are necessary to ensure SEQRA compliance. Further consultation may be necessary as a proposal develops or changes over time. Board approval of a lease or sale or grant of easement rights in MTA Property cannot occur unless SEQRA requirements have been met either through (a) the action being exempt as a Type II action under SEQRA or falling within the PAL § 1266(11) exemption from SEQRA; (b) issuance of a negative declaration, or (c) preparation of a final environmental impact statement. If the leasing-out or sale of MTA Property involves any federal action, which is rare, the leasing-out or sale would be subject to National Environmental Policy Act (“NEPA”) in addition to SEQRA.

Each proposed lease or sale, whether via the RFP Process or the Lease/Sale Negotiation Process, requires preparation of and sign-off on a Pre-RFP Authorization Memo (Figure 9), as further described in Chapters III and IV. Each Pre-RFP Authorization Memo should note the SEQRA type or NEPA status, and required actions, if any, to be taken before the transaction is presented to the MTA Board for approval.

Additionally, MTA Legal’s Deputy General Counsel, Finance must review the location(s) being offered in each RFP, with the RED providing location-specific information as required, prior to the issuance of the RFP to determine whether there are any tax-exempt bond related tax issues that would arise from private use. Only when MTA Legal’s Deputy General Counsel, Finance clears such location and use should it be advertised, unless circumstances require advertisement before clearance is given, in which case the RFP must be subject to cancellation if clearance is not given prior to award. The Pre-RFP Authorization Memo contains two (2) boxes which must be checked by the Transaction Manager completing that form indicating that MTA Legal’s Deputy General Counsel, Finance reviewed the location and use and has given approval to proceed with the RFP. A copy of the MTA Legal’s Deputy General Counsel, Finance determination should be kept in the RFP file.

Prior to issuing an RFP, the Transaction Manager should prepare a Parcel Information Sheet (Figure 6) for each property that he or she determines to be marketable. The purpose of the Parcel Information Sheet is to collect information that will ultimately be used to describe the property being disposed of in the RFP.

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Each Parcel Information Sheet should include information for all applicable fields. A form of Parcel Information Sheet applicable to all MTA Agencies may be found in the RED shared folder at S:\RFP Documents and Forms\RFP Boilerplate Forms. The parcel information includes:

- Yardi property identification number and address
- Block and lot number, if applicable
- Site plan
- Site description, including approximate size and any unusual attributes
- Interest (i.e., lease, license, sale) being offered
- Preferred or required uses or any use restrictions
- Any desired reservation of rights
- Utility requirements and availability
- Improvement requirements and associated cost estimates
- Design guidelines (if any)
- Transaction Manager site visit date

The assessment of potential uses and restrictions on use (including any restrictions on items to be sold at the subject location) should take into account the following considerations, if applicable:

- MTA Agency requirements
- Restrictions in existing MTA Agency licenses or leases at location
- Restrictions in MTA Agency's deed or chain of title
- Land use regulations and building, fire, health and other like codes, to the extent deemed by MTA Legal to be applicable to the subject facilities
- Environmental conditions

B. Preliminary Fair Market Value Analysis

Prior to ordering an appraisal or while one is being prepared (see Chapter V), the Transaction Manager should to the best of his or her ability seek to estimate the fair market value of the subject property. For such purpose, the Transaction Manager may rely on his or her knowledge of market conditions, information in the Yardi database, the experience of RED colleagues, informal discussions with appraisers, posted broker listings for comparable properties and/or discussions with brokers; provided, however, that any brokers so contacted should be informed that the inquiry is for information purposes only and that the MTA is not (or is not yet) seeking the assistance of such brokers to locate prospective purchasers or tenants. If an estimate of fair market value has already been obtained, the Transaction Manager should use it to help inform their analysis.

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C. Conclusion of Site and Preliminary Fair Market Value Analysis

The Transaction Manager should prepare a market analysis work sheet describing their analysis of the property and conclusions regarding the marketability of the property. The Transaction Manager should use such market analysis work sheet to guide their discussions with their Deputy Director, and the discussion should encompass the following:

- Parcel Information Sheet
- Review of the market analysis work sheet and explanation of fair market value estimate
- Analysis of whether revenue-generating potential appears to justify cost and effort to make any required improvements to the property.
- Critical assumptions.

The Deputy Director should, upon conclusion of discussions with the Transaction Manager and resolution of any outstanding issues, then present the Pre-RFP Authorization Memo (with the market analysis work sheet attached) to the First Deputy Managing Director, for approval. Both the Deputy Director and First Deputy Managing Director should agree as to whether the property should be marketed for sale or lease or license. If they determine to move forward with marketing for sale or lease, the First Deputy Managing Director should authorize the commissioning of valuation of the fair market value of MTA Property or the interest therein being disposed of, if it has not already been commissioned, as provided in Chapter V of these Guidelines. The Pre-RFP Authorization Memo is also approved by the Managing Director.

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CHAPTER III – REQUESTS FOR PROPOSALS (RFPs)

Scope - This chapter describes the Lease/Sale RFP Process.

Responsibilities – Unless use of the Lease/Sale Negotiation Process is authorized, the RED is responsible for providing all qualified members of the general public with an opportunity to lease or purchase available properties from MTA or the MTA Agencies and for selecting tenants or purchasers based on predetermined priorities while ensuring the integrity of the selection process.

Objective - To maximize MTA revenues while choosing qualified tenants or purchasers who will meet their obligations and improve the appearance of MTA Facilities and the quality of the amenities offered to the public at such facilities.

Procedures - The Lease/Sale RFP Process is described in the following pages.

A. RFP PUBLICATION

1. The Transaction Manager is responsible for preparing the request for proposals (“RFP”). The RFP must include:
 - a. an “RFP Cover Letter”, which highlights information about the offering, contains general instructions, and the following two PAL and Lobbying Law-required statements: (1) **“All proposals shall be publicly disclosed in the agenda for the meeting of the Finance Committee of the MTA Board at which the transaction will be considered for approval or disclosed to the members of the Finance Committee of the MTA Board and to members of the MTA Board in executive session and thereafter publicly disclosed prior to execution”**, and (2) **“All contacts with MTA relating to this RFP must be made through the designated Point(s) of Contact identified herein. Contacts with anyone else at MTA relating to this RFP may be a violation of law and may result in the disqualification of the proposer.”** The RFP Cover Letter should include the names and contact information for the designated Point(s) of Contact (a sample RFP Cover Letter is shown in Figure 7);
 - b. the Parcel Information Sheet (see Figure 6);
 - c. Term Sheet;
 - d. a Proposer Information Form (“PIF” – See Figure 8) which includes a General Affidavit authorizing credit background and reference investigations;
 - e. a Procurement Lobbying Law Certification form (also known as the NYS Finance Law Sections 139-j & 139-k (“Lobbying Law”) Disclosure Statement);
 - f. an Iran Divestment Act Certification form;
 - g. a Proposed Rent, Compensation or Purchase Price Form; and
 - h. an IRS Form W-9.

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To the extent practicable, the RFP should also include (or provide a link to a page on the RED website that includes) a proposed form of lease or contract of sale, or a summary of the principal terms of such lease or contract, and, it may to the extent the First Deputy Managing Director deems appropriate, require proposers to state any objections that they may have with respect to such form or terms at the time they respond to the RFP.

When this package is complete, the Transaction Manager should forward the RFP package to their Deputy Director and the First Deputy Managing Director for final approval via an appropriately titled Pre-RFP Authorization Memo (see Figure 9) before it is issued. An RFP should also be reviewed and approved by MTA Legal to the extent that (a) standard RFP provisions have been materially modified or changed, or (b) the contemplated transaction may present special legal issues.

2. RFPs are not printed and distributed by mail. RED maintains a database for prospective tenants to register on the MTA’s website and access all RFPs online. RED’s online service includes automated electronic mailings to registered prospects announcing RFPs for available opportunities.

3. If the subject property is currently occupied by an incumbent lessee or licensee in good standing, the Transaction Manager should notify such incumbent by an Incumbent Letter sent by certified and first class mail and email that such property is going to be marketed in an upcoming RFP. The Transaction Manager must check that the address for the incumbent used in the Incumbent Letter is up to date and reflects any change of address, change of business name, etc. (For an example of an Incumbent Letter, see Figure 10). A copy of the Incumbent Letter and the return receipt should be maintained in the incumbent’s file. The Incumbent Letter should not mention any details of the RFP, as that might give the incumbent an unfair advantage over other proposers, nor should it state or imply that the incumbent will receive preferential consideration. The letter should be mailed to the incumbent's address as listed for notice in the incumbent’s original agreement, as modified by any subsequent amendments or notices of change of address. Any questions regarding the appropriate address for notice should be referred to Tenant Management (and MTA Legal, if necessary). The Incumbent Letter should include a link to the website address where the RFP will be posted in order for the incumbent lessee or licensee to access the RFP.

4. After receiving approval of the Pre-RFP Authorization Memo from their Deputy Director and the First Deputy Managing Director, the Transaction Manager should initiate the advertisement of the RFP. RED's advertisements for RFPs and any other opportunities will generally be advertised along with all other agency procurements in the classified section of the *New York Post*, the *Minority Commerce Weekly*, a Spanish language newspaper, currently *El Diario*, and the *Amsterdam News*. For each RFP, the advertisement should include a one-sentence description of the opportunity, including the RFP reference number (e.g., RFPBG0912), and a reference to and listing of the MTA website for RED RFPs (http://enterprise.nymta.info/MTA_Real_Estate_RFP/). For an example of the RFP Advertisement, see Figure 11. The RFP website link should have the same language as the description in the advertisement. The advertisement will direct potential proposers to the “Retail + Leasing” page of the MTA website, from where they can access RFPs by signing in with a username and password. Point of Contact information will be included in the RFP cover letters that are included in the .PDFs of RFPs on the website.

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Advertisements may also be placed in additional periodicals with the approval of the First Deputy Managing Director, depending on the targeted market, size and location of the property, etc. (e.g. for a restaurant, trade journals targeted to franchisees might be included). A copy of all advertisements should be included in the RFP File. Targeted advertisements should include a more expansive description of the opportunity and a map, plan, or picture if appropriate. Also, these advertisements should include Point of Contact information and the Lobbying Law language shown above in 1.(a)(1) and 1.(a)(2). See Figure 11 for an example of such an advertisement.

Advertisement Subject to Senior Vice-president/Chief Customer Officer approval, advertisement drafts must be submitted to Miller Advertising on Tuesday before the Thursday planned for the advertisement to have the RFP information placed in the next MTA advertisements for the four required periodicals. The Manager of Production, in MTA’s Marketing & Communications Department, should be copied on the email to Miller Advertising. The Transaction Manager should also ensure that the RFP is timely posted onto MTA’s website.

5. At the same time, the Transaction Manager will call or send an email to the MTA press office, as well as the agency’s public affairs office, being sure to email the individual responsible for press for the relevant MTA Agency, advising that an RFP is forthcoming, indicating whether a purchaser or tenant is being sought and describing the property being offered. The Transaction Manager should discuss with the press office whether a press release is warranted for the RFP due to the special circumstances or locations being offered in the RFP, and if so, coordinate the drafting and review of the press release with the relevant Deputy Director and First Deputy Managing Director. If warranted, the Government Affairs office of the relevant MTA Agency should also be advised of the RFP.

6. On a case by case basis, the First Deputy Managing Director may, with the concurrence of the Managing Director, (a) elect to make RFPs available to our on-call brokers and undertake in such RFPs to pay brokerage commissions (at the rates previously negotiated in our on-call contract) to any brokers that represented the MTA on the consummated sales or leases of MTA Properties that are the subject of such RFPs.

7. The Transaction Manager is responsible for seeing to it that each person or entity that has made an inquiry with respect to any particular property or type of property receives notice of the issuance of any RFP relating to such property or type of property.

8. A site visit time for prospective proposers is typically identified in the RFP. At the indicated time of the site visit, the Transaction Manager should be present at the site and available to answer any questions. The Transaction Manager should maintain a sign-in sheet for each location visited.

9. Addenda to RFPs can and should be issued as appropriate to apprise prospective purchasers or tenants of modified expectations or requirements, to provide them with other additional information and/or to respond to any questions that arise during the RFP process. Care must be taken to ensure that in this way all interested parties are provided in writing with all material information that is provided (whether orally or in writing) to any one such party.

10. The Transaction Manager must establish an RFP file which shall include all relevant documents for the RFP in question.

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B. Determination of Whether to Utilize Single-Step or Multi-Step Lease/Sale RFP Process

In the first instance, the applicable Deputy Director and the First Deputy Managing Director should determine whether to utilize a single-step or a multi-step RFP process, based on the nature of the subject property, the complexity of the offering and prior experience with similar types of property.

C. Single-Step Lease/Sale RFP Process

In a single-step Lease/Sale RFP Process, the RED's selection is based on the initial proposer submission (except that the RED may seek clarifications and/or completion of submissions as described below). Following review and consideration of the proposal bids and the making of responsiveness and responsibility determinations, the RED determines which proposal is most advantageous to the State, price and other factors considered. Unless it determines to reject all proposal bids, the RED must then seek authorization from the MTA Board to enter into a lease or contract of sale, as applicable, with such proposer. All proposal bids must be publicly disclosed in the agenda for the Finance Committee meeting at which the RED seeks such authorization or disclosed to the members of the Finance Committee of the MTA Board and to the members of the MTA Board in executive session and thereafter publicly disclosed prior to execution.

D. Multi-Step Lease/Sale RFP Process

In a multi-step Lease/Sale RFP Process, the initial proposer submission is followed by one (1) or more revised submissions. If the incumbent has submitted a proposal, all addendums to the RFP, requests for best and final offers, or other correspondence must be sent to the incumbent by the Transaction Manager via certified mail, return receipt requested, first class mail, and by email. If the incumbent's proposal was prepared by an attorney or other agent or representative, all addenda should also be sent to such representative or agent certified mail, return receipt requested, first class mail, and by email. Following each submission, the RED staff may communicate with proposers to clarify the proposal bids or to obtain additional information. Following review and consideration of the proposal bids and the making of responsiveness and responsibility determinations, the RED may decide to make a final determination based on such proposals or it may request that proposers submit revised proposals. At any point, the RED may eliminate from the next step those proposers determined to be unresponsive, not responsible or outside of the competitive range on the basis of the selection criteria specified in the RFP. When the RED decides that it is not going to seek any further submissions, it determines which proposal bid is most advantageous to the State, price and other factors considered. Unless it determines to reject all proposals, the RED must then seek authorization from the MTA Board to enter into a lease or contract of sale, as applicable, with such proposer. All proposal bids must be publicly disclosed in the agenda for the Finance Committee meeting at which the RED seeks such authorization or disclosed to the members of the Finance Committee of the MTA Board and to the members of the MTA Board in executive session and thereafter publicly disclosed prior to execution.

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E. Proposal Submissions

Proposals may be submitted as follows:

One hard copy of the complete proposal submission should be MAILED, or sent via UPS, FEDEX, or other parcel or post carrier in sealed envelopes and must arrive by the date and time required in the RFP (unless initially emailed, as described below) and must be sent to:

MTA Real Estate
Attention: Senior Transaction Manager
Metropolitan Transportation Authority
2 Broadway, 4th Floor
New York, NY 10004
MTA Real Estate RFP Number:

In lieu of mailing or hand-delivering by the required date and time, submissions of proposals may initially be made via electronic mail provided that the same is sent by the date and time required in the RFP and the designated Point of Contact confirms receipt, and an original hard copy is received no later than seven (7) days after the date and time required in the RFP.

If a proposal is submitted by personal delivery, whether by a proposer or by messenger, it must be delivered to the MTA New York City Transit Bid Suite at 3 Stone Street, around the corner from the 2 Broadway entrance (north side of street, between Broadway and Broad Street). Proposers WILL NOT be permitted to deliver proposals in person at the 2 Broadway entrance. Personally delivered proposals will be time stamped by staff in the Bid Suite and then proposers will be directed to place proposals in a drop box in the Bid Suite.

F. Earnest Money Deposits

For discussion about deposits for leases, see Chapter VII – Board Approval Process, Section A. - Conditional Designation Letters.

Typically, in the case of a sale, the RED requires each proposer to deposit with the MTA, at the time of its initial submission (in the case of a single-step RFP), or best and final submission (in the case of a multi-step RFP), earnest money in the amount of the deposit that will ultimately be required under the contract of sale. Except as the Director, Transaction Management may otherwise determine, such earnest money deposit should be in the amount of ten (10%) percent of the purchase price.

All earnest money checks and IRS W-9 forms should be forwarded to Tenant Management, which should make a record of such checks and then transmit such checks to the Bank designated by MTA for such purposes. Once a proposer has been eliminated from further consideration, the Associate Director, Tenant Management must be so notified by the Transaction Manager and (except as described in Chapter IX of these Guidelines) such proposer's earnest money deposit should be returned to such proposer.

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If a proposer has been selected, its earnest money deposit may be held in a non-interest bearing non-segregated account, until such time as the contract of sale is executed, at which time it will be applied toward the down payment pursuant to the contract of sale. If the selected proposer fails to enter into a contract of sale, such proposer’s earnest money deposit may be retained by the MTA, in its discretion; provided, however, that the First Deputy Managing Director and/or the Managing Director may in any event elect to return any earnest money deposit received from any governmental agency or not-for-profit agency. Alternatively, if negotiations have terminated by reason of an impasse over contract terms that were not addressed in the proposal or Conditional Designation Letter, and if the Transaction Manager and their Deputy Director and MTA Legal concur that the proposer has been dealing in good faith, Tenant Management should be directed to return the proposer’s earnest money deposit without interest, if any.

The deposit of earnest money with a proposal submission may be waived for a given RFP by the First Deputy Managing Director.

G. Opening Proposals

The receipt of proposals should be conducted with a high degree of confidentiality until the selection process is complete. Documentation such as proofs of receipt, and preliminary screening for completeness of RFP submissions, are critical in maintaining the integrity of the process. Proposals are stamped and dated at the time of receipt. All proposals should be opened by the Transaction Manager in the presence of at least one other staff member, and in the meanwhile the proposals should remain sealed and unopened. Subsequent to opening the proposals, each submission should be examined to ensure that all required items have been included. A checklist should be used for that purpose (see Figure 12 for a sample checklist).

H. Evaluating Proposals

The following should serve as a guide for evaluating proposals, regardless of whether a single-step or multi-step Lease/Sale RFP Process is used. In the case of a single-step Lease/Sale RFP Process, a decision is made based on the initial submission. In the case of a multi-step Lease/Sale RFP Process, a decision is made based on the best and final submission.

1. Responsiveness. The first threshold to further consideration of a proposal is its “responsiveness”. The following are to be considered to determine whether a proposal is responsive:

- a. Required forms (including Procurement Lobbying Law and Iran Divestment Act forms) are completely filled out and executed by principals.
- b. Receipt of earnest money deposit, in the correct amount as specified in the RFP, if requested.
- c. Appropriate conceptual plans for improvements have been submitted, if requested.
- d. Proposed use is acceptable in accordance with criteria stated in the RFP.

Generally, a proposal submission must be complete to be considered, and an incomplete proposal submission should be disqualified if the deficiencies are material and allowing the proposer to correct

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the proposal would afford the proposer a significant unfair competitive advantage or would not be in the MTA's best interest. However, the MTA reserves the right to waive any non-conformity with an RFP's requirements and the Transaction Manager should afford a proposer an opportunity to rectify any such non-conformity if it is relatively minor or such proposer's proposal is the best or only viable one received. -In all instances, the Transaction Manager must discuss any such non-conformity with their Deputy Director, who must inform the First Deputy Managing Director of such non-conformity. If there is any doubt about the appropriate action to take, MTA Legal should be consulted. All relevant decisions should be made by the Managing Director in consultation with the Deputy CDO, Planning, as may be applicable under the circumstances, or by the Chief Development Officer. If a decision is made to request action by the proposer to conform its submission to the RFP requirements, an email or letter should be sent to the proposer requesting such action. Any such email or letter should state that the non-conformity must be rectified within five working days, except that a longer time may be allowed by the Transaction Manager, after consulting with their Deputy Director, if the nature of the non-conformity (e.g., missing architectural plans) warrants such longer period.

If an RFP identifies a preferred or mandatory use, it should make clear whether such use is mandatory or merely preferred. If an RFP identifies a disfavored or prohibited use, it should make clear whether such use is prohibited or merely disfavored. A proposal received in response to an RFP should be deemed to be "unresponsive" (and thus disqualified) if such proposal contemplates uses that such RFP identified as prohibited or fails to provide for uses that such RFP identified as mandatory. A proposer should not be disqualified by reason of such proposer having proposed uses that the RFP identified as merely disfavored or failed to propose uses that the RFP identified as merely preferred (although that may be taken into account in scoring proposals, as described below).

2. Responsibility. The second threshold to further consideration of a proposal is whether the proposer is "responsible." A proposer may be disqualified as "not responsible" if, among other things, such proposer or any Affiliate of such proposer:

- a. has been convicted of a felony or any misdemeanor involving moral turpitude;
- b. has been disqualified from contracting with the MTA, the City of New York, the State of New York, the Port Authority of New York and New Jersey or the federal government, or any agencies, affiliates, or subsidiaries of them;
- c. has violated the Procurement Lobbying Law (as more particularly described in Chapter XI of these Guidelines);
- d. owes, with respect to amounts due not more than five years prior to the date of the RFP, in excess of \$10,000 to the MTA or any other MTA Agency; such amount is not (in the view of MTA Legal) the subject of a bona fide dispute; and such amount has remained unpaid after written demand from the MTA or another MTA Agency. Late payment, as opposed to non-payment, shall not constitute grounds

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for disqualification of an otherwise responsible proposer (although it may be taken into account in scoring proposals, as described below);

- e. is in default of material non-monetary obligations to the MTA or another MTA Agency under an existing lease and the MTA or MTA Agency has provided written notice under that lease and the default has not been timely cured as provided in the lease;
- f. has any outstanding federal, state or local tax warrants or liens; or.
- g. has received an unacceptable credit report from a reputable credit reporting company.

If, after providing written notice of its intent to disqualify them as not responsible. and having afforded a proposer an opportunity to be heard, the MTA deems the proposer to be not responsible, the MTA need not undertake any further consideration of such proposer’s proposal.

3. Selection Criteria. Once a proposal has been determined to be responsive and the proposer responsible, the following evaluation and selection criteria should be considered to the extent relevant (recognizing that some of such criteria may in some instances not be relevant, as, for example, in the case of a sale):

- Present value of proposed compensation to the MTA, including the present value to the MTA (if any) of any improvements to be paid for by the proposer, but net of the cost of any improvements to be paid for by the MTA either directly or through a rent credit, abatement or other credit arrangement (“Net Present Value” or “NPV”)
- Quality of proposed improvements
- Nature of proposed business and potential benefit to the MTA customers
- Financial capability of proposer and adequacy of business plan
- Business/personal references
- Management and operational experience of proposer
- Compatibility and consistency of proposed use with MTA Agency operations

Rent proposals should be compared based on the present values of anticipated rental streams. Such present values should be calculated using appropriate risk-adjusted discount rates determined by the Managing Director, and consistently applied. In calculating such present values, any percentage rent or other contingent rent projected by the proposer should be included only to the extent that the Transaction Manager deems such projections to be reasonable and then should be afforded only half the weight that is afforded to guaranteed minimum rent.

In the case of any improvements that are to be made by a tenant and used by such tenant in its business (as opposed to any improvements to be made by a tenant or purchaser for the direct and immediate benefit of the MTA), the value of such improvements should be included in NPV only to the extent, if any, that such improvements are expected to have residual value to the MTA upon the scheduled expiration of the lease term; and then the NPV should include only the present value (as of the lease commencement date, calculated using the discount rate used to calculate the present value of the rents to be paid by such tenant) of the anticipated value of the MTA’s reversionary interest in such improvements as of such scheduled expiration date, based on commercially recognized

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standards for depreciating the cost of improvements over their useful life. By way of example, typically, the installation by tenants of utility connections (conduit and lines for water, sewer, sprinkler, power, and telephone, for example) from some point outside of the leased premises to the premises, and roofs and windows, are capital improvements that are customarily considered structural or systems-related and made by a landlord, and will provide residual value to the MTA because they have a useful life that will extend beyond a typical lease term and, if paid for by a tenant, will not need to be made by MTA or the following tenant will not need to pay to make such installations, which should, all other things being equal, generate higher proposed rents in subsequent offers to lease the space. However, most pre-existing interior renovations will be demolished and replaced by a new tenant, and generally would therefore not have residual value for the purposes of calculating the NPV.

As part of the RFP evaluation process, the Transaction Manager should complete RED's NPV calculation Excel template (see Figure 13), which has been designed to facilitate the calculation of Net Present Value and its components for use in discussion and write-ups of RFP proposals.

These Guidelines establish a rebuttable presumption that the responsive and responsible proposer whose proposal offers the highest guaranteed payments to the MTA (calculated on a net present value basis) should be designated as the lessee or purchaser of the subject property. However, as appropriate (depending on whether a lease or sale is contemplated), the Transaction Manager should assess, compare and take into account the respective proposers' business plans, experience and financial capability, and may (with the concurrence of their Deputy Director, the First Deputy Managing Director, and the Managing Director) determine that another proposer should be so designated because such other proposer would:

- a. be materially more likely to meet its financial obligations to the MTA;
- b. provide a substantially superior amenity to the MTA's customers, the benefit to the MTA of which would outweigh the financial benefit to the MTA of accepting the proposal that would provide the MTA with the highest guaranteed payments;
- c. make improvements to the MTA's property, the present value of which to the MTA would cause the NPV received by the MTA from such proposer to be higher than the NPV the MTA would receive from the proposer offering the highest guaranteed rent; and/or
- d. very likely pay percentage rent such that (even discounting such anticipated percentage rent by at least half as described above) the NPV received by the MTA from such proposer would be higher than the NPV the MTA would receive from the proposer offering the highest guaranteed rent.

In all events, however, except as the MTA Board may otherwise decide in accordance with PAL Section 2897(7), the NPV offered by the designated proposer must equal or exceed fair market value determined in accordance with Chapter V of these Guidelines.

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I. Selection Process

1. Interviews.

After reviewing credit background checks and completed Proposer Information Forms, the Transaction Manager should (except as described in the next paragraph) schedule an interview with each responsive and responsible proposer. Two (2) staff persons must attend each interview to maintain the integrity of the process. The interview should focus on any aspects of the proposal that are not self-explanatory and any discrepancies in or questions concerning the Proposer Information Form or credit background report. If, as the result of an interview there are changes to any of the terms or conditions theretofore proposed by a proposer, the Transaction Manager should secure written confirmation of such changes from such proposer.

If there are several qualified and responsible proposers, the Transaction Manager may choose to conduct interviews with the two (2) or three (3) proposers whose offers, over all, are most highly rated. In cases where there is only one highly rated proposer, the Transaction Manager, consulting with their Deputy Director, may choose to schedule an interview with that proposer only. An interview may be waived if the proposer is an existing MTA tenant or licensee.

2. Short listing in Multi-Step RFP.

In the case of a multi-step RFP process, the Transaction Manager should determine (a) whether there will be any short listing of proposers before the revised offer stage and (b) whether interviews will be held before a revised offer stage. If there will be any short listing before the revised offer stage, the Transaction Manager should prepare an Authorization Memo recommending such short listing to their Deputy Director, the First Deputy Managing Director, and the Managing Director. The purpose of such Authorization Memo is to provide support and justification for such short listing.

3. Revised Offer Stage in Multi-Step RFP.

In the case of a multi-step RFP process, after interviews and short listing, if any, the Transaction Manager should prepare a letter instructing the remaining eligible proposers with respect to the process for the submission of revised proposals. Such letter, which should be emailed as a .PDF document and mailed via first class mail, should set forth:

- The date, time and place for the submission of the revised proposals;
- The information that needs to be supplied in the revised proposals; and
- Any supplemental information, not previously supplied, of which the proposers ought to be aware.

There may be more than one call for revised proposals. When the RED decides that that it is not going to seek any further submissions, the Transaction Manager should proceed as if the last proposals received were the proposals received in a single-step RFP process.

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4. Recommendation of Award

Single-Step RFP: In the case of a single-step RFP process, upon completion of all interviews, if any, the Transaction Manager should prepare a RFP Award Authorization Memo to their Deputy Director, the First Deputy Managing Director, and Managing Director (see Figure 14 for a form of Authorization Memo). The purpose of such RFP Award Authorization Memo is to provide support and justification for the rejection of all proposals or the issuance of a Conditional Designation Letter. Each such RFP Award Authorization Memo must include a check in the applicable SEQRA/NEPA check-box and any follow-up action required prior to the disposition. The Lobbying Law check box must also be completed as directed on the RFP Award Authorization Memo.

Multi-Step RFP: In the case of a multi-step RFP process, upon completion of all interviews, if any, and after the receipt of the final revised proposals, the Transaction Manager should prepare an RFP Award Authorization Memo for concurrence and approval by their Deputy Director, the First Deputy Managing Director, and the Managing Director. Again, the purpose of such Authorization Memo is to provide support and justification for the rejection of all proposals or the issuance of a Conditional Designation Letter (see Chapter VII.A).

It is the responsibility of the applicable Deputy Director and the First Deputy Managing Director to verify the Transaction Manager's net present value calculations and to assess the reasonableness of any determination by the Transaction Manager that the opportunity to lease or purchase the subject property should, for one or more of the reasons set forth above, be afforded to a proposer other than the proposer that offered the highest guaranteed compensation (as calculated on a net present value basis). Any such determination should be highlighted and explained in the Transaction Manager's Authorization Memo, as well as in the applicable Staff Summary.

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**CHAPTER IV – ENTERING INTO AGREEMENTS THROUGH THE LEASE/SALE
NEGOTIATION PROCESS**

Scope – This chapter establishes procedures for entering into lease or sale transactions based on negotiations where use of the Lease/Sale Negotiation Process is permitted by law and determined to be appropriate.

Applicability - The Public Authorities Law allows the Lease/Sale Negotiation Process to be utilized only under the following circumstances:

- a. the fair market value of the property to be leased-out ~~or sold~~ is an average of \$15,000.00 annually over the term or the sale price does not exceed \$15,000, or
- b. proposal prices after advertising are not reasonable, either as to all or some part of the property, or have not been independently arrived at in open competition; (even if the fair market value exceeds \$15,000); or
- c. the disposition will be to the State or any political subdivision, and the estimated fair market value of the property and other satisfactory terms of disposal are obtained by negotiation; (even if the fair market value exceeds \$15,000); or
- d. under those circumstances permitted by subdivision seven of PAL Section 2897, which include the following:
 - (i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the asset will remain with the government or any other public entity;
 - (ii) the purpose of the transfer is within the purpose, mission or governing statute of the public authority; or
 - (iii) such action is otherwise authorized by law.

In the case of (a), (b) or (c) above, the disposition must be for no less than fair market value. In the case of (d), it can be for less than fair market value. However, if the disposition, [in the case of (d),] is for less than fair market value, then the following information must be provided to the relevant MTA Agency board (which must make a written determination based upon such information, that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer) and the public:

- (i) a full description of the asset;
- (ii) an appraisal of the fair market value of the asset and any other information establishing the fair market value sought by the board;
- (iii) a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any,

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to the communities in which the asset is situated as are required by the transfer;

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- ~~(iv)~~ a statement of the value to be received compared to the fair market value;
- ~~(v)~~ the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this paragraph, statement of the value to the private party; and
- ~~(vi)~~ the names of other private parties who have made an offer for such asset, the value offered, and the purpose for which the asset was sought to be used.

~~Negotiations with responsible prospective tenants or purchasers may under the foregoing circumstances be undertaken without utilizing the Lease/Sale RFP Process, but only pursuant to a Lease/Sale Negotiation Authorization Memo signed by the appropriate Deputy Director, the First Deputy Managing Director and Managing Director for transactions to be entered into pursuant to Board approved policy, as well as MTA Legal for transactions that are not pursuant to policy (see Figure 15). At the first instance that a Transaction Manager, prior to or after obtaining a signed Lease/Sale Negotiation Authorization Memo, solicits an offer from the prospective tenant or purchaser (which should be in writing), the Transaction Manager should send a letter or email to such other party stating that the Transaction Manager is the Point of Contact for the transaction and include in the letter the language in A.1.a.(1) and A.2.a.(2) of Chapter III. If they deem such negotiations to be successful, then in due course the Managing Director, may on the basis of such negotiations enter into a Conditional Designation Letter or lease with respect to a proposed transaction and then submit such transaction to the MTA Board for approval as contemplated by Chapter VII of these Guidelines or as an Information Item to the MTA Board pursuant to an applicable Board approved Policy authorizing that transaction.~~

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Explanatory Statements.

An “explanatory statement” must be prepared in the case of any disposition pursuant to the Lease/Sale Negotiation Process that involves:

- (a) any sale of real property that has an estimated fair market value in excess of \$100,000;
- (b) any real property disposed of by lease if the estimated annual rent over the term of the lease is in excess of \$15,000 (if the base rent in any year of the initial term is over \$15,000, then the lease qualifies for an explanatory statement); or
- (c) any real property or real and related personal property disposed of by exchange, regardless of value, or any property any part of the consideration for which is real property.

Each such explanatory statement must be transmitted to the NYS Comptroller, the Director of the Budget, the Authorities Budget Office, the Commissioner of General Services and Legislature not less than ninety days in advance of the closing of such disposition, and a copy thereof must be preserved in the RED’s files.

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The explanatory statement, which may be in the form of a staff summary, must include at a minimum:

- a. Description of the parties involved in the property transaction;
- b. Justification for disposing of property by negotiation;
- c. Identification of property, including its location;
- d. Estimated fair market value of the property; or fair rental value (as applicable);
- e. Proposed sale price of the property;
- f. Size of the property; and
- g. Expected date of sale of property.

The Transaction Manager is responsible for preparing any such transmittal, which should be reviewed and approved by their Deputy Director, the First Deputy Managing Director, the Managing Director, MTA Legal, and the Director of State Legislative Affairs before it is sent out.

State Comptroller Review and Approval

Contracts for the disposition of real property via the Lease/Sale Negotiation Process that are valued at \$1 million or more, and all amendments to such contracts, must be reported to the Office of the State Comptroller (“OSC”) and may be subject to review and approval by the OSC.

All contracts entered into via the Lease/Sale Negotiation Process with a value of \$1 million or more (with value being determined as the sale value in the case of a sale or the Net Present Value of the rental payments under the full term of a lease, including all option periods) in the case of a lease, and all amendments to such contracts are “eligible contracts” for OSC review.

Each eligible contract that the MTA anticipates entering into in a given year must be reported to the OSC in December of the prior year. The Associate Director, Operations, with the assistance of the First Deputy Managing Director, will prepare this list on an annual basis and update it quarterly. If an eligible contract that has not been previously reported arises after the December reporting period, then it must be reported to the OSC. The Associate Director, Operations, with the assistance of the First Deputy Managing Director, will prepare this report. No eligible contract may be entered into less than ten (10) days prior to the execution of the eligible contract.

The OSC may designate specific eligible contracts that must be submitted automatically to the OSC for prior review and approval, in the manner determined by the OSC (various materials, including RFP or other solicitation materials, may need to be submitted). All eligible contracts submitted for review must contain a provision stating that such contracts are not valid until approved by the OSC (unless the OSC has not approved or disapproved a contract within ninety (90) days, in which case such contract shall become valid and enforceable without such approval).

However, all eligible real-estate contracts that were not selected for review and approval by the OSC, must be filed with the OSC within sixty days of execution. **That means during 20202021 all agreements, or amendments to agreements, using the Lease/Sale Negotiation Process with a value of over \$1 million must be filed with the OSC within sixty days of execution.**

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CHAPTER V – VALUATIONS AND FAIR MARKET VALUE

Scope – This chapter contains guidelines for estimating the fair market value of MTA real property (including an interest in real property, such as an easement or leasehold interest) to be offered for sale or lease.

Responsibilities –RED must obtain an independent estimate of the fair market value in connection with every sale or lease of MTA Agency real property (including an interest in real property, such as an easement or leasehold interest). Each valuation commissioned by the RED pursuant to these Guidelines should be prepared by a New York State licensed or certified appraiser, except that in the case of a proposed space lease such valuation may be prepared by a licensed real estate broker experienced in the leasing of space in the area where the subject property is located, provided that such broker cannot be involved directly or indirectly in the Lease/Sale RFP process for such space lease.

Objective – To aid the RED and MTA Board in their efforts to obtain appropriate compensation from purchasers and tenants and to ensure compliance with PAL Section 2897(3), which, except in limited specified circumstances, prohibits the sale or leasing out of MTA Property for less than its “fair market value.”

Procedure – PAL Section 2897 does not define “fair market value”. However, such term is commonly understood in the real estate industry to mean the price (in the case of a sale) or rent (in the case of a lease) for which a typical owner of property comparable to the subject property would be willing to sell or lease such property and a typical unrelated prospective buyer or tenant would be willing to purchase or lease such property as of a specific date, assuming that neither party is specially motivated and that the property is sufficiently marketed so that its availability is reasonably well known.

In many cases, estimating fair market value, while inherently imprecise due to the uniqueness of property, is relatively straight-forward. Anyone with knowledge of the local real estate market can survey published data relating to recent transactions to find examples of sales or leases of comparable properties where the owner presumably solicited or could have solicited offers from any number of potential buyers or tenants. And, in such cases, one need then only make appropriate adjustments to per square foot prices or rents to account for variations among properties. In other cases, however, determining a “market” value is more difficult, as a property (or interest therein such as an easement) can be uniquely valuable to an adjoining property owner and (by virtue of such property’s size, shape or inaccessibility) have relatively little or no value at all to anyone else. In such cases, the fair market value of such property lies at an indeterminate point somewhere between the polar extremes of (a) One Dollar (\$1.00; the amount that the adjoining property owner would have to pay to win such property at an auction without any reserve price) and (b) the amount by which adding such property (or interest therein) to the adjoining property owner’s property would increase the fair market value of the adjoining owner’s property.

In these Guidelines, the term “Type I Transactions” is used to refer to sales or leases of MTA Property (such as stores or independently developable parcels) where the purchasers or tenants could be anyone; and the term “Type II Transactions” is used to refer to sales or leases of MTA Property to adjoining or neighboring property owners where such property has unique value to such adjoining or neighboring property owners.

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PAL Section 2897 does not distinguish between Type I Transactions and Type II Transactions; in either case, it requires that an independent valuation of estimated fair market value be obtained and retained in RED’s records and (except in the special circumstances identified in Chapter IV of these Guidelines) requires public advertising for proposals. However, because many of the transactions that the RED handles are Type II transactions (e.g., MTA rights-of-way often have only one logical adjacent user; similarly, development/air rights are only transferrable generally to adjacent owners), it is important, as a practical matter, to recognize that the RED will not in fact receive competitive offers in the case of Type II Transactions, and for Transaction Managers to give suitable instructions to appraisers or brokers to avoid confusion and ensure that in all cases the intentions of this chapter are properly served by the appraiser’s work.

In the case of a Type I Transaction, the Transaction Manager should instruct the appraiser or broker to prepare a typical assessment of the value of the subject MTA Property assuming full and adequate competition among potential purchasers or tenants, as the case may be. For most Type II Transactions (other than those involving a disposition of MTA Property as just one part of a larger transaction motivated, in part, by the MTA’s realization of significant benefits, including, for example, enhanced customer amenities, transportation facilities or necessary access), the Transaction Manager should instruct the appraiser or broker to prepare an assessment of the incremental value to the adjoining property owner of adding the subject MTA Property (or interest therein) to such adjoining property owner’s interest. The Transaction Manager should instruct the appraiser to explicitly state in the appraisal the reasoning used in determining the estimated incremental value to the adjoining property owner.

It is important to note that in either case, the value determined by the appraiser will not necessarily equate with “fair market value.” Appraisers can only estimate value, and indeed they commonly disagree when more than one is asked to value any given property. And, in the case of a Type I Transaction to be entered into after the Lease/Sale RFP Process has in fact resulted in competition between two or more typically motivated potential purchasers or tenants, the actual results of such Lease/Sale RFP Process constitute more concrete evidence of fair market value than a valuation by an appraiser or broker does. However, the estimate of value determined by an independent appraiser does nonetheless constitute rebuttable evidence of fair market value, and a tool for assessing the efficacy of the RED’s efforts to maximize income from the disposition of MTA Property (regardless of whether the Lease/Sale RFP Process of the Lease/Sale Negotiation Process is used). Accordingly, each Staff Summary presented for MTA Board approval should include a statement as to whether the proposed transaction would yield to the MTA the estimated fair market value and, if the proposed transaction would yield to the MTA less than such estimate of value, either (a) an explanation as to (i) why the RED does not believe such estimate of value coincides with fair market value and (ii) why the compensation to the MTA that is being recommended in the Staff Summary represents at least fair market value or (b) information and proposed MTA Board findings of the kind required by PAL Section 2897(7)(b) and (c), which read as follows:

“(b) In the event a below fair market value asset transfer is proposed, the following information must be provided to the authority board and the public:

- (i) a full description of the asset;

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- (ii) an appraisal of the fair market value of the asset and any other information establishing the fair market value, as adjusted for any in-kind benefits received, sought by the board;
- (iii) a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the asset is situated as are required by the transfer;
- (iv) a statement of the value to be received compared to the fair market value;
- (v) the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this paragraph, a statement of the value to the private party; and
- (vi) the names of other private parties who have made an offer for such asset, the value offered, and the purpose for which the asset was sought to be used.”

“(c) Before approving the disposal of any property for less than fair market value, the board of any authority shall consider the information described in paragraph b of this subdivision and make a written determination that there is no reasonable alternative to the proposed below market transfer that would achieve the same purpose of such transfer.”

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CHAPTER VI - ANALYSIS OF PROPOSER’S FINANCIAL CAPABILITY

Scope - This chapter outlines the procedures to be utilized to evaluate a proposer’s financial ability to comply with the terms of a contract of sale or lease.

Responsibilities - The Transaction Manager is responsible for receiving and taking into account the information reported on Proposer Information Forms and for ordering and taking into account the results of independent credit and background reports.

Objective - To evaluate the creditworthiness of a prospective tenant or purchaser.

Procedures - The necessary procedure for conducting the credit and background check begins with the Transaction Manager obtaining a completed Proposer Information Form from each proposer in order to gather reference and credit and background information for such proposer. The Proposer Information Form includes an authorization to order a credit background report and check financial references. The Transaction Manager should provide the requisite information to the RED’s credit reference service and order a credit background report from such service. Typically this process takes two (2) to three (3) weeks.

In the case of a proposed sale, the primary evidence of a prospective purchaser’s financial capability is such prospective purchaser’s ability and willingness to put at risk a substantial down payment (typically equal to 10% of the purchase price). However, the Transaction Manager should nonetheless seek evidence of each prospective purchaser’s ability to finance the balance of the purchase price, recognizing that such evidence is likely to take the form of expressions of interest and indicative terms from prospective equity owners and lenders, as opposed to definitive commitments.

In the case of a lease:

- a. except in special circumstances with the approval of the First Deputy Managing Director, TOM (as, for example, where the tenant makes a substantial pre-payment of rent or funds a substantial improvement of substantial value to the MTA), the prospective tenant should be required to demonstrate that it is ready and able to post cash or a letter of credit as a security deposit in the amount of three (3) times the projected base monthly rent for the final year of the lease term;
- b. the prospective tenant should be required to furnish a business plan, including pro forma projections of income and expense, that demonstrates that the tenant will be able to afford to pay the proposed rent from its operating revenues;
- c. unless the tenant is itself a creditworthy entity, payment and performance of the tenant’s obligations under the lease should be personally guaranteed by a creditworthy principal of the tenant;
- d. where individual proposers intend for a newly formed entity in which they are principals to be the tenant under a lease, in most circumstances the individual proposers/principals of the entity should be expected to provide a full personal guaranty of the tenant’s lease obligations during the entire term of the lease;

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- e. alternatively, under certain circumstances it may be appropriate to limit the scope of the personal guaranty of a creditworthy principal (to a so-called “good guy guaranty”) so that the guarantor’s liability under the same will be released before the end of the term of the lease, but not before completion of the initial improvements and some period of time beyond the voluntary early surrender of possession (typically twelve months to afford MTA an opportunity to re-lease the premises); examples of circumstances where a limited guaranty may be appropriate rather than a full guaranty, would be where a tenant will be making a substantial investment in improving the lease premises either that would have substantial residual value to the MTA or that would result in a substantial loss of investment if the lease were to be terminated for non-payment of rent such that the tenant is otherwise substantially incentivized to avoid forfeiture of its investment,

- f. if the tenant is to be required to undertake and pay for substantial capital improvements (including alterations of a permanent nature, but excluding tenant fit out work for tenant’s own use) and such capital improvements is estimated to cost more than two hundred and fifty thousand (\$250,000) in the aggregate, tenant shall be required to post, or cause to be posted, a bond issued by a corporate surety licensed to do business in the State of New York or other form of undertaking acceptable to the Comptroller of the MTA. Each such bond or similar undertaking must comply with the requirements set forth in Section 5 of Article 2 of the New York Lien Law.

It is in light of the foregoing requirements that the financial information provided by proposers should be evaluated by RED staff.

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CHAPTER VII – BOARD APPROVAL PROCESS

Scope – This chapter reviews the process for the preparation of Conditional Designation Letters and Finance Committee Staff Summaries and for the obtaining of MTA Board approval of sale and leasing- out transactions recommended by the RED. All proposals to sell or lease out MTA Property must be reviewed by the Finance Committee, and no such sale or lease can become effective until and unless the MTA Board (after review by the Finance Committee) has specifically authorized such sale or lease, except as permitted in Policy 33 (adopted by the MTA Board in November, 2013). Policy 33 allows the RED to award a standard retail lease to the proposer who offered the highest guaranteed rent without a Finance Committee Staff Summary or MTA Board Approval provided that (i) at least three proposals were received and (ii) it is later listed in a chart in the Finance Committee book.

A. Conditional Designation Letters

When the RED has determined that it wishes to accept the proposal of a prospective tenant to lease MTA Property or of a prospective purchaser to purchase MTA Property (whether pursuant to the Lease/Sale RFP Process or pursuant to the Lease/Sale Negotiation Process), the Transaction Manager should prepare a conditional designation letter for execution by the Managing Director on behalf of the relevant MTA Agency and counter-signature by such prospective tenant or purchaser (a “Conditional Designation Letter”; see Figure 16 for a sample form). Such letter should spell out the terms of the proposed transaction with as much specificity as possible under the circumstances, not in the body of the letter itself but by incorporating by reference the most recent written materials (typically a signed Parcel Information Sheet or Term Sheet and signed Rent Proposal) that have been updated, if required, to embody the agreements that the RED believes have been reached to date with respect to such transaction. Each Conditional Designation Letter must stipulate that it does not constitute a legally binding agreement insofar as the MTA is concerned and that it is subject to MTA Board approval.

Typically, in the case of a lease, the RED requires the selected proposer to deposit with the MTA, at the time they counter-sign and return a Conditional Designation Letter, earnest money in the amount of the security deposit that will ultimately be required under the applicable lease. Except as the Managing Director may otherwise determine, such earnest money deposit should be in the amount of three times the proposed monthly rent in the last year of the lease term.

All earnest money checks and IRS W-9 forms should be forwarded by the Transaction Manager to Tenant Management, who should make a record of such checks and then transmit such checks for deposit at the Bank designated by MTA for such purpose. The proposer’s earnest money deposit may be held in a non-interest bearing non-segregated account until such time as the lease is executed, at which time it will be applied toward the security deposit required pursuant to the lease. If the selected proposer fails to enter into a lease, such proposer’s earnest money deposit may be retained by the MTA, in its discretion; provided, however, that the First Deputy Managing Director and/or the Managing Director may in any event elect to return any earnest money deposit received from any governmental agency or not-for-profit agency. Alternatively, if negotiations have terminated by reason of an impasse over contract terms that were not addressed in the proposal or Conditional Designation Letter, and if the Transaction Manager and their Deputy Director and MTA Legal concur that the proposer has been dealing in good faith, Tenant Management should be directed to return the proposer’s earnest money deposit without interest, if any.

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Once an Authorization Memo approving a proposed disposition has been signed, a lease or contract of sale executed by the prospective tenant or purchaser may serve in place of the Conditional Designation Letter. Each such lease (other than a standard retail lease that is governed by Policy 33) or contract must stipulate that it is not a legally binding agreement insofar as the MTA is concerned and that it is subject to MTA Board approval.

If a proposer or purchaser fails to sign a Conditional Designation Letter or subsequently sign a lease or contract of sale, the Transaction Manager must write a “dead deal” memorandum explaining the reason why the transaction was not completed. The memo must be delivered to the applicable Deputy Director with copies distributed to the First Deputy Managing Director, the Managing Director, the Associate Director, Tenant Management and the Yardi database administrator (to ensure the transaction account is properly closed and the applicable rentable Unit inventory is shown as available).

B. Staff Summaries

After the prospective purchaser or tenant counter-signs the Conditional Designation Letter or returns a signed lease or contract of sale, the Transaction Manager should prepare a Staff Summary (unless not required pursuant to MTA Board-approved Policy 33 or other MTA Board-approved policy that may be adopted in the future) for Finance Committee approval. The following is the sequence of steps for preparation of the Staff Summary. This process typically takes approximately five (5) weeks and results in a presentation to and discussion with the Finance Committee. A detailed description of the Staff Summary process is contained in the *Staff Summary Procedure* available in the “Staff Summaries” folder in the RED’s shared drive.

1. The assigned Executive Secretary distributes a schedule of Finance Committee and MTA Board meeting dates to all RED staff. This schedule includes deadlines for the submission of Staff Summaries.
2. The Transaction Manager submits a project title to his/her Deputy Director for review, and then to the assigned Executive Secretary who is responsible for RED’s Finance Committee agenda.
3. The Transaction Manager prepares a first draft of the Staff Summary, using the RED’s standard form (see Figure 17). Details such as the following should be included as appropriate:
 - Finance Committee meeting date
 - MTA Agency involved
 - Purchaser or tenant name
 - Location (street address and/or block and lot)
 - Activity (use)
 - Action requested (approval, extension of term, etc.)
 - Term (years)
 - Renewal options (if any)
 - Space (size/square feet, configuration, etc.)

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- Compensation (rent or sales price)
- Termination rights
- Security deposit (if any)
- Guarantor (if any)
- Insurance (if any)
- Required improvements (if any)
- Comments

The comments section should review the justification for the selection of the proposed tenant or purchaser, and should include the number of proposals received (if the purchaser or tenant was selected via an RFP Process), the names of any proposers that were disqualified and the reasons why, the present values of the guaranteed compensation offered by each responsive and responsible proposer, the discount rate used to calculate such present values, and, if the responsible and responsive proposer with the highest such present value was not selected, an explanation as to why a different proposer was selected.

4. The applicable Deputy Director, the First Deputy Managing Director, the Managing Director, and MTA Legal review the draft and make changes as they see fit.
5. MTA executive staff (General Counsel, Chief of Staff and Chief Financial Officer) review and make changes as they see fit.
6. The Managing Director signs the Staff Summary and MTA executive staff then approves by affixing their initials.
7. The completed Staff Summary is submitted along with the remainder of the RED's Finance Committee agenda package for printing.

C. Board Approval

The Transaction Manager and their Deputy Director, as well as the First Deputy Managing Director, should be present during the Finance Committee discussion in order to help answer questions as needed. The Finance Committee may instruct the RED staff to make changes in the Staff Summary as reflected in the minutes of the Finance Committee meeting. Any such changes must be made to the Staff Summary prior to submission to the full MTA Board. If the transaction is recommended by the Finance Committee, the Transaction Manager should update RED's Yardi database with the Finance Committee approval date and the transaction is included in the Finance Committee's report to the MTA Board. Once the MTA Board has approved the transaction, the Transaction Manager should enter the MTA Board approval date (or in the case of a standard retail lease governed by Policy 33, the date listed in the MTA Finance Committee Book pursuant to such policy) into Yardi.

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D. SEQRA Compliance

As indicated above, RED staff must consult and coordinate with MTA Legal to ensure that, before the MTA Board takes action to approve any sale or leasing-out transaction, the requirements of SEQRA have been satisfied to the extent such requirements are applicable to such transaction. Depending on the circumstances, the MTA Board may need to make certain SEQRA-related determinations before it takes such action. Prior to submitting an Authorization Memo for any transaction, the Transaction Manager should, in consultation with MTA Legal, determine what, if any, SEQRA requirements must be satisfied and whether and how such requirements need to be addressed in the applicable Staff Summary.

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CHAPTER VIII - PREPARATION OF FORMAL AGREEMENTS

Scope - This chapter reviews procedures relating to the preparation of definitive legally-binding agreements for a sale or lease of MTA Property.

Objective - To develop a fully executable agreement as well as provide documentation of the approval and revision of such agreement.

Responsibilities – The Transaction Manager has primary responsibility for completing and providing the Legal Intake Questionnaire (see Figure 23) and (in the case of a lease) a Preparer Questionnaire Form (“PQF”) (see Figure 24), and all required supporting documentation to MTA Legal. MTA Legal has primary responsibility for preparation (with or without the assistance of outside counsel) of the draft lease or sale agreements. The Transaction Manager and Deputy Director is also responsible for reviewing the draft agreements and preparing the Document Approval Form (“DAF”).

Procedures - The necessary procedures for preparing the sale or lease agreement include completing the required forms and providing them to MTA Legal with all supporting documentation.

A. Drafting of Formal Agreement

Prior to or following the acceptance of the terms of the agreement by the MTA Board, the Transaction Manager should provide a completed PQF (in the case of a lease) and Legal Intake Questionnaire, and transmit them, along with other supporting documents as may be needed (including, a copy of the Authorization Memo, RFP, selected proposal, PIF and Conditional Designation Letter, and in the case of a sale, title report and survey, and if requested a copy of the valuation), to MTA’s Deputy General Counsel, Real Estate. An attorney will then be assigned to each project based on the Legal Intake Questionnaire. The assigned attorney from MTA Legal is responsible for preparing and negotiating (or overseeing outside counsel in the preparation and negotiation of) the draft agreement in consultation with the appropriate Transaction Manager and/or Deputy Director. The Transaction Manager and Deputy Director will be responsible for review of the draft agreement and responding promptly to all follow-up questions that MTA Legal (or assigned outside counsel) may have during the preparation and negotiation or comment phase.

B. Internal Review

The Transaction Manager will be responsible for review of each draft agreement, and preparing a DAF to be attached to the final negotiated form prior to execution. The assigned MTA Legal attorney (or assigned outside counsel), may under appropriate circumstances with the authorization of the Transaction Manager or Deputy Director, transmit the draft agreement to the proposer and its attorney subject to internal review.

C. Document Approval Form

The DAF is to be used for documenting review and approvals by the appropriate RED staff of final agreements prior to execution (see Figure 18). The DAF, along with supporting documentation, should be routed with the final agreement for signature or initialing by the Transaction Manager, Deputy Director,

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First Deputy Managing Director, and the Managing Director. The Tenant ID # from Yardi should be included on the DAF, if applicable.

D. Execution by Purchaser or Tenant

Once the required internal review has been completed, the Transaction Manager should send the proposed documentation to the prospective purchaser or tenant for execution. Should the prospective purchaser or tenant request changes, the Transaction Manager should present such requested changes to their Deputy Director, the First Deputy Managing Director, the Managing Director and/or MTA Legal for discussion and revision, as needed. Once the agreement is finalized, the prospective purchaser or tenant should be directed to execute at least four original counterparts of the agreement and any personal guaranties and return them to the Transaction Manager.

E. Execution by Authorized or Designated Signatory

Once the agreed-upon documentation has been executed by the tenant or purchaser, the Transaction Manager should use the DAF to send it (~~after approved~~ after approved ~~by way of~~ their Deputy Director, to the First Deputy Managing Director and MTA Legal) to the authorized or designated signatory for execution on behalf of the applicable MTA Agency by the Managing Director or other authorized designated signatory.

F. Required Deliveries

In addition to the executed copies of the agreement by the tenant or purchaser, the Transaction Manager should obtain the following from the purchaser or tenant:

1. Any required earnest money, down payment and/or security deposit, to the extent, if any, not already deposited with the MTA.
2. Any required personal guaranties properly executed.
3. In the case of a lease, the first month's base rent.
4. In the case of a lease when the tenant is not already in possession (i.e., where the successful proposer is not an existing tenant or licensee incumbent), an insurance certificate evidencing coverage as required by the lease. This item must be delivered to the Transaction Manager prior to possession being delivered. The Transaction Manager should make an initial inspection of the certificate to verify that the amounts specified match those in the lease, and that all the additional insureds as required under the agreement are named on the certificate, that the address of the premises is correct and that the named insured is correct as per the lease. After the transaction file is conveyed to the Associate Director, Tenant Management, Tenant Management will be responsible for forwarding the insurance certificate to MTA Risk Management for further review and acceptance.

Note: Insurance for construction from the tenant and its contractor as well as a contractor's indemnity agreement in the form attached to the lease will be due upon approval of plans and

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prior to commencement of any work by a tenant, which in the case of a new tenant not already in possession of the premises will also be required prior to delivery of possession.

5. IRS Form W-9 for tenant and each guarantor, properly completed and executed.

All checks should be forwarded to the Associate Director, Tenant Management immediately upon receipt. Copies of all the items referred to in this chapter should be maintained in the Tenant File.

G. Proposer's Failure to Execute

If a proposer has held a proposed agreement for more than two (2) weeks without providing comments to the same or executing the same, the Transaction Manager) will send a letter (or request and direct MTA Legal (or outside counsel) assigned to the transaction to send a letter to the proposer, via electronic or overnight mail, stating that failure to provide comments, if any, or to execute the agreement within two (2) weeks following such communication by the Transaction Manager (or MTA Legal), may result in the subject property being offered to another proposer and/or the proposer's offer and any Conditional Designation Letter being deemed nullified and of no further effect.

Every effort should be made to contact the proposer to identify and resolve any open issues. If the Transaction Manager judges the proposed tenant's reasons for failure to respond or execute to be valid, an adequate time period should be granted for finalizing and executing the agreement. In no event, however, should the proposed tenant be allowed an inordinate amount of time to complete contract negotiations and satisfy any pre-conditions to contract or lease execution or be allowed to renege on understandings that were reflected in the proposer's proposal or Conditional Designation Letter.

If a proposer purports to withdraw such proposer's proposal or refuses to execute definitive documentation reflecting the terms of the proposal or Conditional Designation Letter, the Transaction Manager, after consulting with their Deputy Director, the First Deputy Managing Director, the Managing Director and MTA Legal, should instruct the Associate Director, Tenant Management to retain such proposer's earnest money deposit with interest, if any, and in such event Tenant Management should withdraw the deposit and transfer it to the applicable MTA Agency's operating account; provided, however, that the First Deputy Managing Director and/or the Managing Director may in any event elect to return any earnest money deposit received from any governmental agency or not-for-profit agency. Alternatively, if negotiations have terminated by reason of an impasse over contract terms that were not addressed in the proposal or Conditional Designation Letter, and if the Transaction Manager, the Deputy Director and MTA Legal concur that the proposer has been dealing in good faith, the Associate Director, Tenant Management should be directed to return the proposer's earnest money deposit without interest, if any.

The Transaction Manager should take care to maintain contemporaneous notes of all communications that could have a bearing on the determinations that are described in the preceding paragraph.

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CHAPTER IX - TENANT INFORMATION TRANSFER

Scope - This chapter is designed to assist in preparing lease-related documentation to be forwarded to Information Management and Tenant Management.

Objective - To assist in the accurate transfer of pertinent information to Information Management and Tenant Management.

Responsibilities - The RE Manager prepares the necessary documentation and communicates the information to Tenant Management and Information Management.

Procedures - The necessary procedures for conveying the information are as follows:

A. Documentation

1. If the First Deputy Managing Director approves the selection, the Transaction Manager with the assistance of Information Management (which advises the Transaction Manager of the correct property and unit descriptions), should enter basic proposer information (name, address, property unit) into Yardi to establish a transaction account (“Deal”).
2. Once a tenant has been selected, approved and entered into Yardi as a Deal, the tenant will now appear on Yardi’s Lease Tracking Report. This report may be printed and reviewed by the RED management staff at any time (Figure 19).
3. The authorized or designated signatory signs all of the copies of the final lease agreement and the fully executed lease agreement and, if applicable, guaranty are returned to the Transaction Manager who distributes the signed copies of the agreement and guaranty as follows:
 - Tenant including any Guarantor, or Tenant’s attorney, (2 original)
 - Tenant Management - (2 originals)
 - MTA Legal (and assigned outside counsel, if applicable) (1 fully executed pdf with exhibits)
4. The Transaction Manager assembles or updates the RFP File to include all proposals, rejection letters, copies of interview notes and memos pertaining to the project and forwards to the Information Center. The Transaction Manager assembles or prepares the Tenant File, which includes the original proposal, all correspondence with the tenant, confirmation letter, two original counterparts of the lease agreement and each guaranty, Staff Summary, insurance certificate (if required at that time), IRS Form W-9 for tenant and each guarantor, construction security and the completed DAF, and attaches the Tenant Control Checklist (Figure 20), which is affixed to the respective project file before forwarding to the Associate Director, Tenant Management. Tenant Management is responsible for forwarding this to the Information Center. The completed file for all new agreements or amendments and changes to existing agreements should immediately be handed over to Tenant Management staff. Within two business days of when the Transaction Manager plans to mail

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a copy of an executed lease agreement to a tenant, or as soon thereafter as may be practical under the circumstances, the Transaction Manager will schedule a hand-off meeting with Tenant Management staff, including the Tenant Management Vendor and/or the appropriate Deputy Director to discuss the terms of the agreement, any planned improvements, and any special considerations. At the meeting, Tenant Management staff will verify:

- All items on the tenant file check list are in the file.
- That key qualitative tenant management elements (insurance, rent and security checks, executed routing form and lease) are in the correct amounts and received from the correct party.

If qualitative elements are not in order, the Transaction Manager and Tenant Management staff will work together to remedy the matter. If all is in order, Tenant Management staff will enter the “hand-off” date and “hand-off” documents (redacting, where appropriate personal information) into Yardi. After the “hand-off” from the Transaction Manager to Tenant Management, Tenant Management staff will provide the “hand-off” documents to the Tenant Management Vendor.

NOTE: It is the responsibility of the Transaction Manager to ensure that no agreement is executed by the authorized or designated signatory unless checks are received in the appropriate amounts from the appropriate entity, guaranties, if applicable, are received executed by the appropriate guarantors and initial insurance certificates are received in the limits and coverages contemplated by the lease, if/when applicable.

5. For the Lease/Sale Negotiation Process, the Authorization Memo plus all correspondence, maps and the agreement, together with any applicable guaranties, serves as the Tenant File and is forwarded to the Associate Director, Tenant Management.

B. Insurance Coordination

As indicated above, it is the responsibility of the Transaction Manager to assure that the initial insurance certificates are received in the limits and coverages contemplated by the lease, if/when applicable. If a tenant’s insurance forms are incomplete at the time the Tenant File is forwarded to Tenant Management staff, a notation as to the status of insurance should be made. The typical reason for uninsured status at the time of execution of the lease, is because the tenant is not being delivered immediate possession. The tenant will not be delivered possession of the premises until active insurance coverage is in place. In most cases where the tenant is required to perform tenant’s initial improvement work at commencement of the term and prior to taking occupancy and opening for business at the premises, tenant will not be delivered possession of the premises until it has completed the plan submission and review process, plans have been approved and tenant has satisfied the other pre-construction conditions set forth in the lease, including delivery of construction contractor contracts, contractor indemnity agreements and certificates of insurance for the contractor’s required insurance coverage.

C. Planning and Construction

Tenant Management with the assistance of the Tenant Management Vendor is responsible for the coordination of the construction process from plan submission and approval through completion. The Transaction Manager will remain available for consultation and provide support to Tenant Management during the term of the tenant’s agreement. Tenant Management or the Tenant Management Vendor shall be responsible for updating Yardi with respect to all tenant design and construction activity.

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CHAPTER X - CONSTRUCTION MANAGEMENT

Scope - This chapter provides guidelines for standardized monitoring of the tenant improvement construction process from design through construction completion and the commencement of operation. Responsibility for the tenant design and construction process has been transferred/handed-off to Tenant Management which will work with the Tenant Management Vendor to oversee the process. The Transaction Manager is nonetheless responsible for being familiar with the process and is to remain available to provide support and assistance to Tenant Management as/when needed.

Objective - To ensure that tenant improvements are efficiently and appropriately completed in compliance with lease terms and with the applicable MTA Agency’s architectural, engineering and/or code compliance departments.

Responsibilities - The applicable MTA Agency is responsible for approving plans and monitoring and approving the construction of new or altered facilities.

Procedures - The necessary procedures for coordinating the construction process include the following:

A. Plan Submission

Tenants submit construction plans for approval by the MTA Agency through the RED (i.e., Tenant Management).

1. Tenant Management, with the assistance of the Transaction Manager on an as-needed basis, is generally responsible for coordinating the approval of design and plans for proposed improvements. After the lease is fully executed, the tenant has a prescribed number of days to submit plans to Tenant Management or its designated receiver. Tenant Management, with the assistance of the Tenant Management Vendor, will make an initial review of the plans to determine if such plans are sufficiently complete to forward to the MTA Agency for review and will either reject them for revision or forward the plans to the appropriate MTA Agency for review. If changes need to be made after the MTA Agency review, the tenant is notified in writing by the Associate Director, Tenant Management or his or her designee.
2. Once plans are approved by the MTA Agency, which approval includes approval of contractor documentation (i.e., construction agreement, work schedule, insurance certificate evidencing contractor’s insurance and a signed contractor’s indemnity agreement in a form attached to the lease agreement) permission to commence construction may be granted.
3. Tenant construction is administered by the MTA Agencies, each of which have different rules governing the tenant construction process for that agency. As a general rule, the following paragraphs describe how the MTA Agencies administer tenant construction.

MTA NYCT: The Tenant Management Vendor arranges a construction kick-off meeting. Attendees typically include the tenant, its contractor, subcontractors, the architect or engineer, Tenant Management staff, the Tenant Management Vendor staff and NYCT Maintenance of Way (“MoW”). MoW is responsible for approving plans, issuing a construction permit and

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permits or authorization to proceed with construction, periodic inspections and for granting approvals to open for business. Formal approval, acceptance of the work, and issuance of a code compliance certificate concludes the process for NYCT. The Tenant Management Vendor communicates this information to the tenant and provides tenant with authorization to open for business.

MTA LIRR: LIRR’s Capital Program Management (“CPM”) staff reviews and approves plans. Tenant Management arranges a construction kick-off meeting. Attendees typically include: Tenant Management staff, the Tenant Management Vendor staff, the tenant, its contractor, subcontractors, the architect or engineer, the Safety Department and CPM staff. CPM is responsible for issuing construction permits, periodic inspections and for granting approval to open for business. Formal approval, acceptance of the work and issuance of a code compliance certificate concludes the process for LIRR. The Tenant Management Vendor communicates this information to the tenant and provides tenant with authorization to open for business.

MTA METRO-NORTH: Capital Programs (CP) is responsible for approving plans, issuing a building permit and for periodic inspections. Tenant Management coordinates the construction kick-off meeting. Attendees typically include CP (including the Safety Department, Engineers and Code Compliance), Tenant Management staff, the Tenant Management Vendor staff, the tenant, its contractor, subcontractors, and the architect or engineer prior. CP issues a code compliance certificate upon satisfactory completion of construction. Formal approval, acceptance of the work and issuance of a code compliance certificate concludes the process for CP. The Tenant Management Vendor communicates this information to the tenant and provides tenant with authorization to open for business.

B. Construction Monitoring

Tenant Management communicates with the applicable MTA Agency staff about the status of tenant construction projects. Tenant Management periodically inspects the premises to monitor the construction progress and ensure the project proceeds according to schedule within established NYS construction and safety guidelines. Tenant Management is responsible for documenting any delays and the reasons for such delays. Once construction is completed, the applicable MTA Agency is notified that construction is complete and a final inspection is requested.

C. Construction Completion

1. Upon construction completion and approval, Tenant Management arranges the return of the construction security, if applicable.
2. Where a lease indicates that rent commences at the end of a buildout period specified in the lease or, if earlier, when tenant opens for business to the public, rather than at commencement of the term, Tenant Management with the assistance of the Tenant Management Vendor will monitor the status of completion of construction and the duration of the buildout period specified in the lease and is responsible for sending to tenant a rent start notice and commencing billing in accordance with the lease.

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CHAPTER XI – NEW YORK STATE PROCUREMENT LOBBYING LAW

Scope - This chapter establishes Guidelines for the implementation of the requirements relating to lobbying with respect to real estate transactions as provided in the State Finance Law and Legislative Law and as amended by Chapter 1 of the Laws of New York of 2005, as amended (the “Procurement Lobbying Law”). The applicable provisions of the Procurement Lobbying Law took effect on January 1, 2006. The Procurement Lobbying Law is a statute enacted by the New York State Legislature and the MTA is obligated to comply with its requirements.

Objective - To enhance the public’s confidence in State procurement processes by increasing disclosure requirements for persons or entities attempting to influence a procurement or real estate transaction.

Responsibilities – Proposers must not make “Contact” during the Restricted Period with anyone other than the Designated Point(s) of Contact.

Procedures - The Procurement Lobbying Law applies to “the purchase, sale or lease of real property or an acquisition or granting of other interest in real property.” Among other things, it restricts certain contacts during the procurement process and requires the MTA to record all such “Contacts” and include them in the transaction record. Violations of the policy regarding permissible contacts must be reported to the appropriate MTA officer and investigated accordingly.

A. Definitions:

As used in this chapter and as provided in the Procurement Lobbying Law, the following terms have the following meanings:

1. **Contact:** any oral, written or electronic communication with a governmental entity under circumstances where a reasonable person would infer that the communication is *intended to influence* an MTA real estate transaction.
2. **Offerer:** an individual or entity, or any employee, agent or consultant or person acting on behalf of such individual or entity, that contacts the MTA about a real estate transaction during the Restricted Period or that bids, proposes or enters into negotiations with respect to an MTA real estate transaction.
3. **Restricted Period:** the period of time commencing with the earliest written notice, advertisement or solicitation of an RFP or, in the case of a Lease/Sale Negotiation Process transaction, when the Transaction Manager first solicits a response from a potential lessee or purchaser intending to result in a lease or contract of sale, which solicitation should be in writing, and ending with the final closing of the transaction.

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B. Applicability:

1. The Procurement Lobbying Law applies to *all contracts* with an estimated annual expenditure in excess of \$15,000, including, but not limited to, RFPs and agreements entered into via the Lease/Sale Negotiation Process.
2. During the Restricted Period, bidders/proposers, or those acting on their behalf, may make only Permissible Contacts with the MTA with regard to a real estate transaction. In addition, bidders/proposers may not attempt to influence a real estate transaction in a way that violates or attempts to violate the ethics provisions of the Public Officers Law Section 73(5), relating to the receipt of gifts intended to influence; and Section 74, which addresses the ethical standards of employees of state agencies (including public benefit corporations), members of the New York State Legislature and Legislative employees.

C. Communications Not Prohibited by the Procurement Lobbying Law (“Permissible Contacts”):

1. A “Contact” (i.e., a communication *intended to* influence a real estate transaction) that is made with a Designated Point of Contact, whether such contact is one of the types of “Contacts” listed in C.2 below or not, or
2. One of the following communications, (all considered “Contacts”), with the Designated Point(s) of Contact OR another agency representative (i.e., an employee of the RED) who is NOT a Designated Point of Contact:
 - a. Proposals: Submission of written proposals in response to an RFP or other solicitation, pursuant to the submission requirements set forth in the solicitation.
 - b. Written Questions: The submission of written questions by a method set forth in the solicitation, advertisement and/or real estate transaction package, when all such questions and responses are to be disseminated to all Offerors who have expressed an interest in the RFP or other solicitation.
 - c. Pre-Proposal Conference: Participation in a pre-proposal conference, oral presentation or interview provided for in an RFP.
 - d. Complaints: Complaints by an Offerer regarding the failure of a Designated Contact to reply in a timely fashion.
 - e. Negotiations: Communications by Offerors who have been tentatively designated as the prospective tenant or purchaser, provided that such communications are solely for the purpose of negotiating the terms of such designation and/or negotiating the terms of the lease or contract of sale after being notified of such designation.

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- f. Review of award: Contacts regarding protests, appeals or other review proceedings before the MTA.
- g. Protests or complaints: Complaints of alleged improper conduct.
- h. Communications: Communications between offerers and governmental entities (i.e., state legislature, public authorities, courts) that solely address the determination of responsibility by the MTA of an Offerer.
- i. Contact: Contact by a member of the New York State Legislature or legislative staff, when acting in their official capacity, regarding a particular real estate transaction.

In addition and in the absence of the Point of Contact, the Managing Director may authorize another contact within the RED to receive communications on behalf of the Point(s) of Contact.

D. Communications Prohibited by the Lobbying Law (“Impermissible Contacts”): Any CONTACT that is not a PERMISSIBLE CONTACT as defined above is an “Impermissible Contact” and a violation of the Lobbying Law. To clarify, a communication is an “Impermissible Contact” if *all* of the following are true:

- (i) An Offerer makes an oral, written or electronic communication with a person in an MTA Agency;
- (ii) who is not a designated point of contact for the solicitation;
- (iii) during the solicitation’s RESTRICTED PERIOD;
- (iv) under circumstances that a reasonable person would infer was an attempt to influence that solicitation; and
- (v) in a m a n n e r that does not fall under any of the areas considered a PERMISSIBLE CONTACT.

E. Recordation of Permissible and Impermissible Contacts:

- 1. Permissible Contacts: Upon any Permissible Contact during the Restricted Period, the employee being contacted must obtain the name, address, telephone number, place of employment and occupation of the person or entity making the Contact and whether or not such person (if such person is not the Offerer) or entity was retained, employed or designated by or on behalf of the Offerer to contact the MTA regarding the procurement. Such Permissible Contacts must be recorded on the Report of Contact form (see Figure 21), with the appropriate “Permissible Contact” box checked. The person recording the information makes a copy of the form for themselves, and sends an additional copy to the Managing Director who maintains a central repository of the forms as well as the original to the Transaction Manager for placement in the Project file.

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2. **Impermissible Contacts:** Any employee of the MTA, including employees outside of the RED, who becomes aware that anyone has violated the Procurement Lobbying Law by making an Impermissible Contact must obtain the name, address, telephone number, place of employment and occupation of the person or entity making the Impermissible Contact and whether or not such person or entity was retained, employed or designated by or on behalf of the Offerer to contact the MTA regarding the procurement and inform the Managing Director and the Chief Compliance Officer of immediately, using the Report of Contact form, checking the “Impermissible Contact” box (see Figure 21).
3. **Record:** The employee obtaining the information and completing the form shall keep a copy for themselves, and deliver a copy to each of the Managing Director, and the Chief Compliance Officer, and deliver the original to the Transaction Manager for placement in the Project file. The Managing Director will investigate the allegations of Impermissible Contacts. If there is reasonable cause to believe that the allegations are true, the Offerer must be notified of the investigation and given an opportunity to respond to the allegations in accordance with the MTA’s procedure regarding responsibility determinations.
4. **Report:** The Managing Director must report knowing and willful violations of the Procurement Lobbying Law to the Executive Director of the MTA and the Chief Compliance Officer.

F. Contract Requirements:

1. All RFP or Lease/Sale Negotiation offering documents must contain the name of the designated contact(s) (the Point(s) of Contact) for that particular procurement.
2. All RFP or Lease/Sale Negotiation offering documents must contain a summary of the requirements of the Procurement Lobbying Law. This summary is contained within Schedule W.
3. Schedule W, the Procurement Lobbying Law Disclosure Statement (see Figure 22) must be made a part of, and returned with, the bid/solicitation documents for each real estate transaction covered under the Procurement Lobbying Law. *Failure of a proposer to return a completed Schedule W will result in such proposer being deemed as ineligible for award.* Schedule W consists of the following, which satisfy the submission requirements of the Procurement Lobbying Law:
 - a. Affirmation of the Offerer’s understanding of, and agreement to comply with, the Procurement Lobbying Law.
 - b. Certification that the information the Offerer has provided the MTA with is complete, true and accurate.
 - c. Disclosure of any findings of non-responsibility made within the previous four years that were due to a violation of the Lobbying Law or as a result of intentionally providing false or incomplete information to a government entity (as defined in the Procurement Lobbying Law). Failure to disclosure

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such prior determinations of non-responsibility will be considered in the determination of responsibility.

- d. The MTA may confirm the accuracy of the information provided in this disclosure by accessing the list of all Offerers who have been determined to be non-responsible or debarred due to violations of this section, maintained by MTA Corporate Compliance.

G. Responsibility Determination:

1. A finding that an Offerer/Proposer has knowingly and willfully violated the Procurement Lobbying Law may result in a determination of non-responsibility and the Offerer/Proposer may not be awarded the lease or sale.
2. The lease or sale may be awarded to an Offerer/Proposer found non-responsible as a result of a violation of the requirements of this section, if the MTA determines that the award of the license or sale to the Offerer/Proposer is necessary to protect public property, health or safety, and that the Offerer/Proposer is the only source capable of fulfilling the contract within the required timeframe. Such finding must be documented in the transaction file.
3. Any subsequent determination of non-responsibility due to a violation of the Procurement Lobbying Law within four (4) years of a determination of non-responsibility will result in the Offerer/Proposer being barred from submitting a proposal on or being awarded any real estate contract for four (4) years from the date of the second determination.
4. Compliance with the requirements of the Procurement Lobbying Law and this Procedure will be considered in the overall responsibility determination of the Offerer/Proposer.

H. Termination

1. Each lease or sale contract covered by the Procurement Lobbying Law must contain a provision that authorizes the MTA to terminate any such transaction if any of the certifications provided by the Offerer/Proposer pursuant to the Procurement Lobbying Law is found to be “intentionally false or intentionally incomplete”.
2. The MTA must include the basis for any action taken pursuant to such termination provision in the transaction record.

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Affiliate: For purposes of these Guidelines, with respect to any specified person or entity, any other person or entity that (a) possesses the power, directly or indirectly, to direct or cause the direction of the management and policy of such specified person or entity, whether through ownership of an equity or beneficial interest (regardless of percentage), contract or otherwise or (b) owns, directly or indirectly, 30% or more of the outstanding stock, partnership interests, membership interests, or other equity or beneficial interest in such specified person or entity. For the purpose of determining the thirty percent (30%) threshold, the direct and indirect ownership interests of such other person will be aggregated with those of such other person's immediate family members (including, spouse, parents, siblings, children (including by adoption), stepparents, stepsiblings, stepchildren and any other lineal ancestor and lineal descendant or any trust (or similar estate planning vehicle) established for estate planning purposes, the sole beneficiaries of which are such natural person or any such natural person's immediate family members).

Authorization Memo: A memorandum prepared by the Transaction Manager seeking authorization as required by these Guidelines. Authorization Memos recommending award pursuant to an RFP require SEQRA check off and signature of the appropriate (i) the appropriate Deputy Director, (ii) the appropriate First Deputy Managing Director, and (iii) the appropriate Managing Director. Authorization Memos recommending award pursuant to the Lease/Sale Negotiation Process require SEQRA check off and the signatures of the appropriate (i) the appropriate Deputy Director, (ii) the appropriate First Deputy Managing Director, (iii) the appropriate Managing Director, (iv) MTA Legal and (v) the CDO.

Chief Development Officer/CDO: The senior officer of MTA who has overall responsibility for all matters concerning MTA Property, including TOM and TOD matters.

Conditional Designation Letter: As described in Chapter VII.

Contact: As defined in Chapter XI.

Deputy CDO, Planning: The senior executive within RED that reports directly to the CDO is designated by the CDO to oversee, among other matters, TOD, including direct oversight of the Managing Director, TOD and telecommunications, including indirect oversight of the Senior Transaction Manager, Telecommunications.

Deputy Director: The Deputy Director within TOM or the Deputy Director or Director within TOD (i) who, with respect to TOM, for a particular MTA Agency, has direct oversight of RED project managers and other personnel on transactional activities, including, leasing, disposition and acquisition of real property for that particular MTA Agency, and who reports directly to the First Deputy Managing Director, TOM, or (ii) who, with respect to TOD, has primary responsibility for direct oversight of such matters and who directly reports to the Managing Director, TOD.

Director, Grand Central Retail Leasing and Management/Director, GCT: The Director within RED who has primary responsibility for GCT retail leasing and licensing, including tenant management relating to GCT retail leases and licenses. Currently this position is vacant. While this position remains vacant, references in these Guidelines shall be to such person(s), individually or in combination, as the Managing Director, TOM or the Deputy CDO, Planning or the CDO may from time to time direct for the purpose of performing the particular functions assigned to the Director, Grand Central Retail Leasing and

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Management/Director, GCT in these Guidelines or by the Managing Director, TOM.

Director, Transit-Oriented Development/Managing Director, TOD: The Director within RED who has primary responsibility for Transit-Oriented Development matters.

Finance Committee: The Finance Committee of the MTA Board (or any other committee of the MTA Board that may assume responsibility for oversight of RED actions).

First Deputy Managing Director: The First Deputy Managing Director, TOM as to TOM matters or the First Deputy Managing Director, TOD as to TOD matters, having responsibility as applicable under the circumstances.

First Deputy Managing Director, TOM: The Director within the RED who has primary responsibility for TOM transactional matters, including leasing, sales and acquisition activities relating to MTA Facilities and who performs the functions of a first deputy to the Managing Director, TOM, as designated by the Managing Director, TOM. Currently this position is filled by the Director, Transaction Management. If more than one person fills this senior leasing and acquisition role, then references in these Guidelines shall be to such persons, individually or in combination, as the Managing Director, TOM or the Deputy CDO, Planning or the CDO may from time to time direct for the purpose of performing the particular functions assigned to the Director, Transaction Management in these Guidelines.

First Deputy Managing Director, TOD: The Director within TOD who has primary responsibility for direct oversight of transaction managers within TOD and who reports directly to the Managing Director, TOD, performing the functions of a first deputy to the Managing Director, TOD, as designated by the Managing Director, TOD. Currently, this role is filled by the position of Director, Real Estate Development within TOD, which position currently is vacant. If more than one person fills this senior transit oriented development role or while this position remains vacant, then references in these Guidelines shall be to such person(s), individually or in combination, as the Managing Director, TOD or the Deputy CDO, Planning or the CDO may from time to time direct for the purpose of performing the particular functions assigned to the Director, Real Estate Development/First Deputy Managing Director, TOD in these Guidelines or by the Managing Director, TOD.

GCT Leasing Guidelines: As defined in the Introduction.

Information Center: The area of the RED office devoted to maintaining central files, VAL maps, Sanborn maps, tax maps, station diagrams and other documents that are regularly needed and must be permanently retained.

Information Management or IMU: The information management unit of the RED.

Inquiries: As defined in Chapter I.

IPIS: IPIS is a database that contains a list of properties owned by the City of New York. Information concerning tax status, descriptive property history and any *in rem* action is also available. Access to this information is provided via CityNet Computer Hook-up. Certain items from this information can also be found in Yardi for each City-owned property.

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Iran Divestment Act: The New York State Office of General Services maintains a list of persons who have been determined to engage in investment activities in Iran (“the List”), as defined in the act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, public benefit authorities may not enter into or award a contract, including a real estate contract, unless it obtains a certification from a proposer that it is not on the list.

Lease/Sale Negotiation Process File: This file results from the Proposer File and includes the Authorization Memo, the Proposer Information Form, the Conditional Designation Letter, the Staff Summary, the Document Approval Form, two (2) copies of the definitive agreement(s), the insurance certificate and the W-9 form. This file is assembled by the Transaction Manager and resides in the Information Center.

Lease/Sale RFP Process: The leasing-out or selling of MTA Property pursuant to an RFP.

Lease/Sale Negotiation Process: The leasing-out or selling real of MTA Property other than pursuant to an RFP.

Licensing-Out Guidelines: As defined in the Introduction.

Managing Director: The Managing Director, TOM as to TOM matters or the Managing Director, TOD as to TOD matters, having responsibility as applicable under the circumstances.

Managing Director, TOD: The Director or Senior Director within the RED who has overall responsibility for the administration and day-to-day operations of the RED core group responsible for Transit-Oriented Development. Currently this position is filled by the Director, Transit-Oriented Development.

Managing Director, TOM: The Director or Senior Director within the RED who has overall responsibility for the administration and day-to-day operations of the RED, and who oversees transactional matters, including leasing, sales & acquisitions for MTA Facilities, GCT Retail Leasing and Management, and MTA Facilities’ operations. Currently this position is filled by the Director, Real Estate Transactions and Operations.

Marketable Property List: A list of all properties available for marketing by the RED. This list should be updated and reviewed regularly to determine the marketability of properties.

MTA: Metropolitan Transportation Authority (for itself and also acting on behalf of the other MTA Agencies with respect to real property owned or controlled by such other MTA Agencies). References in these Guidelines to MTA are intended to include MTA and each MTA Agency, as appropriate.

MTA Agency: As defined in the Introduction.

MTA Facilities: The improvements, buildings, overpass and underpass structures or other physical improvements located on or at MTA Property, including the structural elements and building systems comprising and/or servicing such facilities located within MTA Property (but excluding the subway and railroad tracks, signal systems and train facilities directly used in connection with the operation of the subway system or railroad system).

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MTA Property(ies): Real property, including improvements, structures and facilities (including parking lots, subway and railroad stations, station buildings and retail and amenity space) located thereon, as well as interests in real property, including easements and leasehold interests, development/air rights, directly or indirectly, owned or, pursuant to an agreement entered into by the MTA or an MTA Agency, leased or licensed to and/or operated by MTA or an MTA Agency or by another entity in which MTA or an MTA Agency has an indirect interest.

Net Present Value or NPV: As defined in Chapter III.

New York City Department of Finance Data: The Department of Finance maintains all ownership records for the five boroughs. The records include information relating to current ownership, mortgages, zoning, location, age of improvements and assessed real property value. The data is accessed via select personal computers within the RED.

Parcel Information Sheet: As described in Chapter II.

Procurement Lobbying Law: As defined in Chapter XI.

Proposer File: The Proposer File is assembled by the Transaction Manager and contains the original signed proposal, all relevant correspondence with the selected proposer, the Conditional Designation Letter, the Staff Summary, the Document Approval Form, two (2) copies of the definitive agreement(s), one copy of any guaranty, if/when applicable, the insurance certificate and the W-9 form. This file resides in the Information Center.

Proposer Information Form (PIF): The Proposer Information Form provides detailed information with respect to proposers and their principals and Affiliates, including addresses, past dealings with state and municipal agencies, business history and experience, references, available lines of credit and assets and liabilities, and includes a business plan with financial projections and a credit check authorization. The PIF is used for both the Lease/Sale RFP Process and the Lease/Sale Negotiation Process.

Preparer Questionnaire Form (PQF): As defined in Chapter VIII.

Recordation of Contact: As defined in Chapter XI

RED: The MTA real estate department.

Restricted Period: As defined in Chapter XI.

RFP or Request for Proposals: A request for proposals issued in accordance with these Guidelines.

RFP File: The RFP file results from the Project File and contains all relevant information regarding the RFP, including all proposals and correspondence with proposers and the Transaction Manager's notes regarding the project. This file resides in the Information Center.

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RFP Mailing List: The mail log is maintained in Yardi by an assigned executive secretary and is comprised of a list of persons who have expressed an interest in leasing property from the MTA.

Sanborn and Land Maps: Sanborn and Land Maps are organized by county and municipality; parcels may be identified by location. Sanborn is available for the five boroughs only. It provides brief building improvement information, subway stations, utility/municipality easements, condominiums and any air rights.

SEQRA: As defined in Chapter II.

Staff Summary: The document by which the RED summarizes a transaction for the purpose of obtaining MTA Board authorization to enter into such transaction.

Tenant File: The Tenant File contains all documentation relating to a given tenant, including correspondence, agreements and plans. The files for current tenants and recently (within the past two years) terminated tenants are maintained in the Information Center. All other terminated files are maintained at MTA's offsite storage facility and are subject to MTA's document retention policy.

Tenant Management or TMU: The tenant management unit of the RED which unit includes the Tenant Management Vendor.

Tenant Management Vendor: Tenant Management Vendor is the vendor designated by the Managing Director, TOM or the Associate Director, Tenant Management to administer, manage and provide accounting services for the tenanted portfolio of all MTA Agencies' properties, excluding Grand Central Terminal.

Term Sheet: A sheet or sheets listing the terms of the agreement, requirement improvements, and other information about the transaction.

Transaction Manager: An RED staffer who reports to a Deputy Director.

Transactions & Operations or TOM: As defined in the Introduction.

Transit-Oriented Development or TOD: All real estate-related development matters, whether by an MTA Agency, a government or other public or quasi-public entity and/or a private entity, which affects or involves any existing or to-be acquired MTA Property and/or any existing or proposed transit-related facility or amenity, including acquisitions and dispositions of real property or interests in real property for long-term revenue generation and transportation facilities; major capital projects in conjunction with or enhanced by real estate development; other co-development arrangements with private and public entities including public-private partnerships; and other opportunities for realizing revenue from real estate opportunities and strategic planning.

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Type I Transaction: As defined in Chapter V.

Type II Transaction: As defined in Chapter V.

Valuation (VAL) Maps: The VAL Maps indicate Metro-North and LIRR ownership right of way and indicate property rights along the railroad lines. They also contain limited historical information and identify current tenancies. The Transaction Manager should check the VAL Maps to verify that property is owned controlled by the MTA or an MTA Agency and update the maps as new leases are consummated. These maps are located in the Information Center.

W-9 Form: Internal Revenue Service Federal Tax Form W-9.

Work Sheet: As described in Chapter II.

Yardi: Yardi Systems Inc. is a Santa Barbara, CA based computer-systems and data-processing company that provides property management/ accounting software and maintenance services to the RED. In this document “Yardi” refers to the computer program developed and customized specifically for the MTA.

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Attachment 1

GCT LEASING GUIDELINES

March,
20202021

(As Originally Adopted November 18, 2009, and as Revised by Modifications approved by the MTA Board on November 13, 2013, March 26, 2014, March 25, 2015, March 23, 2016, March 22, 2017, March 21, 2018, March 27, 2019~~and~~, March 25, 2020, March 17, 2021)

GUIDELINES FOR SELECTION OF TENANTS FOR GRAND CENTRAL TERMINAL

Application

These guidelines (“Guidelines”) apply to the selection of tenants for leases of commercial, retail spaces at Grand Central Terminal (“GCT”). They do not apply to the selection of licensees at GCT, which is governed by policies adopted by the Board in November, 2009 (as amended from time to time). (The principal difference between a lease and license for these purposes is that a license is terminable at the discretion of the licensor for any reason upon short notice, not longer than 60 days with no cost to the applicable MTA Agency.) These Guidelines supplement the MTA Real Estate Department Real Property Disposition Guidelines for the Leasing-Out and Sale of Real Property, adopted by the Board on March 27, 2020 as such Guidelines may be amended from time to time (the “General Guidelines”). In the event of any conflict between these Guidelines and the General Guidelines, these Guidelines will govern for GCT commercial retail leases.

Purpose and Objective

The purpose of these Guidelines is to provide the MTA Real Estate Department (the “RED”) with standards and procedures for issuing requests for proposals (“RFPs”) and for choosing tenants to recommend for selection for leases of commercial space at GCT. They are intended to further the MTA’s objective of maximizing the long-term aggregate revenues that the MTA derives from the leasing of the commercial space at GCT (taken as a whole), while making available to commuters and others an appropriate mix of goods and services and maintaining a level of quality commensurate with GCT’s status as an historic landmark and one of New York City’s greatest public spaces (the “Objective”). While the Objective is broader than maximizing guaranteed minimum rent for any particular space, these Guidelines establish a rebuttable presumption that the Objective will be furthered by the proposal that is “responsive” and “responsible” (as determined by the procedure described below) and offers the highest Unadjusted Guaranteed Rent Amount (as such term is defined below). Therefore, any staff recommendation to award a lease to a responsive and responsible proposer other than the responsive and responsible proposer that has offered the highest Unadjusted Guaranteed Rent Amount must be made by a selection committee and supported by a rationale that is articulated in the Staff Summary presented to the Board in connection with such recommendation.

These Guidelines are intended only for the internal guidance of officers and employees of the MTA. Nothing contained in these Guidelines is intended, and the same shall not be construed, to establish expressly or by implication, or confer upon any person or entity (including any prospective proposers or awardees) any right,
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privilege, remedy, claim or benefit under, or by reason of, any requirement or provision of these Guidelines for third parties. Except to the extent prohibited by law, any provision of these Guidelines may be waived by the MTA Board (by ratification or otherwise) or by the Chairman and Chief Executive Officer of the MTA.

Requests For Proposals

All opportunities to lease commercial space at GCT (except spaces that have monetary values below the legal threshold requiring a competitive disposition process) must be offered pursuant to competitive RFPs prepared by the Director, Grand Central Retail Leasing and Management, approved by the Managing Director, TOM, and advertised in accordance with the General Guidelines. More than one space may be offered pursuant to a single RFP.

For each space being offered, an RFP may identify preferred, mandatory, disfavored and/or prohibited uses, as and where appropriate, to encourage and/or ensure an appropriate mix of merchandise and services in various sections of GCT or to otherwise further the Objective. If an RFP identifies a preferred or mandatory use, it shall make clear whether such use is mandatory or merely preferred; if an RFP identifies a disfavored or prohibited use, it shall make clear whether such use is prohibited or merely disfavored.

Without limiting the generality of the foregoing, each RFP must reference (and each prospective tenant must be provided with access to) the generally-applicable design criteria and rules and regulations for commercial spaces at GCT, and state that compliance with such design criteria and rules and regulations is mandatory.

The Director, Grand Central Retail Leasing and Management, with the approval of the Managing Director, TOM, may elect to exclude national chains and/or discount merchandisers from specified areas of GCT, such as the Dining Concourse, the Lexington Passage and/or the Grand Central Market, or GCT as a whole, provided that they reasonably determine that doing so will further the Objective. -If required, each Staff Summary presented to the Board when the award of the proposed lease for a space is submitted in due course for Board approval shall set forth any preferred, mandatory, disfavored, or prohibited uses applicable to such space; provided, however, that the aforementioned design criteria and rules and regulations need only be referenced, not set forth in full, in such Staff Summaries.

Selection Criteria

The following are the selection criteria (the "Selection Criteria") that will be used to evaluate proposals:

Selection Criterion A: Direct Economic Benefit to the MTA (Maximum 70 Points).

Each evaluator shall:

First: Start with the Unadjusted Guaranteed Rent Amount for each proposal, as determined by the Technical Consultants as described below. The "Unadjusted Guaranteed Rent Amount" for any proposal is the present value of the guaranteed minimum rent set forth in such proposal, discounted to the first day of the lease term using a discount rate of 7%.

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Second: Determine the Guaranteed Rent Adjustment Factor for each proposal and multiply it by the Unadjusted Guaranteed Rent Amount for such proposal, to determine the “Adjusted Guaranteed Rent Amount” for such proposal. The “Guaranteed Rent Adjustment Factor” is an adjustment factor intended to reflect any concerns that the evaluator may have with respect to the certainty of payment of the Unadjusted Guaranteed Rent Amount, taking into account (1) the evaluator’s assessment of the viability of the prospective tenant’s business plan, (2) the evaluator’s assessment of the creditworthiness of the prospective tenant (or any proposed guarantor) and (3) any security deposits and/or letters of credit that will be required (as set forth in the applicable RFP). The Guaranteed Rent Adjustment Factor may be as high as 1.00 (which means the evaluator has no uncertainty about the Unadjusted Guaranteed Rent Amount being received by MTA) and as low as 0.50 (which means the evaluator has great uncertainty about the Unadjusted Guaranteed Rent Amount being received by MTA), provided that if a proposal includes commercially unreasonable backloading of rent then the adjustment factor may be as low as zero to protect MTA’s interests.

Third: Take the Unadjusted Percentage Rent Amount for each proposal, as determined by the Technical Consultants. The “Unadjusted Percentage Rent Amount” for any proposal is the present value of the projected percentage rent as set forth in the proposal, discounted to the first day of the lease term using a discount rate of 7%.

Fourth: Determine the Percentage Rent Adjustment Factor for each proposal and multiply it by the Unadjusted Percentage Rent Amount for such proposal to determine the “Adjusted Percentage Rent Amount” for such proposal. The “Percentage Rent Adjustment Factor” is an adjustment factor intended to reflect the evaluator’s assessment of the likelihood of percentage rent being received. The Percentage Rent Adjustment Factor may be as high as 0.50 (which means the evaluator has no uncertainty about the Unadjusted Percentage Rent Amount being received by MTA) and as low as zero (which means the evaluator has great uncertainty about the Unadjusted Percentage Rent Amount being received by MTA).

Fifth: Add the Adjusted Guaranteed Rent Amount for each proposal to the Adjusted Percentage Rent Amount for such proposal to determine the “Adjusted Total Rent Amount” for such proposal.

Sixth: Award the proposal with the highest Adjusted Total Rent Amount (the “Highest Adjusted Total Rent Amount”) seventy (70) points for the Selection Criterion A score.

Seventh: Calculate the Selection Criterion A score for each of the other proposals by multiplying 70 times a fraction, the numerator of which is such proposal’s Adjusted Total Rent Amount and the denominator of which is the Highest Adjusted Total Rent Amount.

Selection Criterion B: Indirect Benefit to the MTA (Maximum 30 Points).

Each evaluator shall determine the likelihood that each prospective tenant will support the elements of the Objective not directly reflected in Selection Criteria A by attracting other desirable prospective tenants to GCT, and/or customers for other current or prospective tenants at GCT. The Selection Criterion B score

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shall range from 30 (which means that the evaluator believes that the prospective tenant will have an extremely significant positive effect on the elements of the Objective not directly reflected in Selection Criterion A) to zero (which means that the evaluator believes that the prospective tenant will have no positive effect on the elements of the Objective that are not directly reflected in Selection Criterion A).

Total Selection Criterion Score.

The total Selection Criteria score (the “Total Selection Criteria Score”) for each proposal shall be the sum of that proposal’s Selection Criterion A score plus that proposal’s Selection Criterion B score.

Procedures for Evaluation of Proposals

Step 1: Technical Evaluation by Consultants:

All proposals received from prospective tenants shall will be independently evaluated by MTA’s Leasing Agent ~~(currently Newmark Grubb Knight and Frank)~~ and MTA’s Retail Manager for GCT (currently, Jones Lang LaSalle) or such other outside consultants as may from time to time be retained by the MTA to provide leasing and retail property management services at GCT (individually, the “Technical Consultant” or collectively, the “Technical Consultants”).

Each Technical Consultant shall provide the Director, Grand Central Retail Leasing and Management with an independent technical evaluation (a “Technical Evaluation”) of each such proposal.

If either Technical Consultant considers that a proposal is non-responsive, such Technical Consultant shall promptly raise that issue with the Director, Grand Central Retail Leasing and Management and the Director, Grand Central Retail Leasing and Management shall undertake the responsiveness review in Step 2 for such proposal. If after such responsiveness review (and any corrective steps permitted in Step 2) the Director, Grand Central Retail Leasing and Management (after consultation with the Managing Director, TOM) determines that such proposal is not responsive, such proposal shall be disqualified and no further technical evaluation shall be performed.

Each Technical Evaluation of each proposal shall include:

- (i) responsiveness to the RFP;
- (ii) a calculation of the Unadjusted Guaranteed Rent Amount;
- (iii) a calculation of the Unadjusted Percentage Rent Amount;
- (iv) a description of any known adverse prior experience (such as arrears, delinquent payments, and failure to comply with lease or license terms) pertaining to business relationships of the prospective tenant or any Affiliate of the prospective tenant (as such term is described below), after due inquiry into the GCT leasing records and the records of Tenant Management;

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- (v) any other matters relating to the responsibility of the proposer;
- (vi) an assessment of the viability of the prospective tenant's business plan (taking into account, as appropriate, the prospective tenant's concept, capabilities and past experience), the creditworthiness of the prospective tenant (or any proposed guarantor) and any security deposits and/or guaranties that will be required (as set forth in the applicable RFP);
- (vii) an assessment of the likelihood of receiving any proposed percentage rent; and
- (viii) an assessment of the likelihood that the prospective tenant will indirectly further the Objective, by attracting other desirable prospective tenants to GCT, and/or customers for other current or prospective tenants at GCT.

Step 2: Determination of Responsiveness:

The second step after receipt of proposals will be a determination of responsiveness by the Director, Grand Central Retail Leasing and Management using the standard criteria set forth in the General Guidelines (including such prospective tenant's failure to provide all completed forms, supplemental information and signatures required by the applicable RFP). A proposal received in response to an RFP should be deemed "not responsive" (and thus disqualified and eliminated from further consideration by the Director, Grand Central Retail Leasing and Management) if such proposal (a) contemplates uses that such RFP identified as prohibited uses or (b) fails to provide for uses that such RFP identified as mandatory uses. A prospective tenant may not be disqualified by reason of such prospective tenant having proposed uses that the RFP identified as merely "disfavored" or by reason of having failed to propose uses that the RFP identified as merely "preferred" (although that may properly be taken into account in scoring proposals, as described above under the heading "Selection Criterion B"). If the Director, Grand Central Retail Leasing and Management determines that it is in MTA's best interests to permit a proposer to modify its proposal after the due date to correct deficiencies that would otherwise cause it to be deemed or otherwise determined to be non-responsive, such proposer shall be afforded such opportunity. If a decision is made to request action by the proposer to conform its submission with the RFP requirements, an email or letter should be sent to the proposer requesting such action. Any such email or letter should state that the non-conformity must be rectified within five working days, except that a longer time may be allowed by the Director, Grand Central Retail Leasing and Management, if the nature of the non-conformity (e.g., missing architectural plans) warrants such longer period.

In making the foregoing determination, the Director, Grand Central Retail Leasing and Management may rely on the Technical Evaluations. If there is any doubt about the appropriate action to take, MTA Legal should be consulted.

Step 3: Initial Determination of Responsibility:

The third step is an initial determination of responsibility by the Director, Grand Central Retail Leasing and Management. The Director, Grand Central Retail Leasing and Management may determine that a prospective tenant is not responsible for any reason contemplated by the General Guidelines (including unsuitability due to a record of criminality, lack of integrity, violations of the Procurement Lobbying Law (State Finance Law §§ 139-j and 139-k)).

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A proposer may be disqualified as “not responsible” (and thus eliminated from consideration by the Director, Grand Central Retail Leasing and Management) if such proposer, or any Affiliate of such proposer, (a) has been convicted of a felony or any misdemeanor involving moral turpitude; (b) has been disqualified from contracting with the MTA, the City of New York, the State of New York, the Port Authority of New York and New Jersey or the federal government; (c) has violated the Procurement Lobbying Law (as more particularly described in Chapter XI of General Guidelines); (d) owes, with respect to amounts due not more than five years prior to the date of the RFP, in excess of \$10,000 to the MTA or any other MTA Agency; such amount is not (in the view of MTA Legal) the subject of a bona fide dispute; and such amount has remained unpaid for more than 30 days after written demand from the MTA or another MTA Agency; (e) is in default of material non-monetary obligations to the MTA or another MTA Agency under an existing lease and the MTA or MTA Agency has provided written notice under that lease and the default has not been timely cured as provided in the lease; (f) has any outstanding federal, state or local tax warrants or liens; or (g) has received an unacceptable credit report from a reputable credit reporting company. Late payment, as opposed to non-payment, shall not constitute grounds for disqualification of an otherwise responsible proposer (although it may be taken into account in scoring proposals, as described above under the heading “Selection Criterion A”). If after providing written notice and having afforded such prospective tenant an opportunity to be heard the MTA deems a prospective tenant to be not responsible, the MTA need not undertake any further consideration of such prospective tenant’s proposal. A proposer may be deemed “not responsible” (and thus may be disqualified and eliminated from consideration by the Director, Grand Central Retail Leasing and Management) if such prospective tenant, or an Affiliate of such proposer, (a) is the current tenant in the space being offered by the RFP or any other retail space at GCT, and (b) refuses to agree to amend its current lease for such space to include security provisions identical to those in the draft lease issued as part of the RFP.

If, after having been provided written notice of its intent to disqualify a proposer as not responsible, and having afforded such proposer an opportunity to be heard, a proposer is deemed to be not responsible, no further consideration of that proposal need be undertaken. In making the foregoing determination, the Director, Grand Central Retail Leasing and Management may rely on the Technical Evaluations.

Step 4: Evaluation by the Director, Grand Central Retail Leasing and Management:

The Director, Grand Central Retail Leasing and Management shall thereafter complete his/her written evaluation of all responsive proposals received from responsible prospective tenants based on the Selection Criteria, using both the numerical scoring and comment sections of an evaluation and scoring form substantially in the form attached hereto as Appendix A (the “Evaluation Form”). In completing such Evaluation Form, the Director, Grand Central Retail Leasing and Management must exercise his/her own best independent judgment taking into account the Technical Evaluations.

If the proposal with the highest Total Selection Criteria Score is also the proposal with the highest Unadjusted Guaranteed Rent Amount, then, without convening a selection committee, the Managing Director, TOM (with the approval of the Chief Development Officer) may (i) provided at least three proposals were received, award the lease to the proposer who offered the highest Unadjusted Guaranteed Rent Amount without a Finance Committee Staff Summary or MTA Board Approval provided that it is later listed in a chart in the Finance Committee book consistent with Policy 33 adopted by the MTA Board in November, 2013, applicable to the award of leases generally under the General Guidelines or (ii) submit to the MTA Board Finance Committee a Staff Summary recommending award of the lease to such proposer and seeking authorization of the MTA Board for such action. However, if the proposal with

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the highest Total Selection Criteria Score is not the proposal with the highest Unadjusted Guaranteed Rent Amount or if two proposals provide for the same highest Unadjusted Guaranteed Rent Amount, then the Director, Grand Central Retail Leasing and Management must convene a selection committee (the “Selection Committee”) consisting of the Director, Grand Central Retail Leasing and Management, the Managing Director, TOM or his or her designee and a representative of Metro-North Commuter Railroad Company appointed by the Executive Vice President of Metro-North or his or her designee.

Step 5: Evaluation by Selection Committee, If Necessary

If a Selection Committee is required, the members of the Selection Committee will be furnished with the Technical Evaluations. Each Selection Committee member other than the Director, Grand Central Retail Leasing and Management shall complete his or her written evaluation of all responsive proposals received from responsible prospective tenants based on the Selection Criteria, using both the numerical scoring and comment sections of the Evaluation Form. In completing such Evaluation Form, each such Selection Committee member must exercise his or her own best independent judgment taking into account the Technical Evaluation.

A meeting of the Selection Committee will thereafter be convened. At such meeting, the members of the Selection Committee may properly discuss the applicable proposals among themselves and/or with the Consultants. After such discussion is completed, each of the three members of the Selection Committee may prepare supplemental Evaluation Forms taking into account any such consultation and discussion. Each supplemental Evaluation Form shall include a narrative explanation for the basis of any scoring changes from the scoring in that Selection Committee member’s original Evaluation Form. The Total Selection Criteria Score on the three final Evaluation Forms (which will be the original Evaluation Form for each Selection Committee member who does not submit a supplemental Evaluation Form; and will be the supplemental Evaluation Forms for the other Selection Committee members) will be added together and the proposals ranked based on such aggregate scoring.

Step 6: Conditional Designation

If a prospective tenant is selected, either by the Director, Grand Central Retail Leasing and Management as provided in Step 4 or by a Selection Committee as provided in Step 5, as applicable under the circumstances, the Director, Grand Central Retail Leasing and Management or his or her designee shall prepare a conditional designation letter for execution by the Managing Director, TOM or other authorized signatory on behalf of MTA and Metro-North and countersignature by such prospective tenant as contemplated by the General Guidelines.

Step 7: Determination of Whether to Present Recommendation to the Board

The Managing Director, TOM may (at any time after an RFP is issued) determine, in consultation with the MTA Legal, that it is in the best interest of the MTA to reject all proposals because the process did not yield sufficiently advantageous proposals, if the Managing Director, TOM believes that issuing a new RFP would materially further the Objective, or because it has been determined, since the applicable RFP was issued, that the applicable space is better utilized for a non-commercial purpose, in which case the Managing Director, TOM shall so report to the Board’s Finance Committee. Otherwise, the Director, Grand Central Retail Leasing and Management shall make a final determination of responsibility with respect to the prospective tenant with the highest Total Selection Criteria Score, based on any new

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information received after the initial determination of responsibility, and if such prospective tenant continues to be responsible the Managing Director, TOM shall (i) provided at least three proposals were received, award the lease to the proposer who offered pursuant to Policy 33 of the General Guidelines adopted by the MTA Board in November, 2013, or (ii) submit to the MTA Board Finance Committee a Staff Summary requesting authorization to enter into a lease with the prospective tenant who did not offer the highest Unadjusted Guaranteed Rent Amount, but had the highest Total Selection Criteria Score as determined by a Selection Committee. If such recommended prospective tenant is not the proposer with the highest Unadjusted Guaranteed Rent Amount, then the Staff Summary must include a reasonably detailed explanation of the factors that the Selection Committee took into account in recommending such proposal.

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Figure 1 – Property Subject to RFP

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EXAMPLE OF A PROPERTY SUBJECT TO RFP LETTER

(DATE)

(NAME)

(STREET ADDRESS)

(CITY), (STATE) (ZIP CODE)

Dear (NAME):

Thank you for the interest you expressed in leasing property owned or managed by the Metropolitan Transportation Authority (MTA). Please be advised that it is the policy of the MTA to offer lease opportunities through a request for proposals (RFP) process.

If you would like to be on our RFP notification list, please complete the registration form on MTA Real Estate's website at http://enterprise.nymta.info/MTA_Real_Estate_RFP/.

If you have further questions, you may reach me at (212-878- for ____). Again, thank you your interest in MTA property.

Sincerely,

(STAFF NAME)

Enclosure (RFP Brochure and Figure1)

cc: (Director, Real Estate Transactions and Operations /
Director, Real Estate Development)
(Director, Transaction Management)
File
Chron

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Figure 2 – Acknowledgement Letter

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EXAMPLE OF ACKNOWLEDGEMENT LETTER

(When substantial research and/or agency review must take place, if Real Estate Manager in his/her judgment believes a research or agency review is forthcoming, this acknowledgement can be foregone. Telephone contact is then deemed appropriate.)

(DATE)

(NAME)
(STREET ADDRESS)
(CITY), (STATE) (ZIP CODE)

Re: LIRR Property West of Centerport Road, Huntington, New York (PROPERTY
CODE) (UNIT CODE)

Dear (NAME):

The Real Estate Department of the Metropolitan Transportation Authority (MTA) is in receipt of your request for an agreement to use Long Island Rail Road (LIRR) property at the referenced location, for the receipt, storage and sale of masonry supplies.

Please be advised that this request has been forwarded to the LIRR for review. Upon receipt of its comments, you will be notified accordingly.

Should you have any questions, I can be reached at (212) (878-____).

Sincerely,

(NAME)
Real Estate Manager
Real Estate Department

cc: (Director of Real Estate Operations)
(Deputy Director, Leasing & Acquisition)
File
Chron

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EXAMPLE OF ACKNOWLEDGEMENT LETTER

(When substantial research and/or agency review must take place, if Real Estate Manager in his/her judgment believes a research or agency review is forthcoming, this acknowledgement can be foregone. Telephone contact is then deemed appropriate.)

(DATE)

(NAME)
(STREET ADDRESS)
(CITY), (STATE) (ZIP CODE)

Re: LIRR Property West of Centerport Road, Huntington, New York (PROPERTY
CODE) (UNIT CODE)

Dear (NAME):

The Real Estate Department of the Metropolitan Transportation Authority (MTA) is in receipt of your request for an agreement to use Long Island Rail Road (LIRR) property at the referenced location, for the receipt, storage and sale of masonry supplies.

Please be advised that this request has been forwarded to the LIRR for review. Upon receipt of its comments, you will be notified accordingly.

Should you have any questions, I can be reached at (212) (878-____).

Sincerely,

(NAME)
Real Estate Manager
Real Estate Department

cc: (Director of Real Estate Operations)
(Deputy Director, Leasing & Acquisition)
File
Chron

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Figure 3 – Property Not Owned/Managed by MTA

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EXAMPLE OF PROPERTY NOT OWNED BY THE MTA

(DATE)

(NAME)
(STREET ADDRESS)
(CITY), (STATE) (ZIP CODE)

Re: LIRR Property –Block 6499, Lot 22, Brooklyn, New York (PROPERTY CODE,
UNIT CODE)

Dear (NAME):

With reference to your letter dated January 7, 2008, regarding the use of LIRR property, it appears that the LIRR is not the owner of the property you wish to use.

If I can be of further assistance to you, please call me at (212) (878-__).

Sincerely,

(NAME)
Transaction Manager
Real Estate Department

Enclosure (RFP Brochure and Figure1)

cc: Director, Real Estate Transactions and Operations
(Director, Transaction Management)
Chron

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Figure 4 – Property Unavailable for Lease

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EXAMPLE OF PROPERTY UNA VAILABLE FOR LEASE LETTER

(DATE)

(NAME)
(STREET ADDRESS)
(CITY), (STATE) (ZIP CODE)

Re: LIRR Property – Flatbush Avenue, Brooklyn, New York (Block 11216499, Lot 1)
(PROPERTY CODE, UNIT CODE)

Dear (NAME):

Thank you for the interest you expressed in leasing property owned or managed by the Metropolitan Transportation Authority (MTA). As a follow-up to our phone conversation, please be advised that the referenced site is currently under rehabilitation and will not be available until winter 2010.

If I can be of further assistance to you, please call me at (212)878-_____. Again, thank you for your interest in MTA property.

Sincerely,

(NAME)
Senior Transaction Manager
Real Estate Department

Enclosure (RFP Brochure and Figure1)

cc: (Director, Real Estate Transactions and Operations)
(Director, Transaction Management)
Chron

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Figure 5 – Letter Denying Request

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EXAMPLE OF LETTER DENYING REQUEST

(DATE)

(NAME)
(STREET ADDRESS)
(CITY), (STATE) (ZIP CODE)

Re: Parking Request – West of Endo Boulevard, Garden City, New York
Property Code _____ Unit Code _____

Dear (NAME):

Thank you for the interest you expressed in leasing Long Island Rail Road (LIRR) property at the above-referenced location. I regret to inform you that your request has been denied at this time, as this property is being retained for freight operations.

If I can be of further assistance to you, please contact me at (212)878-_____.

Sincerely,

(NAME)
Transaction Manager
Real Estate Department

cc: (Director, Real Estate Transactions and Operations)
(Director, Transaction Management)
Chron

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Figure 6 – Parcel Information Sheet

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MTA Parcel Information Sheet

[enter location, e.g., 2 Broadway Newsstand]

[enter the RFP #, e.g., RFP BG0912]

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Station Facilities: [enter location description. If a station, enter complete and correct station name]

Property Code / Unit Code: [enter Yardi property code/unit number]

[Landlord OR Licensor]: [MTA New York City Transit (“NYCT”) or MTA Long Island Rail Road (“LIRR”) or MTA Metro-North Railroad (“Metro-North”) or MTA Bridges & Tunnels or Metropolitan Transportation Authority (“MTA”)]

Premises/Licensed Area: [describe space, e.g., “Ground floor lobby newsstand (inside security checkpoints) at 2 Broadway.”]

Station Ridership: [“N/A” or enter:
Average weekday ridership: [enter number]
Average Saturday ridership: [enter number or “N/A”]
Average Sunday ridership: [enter number or “N/A”]

Deemed Rentable Square Footage: [enter size of retail or other area in square feet, and separately show the size of any storage areas]
[Add if applicable: “A [plan or drawing] of the space is attached hereto as Exhibit A.”]

Term: [enter number of years of initial or total term, if no option] years from the rent commencement date of the lease plus any free rent period for buildout between the commencement date and rent commencement date

Extension Option(s): [enter option(s) and number of years and “at [licensee/lessee] OR landlord’s discretion” or put “N/A”] [years]

Transportation Purposes Termination: FOR LEASE USE:
Landlord may terminate the lease upon 90 days’ notice to tenant if landlord requires the premises or any part thereof (a) for any transportation or transit purpose including the realization of revenues; (b) in connection with the construction, demolition, sale, or lease of the premises, the station or any other portion of the real property; (c) to otherwise accommodate the operation of the Railroad System; or (d) in connection with the furtherance of any of its purposes or the exercise of any of its general or special powers under the Public Authorities Law.

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years or longer and Tenant has made a substantial capital investment in the Premises, use the following if an amortization provision is being provided, otherwise delete: "If the lease is terminated by landlord during the first 10 years of the term, landlord will reimburse tenant for the documented unamortized reasonable costs of its permanent improvements, amortized on a straight line basis over 10 years, provided tenant is not in default at such time and vacates the premises voluntarily on the termination date, after first deducting any amounts owed by tenant to landlord.]

FOR LICENSE USE: Landlord may terminate the license, at will, at any time on [60][30] days prior notice, at no cost to landlord.

FOR SALE USE: N/A

Suggested Annual [Rent or Compensation or Purchase Price]: [enter annual rent or compensation] and add "for Year 1 of the term, or enter purchase price]

Suggested Average Annual Rate of Increase: [enter percentage, i.e., "3%" or "5%" or "N/A"]

Utility Fee:

Electricity fee [“N/A” or “Tenant shall pay a fee of \$[number] per [month or year] for electricity as additional rent. This amount shall increase annually by 3%.”]

Trash collection fee [“N/A” or “Tenant shall pay a fee of \$[number] per [month OR year] as a trash collection fee. This amount shall increase annually by 3%.”]

[Security Deposit or Down Payment]: Upon signing of [lease/license], three months’ [base rent or base compensation] based on the final year’s [base rent or base compensation].

Guaranties: Unless the tenant itself is a creditworthy entity, a creditworthy principal of tenant acceptable to landlord/licensor will be expected] to provide a guaranty of the performance and payment obligations of [tenant/licensor] under the [lease/license]. If tenant is a newly formed entity, the individual proposers)/principal(s) will be expected to provide full personal guaranties. Under appropriate circumstances, such as when tenant is making a significant capital investment in the premises, landlord in its discretion, may accept a "limited guaranty" (or so-called 'good guy' guaranty) limiting the guarantor(s)' liability to (i) tenant's obligations to complete the initial improvements, (ii) tenants payment and performance obligations while it is in possession of the premises prior to a voluntary surrender of the same in its required condition, and (iii) an additional period of time to guaranty tenant's rent obligation beyond its voluntary surrender for up to 12 months to afford landlord an opportunity to re-let the premises.

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[Required OR Permitted] Use[s]: [enter required or permitted uses – match what is in form of lease or license, if a form is being used – DO NOT put in an exhaustive list of items, like exist in newsstand agreements]

Prohibited Items and Uses: Prohibited uses are specified in the form of lease/license

Minimum Hours: [enter minimum hours for weekdays and add “except for weekends and MTA holidays”, or whatever required operating days and times are.] [Tenant/Licensee] may remain open at other times subject to [landlord’s/licensor’s] approval.

Sales Reporting [optional]: [Tenant/Licensee] shall provide Landlord with gross sales figures on a monthly or annual basis, if required by landlord.

Condition of Premises: Premises will be delivered “as is.” [Landlord/Licensor/Grantor] anticipates making no improvements to the premises or the systems serving the premises.

Utilities:

Electrical The space is served by a [enter size, e.g., “60 amp single phase” or 100 amp three-phase, etc.] electrical service and [is/is not] sub-metered or separately metered.

Fire Alarm [“N/A” or describe the fire alarm situation and what if anything will need to be done, i.e., “connection to a central station located at [location] via rigid conduit is required”]

Sprinkler [“N/A” or describe sprinkler situation and what if anything will need to be done with it]

Plumbing [“N/A” or describe plumbing situation and what if anything will need to be done with it]

Natural Gas [“N/A” or describe natural gas situation and what if anything will need to be done with it]

Domestic Water [“N/A” or describe water situation and what if anything will need to be done with it]

Sanitary Service [“N/A” or describe the sanitary service (i.e., “3” sanitary line with ½ hp 120v 1ph ejector pump”), and what if anything will need to be done with it]

HVAC [“N/A” or describe HVAC situation and what if anything will need to be done with it]

Telecommunications [“N/A” or describe the telephone and/or other telecommunications (i.e., cable or other data lines) situation and what if anything will need to be done with it; also, if applicable, indicate the designated provider such as “Telephone service is available through

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Verizon”)]

Condition of Existing Equipment and Utilities:

Tenant is responsible for repair, maintenance, and replacement of all equipment located in or exclusively serving the premises. No warranties or representations are made regarding the condition of existing equipment or utilities, or the suitability of existing equipment and utilities for [licensee’s or tenant’s] proposed use.

Tenant’s Initial Work:

“[Tenant/Licensee] shall be responsible, at its sole cost and expense, for the completion of all improvements required for [tenant’s or licensee’s] use and as required by [landlord or licensor].”

At a minimum, Tenant’s Initial Work must include the following:

1. [enter required improvement]
2. [enter required improvement].
3. [enter required improvement].
4. [enter required improvement].
5. [enter required improvement].
6. [add additional numbers to list if necessary to describe additional discrete improvement items]

Tenant’s Initial Work must meet the requirements of the New York State Uniform Fire Safety and Building Code. [Landlord/Licensor], in its capacity as the construction permitting and code compliance agency under the NYS Uniform Code and not in its proprietary capacity as Landlord, or its designee shall review all proposed Alterations for compliance with aforementioned code.

Compliance with Codes and Ordinances:

In addition to compliance with the New York State Fire Safety and Building Code, [tenant/licensee] shall comply with all other applicable codes and ordinances, including health regulations, and obtain all required permits.

Estimated Cost of Initial Improvements:

Each proposer should take into consideration the requirements for high quality design, materials and workmanship, as well as compliance with all applicable regulations and codes when preparing plans and estimating construction costs.

We strongly encourage each proposer to obtain professional construction estimates before submitting his or her proposal. If actual construction costs exceed estimated costs, the [tenant/licensee] should not anticipate any relief from [landlord/licensor]. The [tenant/licensee] will be responsible for completing all required improvements regardless of the actual cost of construction.

Insurance:

The lease/license agreement will specify the insurance requirements for Tenant and its Contractors (including subcontractors of any tier) and will generally include, at a

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minimum: commercial general liability insurance workers' compensation insurance, and all-risk property insurance policy.

[Enter if applicable or delete: "Railroad protective liability insurance in the amount of [enter amount] will be required of [tenant's/licensee's] contractor"]

Tenant's/Licensee's contractors will be expected to sign a contractor's insurance and indemnity agreement and providing insurance certificates evidencing the required insurance coverage prior to commencing any work.

Assignment & Subletting/Change of Control:

Any assignment or subletting or transfer of a controlling interest in a tenant entity, or other transfer of an equity interest that results in a change of control, whether directly or indirectly, is not permitted without [landlord's/licensor's] approval, in its sole discretion. However, [landlord/licensor] will not be required in certain circumstances specified in the lease/license, including to a controlled affiliate, to a distributee or legatee of a decedent's estate, or to a trust or immediate family member for financial or tax planning purposes.

Broker:

Any tenant broker is to be paid by the tenant.

Site Visit:

[enter time], [enter day of week], [enter date including year]

This Parcel Information Sheet must be signed by an officer of the prospective [tenant/licensee].

Prospective [Tenant/Licensee]: _____

Authorized Signature: _____

Name: _____

Title: _____

Date: _____

Additional Thoughts:

Add: Commencement Date, Rent Commencement Date, Initial Plan Submission Date, Initial Work Buildout Period

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Metropolitan Transportation Authority

Purchase Price Proposal Form

RFP Number: [RFP Number], [TITLE]

In the form below Proposers must specify their proposed purchase price for either or both properties offered in this RFP. Proposers must submit a copy of an appraisal report prepared by a reputable appraisal firm substantiating the proposed purchase price.

Proposed Purchase Price: \$ _____

A proposal deposit in the amount of **[Proposal Deposit Percentage]**% of the proposed purchase price for each parcel being proposed for must be submitted along with this proposal. If selected, this deposit will be credited to the ultimate purchase price. If not selected, the proposal deposit(s) will be refunded in full.

Amount of proposal deposit: \$ _____

This Purchase Price Proposal must be signed by an officer of the prospective Purchaser:

Prospective Purchaser: _____

Authorized Signature: _____

Name: _____

Title: _____

Date: _____

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Figure 7 – RFP Cover Letter

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2 Broadway
New York, NY 10004
212 878-7000 Tel

Metropolitan Transportation Authority
State of New York

[enter date]

Re: Request For Proposals [enter RFP number]-[enter RFP name]

Dear Prospective [Tenant or Licensee or Purchaser]:

Thank you for your interest in this offering of [MTA New York City Transit or MTA Long Island Rail Road or MTA Metro-North or MTA Bridges and Tunnels] property for (lease or license or sale) at (enter description of property).

Attached is the entire Request for Proposals ("RFP") package, also available on the internet at

http://enterprise.nymta.info/MTA_Real_Estate_RFP/

DEADLINE: Proposals must be received by 5:00p.m. on [enter day of week, day of month, month name, and year]. Proposals submitted after such deadline will, at the MTA's sole discretion, only be considered if the MTA determines that such consideration is in the public interest. Each proposal must include the items listed in the "Submission Requirements" section below. Proposals not including all requested items may be rejected.

In addition to this letter, the RFP package includes the following components:

1. *Parcel Information Sheet[s]*. This section provides information about [each of] the location[s] offered and the date and time that the location[s] may be viewed.
2. *Request for Proposals-Rules and Regulations*. This section contains regulations, information and instructions that relate to the submission of proposals. Please take the time to read this section carefully and refer to it as you fill out the Proposer Information Form.
3. *[Rent or Compensation or Purchase Price] Proposal F01m*. This form is to be used to specify the [rent or compensation or purchase price] that the prospective [tenant or licensee or purchaser] proposes to pay to the MTA for the applicable space.
4. *The Proposer Information Form*. Please take the time to read the instructions carefully and ensure that all questions are answered completely. Also, note that the last page must be notarized. Edit PIF by crossing out irrelevant sections not applicable to the parcel that would only confuse bidders]
5. *U.S. Internal Revenue Service F01m W-9, Request for Taxpayer Identification Number and Certification*.
6. *New York State Finance Law Sections 139-j and 139-k ("Lobbying Law") Disclosure Statement*.

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Request for Proposal
Cover Letter Page 2 of 3

7. *Iran Divestment Act Certification.*

8. *[Delete the following ~~FVA~~ Standard Format for Architectural Drawing Submissions. These guidelines are for the tenant and tenant's architect and contractor to use in preparing design plans following (lease or license) execution.*

9. *[Delete the following if NIA] Form of [Lease or License or Contract of Sale].*

SUBMISSION REQUIREMENTS: Please provide the following information with your submission (all forms are available for download from the MTA's website listed above):

- Fully completed and signed *Parcel Information Sheet*
- Fully completed and signed *[Rent or Compensation or Purchase Price] Proposal Form.*
- Fully completed and signed *Proposer Information Form.* Notarize the last page of where indicated.
- Fully completed and signed *U.S. Internal Revenue Service Form W-9, Request for Taxpayer Identification Number and Certification.*
- Fully completed and signed *NYS Finance Law Sections 139-j and 139-k Certification.*
- Fully completed and signed *Iran Divestment Act Certification.*
- *Proposal Deposit* in the amount (of three months' of Year 5 [Rent or compensation, (i.e., three times the amount proposed for Year 5) OR [enter] % of the proposed purchase price]. Proposals submitted with multiple checks drawn on different accounts may be rejected. You should enclose money orders or checks drawn on a single account.
- [Include the following only if applicable] Please list material objections, if any, to the *Form of [Lease or License or Contract]* in a cover letter or on a separate sheet of paper. If you do not list any objections, MTA will proceed on the basis that you have no objections to the *Form of [Lease or License or Contract]* and will reserve the right to award the (lease or license or contract) to another bidder, should you later raise such objections.

One hard copy of submission should be delivered in sealed envelopes by the date listed above to:

Ms. Dalilah Smith-Santos
Executive Secretary
Metropolitan Transportation Authority
2 Broadway, 4th Floor
New York, NY 10004
RFP Number: [enter RFP number]
Parcel Number(s): [enter number(s) or delete line if none]
Location: [enter location or delete line if none]

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Request for Proposal
Cover Letter Page 3 of 3

All bid proposals shall be publicly disclosed in the agenda for the meeting of the Finance Committee of the MTA Board at which the transaction will be considered for approval.

The MTA reserves the right, at any time, to modify or waive requirements of this RFP.

Please be aware that there are rules regarding permissible contact (oral, written, and electronic communications) with the MTA during a public procurement process. Effective January 1, 2006, New York State Lobbying Law requires that all contact with the MTA relating to this RFP must be made through the following persons/designated Points of Contact. Contact with anyone else at the MTA regarding this RFP may result in ineligibility to participate in the RFP.

The designated Points of Contact are:

Dalilah Smith-Santos
Tel. (212) 878-1043

[Email: dsantos@mtahq.org](mailto:dsantos@mtahq.org)

(for general inquiries regarding the administrative aspects of the RFP only)

[enter project manager information]

(for questions regarding the specific content of the RFP or RFP procedures and rules)

Sincerely,

[enter project manager name]
MTA Real Estate Department

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Figure 8 – Proposer Information Form

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Applicant Information Statement

Business Profile

Information and Instructions

The following information is provided to the MTA Real Estate Department for its preliminary review before further consideration of an intent to lease or license a specified location. The acceptance of this information statement shall not constitute an offer of the Metropolitan Transportation Authority or any affiliate or subsidiary thereof. **Proposers must provide three (3) copies of a separate completed form with each proposal package. When mailing, use a separate envelope for each proposal submitted.** Please attach additional sheets as necessary. **Please print or type.**

Property Desired

Parcel Number (if applicable) _____ Proposed Use _____
 Property Address _____

Applicant Information

Name of Applicant _____ Social Security No. - -
 Street _____
 City _____ State _____ Zip _____
 Telephone (Day) _____ Telephone (Evening) _____

Business Information

Name of Business _____
 Street _____
 City _____ State _____ Zip _____
 Telephone (Day) _____ Telephone (Evening) _____
 Type of business: Corporation Joint Venture Partnership Year Formed _____
 Employer ID No. _____ State of Incorporation _____

List all officers, partners, shareholders, or joint venturers

- 1 Name _____ Title _____
 Street _____
 City _____ State _____ Zip _____
 Telephone (Day) _____ % Shares _____
- 2 Name _____ Title _____
 Street _____
 City _____ State _____ Zip _____
 Telephone (Day) _____ % Shares _____
- 3 Name _____ Title _____
 Street _____
 City _____ State _____ Zip _____
 Telephone (Day) _____ % Shares _____

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Applicant Information Statement

Business Profile (cont'd.)

Business Information (cont'd.)

Has the applicant or any of its principals done business under any other name within the past three years? Yes No

Is the applicant owned or controlled by any other organization or business entity? Yes No

Does the applicant own or control any other organization or business entity? Yes No

If yes was checked for any of the above, provide name, employer ID, and business address of each organization, corporation, or business:

1 Name of Business _____

Employer ID No. _____ Owner/Manager Name _____

Street _____

City _____ State _____ Zip _____

2 Name of Business _____

Employer ID No. _____ Owner/Manager Name _____

Street _____

City _____ State _____ Zip _____

3 Name of Business _____

Employer ID No. _____ Owner/Manager Name _____

Street _____

City _____ State _____ Zip _____

List gross receipts of each business for the past three years. Attach copies of Federal Income Tax returns (1040, 1099, etc.).

1 Name of Business _____ Gross Receipts \$ _____ Year _____

Gross Receipts \$ _____ Year _____

Gross Receipts \$ _____ Year _____

2 Name of Business _____ Gross Receipts \$ _____ Year _____

Gross Receipts \$ _____ Year _____

Gross Receipts \$ _____ Year _____

3 Name of Business _____ Gross Receipts \$ _____ Year _____

Gross Receipts \$ _____ Year _____

Gross Receipts \$ _____ Year _____

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Applicant Information Statement

Business Profile (cont'd.)

**State or
Municipal
Leases or
Contracts**

List all leases or contracts entered into or currently in force between the applicant or other persons listed herein and the Metropolitan Transportation Authority ("MTA") or any other state agency or municipality within the past three (3) years. Use additional sheets if necessary.

(NYCT = MTA New York City Transit LIRR = MTA Long Island Rail Road MNR = MTA Metro-North Railroad)

1 NYCT LIRR MNR Other (Specify) _____

Term _____ Annual Rent _____ Expires _____

Location _____

2 NYCT LIRR MNR Other (Specify) _____

Term _____ Annual Rent _____ Expires _____

Location _____

3 NYCT LIRR MNR Other (Specify) _____

Term _____ Annual Rent _____ Expires _____

Location _____

4 NYCT LIRR MNR Other (Specify) _____

Term _____ Annual Rent _____ Expires _____

Location _____

List all real property in the State of New York owned, leased, or managed within the last three (3) years by the applicant or any other person listed herein, for personal or business purposes (including cooperatives or condominiums).

1 Owner of Record _____ Owned Leased Managed

Location _____ Year Acquired _____

2 Owner of Record _____ Owned Leased Managed

Location _____ Year Acquired _____

3 Owner of Record _____ Owned Leased Managed

Location _____ Year Acquired _____

4 Owner of Record _____ Owned Leased Managed

Location _____ Year Acquired _____

Has any of the property listed above been in arrears in the last three (3) years in respect to:

Real Estate Taxes Yes No Water/Sewer Assessments Yes No

Rents Yes No Loans Yes No

If yes, please explain: _____

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Applicant Information Statement

Business Profile (cont'd.)

Business History and Experience	List all relevant business experience		
	1	Name of Company	From To
		Type of Business	Your Title
		Street	
	City	State Zip	
2	Name of Company	From To	
	Type of Business	Your Title	
	Street		
	City	State Zip	
3	Name of Company	From To	
	Type of Business	Your Title	
	Street		
	City	State Zip	

Business References			
	1	Name of Company	From To
		Street	
		City	State Zip
	Contact	Title Telephone	
2	Name of Company	From To	
	Street		
	City	State Zip	
	Contact	Title Telephone	
3	Name of Company	From To	
	Street		
	City	State Zip	
	Contact	Title Telephone	

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Applicant Information Statement

Business Profile (cont'd.)

Vendor Reference	1	Name of Company
		From _____ To _____
		Street _____
		City _____ State _____ Zip _____
		Contact _____
		Contact's Title _____ Telephone _____
		Product Line _____
		Credit Line High: _____ Low: _____ Balance: _____
	2	Name of Company
		From _____ To _____
		Street _____
		City _____ State _____ Zip _____
		Contact _____
		Contact's Title _____ Telephone _____
		Product Line _____
		Credit Line High: _____ Low: _____ Balance: _____
	3	Name of Company
		From _____ To _____
		Street _____
		City _____ State _____ Zip _____
		Contact _____
		Contact's Title _____ Telephone _____
		Product Line _____
		Credit Line High: _____ Low: _____ Balance: _____

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Applicant Information Statement

Financial Profile

Bank References	<p>Savings Bank</p> <p>Name of Bank _____ Branch _____</p> <p>Street _____</p> <p>City _____ State _____ Zip _____</p> <p>Name of Bank Officer _____ Telephone _____</p> <p>Name on Account _____ Account No. _____</p> <p>Commercial Bank</p> <p>Name of Bank _____ Branch _____</p> <p>Street _____</p> <p>City _____ State _____ Zip _____</p> <p>Name of Bank Officer _____ Telephone _____</p> <p>Name on Account _____ Account No. _____</p>
------------------------	--

Available Lines of Credit	<p>Provide information on lines of credit available to undertake required improvements and fulfill annual rental obligations.</p> <p>1 Name of Source _____</p> <p>Street _____</p> <p>City _____ State _____ Zip _____</p> <p>Available Dollar Amount \$ _____ Interest Rate _____ Letter Attached: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2 Name of Source _____</p> <p>Street _____</p> <p>City _____ State _____ Zip _____</p> <p>Available Dollar Amount \$ _____ Interest Rate _____ Letter Attached: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3 Name of Source _____</p> <p>Street _____</p> <p>City _____ State _____ Zip _____</p> <p>Available Dollar Amount \$ _____ Interest Rate _____ Letter Attached: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
----------------------------------	---

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Applicant Information Statement

Financial Profile (cont'd.)

Applicant Information	1	Name of Bank	_____		
		Account No.	_____	Branch	_____
		Street	_____		
		City	_____	State	_____
				Zip	_____
	2	Name of Bank	_____		
		Account No.	_____	Branch	_____
		Street	_____		
		City	_____	State	_____
				Zip	_____
	3	Name of Bank	_____		
		Account No.	_____	Branch	_____
	Street	_____			
	City	_____	State	_____	
			Zip	_____	

I hereby authorize the banking, financial, and business concerns referenced in this application/statement to release to the Metropolitan Transportation Authority and/or their credit reporting agency any information requested with respect to the above-mentioned accounts and references.

By (Name) _____

Corporate Seal (If Corporation) _____

Title _____

Street _____

City _____ State _____ Zip _____

**Acknowledgement
Before
Notary Public**

Subscribed and Sworn to me this _____ day of _____, 20____

Notary Public's Signature _____

Notary Seal _____

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Applicant Information Statement

Financial Profile (cont'd.)

Assets	Funds		
	1 – Cash	\$	
	2 – Checking	\$	
	3 – Savings	\$	
	4 – Other	\$	
	5 – Subtotal–Funds	\$	add lines 1 to 4
	Receivables		
	6 – Trade or Business	\$	
	7 – Other	\$	
	8 – Subtotal–Receivables	\$	add lines 6 and 7
	Securities		
	9 – Stocks	\$	
	10 – Bonds	\$	
	11 – Other (Pensions, Annuities)	\$	
	12 – Subtotal–Securities	\$	add lines 9 to 11
	Fixed Assets		
	13 – Equipment	\$	
	14 – Other	\$	
	15 – Subtotal–Fixed Assets	\$	add lines 13 and 14
	Other Assets		
	16 – Trade, Auto	\$	
	17 – Life Insurance	\$	
	18 – Subtotal–Other Assets	\$	add line 16 and 17
	19 – Inventory	\$	
	20 – Miscellaneous	\$	
	21 – Land and Buildings	\$	
	22 – GRAND TOTAL ASSETS	\$	add lines 5,8,12,15, 18-21
Liabilities	23 – Payables	\$	
	24 – Notes Payable	\$	
	25 – Mortgages	\$	
	26 – Deferred	\$	
	27 – Miscellaneous	\$	
	28 – GRAND TOTAL LIABILITIES	\$	add lines 23-27
	29 – CAPITAL/NET WORTH	\$	line 22 minus line 28

If the applicant is in the process of being organized and does not yet have assets or liabilities, check here and complete the financial statement on the basis of the applicant's personal assets and liabilities. Clearly indicate all sources of capitalization.

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Applicant Information Statement

One-Year Business Plan

Income: One-year Projection		1 – <u>Estimated Sales/Revenue</u>	\$	
		2 – <u>Cost of Goods Sold</u>	\$	
		3 – GROSS PROFIT	\$	subtract line 2 from line 1
<hr/>				
Expenses: One-year Projection		4 – <u>Wages, Salaries</u>	\$	
		5 – <u>Rent</u>	\$	
		6 – <u>Telephone</u>	\$	
		7 – <u>Gas</u>	\$	
		8 – <u>Electricity</u>	\$	
		9 – <u>Interest on Loan</u>	\$	
		10 – <u>Advertising</u>	\$	
		11 – <u>Postage</u>	\$	
		12 – <u>Travel</u>	\$	
		13 – <u>Vehicle Expense</u>	\$	
		14 – <u>Payroll Taxes</u>	\$	
		15 – <u>Other Taxes</u>	\$	
		16 – <u>Supplies</u>	\$	
		17 – <u>Legal Fees</u>	\$	
		18 – <u>Accounting Fees</u>	\$	
		19 – <u>Insurance</u>	\$	
		20 – <u>Repairs</u>	\$	
		21 – <u>Equipment Rental</u>	\$	
		22 – <u>Depreciation</u>	\$	
		23 – <u>Other</u>	\$	
		24 – TOTAL EXPENSES	\$	add lines 4 through 23
		25 – ESTIMATED PROFIT (LOSS)	\$	subtract line 24 from line 3

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Applicant Information Statement

One-Year Business Plan (cont'd.)

Proposed Sales/Revenue Plan

Describe the plan to achieve the volume of sales/revenue indicated in item 1 on page 8.

Proposed Staffing

Indicate proposed staffing during business hours. Provide an entry for each change in the number of personnel during these hours.

<u>Day(s)</u>	<u>Hour(s)</u>	<u>No. Personnel</u>	Manager at Location: <input type="checkbox"/> Yes <input type="checkbox"/> No
<u>Day(s)</u>	<u>Hour(s)</u>	<u>No. Personnel</u>	Manager at Location: <input type="checkbox"/> Yes <input type="checkbox"/> No
<u>Day(s)</u>	<u>Hour(s)</u>	<u>No. Personnel</u>	Manager at Location: <input type="checkbox"/> Yes <input type="checkbox"/> No
<u>Day(s)</u>	<u>Hour(s)</u>	<u>No. Personnel</u>	Manager at Location: <input type="checkbox"/> Yes <input type="checkbox"/> No

Items to be Sold

Startup Costs

<u>Legal Costs</u>	<u>\$</u>	
<u>Architectural Fees</u>	<u>\$</u>	
<u>Licensing Fees</u>	<u>\$</u>	
<u>Incorporation Fees</u>	<u>\$</u>	
<u>Rent During Construction</u>	<u>\$</u>	
<u>Construction Costs</u>	<u>\$</u>	(attach two estimates)
<u>Fixtures</u>	<u>\$</u>	
<u>Inventory</u>	<u>\$</u>	
<u>Consulting Fees</u>	<u>\$</u>	
<u>Franchise Fees</u>	<u>\$</u>	
<u>Miscellaneous</u>	<u>\$</u>	
TOTAL STARTUP COSTS	\$	

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Applicant Information Statement

Background

Declaration

Has the applicant (or anyone listed herein) ever been barred from bidding on contracts, or declared not responsible by any city, town, village, county, state, or federal public entity? Yes No

Are any outstanding liens pending against the applicant and/or any of its principals, partners, directors, owners, board members, or officers? Yes No

Are any judgments outstanding against the applicant and/or any of its principals, partners, directors, owners, board members, or officers? Yes No

Is any suit or other legal action pending against the applicant and/or any of its principals, partners, directors, owners, board members, or officers? Yes No

Has the applicant or anyone listed herein ever been adjudged bankrupt, voluntarily or involuntarily, under any provision of the Bankruptcy Law, executed an assignment for the benefit of creditors, or abandoned a business without satisfying its outstanding debts? Yes No

Has the applicant or anyone listed herein ever been convicted of a felony? Yes No

If yes to any of the above, please provide all details below.

I declare under the penalties of perjury provided for by Article 210 of the New York Penal Law, that I have read fully and understand all of the terms and conditions of this statement and all of the foregoing questions in the Applicant Information Statement. The answers and statements herein including, without limitation, the Applicant Information Statement and the Credit Authorization, are to the best of my knowledge and belief true, correct and complete. I agree that in the event that circumstances reflected by the answers herein change, I will promptly notify the Real Estate Department of the Metropolitan Transportation Authority in writing by certified mail. I also understand that a misstatement, omission, or failure to update information may be cause for the Metropolitan Transportation Authority not to award to the applicant or its current principals the proposed license, and may have the effect of precluding persons or entities from doing business with the Metropolitan Transportation Authority or its affiliate organizations in the future.

Preparer's Signature _____ Date _____

Applicant's Signature _____ Date _____

Sworn to me this _____ day of _____, 20____

Notary Public's Signature _____

Notary Seal

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Applicant Information Statement

General Affidavit

State of New York, County of _____ } ss:
being duly sworn, deposes and says
I am the _____ of _____
the sole proprietorship, joint venture, corporation, partnership (circle one) whose name is subscribed to and
which executed the foregoing
I reside at _____

Representations, Warranties, and Covenants

- A. The undersigned has received and read the entire Request for Proposal, has acquainted himself/himself/herself with all matters therein referred to in connection with this proposal, will accept the premises "as-is" and will secure any necessary permits and licenses pertaining to the operation of, or the making of any improvements to, the site. The MTA makes no representation or warranties as to the information supplied herein or the type of use or development permitted at each location.
- B. The undersigned deposits with this proposal an amount equal to three (3) months rent as proposed, in the form of a check payable to the MTA, to be retained by MTA as liquidated damages in the event the undersigned is offered and fails to enter into a lease or license on the terms contained in this proposal.
- C. The undersigned shall invest approximately _____ dollars (\$ _____) for improvements to the subject premises. (Include photographs or drawings of existing operations, and preliminary plans prepared and stamped by a New York State-licensed architect or engineer for the proposed location, which may be used as a basis for improvements to the premises.)
- D. The undersigned acknowledges that improvements and other work (1) may not be carried out without the prior approval of the MTA, (2) will become the property of the MTA, and (3) must conform to appropriate health, safety, fire, and building codes, as required.
- E. The undersigned is not in arrears in the payment of amounts due to the MTA or any of its affiliated agencies or the State of New York or the City of New York or any instrumentality thereof.
- F. The undersigned declares under the penalties of perjury provided for by Article 210 of the New York Penal Law that the undersigned has read fully and understands all of the terms and conditions of this Request for Proposals, and all of the foregoing questions in the Applicant Information Statement and Proposed Compensation Form. The answers and statements herein including, without limitation, the Applicant Information Statement and the Credit Authorization, are to the best of the undersigned's knowledge and belief true, correct, and complete. The undersigned agrees that in the event that circumstances reflected by the answers herein change, the undersigned will promptly notify the Real Estate Department of the Metropolitan Transportation Authority in writing by certified mail. The undersigned also understands that a misstatement, omission, or failure to update information may be cause for the Metropolitan Transportation Authority not to award to the applicant or its current principals the proposed lease or license, and may have the effect of precluding persons or entities from doing business with the Metropolitan Transportation Authority or its affiliates or subsidiaries in the future.
- G. The undersigned is of lawful age.
- H. The undersigned represents and warrants that no person, firm, or corporation other than herein above named has any interest in this proposed lease or license agreement.
- I. The undersigned represents and warrants that no elected representative or other officer or employee or person whose salary is payable in whole or in part from the Federal Government or State or City of New York, and no member officer or employee of the Metropolitan Transportation Authority or any affiliate or subsidiary thereof is directly or indirectly interested in this proposal or in the proposed lease or license agreement to which it relates or will have an interest in any of the profits thereof.
- J. To the best of the undersigned's knowledge the following statements are true and complete. If any of the following statements are not true and complete, refer to and complete Section K.
 - (i) The compensation and other terms of this proposal have been arrived at independently without any agreement, collusion, consultation, or communications intended to restrict competition.

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Applicant Information Statement

General Affidavit (cont'd.)

- (ii) Unless otherwise required by law, the compensation and other terms quoted in this proposal have not been knowingly disclosed by the undersigned or any other individual and, before the proposal is opened, shall not knowingly be directly or indirectly disclosed by the applicant or any other individual or by or on behalf of the proposer to any other proposer or to any competitor; and
- (iii) No attempt has been made or will be made by the undersigned or by any other individual or by or on behalf of the applicant to induce any other person, partnership, corporation, or other entity to submit or not to submit a proposal, for the purpose of restricting competition.

Check off and complete Section K only if the statements contained in Section J are not true and complete.

- K. The statements set forth in paragraphs J. (i), (ii), (iii) are not true and complete. The undersigned has attached a detailed explanation as to (1) why each such paragraph is not true and complete, and (2) why the undersigned's explanation does not reflect an effort to restrain competition.

By _____ Corporate Seal
Title _____
Street _____
City _____ State _____ Zip _____

Note: For all partnerships and joint ventures, a General Affidavit for each of the partners or joint venturers must be completed, executed, notarized, and submitted with the application unless one partner or joint venturer is authorized to bind the others, in which case a single General Affidavit may be signed by one individual authorized to bind the partnership or joint venture.

**Acknowledgement
Before
Notary Public**

On _____, 20____ before me personally came and appeared _____
_____ who identified himself/herself as such person and swore the following under oath:

1. He/she resides at _____
Check off applicable provision and complete.
- A. (For an applicant that is a PARTNERSHIP or JOINT VENTURE in which one partner or joint venturer is authorized to bind the partnership or joint venture, or a CORPORATION).
He/she is the _____ of _____
_____, the above entity, which is a _____;
that he/she signed this affidavit on behalf of himself or herself and said entity; and that he/she is duly authorized on behalf of said entity to sign this affidavit and to bind said entity.
- B. (For an applicant that is a PARTNERSHIP or JOINT VENTURE in which more than one partner's or joint venturer's signature is authorized to bind the partnership or joint venture).
He/she is the _____ of _____
a _____ of the partners/joint venturers in the above partnership/joint venture;
that he/she signed this affidavit on behalf of him or herself, said partner/joint venturer and partnership/venture; and that he/she is authorized to do so.
- C. (For an applicant that is a SOLE PROPRIETORSHIP).
He/she is the sole proprietor of the above unincorporated business and he/she signed this affidavit on behalf of himself/herself.

2. The statements set forth in the above General Affidavit are true and complete to the best of his or her knowledge.

Notary Public's Signature _____

Notary Seal

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Figure 9 – Pre-RFP Authorization Memo

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**Metropolitan Transportation Authority
 Real Estate Department
 Authorization Memorandum-General**

Date: (Month [DD]. [YYYY])
 To: (Deputy Director]. Director, Transaction Management, Director, Real Estate Transactions and Operations
 From: [Project Manager Name]
 Re: [RFP reference number, agency, description i. e., retail, vending, etc.)

AGENCY:

LESSEE:

LOCATION:

ACTIVITY:

SEQR/NEPA:

Type 1	✓	EIS	✓	Actions Required
Type 2		NEPA		
Unlisted		N/A		

LOBBYING LAW:

Have there been Contacts? _____
 Were Contacts permissible? _____

COMMENTS:

Paragraph 1: Introduction

- a) Summarize in a couple of sentences why property is being disposed of, licensed, or acquired.
- b) Summarize recommendation as to method of disposition/acquisition and price.

Paragraph 2: Discuss property

- a) Location – Municipality, closest MTA operation, any other noteworthy items. b) Nature of MTA or agency ownership.
- c) Physical attributes (improved, vacant, structures, fencing, etc.)
- d) Tenant use
- e) Possible uses
- f) Restrictions placed by MTA agency (i.e., use; disposition method (license only, etc.); time limitations; termination tights.)

Paragraph 3: Nature of interest being disposed of or acquired

- a) Describe interest: Fee/permanent easement/temporary easement/net lease/lease/license/permit/other)

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- b) Describe circumstances that may impact value; describe any unusual or unique circumstances.
- c) Describe WHY that interest is being offered or purchased (i.e., if a lease, was a sale considered? If a license, could it be leased? Did the agency restrict the interest, and why?)

Paragraph 4: Method of disposition or acquisition

- a) RFP (obviously N/A for acquisitions)
- b) If not RFP, why not?
 - i. Pursuant to policy
 - ii. Pursuant to special circumstances noted in Licensing Procedures when competition is not feasible (Board approval still required)
 - iii. Other reasons

Paragraph 5: Discussion of Proposals

- a) How many proposals received? Refer to spreadsheet if applicable.
- b) Discussion of top proposers:
 - i. Creditworthiness
 - ii. Financial wherewithal to start-up and make improvements.
 - iii. Experience applicable to proposed operation; note if prior or current experience with MTA and if so, licensee/tenant history.
 - iv. Discussion of proposals in comparison to appraised value or market value.
 - v. If proposals are below appraised or estimated fair market value, discuss the reasons therefor.

Paragraph 6: Negotiations

- a) What items were negotiated (note: if sole bidder, MUST negotiate; if many responses to RFP, usually take high bid, unless extenuating circumstances).
- b) Result of negotiations

Paragraph 7: Recommendation

- a) Recommend course of action, citing reasons.

Comments: _____

Recommended: _____ Date _____

[NAME], Deputy Director

Comments: _____

Recommended: _____ Date _____

Christopher Nesterzuk, Transaction Management

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Figure 10 – Incumbent Letter

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2 Broadway
New York, NY 10004
212 878-7000 Tel

Metropolitan Transportation Authority

State of New York

**CERTIFIED MAIL # [enter number]-RETURN RECEIPT REQUESTED
and First Class Mail**

[DATE]

[NAME]

[ADDRESS]

[CITY, STATE ZIP]

Re: {LOCATION DESCRIPTION, eg: Newsstand at 96" street Subway station, Manhattan}
Tenant ID: [YARDI TENANT NUMBER]
Property ID [YARDIPROPERTY NUMBER]

Dear [MR. IMS NAME]:

As you know, your [lease/license/permit] for the *above* location [has expired/will expire soon]. It is the Metropolitan Transportation Authority's (MTA's) policy to offer lease and license opportunities through a Request for Proposals ("RFP") process. This is to inform you that the MTA will shortly be issuing an RFP to [LEASE/LICENCE] the *above* location, and you are invited to submit a proposal. The RFP due date is [MM/DD/YYYY]. To view and download a copy of the RFP, go to the MTA website at:

http://enterprise.nymta.info/MTA_Real_Estate_RFP/

Please note that all contacts with the MTA relating to this RFP must be made through the designated Points of Contact. Contact with anyone else at the MTA relating to this RFP may be a violation of the law and may result in the disqualification of the proposer. The Points of Contact for this RFP will be myself, [PROJECT MANAGER'S'S NAME], at 212-878-[XXXX] (for questions regarding the specific content of the RFP or RFP procedures and rules), and Odette Berg at 212-878-1043 (for general inquiries regarding the administrative aspects of the RFP only).

If you have any questions regarding the RFP, please call one of the Points of Contact listed *above*.

Sincerely,

[PROJECT MANAGER'S NAME]
MTA Real Estate Department

The agencies of the MTA

MTA New York City Transit
MTA Long Isl and RailRoad

MTA Long Island Bus
MTA Metro-North Railroad

MTA Bridges and Tunnels
MTA Capital Construction

MTA Bus Company

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Figure 11 – Sample Advertisement

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MTA REAL ESTATE

Request for Proposals — Lease of the Tarrytown Station Building on Metro-North's Hudson Line. Proposals must be received by 3:00 p.m. on Friday, June 16th, 2017.

For information on this Request for Proposals, please go to
http://enterprise.nymta.info/MTA_Real_Estate_RFP/RFPLeasing.aspx

Metropolitan Transportation Authority

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Figure 12 – Proposal Checklist

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Metropolitan Transportation Authority

Proposal Checklist

If an item is missing, please indicate if the proposal will be rejected or if the missing item or items will be sent. Please indicate in the space provided why the project manager has determined that the proposal should not be rejected on the basis of an incomplete proposal.

Project Manager: _____

Parcel No: _____

Proposer's Name: _____

Verifier: _____

Location: _____

Business Name: _____

	Included	Signed	Notarized	Indexed	
RFP Received					
Applicant Information Statement	<input type="checkbox"/>				
Business Profile	<input type="checkbox"/>				
Financial Profs	<input type="checkbox"/>				
Credit Authorization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
One-year Business Plan	<input type="checkbox"/>				
Background	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
General Affidavit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NYS Fin. Law 139j, 139-k (Lobby Law) Disclosure Stmt.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Proposed Compensation Form	<input type="checkbox"/>				
W-9	<input type="checkbox"/>	<input type="checkbox"/>			
Preliminary Plans	<input type="checkbox"/>				
Deposit	<input type="checkbox"/>				
No Deposit	<input type="checkbox"/>				
Check No	#				
Amount	\$				

Follow Up

Letter Sent

Response Due: _____

Reason: _____

Project Manager's Signature: _____

Verifier's Signature: _____

Date: _____

Date: _____

MTA RED 08-21-06

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Metropolitan Transportation Authority

Proposal Checklist

If an item is missing, please indicate if the proposal will be rejected or if the missing item or items will be sent. Please indicate in the space provided why the project manager has determined that the proposal should not be rejected on the basis of an incomplete proposal.

Project Manager: _____ Verifier: _____
 Parcel No: _____ Location: _____
 Proposer's Name: _____ Business Name: _____

RFP Received	Included	Signed	Notarized	Indexed	Follow Up
Applicant Information Statement	<input type="checkbox"/>			<input type="checkbox"/>	Letter Sent <input type="checkbox"/>
Business Profile	<input type="checkbox"/>			<input type="checkbox"/>	Response Due: _____
Financial Profs	<input type="checkbox"/>			<input type="checkbox"/>	Reason: _____
Credit Authorization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
One-year Business Plan	<input type="checkbox"/>			<input type="checkbox"/>	_____
Background	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
General Affidavit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
NYS Fin. Law 139j, 139-k (Lobby Law) Disclosure Stmt.	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	_____
Proposed Compensation Form	<input type="checkbox"/>			<input type="checkbox"/>	_____
W-9	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	_____
Preliminary Plans	<input type="checkbox"/>			<input type="checkbox"/>	_____
Deposit	<input type="checkbox"/>			<input type="checkbox"/>	_____
No Deposit	<input type="checkbox"/>			<input type="checkbox"/>	_____
Check No	#				
Amount	\$				

Project Manager's Signature: _____ Date: _____
 Verifier's Signature: _____ Date: _____

MTA RED 08-21-06

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Figure 13 – RFP Evaluation Form

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Property & Unit Number	Parcel Name	Proposer Name	Improve.	Annual Compensation					NPV w/ improv. 6.00%	NPV w/o improv. 6.00%	Enter discount rate for NPV: <input type="text" value="6.00%"/>		
				Year 1	Year 2	Year 3	Year 4	Year 5			Assets	Liabilities	Net Worth

Suggested Annual Compensation for Year 1 per RFP - _____

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Figure 14 – RFP Award Authorization Memo

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**Metropolitan Transportation Authority
 Real Estate Department
 Authorization Memorandum-General**

Date: (Month [DD]. [YYYY])
 To: (Deputy Director]. Director, Transaction Management, Director, Real Estate Transactions and Operations
 From: [Project Manager Name]
 Re: [RFP reference number, agency, description i. e., retail, vending, etc.)

AGENCY:

LESSEE:

LOCATION:

ACTIVITY:

SEQR/NEPA:

Type 1	✓	EIS		Actions Required _____ _____ _____
Type 2		NEPA		
Unlisted		N/A		

LOBBYING LAW:

 Have there been Contacts?
 Were Contacts permissible?

COMMENTS:

Paragraph 1: Introduction

- a) Summarize in a couple of sentences why property is being disposed of, licensed, or acquired.
- b) Summarize recommendation as to method of disposition/acquisition and price.

Paragraph 2: Discuss property

- a) Location – Municipality, closest MTA operation, any other noteworthy items. b) Nature of MTA or agency ownership.
- c) Physical attributes (improved, vacant, structures, fencing, etc.)
- d) Proposed use
- e) Possible uses
- f) Restrictions placed by MTA agency (i.e., use; disposition method (license only, etc.); time limitations; termination tights.)

Paragraph 3: Nature of interest being disposed of or acquired

- a) Describe interest: Fee/permanent easement/temporary easement/net lease/lease/license/permit/other)

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- b) Describe circumstances that may impact value; describe any unusual or unique circumstances.
- c) Describe WHY that interest is being offered or purchased (i.e., if a lease, was a sale considered? If a license, could it be leased? Did the agency restrict the interest, and why?)

Paragraph 4: Method of disposition or acquisition

- a) RFP (obviously N/A for acquisitions)
- b) If not RFP, why not?
 - i. Pursuant to policy
 - ii. Pursuant to special circumstances noted in Licensing Procedures when competition is not feasible (Board approval still required)
 - iii. Other reasons

Paragraph 5: Discussion of Proposals

- a) How many proposals received? Refer to spreadsheet if applicable.
- b) Discussion of top proposers:
 - i. Creditworthiness
 - ii. Financial wherewithal to start up and make improvements.
 - iii. Experience applicable to proposed operation; note if prior or current experience with MTA and if so, licensee/tenant history.
 - iv. Discussion of proposals in comparison to appraised value or market value.
 - v. If proposals are below appraised or estimated fair market value, discuss the reasons therefor.

Paragraph 6: Negotiations

- a) What items were negotiated (note: if sole bidder, MUST negotiate; if many responses to RFP, usually take high bid, unless extenuating circumstances).
- b) Result of negotiations

Paragraph 7: Recommendation

- a) Recommend course of action, citing reasons.

Comments: _____

Recommended: _____ Date _____

[NAME], Deputy Director

Comments: _____

Recommended: _____ Date _____

Christopher Nesterzuk, Transaction Management

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Comments: _____

Approved: _____ Date _____

David Florio, Director, Real Estate Transactions and Operations

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Figure 15 – Lease/Sale Negotiation Authorization Memo

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Metropolitan Transportation Authority

**Real Estate Department
 Authorization Memorandum— General**

Date: [Month [DD], [YYYY]]
 To: [Deputy Director], Director, Transaction Management, Director, Real Estate Transactions and Operations
 From: [Project Manager Name]
 Re: Authorization Memo – Lease/Sale Negotiation Process Award [agency, description (i.e., retail, vending, etc.)]

AGENCY:

LESSEE:

LOCATION:

ACTIVITY:

SEQR/NEPA:	✓	✓	Actions Required
Type 1		EIS	
Type 2		NEPA	
Unlisted		N/A	

LOBBYING LAW: _____
 Have there been Contacts? _____
 Were Contacts permissible? _____

BOND REVIEW: _____, <NINA>
 Bond counsel consulted? _____
 OK to proceed? _____

COMMENTS:

Paragraph 1: Introduction

- a) Summarize in a couple of sentences why property is being disposed of, licensed, or acquired.
- b) Summarize recommendation as to method of disposition and price.

Paragraph 2: Discuss property

- a) Location – Municipality, closest MTA operation, any other noteworthy items.
- b) Nature of MTA or agency ownership.
- c) Physical attributes (improved, vacant, structures, fencing, etc.)
- d) Current use
- e) Possible uses
- f) Restrictions placed by MTA agency (i.e., use; disposition method (license only, etc.); time limitations; termination rights.)

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Paragraph 3: Nature of interest (is it being disposed of or acquired)

- a) Describe interest: Fee/permanent easement/temporary easement/net lease/lease/license/permit/other)
- b) Describe circumstances that may impact value; describe any unusual or unique circumstances
- c) Describe WHY that interest is being offered or purchased (i.e., if a lease, was a sale considered? If a license, could it be leased? Did the agency restrict the interest, and why?)

Paragraph 4: Why no RFP

- a) Describe why the Lease/Sale negotiation process is being used:
 - i. Pursuant to policy
 - ii. Pursuant to special circumstances noted in Licensing Procedures when competition is not feasible (Board approval still required)
 - iii. Other reasons

Paragraph 5: Discussion of Proposals

- a) Discussion of proposed lessee/grantee:
 - i. Credibility
 - ii. Financial wherewithal to start up and make improvements.
 - iii. Experience applicable to proposed operation; note if prior or current experience with MTA and if so, licensee/tenant history.
 - iv. Discussion of proposal in comparison to appraised value or market value. v. If proposal is below appraised or estimated fair market value, discuss the reason(s) therefor.

Paragraph 6: Negotiations

- a) What items were negotiated?
- b) Result of negotiations

Paragraph 7: Recommendation

- a) Recommend course of action, citing reasons.

Comments: _____

Recommended: _____ Date _____

[NAME], Deputy Director

Comments: _____

Recommended: _____ Date _____

Christopher Nesterczuk, Director, Transaction Management

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Comments: _____

Recommended: _____ Date _____

David Florio, Director, Real Estate Transactions and Operations

[delete two signature lines below if transaction is pursuant to Board-approved policy] Comments: _____

Approved: _____ Date _____

enter name], Deputy General Counsel

Comments: _____

Approved: _____ Date _____

John N. Lieber, Chief Development Officer

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Figure 16 – Conditional Designation Letter

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2 Broadway
New York, NY 10004
212 878-7000 Tel



Metropolitan Transportation Authority

State of New York

Via E-mail

_____, 2020

[Insert Name and Address of Successful Proposer

Re: Request for Proposals: RFP# _____
MTA/NYCT/Metro-North/LIRR ("MTA") retail space at [Insert Address of Retail Location]

To Whom it May Concern:

We recently completed our analysis of the _____ proposal in response to the above referenced RFP. I am pleased to inform you that _____ has been conditionally designated as the successful proposer, subject to the following: 1) _____ acceptance of the terms attached to this letter, 2) completion of acceptable background and credit checks by MTA Real Estate, 3) MTA Board approval, and 4) the execution of a definitive lease agreement and any other lease documents on mutually acceptable terms.

Attached is _____'s Rent Proposal Form and signed Parcel Information Sheet. Kindly review these documents and return them to me with this letter countersigned. _____'s signature below will confirm its intention to proceed with a retail lease agreement based on the terms contained herein.

We are very glad that _____ has chosen to locate its business within the MTA system. Please contact _____ at (212) 878-_____ or _____@mtahq.org if you have any questions.

This letter does not constitute a legally binding agreement on MTA's part.

Sincerely,

ACKNOWLEDGED AND AGREED TO

THIS ___ DAY OF _____, 2020:

David Florio
Director, Real Estate Transactions & Operations [Name of Successful Proposer]

Name:
Title:

Attachments:
Rent Proposal Form
Parcel Information Sheet
cc: File

The agencies of the MTA

MTA New York City Transit
MTA Long Island Rail Road

MTA Metro-North Railroad
MTA Bridges and Tunnels

MTA Capital Construction
MTA Bus Company

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2 Broadway
New York, NY 10004
212 878-7000 Tel



Metropolitan Transportation Authority

State of New York

VIA CERTIFIED MAIL

March 5, 2019

Re: Request for Proposals

Dear Mr./Mrs. _____:

We have recently completed our analysis of the proposals received in response to the above referenced Request for Proposals. I am pleased to inform you that your company has been conditionally designated as the successful proposer, subject to MTA Board approval and your acceptance of the terms herein.

In the meantime, we will proceed to prepare a draft lease based on your *Rent Proposal* as well as the *Term Sheet* (copies of which are attached to this letter) and your agreement to provide a guarantee for the obligations under the lease of the corporate entity which will be party to the lease with the MTA.

Kindly acknowledge receipt of this letter and indicate your consent to the terms contained in the attachments by signing the Term Sheet where indicated. Also, please sign and return a copy of this letter, along with your security deposit in the amount of \$ _____ to my attention at the above MTA address as soon as possible. Please retain a signed copy for your records.

We are very glad that you have chosen to locate your business within the MTA system. Please contact _____ at (212) 878- ____ if you have any questions.

Sincerely,

ACKNOWLEDGED AND AGREED TO

THIS ____ DAY OF _____ 2019:

David Florio
Director, Real Estate Transactions
& Operations

Attachments:
Term Sheet
Rent Proposal

cc: N. Mastropietro
C. Nesterzuk

The agencies of the MTA

MTA New York City Transit
MTA Long Island Rail Road

MTA Metro-North Railroad
MTA Bridges and Tunnels

MTA Capital Construction
MTA Bus Company

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Figure 17 – Staff Summary

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Staff Summary



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Subject LICENSE AGREEMENT FOR A NEWSSTAND AT LYNBROOK STATION
Department REAL ESTATE
Department Head Name JOHN N. LIEBER
Department Head Signature
Project Manager Name ROBERT GOLDBERG

Date June 18, 2018
Vendor Name
Contract Number
Contract Manager Name
Table of Contents Ref. #

Board Action					
Order	To	Date	Approval	Info	Other
1	Finance Committee	6/18/18	X		
2	Board	6/20/18	X		

Internal Approvals			
Order	Approval	Order	Approval
1	Legal		
2	Chief Development Officer		
3	Chief Financial Officer		
4	Chief of Staff		

AGENCY: MTA Long Island Rail Road ("LIRR")
 LICENSEE: Shiekh & Sons Inc., the principal of which is Muhammad M. Afzal
 LOCATION: Lynbrook Station, Lynbrook, New York
 ACTIVITY: License of space at LIRR's Lynbrook Station for a retail newsstand
 ACTION REQUESTED: Authorization to enter into license agreement
 TERM: 5 years, terminable at will by LIRR with 60 days' notice, at no cost
 SPACE: Approximately 80 SF
 COMPENSATION: \$13,500 first year, with 3% annual increases

COMMENTS:

The following proposals were received for the LIRR's Lynbrook Station retail location pursuant to an RFP issued in November 2017:

Proposer Name	Year 1	Year 2	Year 3	Year 4	Year 5	Net Present Value
Muhammad M. Afzal	\$13,500.00	\$13,905.00	\$14,322.00	\$14,751.00	\$15,194.00	\$60,174.29
Abdul Lakhani	\$4,800.00	\$4,944.00	\$5,092.00	\$5,245.00	\$5,402.00	\$21,395.01

The Licensee will be responsible for renovating, operating and maintaining the space, as well as providing liability and insurance coverage, at its sole cost and expense. Renovations will include new custom made interior counters, fixtures and display racks. The principal, Mr. Afzal, will be personally guaranteeing all of the Licensee's obligations under the license agreement for the entire Term.

Based on the foregoing, MTA Real Estate requests authorization for LIRR to enter into a License Agreement with Shiekh & Sons, Inc. on the above-described terms and conditions.

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Subject LEASE AGREEMENT WITH MANHATTAN COLLEGE
Department REAL ESTATE
Department Head Name JOHN N. LIEBER
Department Head Signature
Project Manager Name ARTURO ESPINOZA

Date OCTOBER 22, 2018
Vendor Name
Contract Number
Contract Manager Name
Table of Contents Ref. #

Board Action					
Order	To	Date	Approval	Info	Other
1	Finance Committee	10/22/18	X		
2	Board	10/24/18	X		

Internal Approvals			
Order	Approval	Order	Approval
1	Legal		
2	Chief Development Officer		
3	Chief Financial Officer		
4	Chief of Staff		

AGENCY: MTA New York City Transit ("NYCT")
 LESSEE: Manhattan College
 LOCATION: 4000 Irwin Avenue, Bronx, New York (portion of Bronx County Block 5776 lot 401)
 ACTIVITY: Operation of an athletic field, accessory facilities and parking lots
 ACTION REQUESTED: Authorization to enter into a lease
 TERM: 10 years, terminable by NYCT on 24 months' notice for corporate purposes. In the event of NYCT termination, NYCT would be required to reimburse the Lessee's unamortized construction costs.
 SPACE: Approximately 207,975 square feet

Year	Annual Rent	Monthly Rent	% Increase	PSF Rent
1	\$165,000.00	\$13,750.00	3%	\$0.79
2	\$169,950.00	\$14,162.50	3%	\$0.82
3	\$175,048.50	\$14,587.38	3%	\$0.84
4	\$180,300.00	\$15,025.00	3%	\$0.87
5	\$185,709.00	\$15,475.75	3%	\$0.89
6	\$191,280.20	\$15,940.02	3%	\$0.92
7	\$197,018.60	\$16,418.22	3%	\$0.95
8	\$202,929.20	\$16,910.77	3%	\$0.98
9	\$209,017.10	\$17,418.09	3%	\$1.01
10	\$215,287.60	\$17,940.63	3%	\$1.04

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Staff Summary

FINANCE COMMITTEE MEETING LEASE WITH MANHATTAN COLLEGE (Cont'd.)



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COMMENTS:

The athletic field used by Manhattan College has been a center for Irish football, hurling, and other Irish sporting events in New York City for decades. The property on which the athletic field, accessory facilities (including a locker room, training room, storage room and storage shed) and parking lots sit (collectively, the "Property") is administered by MTA Real Estate for the City of New York account pursuant to the 1953 master lease between NYCT and the City of New York. The Property is currently licensed to Manhattan College on a short-term basis. Earlier this year, Gaelic Athletic Association ("Gaelic"), a long-time tenant of the premises adjacent to the athletic field and a long-time user of the athletic field and the accessory facilities along with Manhattan College, entered into a new lease with NYCT for said adjacent premises (pursuant to which Gaelic is performing substantial rehabilitation of the existing building on the adjacent premises), and it is anticipated that Manhattan College and Gaelic will continue sharing the athletic field for sporting events.

The Property was offered via a Request for Proposals ("RFP") for a 10-year lease term requiring the replacement of the adjacent sidewalk along West 240th Street and extensive repairs to its facilities (collectively, the "Required Improvements"). In response to the RFP, Manhattan College was the sole proposer. The present value of the rent proposed is \$1,306,887 (calculated at a 7% discount rate). Such rent is in line with the appraised value of the Property, as estimated by MTA Real Estate's independent appraiser.

Manhattan College is committed to undertaking the Required Improvements and it possesses the financial resources and wherewithal to do so.

Based on the foregoing, MTA Real Estate requests authorization for NYCT to enter into a lease agreement with Manhattan College on the above-described terms and conditions.

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Figure 18 – Document Approval Form

Who is legal initials DAF?

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Document Approval Form



Transaction Type:

Lessee / Licensee / Permittee / Grantee		Yard Account #	
Use & Location		Yard Property # / Unit #	
Project Manager	Attachments	Yes	N/A
Deputy Director	Proposer Info. Form	<input type="checkbox"/>	<input type="checkbox"/>
	ATN / Selection Memo	<input type="checkbox"/>	<input type="checkbox"/>
	Credit Report	<input type="checkbox"/>	<input type="checkbox"/>
	Good Standing Opinion	<input type="checkbox"/>	<input type="checkbox"/>
	NPV Analysis	<input type="checkbox"/>	<input type="checkbox"/>
		SEGRA / NEPA	
		Action to be Taken	
		Type 1	<input type="checkbox"/> _____
		Type 2	<input type="checkbox"/> _____
		Unlisted	<input type="checkbox"/> _____
		NEPA	<input type="checkbox"/> _____

DOCUMENT REVIEW

I. Transaction Manager			II. Deputy Director			III. Christopher Nesterczuk, Director, Transaction Management		
Submitted	Revised	Approved	Submitted	Revised	Approved	Submitted	Revised	Approved
Comments			Comments			Comments		
IV. Legal Review			V. Final Review (if required)			VI. External Execution		
Submitted	Revised	Approved	Deputy Director		Approved	Sent		
Comments			Leasing & Acquisitions Supervisor		Approved	Via:		
						<input type="checkbox"/> Overnight <input type="checkbox"/> Certified <input type="checkbox"/> Hand		
Returned								

DOCUMENT EXECUTION

Deputy Director	Date	Date Returned to RED
Christopher Nesterczuk, Director, Transaction Management	Date	Finance Committee Approval Date:
Legal Department	Date	
Executed by Agency Officer	Date	MTA Board Approval Date:
David Florio, Director, Real Estate Transactions and Operations	Date	
Authorized S		



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Figure 19 – Yardi Lease Tracking Report

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Lease Tracking Agency=NYCT Property=tel16300, tax25900, tbw30300, tby00500, H40900, t Report Date=10/16/2000										
Agency Code	Prop Name Tenant Code Tenant Name RE Manager	Unit Code Status Sqft	Tenant Use Location	Date Method	REC	Brd Appr	Lea Exec	Hand Off	OforD	Rent Com
NYCT tbw30300	42 ST-TIMES SQ 10000098	02 Current 0	Pay Phone				05/01/1999			03/01/2000
H40900	42 ST-TIMES SQ 10000093	01 Current 0					05/01/1998			02/01/2000
tel16300	42 ST 10000107	01 Current 0					06/27/1989			03/01/2000
	42 ST 10000108	02 Current 0	Barber				11/01/1995			03/01/2000
	42 ST 10000109	03 Current 0	HibbBeauty				11/01/1995			03/01/2000
	42 ST 10000110	04 Current 0	Fiorist				03/15/1997			02/28/2000
	42 ST 10000111	05 Current 0	Other Retail				06/02/1994			03/01/2000
	42 ST 10000112	06 Current 0	Newsstand				03/04/1994			03/01/2000
	42 ST 10000113	07 Current 0	Other Retail				11/01/1995			03/01/2000
	42 ST 10000114	08 Current 0					03/04/1994			03/01/2000
	42 ST 10000115	09 Current 0					03/01/1996			03/01/2000
	42 ST 10000116	10 Current 0	Newsstand				03/04/1994			03/01/2000
	42 ST 10000117	11 Current 0					06/02/1994			03/01/2000
	42 ST 10000118	12 Current 0	Newsstand				03/01/1996			03/01/2000

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Figure 20 – Tenant Control Log

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TENANT CONTROL LOG		
TENANT NAME: _____		ACCOUNT NO.: _____
AGENCY: <input type="checkbox"/> LIRR <input type="checkbox"/> LIB <input type="checkbox"/> MN <input type="checkbox"/> B&T <input type="checkbox"/> MTA HQ <input type="checkbox"/> NYCT <input type="checkbox"/> SIRTOA <input type="checkbox"/> SBK		
PROPERTY: _____		UNIT: _____
LOCATION: _____		
REQUIRED:	INITIALS	COMMENT
1. 2 executed original counterparts of Agreement	_____	_____
2. Staff Summary or Information Item	_____	_____
3. RFP Site Data Sheet or RTN	_____	_____
4. Routing Form for Execution	_____	_____
5. Document Preparation History Form	_____	_____
6. Determination of Responsibility [*]	_____	_____
IF APPLICABLE:		
• IRS Form W-9	_____	_____
• Security Deposit	_____	_____
• Record of Contact(s)	_____	_____
• Certificate of Insurance	_____	_____
• D/B/A Certificate	_____	_____
• 1 st Month Rent	_____	_____
• Master/Sub Report	_____	_____
• Applicant Information Statement [**]	_____	_____
• Credit Report	_____	_____
• Proof of Incorporation	_____	_____
• Copy of Advertisement	_____	_____
• RFP Proposal Analysis	_____	_____
• Agreement Transmittal Letter	_____	_____
• Other: _____	_____	_____
L&A PROJECT MANAGER NAME: _____		
NEW TENANT ACCEPTED FOR MANAGEMENT:		
By: _____		Date: _____
[*] Only required if value of agreement is over \$15,000 in any year during term. [**] Verify completeness of Lobbying Law Disclosure Statement pages.		

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Figure 21 – Record of Contact Form

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Metropolitan Transportation Authority
 REAL ESTATE DEPARTMENT

RECORD OF CONTACT
UNDER THE NEW YORK PROCUREMENT LOBBYING LAW
(STATE FINANCE LAW §139-j and §139-k)

If you receive a Contact in connection with a real estate transaction you must complete this form and submit it to the Director of Real Estate. If you believe that the Contact was an Impermissible Contact or you are not sure whether it was an Impermissible Contact, then you must also submit a copy to MTA Corporate Compliance - see below.

Check one: This Contact is: Permissible Impermissible I'm not sure*

To: MTA Director of Real Estate, 2 Broadway, 4th Floor, Email: MTARE@mta.nyc.gov

*If this is an Impermissible Contact or you are not sure whether it is an Impermissible Contact, you must also send a copy to the Chief Compliance Officer, MTA Corporate Compliance, 2 Broadway, 16th Floor, Email: compliance@mta.nyc.gov, together with an Impermissible Contact Referral Form.

From: _____
(Your Name, Title and Department)

Date: _____

I was contacted by the individual named below regarding the real estate transaction identified below. It reasonably appears that this was a Contact as defined in State Finance Law §139-k(1)(c) because I infer that the communication was intended to influence the real estate transaction. In accordance with State Finance Law §139-k(4), the following information was obtained:

Real Estate Transaction No. (if known): _____

Description of the Real Estate Transaction: _____

Contact's Name: _____

Contact's Address: _____

Contact's Telephone Number: _____

Contact's Employer: _____

Contact's Occupation/Title: _____

Is the above named person or organization the other party to this real estate transaction? Yes No

If No, was the above named person or organization retained, employed or designated by or on behalf of the other party to this real estate transaction to appear before or contact MTA about this real estate transaction? Yes No

Date of Contact	Means*	Other MTA or Agency staff present
1.		
2.		
3.		
4.		
5.		

*Write one: Correspondence, telephone, email, in person, other

POL13120/Forms&Ltr/LobbyLaw/RecordOfContact(RU).doc
Rev. 9/19/16

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Figure 22 – Procurement Lobbying Law Disclosure Statement

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 Metropolitan Transportation Authority

New York State Finance Law Sections 139-j and 139-k ("Lobbying Law") — Disclosure Statement

General Information	<p>All procurements (which are defined to include essentially all real estate transactions) by the MTA in excess of \$15,000 annually, are subject to New York State's State Finance Law Sections 139-j and 139-k effective January 1, 2006 ("Lobbying Law").</p> <p>Pursuant to the Lobbying Law, all "contacts" (defined as oral, written or electronic communications with the MTA intended to influence a procurement) during a procurement must be made with one or more designated Point(s) of Contact only. Exceptions to this rule include written questions during the bid/proposal process, communications with regard to protests, contract negotiations and RFP conference participation. Nothing in the Lobbying Law inhibits any rights to make an appeal, protest or complaint under existing administrative or judicial procedures.</p> <p>Violations of the policy regarding permissible contacts must be reported to the appropriate MTA officer and investigated accordingly. The first violation may result in a determination of non-responsibility and ineligibility for award to the violator and its subsidiaries, affiliates and related entities. The penalty for a second violation within four (4) years is ineligibility for bidding/proposing on a procurement and/or ineligibility from being awarded any contract for a period of four (4) years. The MTA will notify the New York State Office of General Services ("OGS") of any determinations of non-responsibility or obstructions due to violations of the Lobbying Law. Violations found to be "knowing and willful" must be reported to the MTA Executive Director and OGS.</p> <p>Moreover, the statutes require the MTA to obtain certain affirmations and certifications from bidders and proposers. This Disclosure Statement contains the forms with which to comply, together with additional information and instructions.</p>
Instructions	<p>New York State Finance Law §139-k(2) obligates the MTA to obtain specific information regarding prior non-responsibility determinations. This information must be collected in addition to the information that is separately obtained pursuant to New York State Finance Law §163(9). In accordance with New York State Finance Law §139-k, an offerer must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any governmental entity due to: (a) a violation of New York State Finance Law §139-j or (b) the intentional provision of false or incomplete information to a governmental entity.</p> <p>As part of its responsibility determination, New York State Finance Law §139-k(3) mandates consideration of whether an offerer fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offerer that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.</p>

Disclosure of Prior Non-responsibility Determinations

Name of Bidder/Proposer: _____

Address: _____

Name and Title of Person Submitting this Form: _____

Has any governmental entity¹ made a finding of non-responsibility regarding the Bidder/Proposer in the previous four years? Yes No

If yes, Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law §139-j? Yes No

¹ A "governmental entity" is: (1) any department, board, bureau, commission, division, office, council, committee or officer of New York State, whether permanent or temporary; (2) each house of the New York State Legislature; (3) the unified court system; (4) any public authority, public benefit corporation or commission created by or existing pursuant to the public authorities law; (5) any public authority or public benefit corporation, at least one of whose members is appointed by the governor or who serves as a member by virtue of holding a civil office of the state; (6) a municipal agency, as that term is defined in paragraph (b) of subdivision (c) of section one-c of the Legislative Law; or (7) a subsidiary or affiliate of such a public authority. (SFL §139-j, paragraph 1.a.)

MTA Fin. Law 139-j rev. 10-06a; Page 1

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 Metropolitan Transportation Authority

New York State Finance Law Sections 139-j and 139-k ("Lobbying Law") — Disclosure Statement

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity? Yes No

If yes, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-Responsibility: _____

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete information? Yes No

Bidder's/Proposer's Affirmation and Certification

By signing below, the Bidder/Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with New York State Finance Law Sections 139-j and 139-k.
- b) Certifies that all information provided to the MTA with respect to New York State Finance Law §139-j and §139-k is complete, true and accurate.

By _____ Date: _____
(Signature of Person Certifying)

Print Name and Title: _____ Title: _____

Bidder/Proposer or Contractor/Consultant (Full Legal Name): _____

Address of Bidder/Proposer or Contractor/Consultant: _____

Business Telephone Number: _____

MTA's Right to Terminate

The MTA reserves the right to terminate a Contract (including any lease, license, entry permit, or sale documents) in the event it is found that the certification filed by the Bidder/Proposer, in accordance with New York State Finance Law §139-k, was intentionally false or intentionally incomplete. Upon such finding, the MTA may exercise its termination right by providing written notification to the Bidder/Proposer in accordance with the written notification terms of the Contract.

MTA Fin. Law 139-j, 139-k (rev. 10-06a)—Page 2

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 Metropolitan Transportation Authority

New York State Finance Law Sections 139-j and 139-k ("Lobbying Law") — Disclosure Statement

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity? Yes No

If yes, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-Responsibility: _____

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete information? Yes No

Bidder's/Proposer's Affirmation and Certification

By signing below, the Bidder/Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with New York State Finance Law Sections 139-j and 139-k.
- b) Certifies that all information provided to the MTA with respect to New York State Finance Law §139-j and §139-k is complete, true and accurate.

By _____ Date: _____
(Signature of Person Certifying)

Print Name and Title: _____ Title: _____

Bidder/Proposer or Contractor/Consultant (Full Legal Name): _____

Address of Bidder/Proposer or Contractor/Consultant: _____

Business Telephone Number: _____

MTA's Right to Terminate

The MTA reserves the right to terminate a Contract (including any lease, license, entry permit, or sale documents) in the event it is found that the certification filed by the Bidder/Proposer, in accordance with New York State Finance Law §139-k, was intentionally false or intentionally incomplete. Upon such finding, the MTA may exercise its termination right by providing written notification to the Bidder/Proposer in accordance with the written notification terms of the Contract.

MTA Fin. Law 139-j, 139-k (rev. 10-06a)—Page 2

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Figure 23 – Legal Intake Questionnaire

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MTA RE LEGAL INTAKE QUESTIONNAIRE FOR LEASES AND LICENSES

Please complete the following when requesting assignments:

1. Type of assistance sought:
 - a. Assistance with preliminary matters, such as structuring a transaction, preparing an RFP, preliminary advice or attendance at meetings: _____
 - b. Assistance with drafting of complicated/non-standard business terms before documentation is ready for review: _____
 - c. Assistance with documentation preparation – Yes ___ No ___ N/A ___
If Yes, the Lease Preparer's Questionnaire Form will need to be fully completed and provided to MTA RE Legal, together with the package of other required documents noted in Item #6 below, before the Lease will be prepared or assigned to counsel. _____
 - d. Other: _____
2. What is the timing and when is it anticipated that the attorney will have documents to review?

3. 2a: PRIORITY:

3.4. Is there an MTA RE Legal attorney that is already familiar with this matter, the property or with similar transactions and if so who? _____

4.5. Has MTA RED authorized use of outside counsel? Yes ___ No ___

If Yes, please provide the following information for outside counsel to clear conflicts:

Name and address of Tenant: _____

Names and addresses of principals/individuals that will own Tenant or that are the Proposers: _____

Names and addresses of each Guarantor: _____

5.6. Briefly describe the material terms – parties, compensation, duration/term, etc. or attach a term sheet:

- a. MTA Agency(ies) involved: _____
- b. Counterparties: _____
- c. Counterparty's Counsel if known: _____
- d. Economic Terms:
 - i. Annual Rent/License Fees: _____
 - ii. Term: _____
 - iii. Significant Tenant Improvements/Investment: Yes ___ No ___ N/A ___
 - iv. Is space currently vacant? Yes ___ No ___ N/A ___
- e. Other: _____

6.7. Documents to be attached for reviewing attorney include all of the following, if applicable:

- a. Intake form/questionnaire completed ___
- b. Lease Preparer's Questionnaire Form completed ___
- c. DAF – Document Approval Form ___
- d. RFP, with successful proposer responses ___
- e. Proposer Information Form if applicable ___
- f. Staff Summary ___
- g. Authorization memo ___
- h. CDL with Term Sheet ___
- i. Documents for review ___
- j. Property address with Block and Lot numbers, Tax Parcel

- k. Any surveys and/or title reports, if applicable [N/A ___] [___]

1. FOR SALE, LEASE-OUT OR LICENSE please complete following:

- a. Have you contacted Isabel Guerra to determine if federal funding is involved, in which case there may be a private use issue? _____

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- b. Was it competitively bid? _____
- c. Will the counterparty be investing in substantial capital improvements to prepare the premises for its use? Yes _____ No _____
- d. Describe landlord/licensor termination rights:
- Standard Corporate purposes standard provision (i.e., landlord right to terminate lease if it needs the space for corporate/transportation purposes but agrees to pay unamortized tenant improvement depreciated on a straight-line basis over over 10 years) ____ [Note: This should only be the case where tenant is expected to invest substantial capital/permanent improvements to prepare the space]
 - Standard License termination/revocation clause (i.e., licensor right to terminate or revoke for any reason/no reason on not more than 60 days' notice without any payment of reimbursement .
 - Other negotiated termination right? No ____ Yes ____ If so, describe (e.g., right to terminate after [] years with or without reimbursement and for any specific reason or not)

e. LEASE vs. LICENSE

Is this a LEASE disposition of interest in real property) pursuant to the MTA Policies and Procedures for Leasing-Out/Sales of Real Property (“**MTA Leasing-Out/Sales P&P**”)? Yes ____ No ____

If Yes, treated as a disposition under the PAL 2897 and as part of PAL 2897 analysis

- i. Do you have an appraisal/valuation? _____
- ii. Was this competitively bid process (RFP)? Yes ____ No ____ If not, what was basis under PAL 2897 for negotiated bid? _____
- iii. Will an explanatory statement be required (e.g., for all exchanges of real property interests or for certain negotiated bids) which will result in a 90 day conditional waiting period between contract signing and closing (include status if applicable)? _____

Is this a LICENSE (i.e., not a disposition of an interest in real property/and not intended to be a lease) which is terminable by an MTA party at will (with or without a reason) on not more than 60 days' notice at no cost and without reimbursement for unamortized tenant improvements and thus governed by the MTA Policies and Procedures for Licensing of Real Property (“**MTA Licensing P&P**”)? Yes ____ No ____

If Yes, to comply with MTA Licensing P&P, please respond to the following:

- iv. If applicable, the Policy # specified in the MTA Licensing P&P pursuant to which this license is being made without need for MTA Board Approval _____
- v. If this is pursuant to an RFP, or if not the “circumstance” specified in the MTA Licensing P&P which permits the license without an RFP but with Board Approval which is applicable to this particular proposed license _____
- vi. Is an authorization memo or information item required under the Licensing P&P (if so provide copy)? _____

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Figure 24 – Preparer Questionnaire Form

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Date: _____, 2020

MTA RED Employee Completing this Form: _____

Landlord Agency: Please check as applicable:

<input type="checkbox"/> Metro North w MTA (Harlem-Hudson Lines)	<input type="checkbox"/> LIRR
<input type="checkbox"/> Metro North only	<input type="checkbox"/> NYCT

MTARED RETAIL LEASE/LICENSE PREPARER QUESTIONNAIRE FORM

MTA RED is required to complete this questionnaire and to submit the completed form when requesting the preparation of a **RETAIL LEASE/LICENSE** for MTA/Metro-North/LIRR/NYCT by MTA Legal or outside counsel. Please be sure to proofread and provide accurate information. For any requested information that is inapplicable, please indicate "N/A".

INDICATE IF THIS IS A LEASE OR A LICENSE

LEASE

LICENSE If a License, licensor has right to revoke, at will, with no cost, upon ____ [Insert number of days prior notice not to exceed 60] days prior written notice.)

1. Station Property: (Cover Page)

a. Location (enter the name of the Station Property (if applicable), street address, block and lot number or other location designation): _____

b. Property Unit Code: _____

2. General: Is Tenant/Licensee an existing Tenant/Licensee already in occupancy of Premises?

YES NO

3. Fundamental Lease Provisions: (Section 1.1)

Tenant/Licensee:	Name: _____ Trade/DBA Name: _____ Type of Entity: _____ State of Organization: _____
Tenant's/Licensee's Address:	Address: _____ Attention: _____ Email Address: _____ Phone Number: _____
Guarantor(s) Name:	ALT. 1: [_____], a [natural person]. ALT.2: If there is no Guarantor, indicate N/A <input type="checkbox"/> .

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Guarantor(s) Address:	_____ _____ _____ Attention: _____ Email Address: _____ Phone Number: _____
Premises/Licensed Area: [Note same information also required for completing Subsection 2.5.1]	a. Does the Premises/Licensed Area consist of: (x) Entire Station Building: YES <input type="checkbox"/> NO <input type="checkbox"/> (y) Portion of a Station Building or other Station Facility: YES <input type="checkbox"/> NO <input type="checkbox"/> b. If the Premises consists of an entire Station Building, indicate whether the following areas are included in the leased premises or licensed areas: Basement: YES <input type="checkbox"/> NO <input type="checkbox"/> Sidewalks: YES <input type="checkbox"/> NO <input type="checkbox"/> Plaza Areas: YES <input type="checkbox"/> NO <input type="checkbox"/> c. Describe the Premises/Licensed Area: _____ _____
Deemed Rentable Square Footage:	State the approximate deemed square feet of the Premises/Licensed Area: _____sf
LEASE ONLY: Licensed Area: [This is for space that will be licensed to a Tenant that is outside of the leased premises]	Is Tenant assigned space outside the Premises for Tenant's exclusive use or priority use (e.g. parking space(s), dumpster, etc.) Parking YES <input type="checkbox"/> NO <input type="checkbox"/> Dumpster YES <input type="checkbox"/> NO <input type="checkbox"/> Other YES <input type="checkbox"/> NO <input type="checkbox"/> Describe the Licensed Area: _____ _____ _____
Expiration Date:	Specify the number of years (after the Rent Commencement Date/Fixed License Fee Payment Date) of the Term of the Lease or duration of the License Period: _____

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Term:	_____ years from the Rent Commencement Date/Fixed License Fee Commencement Date plus the period, if any, from the Commencement Date to the Rent Commencement/Fixed License Fee Commencement Date.																																	
LICENSE ONLY Revocation Notice Period:	_____ () DAYS' [NOTE: MAY NOT EXCEED 60 DAYS; typically either 30 or 60 per RFP].																																	
Initial Term Base Rent/Initial License Period Fixed License Fees:	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Period</th> <th style="width: 35%;">Base Rent/Fixed License Fee (Annual Rate)</th> <th style="width: 35%;">Base Rent/Fixed License Fee (Monthly Rate)</th> </tr> </thead> <tbody> <tr><td>Lease/License Year 1</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 2</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 3</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 4</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 5</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 6</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 7</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 8</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 9</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> <tr><td>Lease/License Year 10</td><td style="text-align: right;">\$.00</td><td style="text-align: right;">\$.00</td></tr> </tbody> </table> <p>Delete any inapplicable Lease Years.</p>	Period	Base Rent/Fixed License Fee (Annual Rate)	Base Rent/Fixed License Fee (Monthly Rate)	Lease/License Year 1	\$.00	\$.00	Lease/License Year 2	\$.00	\$.00	Lease/License Year 3	\$.00	\$.00	Lease/License Year 4	\$.00	\$.00	Lease/License Year 5	\$.00	\$.00	Lease/License Year 6	\$.00	\$.00	Lease/License Year 7	\$.00	\$.00	Lease/License Year 8	\$.00	\$.00	Lease/License Year 9	\$.00	\$.00	Lease/License Year 10	\$.00	\$.00
Period	Base Rent/Fixed License Fee (Annual Rate)	Base Rent/Fixed License Fee (Monthly Rate)																																
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Lease/License Year 2	\$.00	\$.00																																
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Lease/License Year 4	\$.00	\$.00																																
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Lease/License Year 7	\$.00	\$.00																																
Lease/License Year 8	\$.00	\$.00																																
Lease/License Year 9	\$.00	\$.00																																
Lease/License Year 10	\$.00	\$.00																																
Extension Option(s):	<p>a. Does Tenant/Licensee have any Extension Option(s) to extend the Term of the Lease/License? YES <input type="checkbox"/> NO <input type="checkbox"/></p> <p>b. If YES, specify the number of Extension Options and the length of each option: _____ _____</p>																																	
Extension Period:	If there is any Extension Option(s), specify the number of years for each Extension Period: _____ years.																																	

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Extension Period Base Rent:	<p>Add if applicable, otherwise indicate "N/A"</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 35%; text-align: center;">Base Rent/Fixed License Fee (Annual Rate))</th> <th style="width: 35%; text-align: center;">Base Rent/Fixed License Fee (Monthly Rate)</th> </tr> </thead> <tbody> <tr><td>Lease/License Year ___</td><td style="text-align: center;">\$</td><td style="text-align: center;">\$</td></tr> </tbody> </table> <p>Delete any inapplicable Lease/License Years.</p>		Base Rent/Fixed License Fee (Annual Rate))	Base Rent/Fixed License Fee (Monthly Rate)	Lease/License Year ___	\$	\$																											
	Base Rent/Fixed License Fee (Annual Rate))	Base Rent/Fixed License Fee (Monthly Rate)																																
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Lease/License Year ___	\$	\$																																
Lease/License Year ___	\$	\$																																
Permitted Use:	<p>Specify the Permitted Use of the Premises/Licensed Area (NOTE: THIS SHOULD COME FROM THE RFP OR TENANT'S/LICENSEE'S PROPOSAL): _____</p> <p>_____</p> <p>_____</p>																																	
Trade Name:	<p>_____ [NOTE: Indicate N/A if Tenant is not using, or is not required to use, a Trade Name]</p>																																	
Minimum Operating Hours:	<p>ALT. 1: Specify the Minimum Operating Hours for the operation of Tenant's/Licensee's business during the following days (or if "N/A" so indicate in ALT. 2):</p> <p>c. From ___ a.m. to ___ a.m. and ___ p.m. to ___ p.m. on weekdays</p> <p>d. From ___ a.m. to ___ a.m. and ___ p.m. to ___ p.m. on weekends and holidays</p> <p>ALT. 2: N/A <input type="checkbox"/></p>																																	
Security Amount:	<p>a. Specify the amount of the Security Deposit: \$ _____ (NOTE: This should be 3 times the Base Rent for the final Lease/License Year, excluding Extension Options).</p> <p>b. Specify whether the Security Deposit will be cash or a Letter of Credit or if Tenant's/Licensee's choice: Cash: <input type="checkbox"/> Letter of Credit: <input type="checkbox"/> Tenant's/Licensee's Choice: <input type="checkbox"/></p>																																	

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<p>Tenant's/Licensee's Initial Work:</p>	<p>Is Tenant/Licensee required to perform Tenant's/Licensee's Initial Work? YES <input type="checkbox"/> NO <input type="checkbox"/>.</p> <p>If, YES, complete following and next three rows on this Questionnaire; if NO, skip next three rows on this Questionnaire:</p> <p>If Tenant/Licensee is required to perform Tenant's/Licensee's Initial Work to the Premises/Licensed Area or any other portion of the Station Property, describe Tenant's/Licensee's Initial Work or attach an Exhibit with the description: _____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>Initial Plan Submission Date:</p>	<p>If Tenant/Licensee is required to perform Tenant's/Licensee's Initial Work, specify the number days from the date on which the Lease/License has been fully executed and delivered by which Tenant/Licensee must submit its Initial Plans for such work: _____ days.</p>
<p>Tenant's/Licensee's Initial Work Buildout Period:</p>	<p>If Tenant/Licensee is required to perform Tenant's/Licensee's Initial Work, specify the number of days Tenant/Licensee has to complete the same after Landlord/Licensor has issued to Tenant/Licensee an Authorization to Proceed: ____ days</p>
<p>Tenant's/Licensee's Initial Work Completion Deadline:</p>	<p>ALT: 1: If Tenant/Licensee is required to perform Tenant's/Licensee's Initial Work, specify the number of days Tenant/Licensee has to complete the same after Landlord/Licensor has issued to Tenant an Authorization to Proceed: ____ days [NOTE: Should be same as Tenant's/Licensee's Initial Buildout Period from row above.]</p> <p>ALT: 2: If Tenant/Licensee is an existing Tenant/Licensee of the same Premises and is NOT required to perform any Tenant's/Licensee's Initial Work, indicate: N/A <input type="checkbox"/></p>
<p>Delay Fee:</p>	<p>ALT: 1: Insert per diem Base Rent/License Fee Amount: \$_____ (i.e., Annual Base Rent ÷ 365 rounded to nearest dollar)</p> <p>ALT: 2: If Tenant/Licensee is NOT required to perform any Tenant's/Licensee's Initial Work, indicate N/A: <input type="checkbox"/></p>
<p>Trash Collection Fee:</p>	<p>Is Tenant/Licensee required to pay a Trash Collection Fee to Landlord/Licensor?</p> <p>YES <input type="checkbox"/> NO <input type="checkbox"/> N/A <input type="checkbox"/></p> <p>If YES, specify the amount of the Trash Collection Fee:</p> <p>Annual: \$_____</p>

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	Monthly: \$ _____
<p>Landlord/Licensor Utility Services: [Note information also required for completing Subsection 7.2.1, Section 7.4 and Section 7.3. See items 6, 7 and 8 below.]</p>	<p>Does Landlord/Licensee provide any utility services to the Premises/Licensed Area without charge? YES <input type="checkbox"/> NO <input type="checkbox"/></p> <p>If YES, specify which of the following utility services Landlord/Licensee will provide without charge:</p> <ul style="list-style-type: none"> • Heat: YES <input type="checkbox"/> NO <input type="checkbox"/> • Electric: YES: <input type="checkbox"/> NO <input type="checkbox"/> • Water: Yes: <input type="checkbox"/> NO <input type="checkbox"/> • Other (specify): _____
<p>Utility Fee: Note information also required for completing Subsection 7.2.1, Section 7.4 and Section 7.3. See items 6, 7 and 8 below.]</p>	<p>If Landlord/Licensee is providing any utility service to the Premises/Licensed Area (e.g., heat, electric), for which Tenant/Licensee pays a fee, specify such service and the applicable Utility Fee (indicate "N/A" if not applicable):</p> <p>Utility Service: [Heat] Annual: \$[_____] Monthly: \$[_____]</p> <p>Utility Service: [Electric] Annual: \$[_____] Monthly: \$[_____]</p>
<p>Brokers:</p>	<p>a. If a real estate broker has been involved in the transaction, provide the name of the real estate broker and the brokerage firm: _____</p> <p>b. If a real estate broker has been involved in the transaction, specify if Landlord/Licensor is paying the broker (indicate "N/A" if not applicable): _____</p>
<p>LEASE ONLY Aggregate Tenant Broker Commission Credit:</p>	<p>If a real estate broker has been involved in the Lease transaction, specify if Tenant is paying the broker and receiving a rent credit and the amount of such rent credit (indicate "N/A" if not applicable): _____</p> <p>Confirm that MTA RED has received a copy of the brokerage agreement, and written acknowledgment signed by the broker that MTA/Landlord is not liable for any broker commission.</p>

Additional Questions continued on next page:

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4. Landlord's/Licensor's Work: (Section 3.3)

A. If any work is required to be performed by Landlord/Licensor (or by Tenant/Licensee on Landlord's/Licensor's behalf) to prepare the Premises/License Area or the Station Property for Tenant's/Licensor's occupancy, specify such work and complete the rest of this Paragraph 4: _____

B. Who is performing the Landlord's/Licensor's Work:
Landlord/Licensor Tenant/Licensee N/A

C. If Tenant/Licensee is performing or initially paying for Landlord's/Licensor's Work, is Tenant/Licensee entitled to any Construction Allowance or Rent/Fixed Licensee Fee Credit for any of the cost of same?
YES NO N/A

D. If Tenant is entitled to a Construction Allowance or Rent/Fixed License Fee Credit, insert the amount and any cap; _____.

5. Opening and Closing of the Station Facilities. (Section 4.5)

a. Specify if Tenant/Licensee is required to unlock and open the Station Facilities:
YES NO N/A

b. If Tenant/Licensee is required to unlock and open the Station Facilities, specify Tenant's/Licensee's obligations: _____

6. Heat/Heating System: (Section 7.2.1)

a. Specify if Landlord/Licensor provides heat to the Premises/License Area without charge:
YES NO N/A

b. Specify if Landlord/Licensor provides heat to the Premises/License Area and charges a Utility Fee for heat:

YES (Utility Fee Annual \$____ Monthly \$____) NO N/A

c. If the Premises consists of an entire Station Building, specify if Tenant is responsible for buying heating fuel from applicable utility provider:

YES NO N/A

d. If the Premises consists of an entire Station Building, specify if Tenant is responsible for maintaining and replacing the heating system:

YES NO N/A

7. Electricity: (Section 7.4)

a. Specify if the Premises/License Area is directly metered, and Tenant/Licensee pays the utility company directly for electricity:

YES NO N/A

b. Specify if the Premises/License Area is sub-metered, and Tenant/Licensee pays Landlord/Licensor for electricity:

YES NO N/A

c. Specify if Landlord/Licensor provides electricity to the Premises/License Area without charge:

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YES NO N/A

d. Specify if Landlord/Licensor provides electricity to the Premises/License Area, and charges Tenant/Licensee a Utility Fee for its electricity consumption:

YES (Utility Fee Annual \$_____ Monthly \$_____) NO N/A

8. Water: (Section 7.3)

a. Specify if Landlord/Licensor provides water to the Premises/License Area without charge:

YES NO N/A

b. Specify if Landlord/Licensor provides water to the Premises/License Area, and charges Tenant a Utility Fee for its water consumption:

YES (Utility Fee Annual \$_____ Monthly \$_____) NO N/A

c. Specify if the Premises/License Area is separately submetered for water and if Tenant/Licensee pays Landlord for its water consumption:

YES NO N/A

9. Services to be provided by Tenant/Licensee: (Subsection 7.12.1)

Does Tenant/Licensee provide any property-related maintenance type services to the Premises/License Area or the Station Facilities, such as vermin control, ground sweeping, and snow removal, to be provided by Tenant/Licensee:

YES NO N/A (If YES, Exhibit N to be reviewed and modified as necessary.)

If YES, specify such services:

Vermin control: YES NO N/A

Ground sweeping: YES NO N/A

Snow Removal: YES NO N/A

Other (specify): _____

10. Structural and Roof Repairs; Sidewalks and Plazas: (Section 10.2)

a. If the Premises consists of an entire Station Building, is Tenant responsible for structural repairs to the Station Building including repairs to the roof of the Station Building?

YES NO N/A

b. If the Premises consists of an entire Station Building, is Tenant responsible for repairing any sidewalks or plaza areas in front of the Station Building?

YES NO N/A

11. Historic Property: (Section 11.6)

Are the Premises/License Area or the Station Building listed in a state or national registry of historic property or eligible for inclusion in such registries?

YES NO N/A

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Figure 25 – RED Organizational Chart

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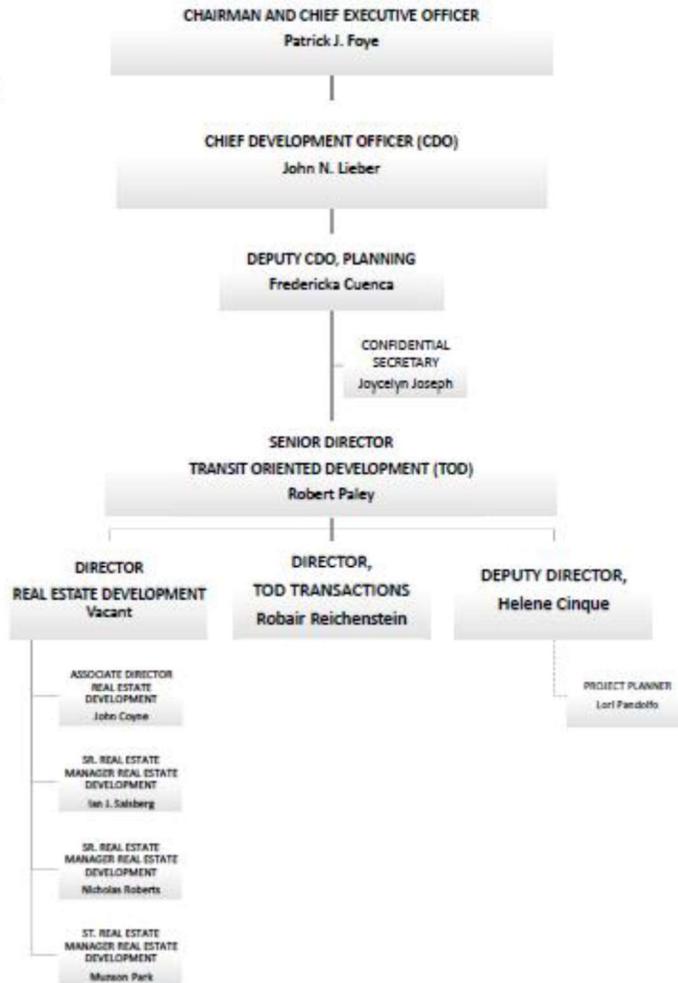
Figure 26 – TOD Organizational Chart

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REAL ESTATE PLANNING AND
TRANSIT ORIENTED DEVELOPMENT



Attachment B
2021 Personal Property Disposition Guidelines



**METROPOLITAN TRANSPORTATION AUTHORITY
ALL-AGENCY GUIDELINES FOR THE
DISPOSAL OF PERSONAL PROPERTY**

Adopted by the Board on March ~~25, 2020~~ 17, 2021

These guidelines, which have been adopted by the Board of the Metropolitan Transportation Authority (“MTA”), address the disposal of personal property, including obsolete, unneeded, and outdated personal property, by the MTA and its subsidiary and affiliated agencies in accordance with Public Authorities Law (“PAL”) §§ 2895–2897 and other applicable provisions of law. These guidelines shall **not** apply to the disposition of real property which is governed by the MTA Real Estate Department Policies and Procedures for the Leasing-out and Sale of Real Property.

The purpose of these guidelines is to:

1. Ensure that disposal of MTA personal property is undertaken in compliance with governing laws;
2. Define the means for identifying obsolete, unneeded, or otherwise outdated personal property, including but not limited to furniture, equipment, computer equipment, and automobiles;
3. Provide cost-savings to the MTA by outlining a procedure for the transfer of surplus personal property;
4. Recover value from surplus personal property through its sale, either for reuse or for scrap; and
5. Minimize disposal and storage costs by providing means for the donation of surplus personal property with negligible resale value.

These guidelines apply to the MTA and current and future affiliated and subsidiary agencies of the MTA (each, an “MTA Agency”). The MTA subsidiary and affiliated agencies consist of:

Triborough Bridge and Tunnel Authority
New York City Transit Authority
Manhattan and Bronx Surface Transit Operating Authority
The Long Island Rail Road Company
Metro-North Commuter Railroad Company
Staten Island Rapid Transit Operating Authority
MTA Bus Company
MTA ~~Capital~~ Construction and Development Company

For purposes of these guidelines, the terms below are defined as follows:

Contracting Officer(s): The Contracting Officer is the officer or employee of each MTA Agency who is designated by resolution to be responsible for the supervision and direction of the disposition of such MTA Agency’s Surplus Property.

Dispose or disposal: Transfer of title or any other beneficial interest in Surplus Property in accordance with the methods of disposition described herein. The act of disposition may include the acts of transferring, trading-in, selling, donating or destroying goods that are of no further use to the MTA.

Fair Market Value: The price at which the item of Surplus Property would change hands in a competitive and open market under conditions requisite to a fair sale between a willing buyer and a willing seller, neither being under any compulsion to buy or to sell and both acting prudently and having reasonable knowledge of the relevant facts.

Furniture: Office furnishings and fixtures including but not limited to desks, tables, chairs, lamps, modular wall units, and partitions.

Metropolitan Transportation Authority or “MTA” or “MTA Agency”: For purposes of this Policy, the terms “MTA” and “MTA Agency” include the Metropolitan Transportation Authority and/or its current and future subsidiaries and affiliates.

MTAHQ: MTA Headquarters.

Not-For-Profit Organization: An organization incorporated for educational, charitable, or cultural purposes and recognized as tax-exempt under article 501(c) (3) of the Internal Revenue Code.

Personal Property: Tangible property, other than real property. Personal Property is physical and movable, subject to ownership, with exchangeable value. Examples of tangible personal property include, but are not limited to, furniture, supplies, automobiles or other vehicles, computer equipment, and commercial “off-the-shelf” software that is transferable pursuant to the software’s licensing agreement.

Qualifying Surplus Property: Surplus Property with a value in excess of \$5,000, and any inchoate or other interest in such Surplus Property, to the extent that such interest may be conveyed to another person, other than an MTA Agency, for any purpose, excluding an interest securing a loan or other financial obligation of another party.

Surplus Property: Personal Property (i) that does not have a useful purpose for a particular department of the MTA or has been deemed to be no longer useful to the MTA; or (ii) the disposition of which has been determined by the Agency’s Contracting Officer to be in the best interest of the MTA or the MTA Agency.

Surplus Property Officers: Regular employees to whom an MTA Agency or Agency department or division head has delegated responsibility for the identification and release of Surplus Property within that agency and/or department or division.

Section 1: General Provisions

1.1 Compliance with Laws and Guidelines.

- a. Disposals of Qualifying Surplus Property (i.e. Surplus Property with a Fair Market Value in excess of \$5,000) shall comply with applicable provisions of PAL §§ 2895 - 2897, the MTA’s enabling legislation, any other applicable law for the disposal of Personal Property, and the provisions of these guidelines.
- b. Disposals of Surplus Property having a Fair Market Value equal to or less than

\$5,000 and disposals of Surplus Property, regardless of Fair Market Value, to an MTA Agency are not subject to the disposition requirements set forth in PAL §§ 2895 – 2897. Disposition of such Surplus Property should be undertaken in compliance with the provisions of these Guidelines, other than Section 2 (addressing the requirements of PAL §§ 2895 – 2897).

1.2 Responsibility for Compliance. The Contracting Officer(s), MTA Procurement Departments, and MTA Corporate Compliance are responsible for ensuring MTA’s compliance with and enforcement of these Guidelines.

1.3 Surplus Property. Surplus Property shall be disposed of as promptly as possible in a manner that returns as much value as possible to the MTA. Value may be returned through internal transfer of items, thereby reducing expenditures; through sale of items to outside buyers, thereby producing revenue; or through donation or other disposal without direct income, thereby avoiding the costs associated with carrying excess inventories or disposal costs of items of negligible value.

1.4 Responsibilities of Surplus Property Officers. Surplus Property Officers shall be responsible for periodically identifying Surplus Property as defined above.

User departments will periodically review their inventory of Personal Property such as furniture, office equipment, computer equipment, and security equipment and determine whether such Personal Property is Surplus Property that should be disposed of.

1.5 Computer Equipment/Telecommunications Equipment. The Information Technology Departments for each MTA Agency will be responsible for the review of the continued usefulness of computer equipment and telecommunications communications equipment in their agency and may, in conjunction with the department or division to which such equipment is assigned, identify such equipment as Surplus Property.

1.6 Automobiles. Each MTA Agency shall review the continued usefulness of that MTA Agency’s automobiles, and may, when appropriate, identify automobiles as Surplus Property and shall dispose of such vehicles in compliance with these guidelines, the All Agency Vehicle Usage Policy Directive, and their agency’s vehicle usage procedures.

1.7 Estimation of Value.

a. If the method of disposal of Surplus Property is not planned to be by publicly advertised bid (see Section 5.1), the user department responsible for such Surplus Property shall estimate the Fair Market Value of such Surplus Property. In estimating the Fair Market Value of such Surplus Property, reference shall be made to identifiable active markets for such property and information concerning additional factors may also be considered, which may include but are not necessarily limited to:

- Original purchase cost;
- Depreciation;
- Residual Value;
- Estimated Replacement Value; and/or
- Current condition of the item.

- b. If the estimated Fair Market Value of such Surplus Property is in excess of \$15,000, it must be disposed of by publicly advertised bid unless it is otherwise eligible for disposition through negotiation or advertised public auction (PAL § 2897(6)(c); see Section 2.1(b)).
- c. If because of its unique nature or the unique circumstances of the proposed transaction, Qualifying Surplus Property is not readily valued by reference to an active market for similar property, an independent appraisal must be performed prior to disposing of such Qualifying Surplus Property (except in circumstances in which the Surplus Property is being designated for transfer to another MTA Agency user).

Section 2: Compliance with Public Authorities Law §§ 2895 - 2897

2.1 Permitted Methods of Surplus Property Disposal: Publicly Advertised Bid or Negotiation. Under the Public Authorities Law, Surplus Property valued in excess of \$5,000 and being disposed of to other than an MTA Agency (“Qualifying Surplus Property”) either must be disposed of

- a. in accordance with publicly advertised bid procedures (as set forth in Section 5.1 below), or
- b. through negotiation or by advertised public auction, subject to obtaining such competition as is feasible. Disposal by negotiation or by advertised public auction may be used only when at least one of the following conditions is satisfied:
 - (i) the Qualifying Surplus Property involved has qualities separate from the utilitarian purpose of such property, such as artistic quality, antiquity, historical significance, rarity, or other quality of similar effect, that would tend to increase its value, or the Qualifying Surplus Property is to be sold in such quantity that, if it were disposed of by publicly advertising for bids, would adversely affect the state or local market for such property, and the estimated Fair Market Value of such property and other satisfactory terms of disposal can be obtained by negotiation;
 - (ii) the Fair Market Value of the Qualifying Surplus Property does not exceed \$15,000 (for negotiation) or \$500,000 (for advertised public auction);
 - (iii) bid prices after advertising therefore are not reasonable, either as to all or some part of the Qualifying Surplus Property, or have not been independently arrived at in open competition;
 - (iv) the disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Qualifying Surplus Property and other satisfactory terms of disposal are obtained by negotiation;
 - (v) under those circumstances described in Section 2.3 below; or

- (vi) such action is otherwise authorized by law.

2.2 Advance Explanatory Statement Requirement for Certain Qualifying Surplus Property Disposals. PAL § 2897(6)(d) requires that MTA Agencies prepare and disseminate to certain public officials ninety days in advance of a Qualifying Surplus Property disposal, an explanatory statement for (i) disposals by negotiation of any Qualifying Surplus Property with an estimated Fair Market Value in excess of \$15,000; or (ii) a disposal of Qualifying Surplus Property by exchange, where the exchange involves disposal of real property together with related Surplus Property (regardless of value). The explanatory statement shall be sent by the MTA Agency preparing it to the comptroller, the director of the budget, the commissioner of general services, the legislature and the independent authorities budget office at least 90 days before making the disposal.

The explanatory statement shall include:

- a. description of the parties involved in the property transaction;
- b. justification for disposing of property by negotiation;
- c. identification of property, including its location;
- d. estimated fair market value of the property;
- e. proposed sale price of the property;
- f. size of the property; and
- g. expected date of sale of property.

A copy of the statement shall be preserved in the MTA Agency's files.

2.3 Less than Fair Market Value Disposals. The MTA may dispose of Qualifying Surplus Property for less than Fair Market Value, pursuant to PAL § 2897(7), if:

- a. The transferee is a governmental or other public entity and the transfer terms require that ownership of the asset will remain with the governmental entity, or
- b. The transfer is within the purpose, mission or governing statute of the MTA.

Where a proposed transfer of Qualifying Surplus Property is for less than Fair Market Value but does not satisfy either of the above two criteria, the proposed transfer may not proceed without the MTA Agency first providing written notice to the Governor, the Speaker of the Assembly and the Temporary President of the Senate. Such proposed transfer shall be subject to denial by the Governor, the Senate or the Assembly. The Governor, Senate or Assembly has 60 days from receipt of the notice to act if the notice is received between January and June; if the notice is received between July and December, the Senate or Assembly has 60 days from the following January in which to act on the notice.

2.4 Board Information and Approval Requirements: Proposed Less than Fair Market Value Disposal. If a below Fair Market Value Qualifying Surplus Property transfer is proposed,

the following information must be provided to the MTA Board and to the public, in accordance with PAL § 2897(7)(b):

- a. a full description of the Qualifying Surplus Property;
- b. an appraisal of the Fair Market Value of the Qualifying Property and any information establishing the Fair Market Value;
- c. a description of the purpose of the transfer and a statement of the kind and amount of the benefit to the public resulting from the transfer;
- d. a statement of the value received compared to the Fair Market Value;
- e. the names of any private parties participating in the transfer; and
- f. the names of other private parties who made an offer for the asset, the amount offered, and the purpose for which the asset was sought.

Before proceeding with such a proposed disposal, the MTA Board shall consider the information required to be provided and make a written determination that there is no reasonable alternative to the proposed below market transfer that would achieve the same purpose of the transfer.

Section 3: Compliance with Federal Transit Administration Circular 5010.1E – Federally Funded Property

In addition to complying with PAL §§ 2895 - 2897, the MTA must also comply with Federal Transit Administration (“FTA”) Circular 5010.1E which governs the disposal of Federally-funded Property with an acquisition value in excess of \$5,000. That Circular requires grantee agencies such as the MTA to obtain FTA approval prior to disposing of such property if the disposition occurs before the end of the asset’s useful life, as determined under the Circular. In addition, upon disposition, the MTA must reimburse the FTA its share of any remaining Federal interest in the asset, as calculated pursuant to Circular 5010.1E.

Section 4: Reassignment or Transfer of Surplus Property to Other MTA Agencies

Prior to disposing of Surplus Property, the MTA Agencies should attempt to reassign it to other departments/divisions within that MTA Agency and/or transfer the Surplus Property to another MTA Agency.

- 4.1 **Reassignment.** The Surplus Property Officer for each MTA Agency will notify its departments/ divisions of the availability of Surplus Property and will facilitate the transfer of same among departments/divisions if such transfer will result in a cost savings to the MTA. Such notification shall take place at least once per year, by publication of an inventory of Surplus Property, and may be supplemented by informal notification of departments on an ad hoc basis. In the event that more than one department or division expresses interest in the reassignment of Surplus Property, the MTA Agency’s Surplus Property Officer and Contracting Officer shall determine the recipient, based on their

judgment of which reassignment would be most beneficial to the MTA. Whenever possible, reassignment of Surplus Property shall be accomplished directly, minimizing the number of times an item must be moved.

- 4.2 Transfer to a Constituent Agency. Each Surplus Property Officer will circulate to the MTA Agencies on a periodic or ad hoc basis as appropriate, notice of the availability of Surplus Property. The inspection and, upon acceptance, physical transfer of such property shall be the responsibility of the MTA Agency accepting it.

In the event that more than one MTA Agency expresses interest in the same Surplus Property, the Surplus Property Officer and Contracting Officer shall determine the recipient, based on his judgment of which reassignment would be most beneficial to the MTA. Whenever possible, reassignment of Surplus Property shall be accomplished directly, minimizing the number of times an item must be moved.

Section 5: Disposal of Surplus Property with Estimated Fair Market Value in Excess of \$5,000

- 5.1 Sale by Publicly Advertised Bid. Qualifying Surplus Property (i.e. valued in excess of \$5,000) should periodically be offered by MTA for sale by the competitive process of publicly advertised bid, which is the preferred method of disposal.

The following requirements of PAL § 2897(6)(b) must be observed:

- a. The advertisement for bids shall be made at such time prior to the disposal through such methods and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Qualifying Surplus Property;
 - b. All bids shall be publicly disclosed at the time and place stated in the advertisement; and
 - c. The award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforming to the invitation for bids, will be most advantageous to the MTA, price and other factors considered; provided, that all bids may be rejected when it is in the public interest to do so.
- 5.2 Disposal of Property by Negotiation or Advertised Public Auction. Surplus Property may be disposed of by negotiation or advertised public auction when (i) the Fair Market Value of such Surplus Property does not exceed \$15,000 (for negotiation) or \$500,000 (for advertised public auction) or (ii) where any of the other criteria listed in Section 2.1(b) above for such disposal is satisfied.

Section 6: Disposal of Surplus Property With a Fair Market Value of \$5,000 or Less

- 6.1 Sale. The preference is to sell property having an estimated or appraised Fair Market Value of \$5,000 or less, in an effort to recover value from Surplus Property for MTA.
- 6.2 Contribution. Items with an estimated or appraised value of \$5,000 or less may be offered to a not-for-profit organization if, in the judgment of the MTA Agency's Surplus Property

Officer and Contracting Officer, such property may have value if returned to use in such an organization, such a disposal by contribution will minimize disposal and storage costs to MTA, and the Surplus Property either has negligible resale value or the costs of MTA conducting a sale of such Surplus Property relative to the resale value that could likely be obtained are such that proceeding by contribution is deemed warranted. In such circumstances, physical transfer of the property will be the responsibility of the receiving organization. Such an offering shall be conducted at the convenience of the MTA, and at minimal expense to the MTA.

Section 7: Disposal as Waste

Surplus Property that the Surplus Property Officer determines has no net monetary value (taking into account costs of storage) and has been unable to dispose of through reassignment, transfer, sale, or donation as outlined above should be disposed of as waste in the most cost-effective means consistent with all relevant laws and regulations.

Section 8: Inventory Management/Internal Controls

Each MTA Agency shall maintain adequate inventory controls and accountability systems for all individual items of Personal Property.

Section 9: Regulatory Disclosure

- 9.1 MTA Agency Reporting. Each MTA Agency's Contracting Officer shall annually provide a report to MTAHQ of all Surplus Property under its custody and control as well as a list and full description of all Surplus Property disposed of during the previous calendar year with the price received and the name of the purchaser.
- 9.2 Format and Timing. The report shall be provided in a format and at such time as requested by the MTA Corporate Compliance to facilitate required reporting of sale information.
- 9.3 Annual Report. MTAHQ shall annually publish, in accordance with PAL § 2896(3), a report with a full description of all Qualifying Surplus Property disposed of during the previous calendar year by all of the MTA agencies with the price received and the name of the purchaser. MTAHQ shall send copies of such report to the comptroller, the director of the budget, the commissioner of general services, the legislature, and the independent authorities budget office.

Section 10: Procedures

Each MTA Agency shall create written procedures as needed for the implementation of these guidelines. Such written procedures, once executed, shall be filed with MTA Corporate Compliance.

Attachment C
2021 Personal Property Disposition Contracting Officers

**ATTACHMENT C
PERSONAL PROPERTY DISPOSITION
CONTRACTING OFFICERS**

Agency	Contact	Phone	E-mail
MTA All-Agency	Kuvershen Ayer	646-285-7602	kayer@mtahq.org
Bridges and Tunnels	Brian Bajor	646-252-7032	bbajor@mtabt.org
Construction and Development	Stephen M. Plochochi	646-252-6050	stephen.plochochi@nyct.com
Long Island Railroad	Richard Mack	718-725-2621	ramack@lirr.org
Metro-North Railroad	Anthony Gardner	212-340-2293	gardner@mnr.org
MTA Bus	Julia Ferraro	718-888-6221	Julia.Ferraro@nyct.com
New York City Transit	Louis Montanti	646-252-6060	Louis.montanti@nyct.com



ALL AGENCY GENERAL CONTRACT PROCUREMENT GUIDELINES

Adopted by the Board on ~~July 22~~ March 17, 2020 ~~2021~~

These guidelines (the “**General Contract Guidelines**”) apply to the Metropolitan Transportation Authority (“**MTA**”), the New York City Transit Authority (“**NYCT**”), the Long Island Rail Road Company (“**LIRR**”), The Metro-North Commuter Railroad Company (“**MNR**”), the Staten Island Rapid Transit Operating Authority (“**SIRTOA**”), the Manhattan and Bronx Surface Transit Operating Authority (“**MaBSTOA**”), MTA ~~Capital Construction and Development~~ (“**MTAC&DC**”), MTA Bus Company (“**MTA Bus**”), First Mutual Transportation Assurance Co. (“**FMTAC**”) and the Triborough Bridge and Tunnel Authority (“**Bridges and Tunnels**”) insofar as they are consistent with the provisions of law applicable to Bridges and Tunnels (each of the MTA, NYCT, LIRR, MNR, SIRTOA, MaBSTOA, MTAC&DC, MTA Bus, FMTAC, and Bridges and Tunnels is referred to jointly and severally, as the “**Authority**”).

Article I - Applicability of General Contract Guidelines

These **General Contract Guidelines** apply to

- A. purchase contracts for supplies, materials, equipment or other goods (“**Purchase Contracts**”);
- B. public work contracts (“**Public Work Contracts**”); and
- C. “**Miscellaneous Procurement Contracts**” are defined as leases of equipment with or without an option to purchase, computer software licenses, including software as a service subscription, software maintenance agreements, printing contracts (where editorial services do not predominate), and any other contract which is not otherwise classified under these General Contract Guidelines or the All Agency Service Contract Procurement Guidelines (the “**Service Contract Guidelines**”, and collectively with these General Contract Guidelines, the “**Guidelines**”).

Purchase Contracts, Public Work Contracts and Miscellaneous Procurement Contracts are collectively referred to herein as “**General Contracts**”.

In the event a proposed contract contains elements of more than one type of General Contract and/or elements of either or both types of Service Contracts (as such term is defined in the Service Contract Guidelines), the elements of the type of contract that predominates shall determine whether the General Contract Guidelines or the Service Contract Guidelines apply and which type of contract within the applicable Guidelines shall apply.

Article II - Delegation of Authority

The Chairman, the Managing Director, the President, or chief procurement officer (“**CPO**”) of the relevant Authority thereof, and any further delegations that the Chairman or relevant Authority President may make for those specified delegated purposes only (each defined for purposes of these General Contract Guidelines as an “**Authorized Officer**”) are hereby empowered with respect to General Contracts to be entered into by the relevant Authority acting on its own behalf or as agent for MTA, as follows:

- A. to implement these General Contract Guidelines.

- B. to establish procedures for the award of General Contracts (including contracts for a small business concern (“SBC”), a certified minority or women-owned business enterprise (“MWBE”) or a certified service disabled veteran owned business (“SDVOB”)) estimated to involve the expenditure of \$1 million or less, which procedures shall be competitive to the extent deemed practicable by the Authorized Officer;
- C. to do the following for the award of Purchase Contracts and Public Work Contracts estimated to involve the expenditure in excess of \$1 million:
 - 1. to determine the criteria for the evaluation of bids/proposals, which may include, but are not limited to, unit or aggregate amount bid, life cycle costs or savings (including but not limited to costs or savings associated with installation, energy use, maintenance, operation, salvage and disposal), discounts and costs of maintenance and inspection services;
 - 2. to determine whether a Purchase Contract or Public Work Contract required to be advertised in the New York State Contract Reporter (“NYSCR”) is exempt from such requirement due to the need to award such contract on an emergency or other critical basis;
 - 3. to advertise for, solicit and open bids/proposals;
 - 4. to record the name of each bidder and the amounts of the bid/proposal;
 - 5. to determine the lowest responsive and responsible bidder, including, in the event two or more responsible bidders submit identical bids which are the lowest bids, to award the Purchase Contract or Public Work Contract to any of such bidders or obtain new bids from such bidders;
 - 6. to reject all bids when it is determined to be in the public interest to do so; and
 - 7. to award the Purchase Contract or Public Work Contract;
- D. to determine whether a bidder/proposer is responsible pursuant to the All-Agency Responsibility Guidelines; and
- E. in addition to the other authorizations set forth elsewhere in these General Contract Guidelines, to establish guidelines governing the qualifications of bidders for General Contracts, and to fix the standards for the prequalification of bidders entering into such contracts for the East Side Access Project in accordance with Section 1265-a.2(c) of the Public Authorities Law.

Article III - Selection of General Contractors Without Competitive Sealed Bidding

- A. A competitively bid Miscellaneous Procurement Contract may be awarded without Board approval. No Board approval shall be required for a Miscellaneous Procurement Contract ride pursuant to Article III.B.5.
- B. Except as otherwise provided in Article III.C. or Article VII., a General Contract estimated to involve the expenditure in excess of \$1 million may be awarded without competitive bidding under the circumstances set forth below, provided that the Authorized Officer recommends such an action and the Board adopts a resolution (i) declaring competitive bidding to be impractical or inappropriate because of the existence of any of the circumstances set forth in Articles III.B.1. to 6., (ii) stating the reasons therefore, and (iii)

summarizing any negotiations that have been conducted. Except in a situation specified in Article III.B.1., such resolution shall be approved by two-thirds of the members of the Board then in office. A resolution under Article III.B.1. shall require approval by a majority of the members of the Board in attendance at a meeting at which a quorum is present.

1. Emergency, Critical Need or Unforeseen Circumstances. The existence of an emergency involving danger to life, safety or property, or a critical need or unforeseen circumstance which requires immediate action and cannot await competitive bidding; or when the item to be purchased is essential to the efficient operation of or the adequate provision of service and, as a consequence of an unforeseen circumstance, such purchase cannot await competitive bidding. Competitive bidding is hereby declared to be impractical and inappropriate in any of the foregoing situations where an Authorized Officer must take appropriate action and cannot await action by the Board; provided, however, that notice of such action shall be given to the Board in a timely manner, together with a statement of the reasons for such action and a request for ratification by the Board.
2. Single Source. The item to be purchased is available only from a single responsible source provided, however, that a notice of the Authority's intent to purchase such item without competitive bidding shall be posted on the Authority's website, and, if bids have not been solicited for such item within the preceding twelve months, a notice must be published pursuant to Article V hereof. Any notices required by this Article III.B.2. shall a. set forth the Authority's intent to purchase the item without competitive bidding because the item is available from only one source, and b. invite any firm which believes it can provide the item to so inform the Authority and to provide the Authority with additional information which confirms that the firm can supply the item.
3. No Bids or One Responsive Bid. Competitive bids are solicited and
 - a. no responsive bid is received; or
 - b. only a single responsive bid is received, and the Authorized Officer rejects the bid.
4. Experiments, Tests and Evaluations. With respect to a product or technology, the Authority wishes to:
 - a. experiment with or test it;
 - b. experiment or test a new source for it; or
 - c. evaluate its service or reliability.

Such a General Contract may not be awarded until at least thirty days after the date the Board has declared competitive bidding to be impractical or inappropriate.

5. Riding an Existing Contract. The item is available through an existing General Contract between a vendor and any of the following and the resolution adopted

by the Board, if Board authorization would otherwise be required under these General Contract Guidelines, includes a determination that, and the reasons, why, it is in the public interest to do so:

- a. Any public authority (not New York State) provided such General Contract had been awarded through a process of competitive sealed bidding or a competitive request for proposals (“RFP”);
- b. A New York State agency or authority (including the New York State Office of General Services (“OGS”)), the City of New York, or Nassau County (except for NYCT, SIRTOA and MaBSTOA, as to Nassau County only).

It is hereby determined that competitive bidding is inappropriate and, because of the likelihood that a competitive process will not result in better commercial terms, that it is in the public interest to purchase an item through an existing General Contract of the State of New York, the City of New York, a different Authority, or any other public authority, where price and other commercial terms specified in such General Contract are satisfactory to the Authorized Officer. Such a determination shall be documented in writing by the Authorized Officer, and included in the contract file.

- 6. Request For Proposals. The Authority determines that it is in the public interest to award the General Contract through a competitive RFP.
 - a. For purposes of this Article III.B.6., an RFP shall mean a method of soliciting proposals and awarding a General Contract on the basis of a formal evaluation of the characteristics which are deemed relevant to the Authority’s operations, such as quality, cost, delivery schedule and financing, against stated selection criteria. Where the RFP involves the purchase or rehabilitation of rail cars, transit cars or buses, the selection criteria may also include the extent to which the performance of all or a portion of the General Contract will involve the use of sites within the State of New York or the use of goods produced or services provided within the State of New York.
 - b. For those General Contracts awarded under this Article III.B.6., (1) such contracts may not be awarded until at least thirty days after the Board has declared competitive bidding to be impractical or inappropriate and (2) the Board’s approval resolution must (i) disclose the other proposers and the substance of their proposals, (ii) summarize the negotiation process including the opportunities, if any, available to proposers to present and modify their proposals, and (iii) set forth the criteria upon which the selection was made. The Board’s contract approval resolution may be adopted simultaneously with or subsequent to the Board’s declaration that competitive bidding is impractical or inappropriate, provided that, if the Board’s declaration and the Board’s approval resolution are adopted simultaneously or within less than thirty days of each other, the subject General Contract may be executed by the

Authority no less than thirty days after the adoption of the Board's declaration that competitive bidding is impractical or inappropriate.

- c. In addition to the information required under Article V.C., the public notice of an RFP must include a statement of the selection criteria. Such notice shall also be provided by mail or electronically to professional and other organizations, if any, that represent or regularly notify certified MWBEs or certified SDVOBs of the type of procurement opportunity that is the subject of the RFP notice.
 - d. The Authority may engage in a selection process involving multiple steps such as requests for interest, requests for qualifications, requests for technical proposals and requests for quotations. After the publication of the notice, any or all of the selection criteria specified in the advertisement may be changed, provided that, if the change is material, proposers and potential proposers who, prior to the deadline for the receipt of proposals, have expressed an interest in the RFP, shall be informed of the change and afforded the opportunity to modify their proposals.
 - e. After receipt of the proposals, an Authority may:
 - i) change the selection criteria provided that, if the change is material, all proposers that have not been eliminated from the competitive process prior to such change, are informed of the change and afforded the opportunity to modify their proposals;
 - ii) request that any of the proposers make a presentation. If it does so, it is not required to afford such opportunity to all proposers;
 - iii) negotiate with any of the proposers. If it does so, it is not required to negotiate with all proposers;
 - iv) reject any proposal at any time; and
 - v) reject all proposals, in which event the Authority may decide to take no further action, solicit new proposals or solicit bids.
- C. Under the MTA Small Business Mentoring Program (the "**SBMP**"), a non-federally funded Public Work Contract that is designated by the Authority as a small business mentoring program contract within the meaning of Section 1265-b(1)(e) of the Public Authorities Law, may be awarded in accordance with the provisions of Section 1265-b of the Public Authorities Law, notwithstanding any other provision of law or these General Contract Guidelines. A Public Work Contract that is partially or wholly federally funded, subject to United States Department of Transportation regulations and estimated to involve an expenditure of not more than \$3 million, may be awarded pursuant to the MTA Small Business Federal Program (the "**SBFP**") established under 49 CFR 26.39 in accordance with the competitive procedures established under the SBFP, notwithstanding any other provision of law or these General Contract Guidelines. Pursuant to the SBMP procedures, the Chairman or Authority President designates members of an SBMP steering committee, which includes diversity, engineering and procurement personnel. Such

SBMP steering committee is authorized to designate which eligible Public Work Contracts shall be SBMP or SBFP Public Work Contracts.

- D. A Purchase Contract or a Miscellaneous Procurement Contract, not estimated to involve the expenditure in excess of \$1 million, that is not federally funded, may be awarded pursuant to Section 1209.7(b) or Section 1265-a.2(b) of the Public Authorities Law without competitive sealed bidding or other formal competitive process, notwithstanding any other provision of law or these General Contract Guidelines where the Purchase Contract or Miscellaneous Procurement Contract involves goods or technology that are recycled or remanufactured.

Article IV - Qualified Products Lists

The Board hereby determines that for reasons of efficiency, economy, compatibility or maintenance reliability, there is a need for standardization as to various supplies, materials and equipment which are purchased by the Authorities and authorizes the establishment of a qualified products list (“QPL”) identifying such supplies, materials and equipment as hereinafter provided. A purchase contract for an item which has been included on a QPL duly established and maintained by an Authority may be entered into by that Authority as hereafter set forth:

- A. An Authorized Officer determines as to a specific item that, for reasons of efficiency, economy, compatibility or maintenance reliability, there is a need for standardization.
- B. The QPL is reviewed no less than two times per year in order to evaluate whether to add or delete items or vendors to or from the QPL.
- C. A notice is published by the Authority no less than one time per year in a general circulation newspaper and in the NYSCR which:
 - 1. advertises the existence of the QPL;
 - 2. states that the QPL is available for public inspection; and
 - 3. specifies the name and address of the Authority’s office which may be contacted in regard to the procedure for the compilation of the QPL.
- D. A contract for an item on the QPL may be awarded:
 - 1. without competitive sealed bidding if only one source for the item is specified on the QPL;
 - 2. by competitive sealed bidding, but without advertising, provided the invitation to bid is sent to all vendors listed on the QPL for the particular item;
 - 3. by competitive sealed bidding after advertising the bid pursuant to Article V.A. of these General Contract Guidelines.
- E. Two or more Authorities may utilize the same QPL provided that such Authorities jointly comply with the provisions of this Article.

Article V – Notice and Advertising

Except as provided in Article V.C. and Article III.B.2., in those instances where advertising is required under these General Contract Guidelines:

- A. Regardless of the selection process used, for Purchase Contracts and Public Work Contracts in the actual or estimated amount in excess of \$1 million, an advertisement shall be published at least once in a newspaper of general circulation in the area served by the Authority and in the NYSCR. Such advertisement and notice in the NYSCR must be placed at least fifteen (15) business days prior to the planned date on which a bid/proposal is due; provided that, if the Purchase Contract or Public Work Contract is to be awarded without the solicitation of competitive sealed bids or RFP, the timing of the publication in the NYSCR shall be determined by an Authorized Officer.
- B. The advertisement and the notice in the NYSCR must contain, as applicable, a statement of: 1) the name of the contracting Authority; 2) the contract identification number; 3) a brief description of the goods, supplies, materials, or equipment sought, the location where work is to be performed or goods are to be delivered and the contract term; 4) the address where bids/proposals are to be submitted; 5) the date when bids/proposals are due; 6) a description of any eligibility or qualification requirement or preference; 7) a statement as to whether the contract may be fulfilled by a subcontracting, joint venture (“JV”) or co-production arrangement; 8) any other information which the Authority deems useful to potential contractors; 9) the name, address and the telephone number of the person to be contacted for additional information; and 10) the time and place where bids received will be publicly opened and read. In addition, if a purchase contract is involved, the advertisement in the NYSCR shall also include a statement as to whether the goods, supplies, materials, or equipment sought had, in the immediately preceding three year period, been supplied by a foreign business enterprise as that term is defined in Article 4-C of the Economic Development Law.
- C. Advertisement in a general circulation newspaper and in the NYSCR is not required if the Authority regularly purchases the particular supplies, material or equipment and bids are solicited from a list of potential suppliers for the item which has been established and maintained as set forth in Article VI hereof.
- D. In addition to the above advertisements, the Authority shall provide notice to professional and other organizations, if any, that regularly notify MWBEs of the type of procurement opportunity that is the subject of the solicitation.

Article VI - Contractor Outreach

The Authority shall encourage firms to be interested in competing for Authority contracts. The Authority shall do so in the following manner:

- A. Suppliers Lists for Purchase Contracts: the Authority shall compile a list of potential sources of supplies, materials, equipment, and other goods which it regularly purchases. Such list must be compiled in accordance with the following procedures:

1. Advertisements must be periodically placed in one or more publications which are likely to be read by manufacturers, suppliers and others who deal in the item, including firms which may be MWBEs or SDVOBs, which set forth a general description of categories of items which are regularly procured by the Authority and invites firms to utilize the MTA Business Services Center Vendor Portal to be placed on the suppliers list for specific items or categories of items.
 2. A periodic effort:
 - i) must be undertaken to identify potential bidders for the item who are not on the list, including MWBEs and SDVOBs. Such effort shall include the use of the Authorities' websites, use of appropriate publications, including those, if any, that serve MWBEs and SDVOBs, other sources of information, and cooperation with federal, state and local agencies and other authorities. Where appropriate, a print or electronic letter shall be sent to a new potential supplier which invites it to request that it be added to the list and, if it does not wish to be added, requests that it indicate why; and
 - ii) where appropriate, must be undertaken to identify firms which have not responded to bids or expressed an interest in remaining on a list. An effort should be made to contact such firms to determine why they have not bid, whether they are interested in remaining on the list and, if not, why not. A firm may be deleted from the list where it requests deletion, or where the circumstances indicate that it is unlikely that the firm is interested in remaining on the list.
 3. The Authority will maintain lists of certified MWBEs and SDVOBs, including professional firms that have expressed an interest in doing business with the Authority and ensure that such lists are updated regularly. The Authority will also consult the lists of MWBEs maintained by the New York State Department of Economic Development and the lists of SDVOBs maintained by the OGS Division of Service-Disabled Veterans' Business Development ("**OGS DSDVBD**").
 4. An advertisement must be placed quarterly in the State Register and in the NYSCR.
 5. In the event it is not practicable to maintain a suppliers list for a specific item, such item shall be included in a broader category or other appropriate classification which reasonably includes the item, and a suppliers list shall be maintained with respect to the category or classification.
- B. Capital Program Purchase Contracts and Public Work Contracts: the Authority shall establish an Outreach program, to be developed by the Chief Development Officer or his designee, to identify and attract capable U.S. and international firms to compete for MTA contracts. In addition, the Authority shall place an advertisement in the NYSCR no less than four times per year which sets forth a general list of anticipated capital program Purchase Contracts and Public Work Contracts, and the address of the Authority's office which may be contacted in order to be afforded the opportunity to compete for such

contracts and for other Authority contracts. Advertisements will also be placed in publications that serve MWBEs.

Article VII – MWBE, SDVOB, and Disadvantaged Business Enterprise (“DBE”)

The potential exists for MWBE, SDVOB, and DBE involvement in General Contracts. The Authority shall use its best efforts to maximize the utilization, as applicable, of DBEs under the Authority’s federal program, and MWBEs and SDVOBs under the New York State programs set forth in Public Authorities Law §2879, Article 15-A and Article 17-B of the Executive Law and these General Contract Guidelines.

- A. The MTA Chief Diversity Officer is responsible for ensuring compliance with all applicable laws and regulations and for overseeing the programs established by the MTA to promote and assist: 1) the participation by MWBEs and SDVOBs in procurement opportunities and facilitation of the award of General Contracts to such enterprises; 2) the utilization of MWBEs and SDVOBs as subcontractors and suppliers to Authority prime contractors; and 3) the utilization of partnerships, JVs or other similar arrangements between MWBEs, SDVOBs and prime contractors. The Chief Diversity Officer reports directly to the Chairman in connection with the responsibilities set forth herein, and will participate in the procurement process either directly or through his or her designees.

- B. A Purchase Contract or a Miscellaneous Procurement Contract, not estimated to involve the expenditure in excess of \$1 million, that is not federally funded, may be awarded pursuant to Section 1209.7(b) or Section 1265-a.2(b) of the Public Authorities Law without competitive sealed bidding or other formal competitive process, notwithstanding any other provision of law or these General Contract Guidelines where the proposed award is to a SBC, MWBE or SDVOB. The MTA and its agencies will administer set-aside procurements pursuant to the laws, rules and procedures that govern small purchase contracting.

The Authority CPO or his/her designee shall determine which Purchase Contracts or Miscellaneous Procurement Contracts are appropriate for these procurements. In the case of Purchase Contracts or Miscellaneous Procurement Contracts that are eligible pursuant to Section 2879(3)(b)(i) of the Public Authorities Law for award to SBCs, MWBEs or SDVOBs, the CPO may make a determination that any such Purchase Contract or Miscellaneous Procurement Contract may only be awarded to an MWBE, or only to an SDVOB, or only to an MWBE or an SDVOB. The basis for such a determination must be to promote participation of MWBEs and SDVOBs in Authority contracts, as mandated by Articles 15-A and 17-B of the Executive Law, respectively, and to assist the Authorities in achieving their MWBE and SDVOB goals.

Notice of such procurements shall be placed on the Authority website inviting responsive bids/proposals from qualified SBCs, MWBEs and/or SDVOBs. Awards pursuant to this process shall be made to the bidder/proposer determined to have submitted the bid/proposal that is most advantageous to the Authority after considering price and any other relevant factors. The CPO may reject all offers and withdraw the designation of a contract as one to be awarded pursuant to this process if the CPO determines that an award will result in the payment of an unreasonable price or otherwise not be advantageous to the Authority.

The value for SDVOB set aside contracts may be in excess of \$1 million. In these instances, notice of such procurements must also be placed in the NYSCR.

- C. For contracts awarded pursuant to these General Contract Guidelines, other than those whose award process is described in Article VII.B., the Authority shall establish appropriate goals for participation by MWBEs and SDVOBs and for the utilization by prime contractors of MWBEs and SDVOBs as subcontractors and suppliers. Statewide MWBE numerical participation target goals will be established by the Authority based on the findings of the most recent New York State Disparity Study.

- D. The Authority will conduct non-federally funded procurements in a manner that enables the Authority to achieve the maximum feasible portion of the goals set pursuant to Article VII.C., including by taking the following actions:
 - 1. establishing measures and procedures to ensure that MWBEs and SDVOBs are given the opportunity for maximum feasible participation in the performance of Authority contracts and to assist in the identification of those contracts that are best suited for MWBE and SDVOB participation so as to facilitate the Authority's achievement of the maximum feasible portion of the MWBE and SDVOB goals;
 - 2. designating the New York State Division of Minority and Women-owned Business Development ("**DMWBD**") to certify and decertify MWBEs, and OGS DSDVBD to certify and decertify SDVOBs, for purposes of these General Contract Guidelines;
 - 3. setting forth in each contract solicitation the expected degree of MWBE and SDVOB participation based on potential subcontracting opportunities and the availability of MWBEs and SDVOBs to respond competitively to those opportunities;
 - 4. providing to prospective contractors in writing, or by identifying a link to a website containing a current list of MWBEs and SDVOBs;
 - 5. with regard to JVs, allowing a bidder/proposer to count toward meeting its MWBE and SDVOB participation goals, the MWBE or SDVOB portion of the JV;
 - 6. waiving a contractor's obligation relating to MWBE or SDVOB participation after a showing of good faith efforts to comply with the participation goal; and
 - 7. verifying that MWBEs and SDVOBs listed in a successful bid/proposal are actually participating to the extent listed in the project for which the bid/proposal was submitted.

- E. The Authority will also consider, where practicable:
 - 1. the severability of construction projects and other bundled contracts;
 - 2. with respect to MWBEs, the implementation of a program that will enable the Authority to evaluate each contract to determine the appropriateness of the goal pursuant to the most recent New York State Disparity Study; and

3. compliance with the requirements of any federal law or regulations concerning opportunities for any DBEs, MWBEs and SDVOBs that effectuates the purposes of this Article VII.
- F. The Chief Diversity Officer or his/her designee is responsible for ensuring compliance with all applicable laws and regulations with regard to the utilization of DBEs on federally funded General Contracts.

Article VIII - Change Orders

- A. A change order to a General Contract which (a) exceeds \$1 million, (b) increases the total contract price by more than ten percent of the original value, or (c) increases the contract duration by more than ten percent of the original contract duration, may be entered into by an Authorized Officer, upon the approval of the Board pursuant to a resolution adopted in accordance with Article IX hereof. The submission to the Board shall include an explanation of the need for the change order. In order to avoid splitting change orders to below \$1 million for the purpose of avoiding the Board approval requirements of this Article VIII.A., the CPO of the relevant Authority must approve multiple change orders to the same contract and for the same scope of work, if all such change orders for any rolling 12-month period would equal to or be more than \$1 million.
For example only, if change order #1 is issued in March Year 1 for \$600,000, change order #2 is issued in June Year 1 for \$300,000 and change order #3 is issued in January Year 2 for \$200,000 (all for the same scope of work), then the CPO would be required to approve change order #3 because the total amount would be \$1.1 million for the rolling 12-month period from March Year 1 to March Year 2, and further the CPO would need to approve any other change order issued during any rolling 12-month period which would bring that 12-month period total to be equal to or greater than \$1 million.
- B. All other change orders shall be approved by an Authorized Officer; provided that a change order over \$250,000 must be approved by the Authority President, CPO, or the Authority President's designee. This provision applies to all contracts subject to these General Contract Guidelines.
- C. Notwithstanding the foregoing, an Authorized Officer may enter into a change order without Board approval in any of the following situations as determined by an Authorized Officer:
1. The existence of an emergency, other critical need or unforeseen circumstance;
 2. There is a risk of a substantial increase in cost or delay if prompt action is not taken; or
 3. The change order does not change the total contract price to exceed the contract budgeted cost, including contingency.
- D. Change orders that do not require Board approval pursuant to Paragraph C above, but that (a) increases the total contract price by more than ten percent of the original value, or (b) increases the contract duration by more than ten percent of the original contract duration, shall require prior written notice to the Chairman of the Finance Committee.

- E. The Chairman shall establish policies with respect to the delegation of responsibilities set forth in this Article.

Article IX - Form of Board Resolution

- A. Except as otherwise required in Article III, the procedure for the adoption by the Board and the format of a resolution pursuant to these General Contract Guidelines shall be determined by the Chairman and may be in the form of a staff summary or a formal resolution. Provided, however, that any Board resolution or staff summary sought pursuant to these General Contract Guidelines shall 1) identify the contractor by name; 2) briefly describe the substance of the General Contract; 3) specify all the information required under the applicable provisions of these General Contract Guidelines; and 4) specify the estimated or actual cost to the Authority or that the estimated or actual cost shall be within the budget approved by the Board for that purpose.
- B. To the extent practicable, the recommendation of award and the associated resolution or staff summary shall first be submitted to the standing committee of the Board responsible for the Authority.
- C. The Chairman may modify the procedures in this Article for all Authorities.

Article X - Responsibilities of General Contractors

In each General Contract, a general contractor shall have the following responsibilities:

- A. To perform the contract in accordance with its terms and to remain a responsible contractor;
- B. To provide the public work, goods, supplies, materials, or equipment required under the contract competently, efficiently, in a timely manner, at a fair and reasonable cost and in a manner which is satisfactory to the Authority; and
- C. To cooperate with Authority personnel who are directing, supervising or monitoring the performance of the general contractor or who are assisting in their performance.

Article XI - Contracts Involving Former Officers or Employees of the Authority

The Authority may enter into contracts with any Authority's former officers, former employees or with firms employing such former officers or former employees only to the extent permitted by Public Officers Law §73.

Article XII - Miscellaneous

- A. Except to the extent prohibited by law, the Chairman or Chief Executive Officer, or his/her designee, with prior notice to the Chairman of the Finance Committee, may waive the provisions of these General Contract Guidelines in the event of an emergency involving the health or safety of the public. If a contract is federally assisted, prior to issuing a

waiver, consideration should be given to the steps which may be taken to assure that federal assistance is not jeopardized.

- B. No Board Committee action or Authority policy, other than one approved by the Chairman, shall be inconsistent with these General Contract Guidelines.
- C. An Authority may not divide or split any contract or series of contracts for the purpose of avoiding the requirements of these General Contract Guidelines provided that with regard to SBMP and SBFP contracts awarded under Article III.C. and discretionary contracts awarded under Article VII.B., an Authority may divide requirements for the purpose of unbundling contracts to create SBMP, SBFP or discretionary contracting opportunities.
- D. If prior to the commencement of an Authority fiscal year, an Authorized Officer reasonably anticipates that, during the next fiscal year, the Authority will expend, in the aggregate, in excess of \$1 million for a series of Purchase Contracts for the same or substantially similar good or for a series of Public Work Contracts for same or substantially the same type of public work: 1) such requirement shall be met pursuant to a requirements contract awarded pursuant to the applicable provisions of these General Contract Guidelines; 2) each such Purchase Contract shall be awarded pursuant to the provisions of Article II.C., Article III, Article IV, Article VI, or Article VII.B. of these General Contract Guidelines or 3) each such contract shall be awarded pursuant to the provisions of Article II.C., Article III, or Article VII.B. or a procedure determined by an Authorized Officer to be comparable to Article IV or Article VI.
- E. Nothing in these General Contract Guidelines shall preclude the Authority from accepting bids/proposals utilizing an electronic bidding system that may inform bidders whether their bid is the current low bid, and allow bidders to submit new bids before the date and time assigned for the opening of bids. Such procedure shall not constitute disclosure in violation of Section 2878 of the Public Authorities Law.
- F. A General Contract awarded by an Authority pursuant to these General Contract Guidelines may provide that the General Contract includes the requirements of one or more other Authorities.
- G. Each Authority shall maintain records, for each fiscal year, of the all Purchase Contracts in an actual or estimated amount of \$15,000 or more entered into by the Authority at the request of such Division/Department, and such reports shall be prepared pursuant to the specifications located in Article IX.B. and Article IX.C. of the Services Contract Guidelines.
- H. The Authority shall prepare a publicly available report no less frequently than annually, summarizing procurement activity by the Authority for the period of the report in accordance with the reporting requirements of Section 2879(6) of the Public Authorities Law.
- I. These General Contract Guidelines are intended for the guidance of officers and employees of the Authority only. Nothing contained herein is intended or shall be

construed to confer upon any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision hereof.

- J. To make MTA work more appealing to contractors and thereby increase competition and reduce the costs that the MTA typically pays for its Capital Program projects, the following Cost Containment Initiatives shall be implemented on all Capital Program projects unless the Chief Development Officer grants a waiver based upon a determination that such Cost Containment Initiative is impractical for a specific project:
1. Neutral Dispute Resolution: Disputes shall be resolved by a neutral arbitrator or panel of arbitrators or in a court of competent jurisdiction.
 2. Project CEOs: The Chief Development Officer or his designee shall appoint a Project CEO (“PCEO”) for each project who shall be empowered to make project decisions and shall be accountable for the project’s budget and schedule. PCEO decisions affecting scope, budget and schedule can only be overruled or amended by the MTA Chief Development Officer.
 3. In developing the Request for Proposal or Bid documents for a project, and as part of any negotiation and contractor selection process, the PCEO shall develop a strategy designed to optimize the cost and schedule for the project. Such strategy shall be approved by the Chief Development Officer or his designee and shall establish the following:
 - a. A contracting approach that considers factors other than just price in selecting a contractor (e.g., competitive RFP or A+B bidding), even where design-build contracting is not required. Excluded from this requirement are contracts awarded under the Small Business Mentoring Program and the Small Business Federal Program for which a traditional low bid contracting approach is permissible.
 - b. Optimal turnaround times for submittals and similar documentation, which times shall be incorporated into the contract(s).
 - c. A strategy to allocate risk to the party in the best position to manage such risk. Factors to be considered in this category include, but are not limited to: (i) the availability of MTA provided outages, flaggers and other services; (ii) delays by third parties such as Amtrak, utility companies, and government agencies; and (iii) existing conditions, including both structural conditions and interferences and the existence of hazardous materials. The PCEO, in consultation with the Leaders of the MTA C&D Delivery and Contracts groups and the applicable operating agencies, shall establish for each project the optimal method for incorporation of such risk allocation into the contract(s) (e.g. guaranteed number of outages subject to a contractual percentage of cancelations, allowances, etc.).
 - d. Favorable payment terms, to be established in consultation with the MTA Chief Financial Officer. Unless otherwise indicated, payment terms shall be 15 days.
 - e. Guidelines that promote the use of off-the-shelf products and components and eliminate customization to the extent practicable.
 4. Reduced Bonding Requirements: Unless otherwise prohibited by law or by MTA funding partners for specific projects (e.g., the Federal Transit Administration), the Request for Proposal or Bid documents for a project shall provide that Payment and

Performance bonds on contracts more than \$250 Million may be reduced from 100% of the contract amount provided that the Contracting entity is able to provide adequate alternative security and/or guarantees. The appropriateness of such alternative security and/or guarantees shall be approved by the MTA Chief Development Officer and/or the MTA Chief Financial Officer or his/her designees.

- K. Nothing in these General Contract Guidelines shall preclude the Authority from offering stipends to proposers on Design-Build contracts as part of an RFP process. The request for Board approval to use the RFP process for a specific Design-Build contract may include a request for approval of the use of stipends in connection with such RFP.
- L. Nothing contained in these General Contract Guidelines shall be deemed to alter, affect the validity of, modify the terms of or impair any contract or agreement made or entered into in violation of, or without compliance with, the provisions of these General Contract Guidelines.
- M. Where applicable federal, state or local laws, ordinances, codes, rules or regulations contain requirements which are in conflict with or which impose greater obligations upon the Authority than these General Contract Guidelines, then such requirements shall take precedence over those contained herein.
- N. For those General Contracts for which the Office of the State Comptroller (“OSC”) has requested review and approval pursuant to Public Authorities Law §2879-a, if the Authority has not received OSC approval or disapproval within thirty days of submission to the OSC, the Authority may enter into such General Contract without further waiting for such review and approval.



ALL AGENCY SERVICE CONTRACT PROCUREMENT GUIDELINES

Adopted by the Board on ~~June 26~~ March 17, 2019-2021

These guidelines (the “**Service Contract Guidelines**”) apply to the Metropolitan Transportation Authority (“**MTA**”), the New York City Transit Authority (“**NYCT**”), the Long Island Rail Road Company (“**LIRR**”), The Metro-North Commuter Railroad Company (“**MNR**”), the Staten Island Rapid Transit Operating Authority (“**SIRTOA**”), the Manhattan and Bronx Surface Transit Operating Authority (“**MaBSTOA**”), MTA ~~Capital Construction and Development~~ (“**MTAC&DC**”), MTA Bus Company (“**MTA Bus**”), First Mutual Transportation Assurance Co. (“**FMTAC**”), and the Triborough Bridge and Tunnel Authority (“**Bridges and Tunnels**”) insofar as they are consistent with the provisions of law applicable to Bridges and Tunnels (each of the MTA, NYCT, LIRR, MNR, SIRTOA, MaBSTOA, MTAC&DC, MTA Bus, FMTAC, and Bridges and Tunnels is referred to jointly and severally as the “**Authority**”).

Article I - Applicability of Service Contract Guidelines

It is the policy of the Authority to contract for services that because of factors such as timing, costs, qualifications, availability of Authority staff, or the nature of the services to be rendered, it is more beneficial for such services to be contracted for than performed by employees of the Authority. Contractors shall be selected on a competitive basis, except when competition is not required pursuant to applicable law, is not required pursuant to these Service Contract Guidelines or is waived as impractical or inappropriate due to an emergency, critical need or as a consequence of unforeseen circumstances.

These **Service Contract Guidelines** apply to personal service contracts (“**Personal Service Contracts**”) and miscellaneous service contracts (“**Miscellaneous Service Contracts**”, and collectively with Personal Service Contracts, “**Service Contracts**”).

- A. Personal Services Contracts involve contracts for the provision of personal services (“**Personal Services**”), which generally involve retaining a consultant who specializes in one of the following:
- (1) Accounting and auditing
 - (2) Advertising
 - (3) Analysis
 - (4) Appraisal
 - (5) Architecture and design
 - (6) Bonds and financial management
 - (7) Commissioning of original art
 - (8) Dispute resolution
 - (9) Engineering
 - (10) Finances
 - (11) Human resources
 - (12) Information technology (but not software licenses or software maintenance)
 - (13) Investments
 - (14) Labor relations
 - (15) Legal
 - (16) Legislation
 - (17) Management

- (18) Marketing
- (19) Office services requiring specialized skills
- (20) Other consulting, professional or technical services
- (21) Planning
- (22) Printing where editorial services predominate
- (23) Public affairs and corporate relations
- (24) Real estate
- (25) Records management, including electronic data storage, retrieval and discovery
- (26) Research
- (27) Risk management and related services
- (28) Security, including cybersecurity
- (29) Statistics
- (20) Surveying
- (31) Training

B. A Miscellaneous Service Contract is any contract for services which is not:

- (1) a Personal Service Contract; or
- (2) a General Contract (capitalized terms not defined in these Service Contract Guidelines shall have the meaning ascribed thereto in the All Agency General Contract Procurement Guidelines (the “**General Contract Guidelines**” and collectively with these Service Contract Guidelines, the “**Guidelines**”).

Examples of miscellaneous services (“**Miscellaneous Services**”) include, but are not limited to, human services (such as homeless services), guard service, custodial service and maintenance work performed by laborers, workers or mechanics that does not result in a substantial improvement to a building or other fixed asset.

C. In the event a proposed contract contains elements of more than one type of contract under these Service Contract Guidelines or the General Contract Guidelines, the elements which predominate shall determine the type of contract for purposes of the Guidelines.

Article II - Delegation of Authority

The Chairman, the Managing Director, the President, or chief procurement officer (“**CPO**”) of the relevant Authority thereof, and any further delegations that the Chairman or relevant Authority President may make for those specifically delegated purposes only (each defined for purposes of these Service Contract Guidelines as an “**Authorized Officer**”) are hereby empowered with respect to Service Contracts to be entered into by the relevant Authority acting on its own behalf or as agent for the MTA, as follows:

- A. to implement these Service Contract Guidelines;
- B. to establish procedures for the award of Service Contracts (including contracts for a small business concern (“**SBC**”), a certified minority or women-owned business enterprise (“**MWBE**”) or a certified service disabled veteran owned business (“**SDVOB**”)) estimated to involve the expenditure of \$1 million or less, which procedures shall be competitive to the extent deemed practicable by the Authorized Officer;

- C. to establish procedures for the award of Service Contracts regardless of the estimated expenditure, which procedures shall provide for Board approval of the award if the Services Contract provides for the estimated expenditure in excess of \$1 million, if not awarded pursuant to competitive sealed bidding. A majority of the members of the Board in attendance at a meeting at which a quorum is present shall be required to approve the resolution authorizing such award;
- D. to do the following for the award of Service Contracts estimated to involve the expenditure in excess of \$1 million:
 - (1) to determine the criteria for the evaluation of bids/proposals;
 - (2) to determine whether a Services Contract required to be advertised in the New York State Contract Reporter (“**NYS**CR”) is exempt from such requirement due to the need to award such contract on an emergency or other critical basis;
 - (3) to advertise for, solicit and open bids/proposals;
 - (4) to record the name of each bidder/proposer and the amounts of the bid/proposal;
 - (5) to determine the lowest responsive and responsible bidder, including, in the event two or more responsible bidders submit identical bids which are the lowest bids, to award the Service Contract to any of such bidders or obtain new bids from such bidders;
 - (6) to reject all bids/proposers when it is determined to be in the public interest to do so; and
 - (7) to award the Service Contract; and
- E. to determine whether a bidder/proposer is responsible pursuant to the All-Agency Responsibility Guidelines.

Article III - Selection of Personal and Miscellaneous Service Contractors

A. Requirements for Selection of Personal Service Contractors by RFP

The following are the requirements to be followed for selection of contractors for Personal Services, except for:

- (1) Service Contracts for architectural, engineering, and survey services, which are subject to Article III.B.;
- (2) Service Contracts in the amount of \$1 million or less, which may be entered into pursuant to the provisions of Article II.B.(or pursuant to procedures established by an Authorized Officer which shall be competitive to the extent deemed practicable by the Authorized Officer; and
- (3) Service Contracts for which a competitive selection process is inappropriate pursuant to the provisions of Article III.C.
 - (a) The Division/Department of the Authority requiring the services shall prepare a written statement containing a description of the services, the reasons

why they are required, and the required or estimated schedule or duration of the services.

(b) A request for proposals (“RFP”) to perform the required services shall be sent by mail or electronically to three or more firms to invite competition, including any certified disadvantaged business enterprise (“DBE”), MWBE or SDVOB selected to receive the RFP pursuant to applicable Authority or New York State DBE, MWBE or SDVOB programs, unless there are only two qualified firms or unless competition is waived as hereinafter provided. The RFP or notice thereof shall also be provided by mail or electronically to professional and other organizations, if any, that represent or regularly notify MWBEs and SDVOBs of the type of procurement opportunity that is the subject of the RFP notice.

(c) The RFP shall describe the services to be performed, any completion dates or time requirements, DBE/WBE/MBE/SDVOB requirements, if applicable, and the criteria to be utilized by the Authority in evaluating proposals and shall contain a requirement for technical and cost proposals and the date, time and place when proposals must be received.

(d) The Authority may select one or more proposers with which to negotiate after evaluation of the proposals received. The award shall be made to the proposer or proposers whose proposals will be the most advantageous to the Authority after considering price, qualifications and other relevant factors identified as evaluation criteria in the RFP.

B. Architectural, Engineering and Survey Services

- (1) In the procurement of architectural, engineering and surveying services, the Authority shall determine whether to comply with the RFP procedures set forth in Article III.A. or the “Brooks” method set forth in this Article III.B., provided that, if federal funds will be utilized for such contract, the decision shall take into account applicable federal requirements.
- (2) The Authority shall encourage architectural, engineering and surveying firms to submit an annual statement of qualifications and performance data. For each proposed project identified in accordance with Article III.A(1), the Authority shall evaluate current statements of qualifications and performance data on file with the Authority. If desired and to the extent appropriate if federal assistance is involved, the Authority may conduct discussions with professional firms regarding anticipated design concepts and proposed methods of approach to the proposed project.
- (3) The Authority shall then evaluate whether a modification to the RFP documents is appropriate, and shall then solicit the RFP in compliance with the provisions of subparagraphs (b) and (c) of Article III.A(3).
- (4) Based upon the criteria established by the Authority, the Authority shall select no less than three professional firms deemed to be the most highly qualified to

provide the services required from the proposals submitted, in order of preference.

(5) The Authority shall negotiate a contract with the best qualified professional firm for architectural, engineering or surveying services at compensation which the Authority determines in writing to be fair and reasonable. In making this decision, the Authority shall take into account the estimated value of the services to be rendered, the scope, complexity, and professional nature thereof. Should the Authority be unable to negotiate a satisfactory contract with the professional firm considered to be the most qualified, at a fee it determines to be fair and reasonable, negotiations with that professional firm shall be formally terminated. The Authority shall then undertake negotiations with the second most qualified professional firm. Failing to come to accord with the second most qualified professional firm, the Authority shall formally terminate negotiations. The Authority shall then undertake negotiations with the third most qualified professional firm. Should the Authority be unable to negotiate a satisfactory contract with any of the three selected professional firms it shall select additional professional firms, in order of their qualifications and it shall continue negotiations in accordance with this subparagraph until an agreement is reached.

(6) The provisions of this Article III.B. must apply to engineering, architectural, or surveying services contracts in excess of \$1 million. Contracts for engineering, architectural or surveying services involving lesser amounts may be entered into pursuant to the provisions of Article III.B. or pursuant to procedures established by an Authorized Officer which shall be competitive to the extent deemed practicable by the Authorized Officer, provided that a qualification based selection procedure is used when required by federal guidance.

C. It is hereby determined that a competitive selection process is inappropriate and that a competitive process shall not be required in the following instances:

(1) Single Source. The service to be procured is available only from a single responsible source.

(2) No Bids or One Responsive Bid. Competitive bids are solicited and

(a) no responsive bid is received; or

(b) only a single responsive bid is received, and the Authorized Officer rejects the bid.

(3) Emergency, Critical Need or Unforeseen Circumstances. The existence of an emergency involving danger to life, safety or property, or a critical need or unforeseen circumstance which requires immediate action and cannot await competitive bidding; or when the contract is essential to the efficient operation of or the adequate provision of service and, as a consequence of an unforeseen circumstance, such purchase cannot await competitive bidding. Competitive bidding is hereby declared to be impractical and inappropriate in any of the foregoing situations where an Authorized Officer must take appropriate action

and cannot await action by the Board; provided, however, that notice of such action shall be given to the Board in a timely manner, together with a statement of the reasons for such action and a request for ratification by the Board.

- (4) Legal Services. When the services are legal services.
 - (5) Unique Source. When the provider of the services has unique or otherwise outstanding qualifications.
- D. The Chairman, Managing Director, General Counsel, or President or CPO of the relevant Authority, or such individuals as they may designate, may give verbal authorization to contractors or consultants to commence the performance of contracts entered into pursuant to the provisions of this Article III, where prior written agreement is impracticable, provided, however, that the contract shall be reduced to writing as soon as practicable. Prior to issuing a verbal authorization for a federally assisted contract, consideration should be given to the steps which may be taken to assure that federal assistance is not jeopardized.

Article IV – Notice and Advertising

In those instances where notice in the NYSCR is required under these Service Contract Guidelines:

- A. Regardless of the selection process used, notice of a Service Contract in the actual or estimated amount in excess of \$1 million shall be published at least one time in the NYSCR, except as provided in Article IV.C. Such advertising must be placed at least fifteen (15) business days prior to the planned date on which a bid/proposal is due, provided that if the Services Contract is to be awarded without bids/proposals and advertising is required, the timing of the publication shall be determined by an Authorized Officer.
- B. The notice must contain, as applicable, a statement of: (1) the name of the contracting Authority; (2) the contract identification number; (3) a brief description of the services sought, the location where services are to be provided and the contract term; (4) the address where bids/proposals are to be submitted; (5) the date when bids/proposals are due; (6) a description of any eligibility or qualification requirement or preference; (7) a statement as to whether the contract may be fulfilled by a subcontracting, joint venture (“JV”) or co-production arrangement; (8) any other information which the Authority deems useful to potential bidder/proposer; (9) the name, address and the telephone number of the person to be contacted for additional information; and (10) a statement as to whether the services sought had, in the immediately preceding three year period, been supplied by a foreign business enterprise as that term is defined in Article 4-C of the Economic Development Law.
- C. Notice in the NYSCR is not required under the following circumstances:
 - (1) In the event of an emergency or critical need for the services as determined by an Authorized Officer;
 - (2) The contract is re-solicited for substantially the same services within forty-five business days after the date bids/proposals were originally due;

- (3) The contract is awarded to a not-for-profit provider of human services;
 - (4) The contract is awarded pursuant to the provisions of Article III.C(1) or (2) or Article V.B. of these Service Contract Guidelines.
- D. In addition to the above NYSCR notice, the Authority shall provide notice to professional and other organizations, if any, that regularly notify MWBEs of the type of procurement opportunity that is the subject of the solicitation.

Article V – MWBEs, SDVOBs, and DBEs

The potential exists for MWBE, SDVOB, and DBE involvement in Service Contracts. The Authority shall use its best efforts to maximize the utilization, as applicable, of DBEs under the Authority's federal program, and MWBEs and SDVOBs under the New York State program set forth in Public Authorities Law §2879, Article 15-A and Article 17-B of the Executive Law and these Service Contract Guidelines.

- A. The MTA's Chief Diversity Officer is responsible for ensuring compliance with all applicable laws and regulations and for overseeing the programs established by the MTA to promote and assist: (1) the participation by MWBEs and SDVOBs in procurement opportunities and facilitation of the award of Service Contracts to such enterprises; (2) the utilization of MWBEs and SDVOBs as subcontractors to Authority prime contractors; and (3) the utilization of partnerships, JVs or other similar arrangements between MWBEs, SDVOBs and prime contractors. The Chief Diversity Officer reports directly to the Chairman in connection with the responsibilities set forth herein, and will participate in the procurement process either directly or through his or her designees.
- B. A Service Contract, not estimated to involve the expenditure in excess of \$1 million, that is not federally funded, may be awarded pursuant to Section 1209.7(b) or Section 1265-a.2(b) of the Public Authorities Law without competitive sealed bidding or other formal competitive process, notwithstanding any other provision of law or these Guidelines, where the proposed award is to a SBC, MWBE or SDVOB. The MTA and its agencies will administer set-aside procurements pursuant to the laws, rules and procedures that govern small purchase contracting.

The Authority CPO or his/her designee shall determine which Service Contracts are appropriate for these procurements. In the case of Service Contracts that are eligible pursuant to Section 2879(3)(b)(i) of the Public Authorities Law for award to SBCs, MWBEs or SDVOBs, the CPO may make a determination that any such Service Contract may only be awarded to an MWBE, or only to an SDVOB, or only to an MWBE or an SDVOB. The basis for such a determination must be to promote participation of MWBEs and SDVOBs in Authority contracts, as mandated by Article 15-A and Article 17-B of the Executive Law, respectively, and to assist the Authorities in achieving their MWBE and SDVOB goals.

Notice of such procurements shall be placed on the Authority website inviting responsive bids/proposals from qualified SBCs, MWBEs and/or SDVOBs. Awards pursuant to this process shall be made to the bidder/proposer determined to have submitted the bid/proposal that is most advantageous to the Authority after considering price and any other relevant factors. The CPO may reject all offers and withdraw the designation of a contract as one to be awarded pursuant to this process if the CPO determines that an

award will result in the payment of an unreasonable price or otherwise not be advantageous to the Authority.

The value for SDVOB set aside contracts may be in excess of \$1 million. In these instances, notice of such procurements must also be placed in the NYSCR.

- C. For contracts awarded pursuant to these Service Contract Guidelines, other than those whose award process is described in Article V.B., the Authority shall establish appropriate goals for participation by MWBEs and SDVOBs and for the utilization by prime contractors of MWBEs as subcontractors and suppliers. Statewide MWBE numerical participation target goals will be established by the Authority based on the findings of the most recent New York State Disparity Study.
- D. The Authority will conduct non-federally funded procurements in a manner that enables the Authority to achieve the maximum feasible portion of the goals set pursuant to Article V.C., including by taking the following actions:
 - (1) establishing measures and procedures to ensure that MWBEs and SDVOBs are given the opportunity for maximum feasible participation in the performance of Authority contracts and to assist in the identification of those contracts that are best suited for MWBE and SDVOB participation so as to facilitate the Authority's achievement of the maximum feasible portion of the MWBE and SDVOB goals;
 - (2) designating the New York State Division of Minority and Women-owned Business Development ("**DMWBD**") to certify and decertify MWBEs, and the Office of General Services ("**OGS**") Division of Service-Disabled Veterans' Business Development to certify and decertify SDVOBs, for purposes of these Service Contract Guidelines;
 - (3) setting forth in each contract solicitation the expected degree of MWBE and SDVOB participation based on potential subcontracting opportunities and the availability of MWBEs and SDVOBs to respond competitively to those opportunities;
 - (4) providing to prospective contractors in writing or by identifying a link to a website containing a current list of MWBEs and SDVOBs;
 - (5) with regard to JVs, allowing a bidder/proposer to count toward meeting its MWBE and SDVOB participation goal, the MWBE or SDVOB portion of the JV;
 - (6) waiving a contractor's obligation relating to MWBE or SDVOB participation after a showing of good faith efforts to comply with the participation goal; and
 - (7) verifying that MWBEs and SDVOBs listed in a successful bid/proposal are actually participating to the extent listed in the project for which the bid/proposal was submitted.
- E. The Authority will also consider, where practicable:

- (1) the severability of service requirements and other bundled service contracts;
 - (2) with respect to MWBEs, the implementation of a program that will enable the Authority to evaluate each contract to determine the appropriateness of the goal pursuant to the most recent New York State Disparity Study; and
 - (3) compliance with the requirements of any federal law or regulations concerning opportunities for any DBEs, MWBEs and SDVOBs that effectuates the purposes of this Article V.
- F. The Chief Diversity Officer or his/her designee is responsible for ensuring compliance with all applicable laws and regulations with regard to the utilization of DBEs on federally funded Service Contracts.

Article VI - Types of Provisions to be Contained in Service Contracts

- A. The following types of provisions shall be contained in all Personal Services Contracts, to the extent applicable due to the specifications of such Personal Service Contract:
- (1) Description of services
 - (2) Compensation
 - (3) Time for performance or date of completion
 - (4) Liability of contractor or consultant; indemnification of Authority
 - (5) Reports of contractor or consultant
 - (6) Ownership of plans, drawings or other deliverables
 - (7) Assignments; subcontracts
 - (8) Maintenance of records, accounts
 - (9) Right of Authority to inspect and/or audit books and records
 - (10) Insurance requirements
 - (11) Termination
 - (12) Monitoring of the performance of services
 - (13) Use of Authority supplies, facilities or property
 - (14) Use of Authority personnel
 - (15) All provisions required to be included in Authority contracts by federal, state or local laws, ordinances, codes, rules or regulations
 - (16) Such modifications and additions as are appropriate in light of the specific circumstances presented
- B. To the extent practicable, a verbal authorization to commence work and a letter of intent/notice to proceed shall be required, which at a minimum shall:
- (1) Describe the services to be performed;
 - (2) Specify the amount of compensation to be paid pursuant to the verbal authorization and letter of intent/notice to proceed or the rates or fees which will be utilized to determine such compensation; and
 - (3) Specify a date for completion or the anticipated duration of the services (except in instances where the nature of the services makes an estimate of the time required impossible or impracticable or where the contract is a retainer for the

performance of services over an extended period of time on an “as-needed” basis and contains provisions allowing termination by the Authority at any time without cause).

For the avoidance of doubt, such letter of intent/notice to proceed shall NOT constitute the final Service Contract.

- C. Miscellaneous Service Contracts shall contain those provisions of Article VI.A. and other standard forms of contract deemed appropriate by an Authorized Officer.

Article VII - Responsibilities of Services Contractors

In each Service Contract, a service contractor shall have the following responsibilities:

- A. To perform the contract in accordance with its terms and to remain a responsible contractor;
- B. To perform the services required under the contract competently, efficiently, in a timely manner, at a fair and reasonable cost and in a manner which is satisfactory to the Authority; and
- C. To cooperate with Authority personnel who are directing, supervising or monitoring the performance of the services or who are assisting in their performance.

Article VIII - Contracts Involving Former Officers or Employees of the Authority

The Authority may enter into contracts with any Authority’s former officers, former employees or with firms employing such former officers or former employees only to the extent permitted by Public Officers Law §73.

Article IX - Reporting of Service Contracts

- A. Each Authority shall maintain records, for each fiscal year, of the following contracts entered into by the Authority at the request of such Division/Department: (1) Personal Service Contracts in the actual or estimated amount of \$15,000 or more; and (2) Miscellaneous Service Contracts in the actual or estimated amount of \$15,000 or more.
- B. The Authorized Officer shall designate a Division or Department which shall be responsible for preparing a report at the end of each fiscal year with respect to the foregoing contracts. With respect to each such contract, the report shall contain the following information:
 - (1) Name of contractor;
 - (2) Short description of the services involved;
 - (3) Amounts paid pursuant to the contract as of the end of such fiscal year;
 - (4) The selection process used;
 - (5) Status of the contract;
 - (6) If it was exempt from advertising in the NYSCR pursuant to Article IV.C. of these Service Contract Guidelines, state that and include a basis for such exemption;

- (7) Whether the contract was entered into with a New York State business enterprise or a foreign business enterprise, as those terms are defined in Public Authorities Law §2879;
 - (8) Whether the contract was entered into with an MWBE or SDVOB; and
 - (9) Referrals to and penalties imposed by the Director of DMWBD pursuant to Executive Law §316.
- C. Each Authority shall submit a copy of such report to the Board of the Authority upon its completion.

Article X – Board Approval

The following Service Contracts shall require Board approval by resolution, approved by a majority of the members present at a meeting at which a quorum is in attendance and shall be reviewed by the Board on an annual basis:

- A. Personal Service Contracts: all Personal Service Contracts entered into by an Authority in the actual or estimated amount in excess of \$1 million , except if awarded to the lowest responsible bidder pursuant to competitive sealed bids; and
- B. Miscellaneous Service Contracts: all Miscellaneous Service Contracts entered into by an Authority in the actual or estimated amount in excess of \$1 million, unless awarded pursuant to competitive sealed bids.

Article XI - Change Orders

An Authority may enter into a change order or amendment to a Service Contract provided that approval of the Board of the Authority by a resolution approved by a majority of the members present at a meeting at which a quorum is in attendance shall be required in the following circumstances:

- A. The Service Contract did not initially equal or exceed the applicable monetary threshold for Board approval set forth in Article XI or Article II of these Service Contract Guidelines and the applicable threshold is equaled or exceeded as a result of the change order or amendment. This provision applies to all Service Contracts subject to these Service Contract Guidelines.
- B. The Service Contract was approved by the Board and the change order or amendment, including any change orders or amendments since Board approval was last obtained, results in a substantial change in the contract as determined by an Authorized Officer. Notwithstanding the foregoing, Board approval of change orders shall only be required if the change order is over \$1 million. In order to avoid splitting change orders or amendments to below \$1 million for the purpose of avoiding the Board approval requirements of this Article XI.B., the CPO of the relevant Authority must approve multiple change orders or amendments to the same contract and for the same scope of work, if all such change orders or amendments for any rolling 12-month period would equal to or be more than \$1 million.

For example only, if change order #1 is issued in March Year 1 for \$600,000, change order #2 is issued in June Year 1 for \$300,000 and change order #3 is issued in January Year 2 for \$200,000 (all for the same scope of work), then the CPO would be required to approve change order #3 because the total amount would be \$1.1 million for the rolling 12-month period from March Year 1 to March Year 2, and further the CPO would need to approve any other change order issued during any rolling 12-month period which would bring that 12-month period total to be equal to or greater than \$1 million.

- C. Notwithstanding the foregoing, an Authorized Officer may enter into a change order or amendment without Board approval in any of the following situations as determined by an Authorized Officer,
 - (1) The existence of an emergency, other critical need or unforeseen circumstance;
 - (2) The risk of a substantial increase in cost or delay if prompt action is not taken; or
 - (3) The change order does not change the total contract price to exceed the contract budgeted cost, including contingency.
- D. The Chairman shall establish policies with respect to the delegation of responsibilities set forth in this Article.

Article XII – Miscellaneous

- A. Any provision of these Service Contract Guidelines may be waived by the Chairman, an Authority President or the Board, or such individuals as they may designate, except to the extent prohibited by law. A waiver may also be in the form of a ratification. If a contract is federally assisted, prior to issuing a waiver, consideration should be given to the steps which may be taken to assure that federal assistance is not jeopardized.
- B. No Board Committee action or Authority policy, other than one approved by the Chairman, shall be inconsistent with these Service Contract Guidelines.
- C. An Authority may not divide or split any contract or series of contracts for the purpose of avoiding the requirements of these Service Contract Guidelines, provided that with regard to discretionary contracts awarded under Article V.B., an Authority may divide requirements for the purpose of unbundling contracts to create discretionary contracting opportunities.
- D. Nothing in these Service Contract Guidelines shall preclude the Authority from accepting bids/proposals utilizing an electronic bidding system that may inform bidders whether their bid is the current low bid, and allow bidders to submit new bids before the date and time assigned for the opening of bids. Such procedure shall not constitute disclosure in violation of Section 2878 of the Public Authorities Law.
- E. A Service Contract awarded by an Authority pursuant to the provisions of these Service Contract Guidelines may provide that the Service Contract includes the requirements of one or more other Authorities.

- F. The Authority shall prepare a publicly available report no less frequently than annually, summarizing procurement activity by the Authority for the period of the report, in accordance with the reporting requirements of Section 2879(6) of the Public Authorities Law.
- G. These Service Contract Guidelines are intended for the guidance of officers and employees of the Authority only. Nothing contained herein is intended or shall be construed to confer upon any person, firm or corporation any right, remedy, claim or benefit under or by reason, of any requirement or provision thereof.
- H. An Authority may contract for a service available through an existing contract between a contractor and another public authority (not New York State) if: (1) the existing contract was awarded pursuant to a process of competitive sealed bids or a competitive RFP; (2) the Authority's Authorized Officer determines that the price and other commercial terms specified in the contract are satisfactory; and (3) if Board authorization would otherwise be required under these Service Contract Guidelines, the Board adopts a resolution by a majority vote of the members of the Board present at a meeting at which a quorum is in attendance, which sets forth the reasons why obtaining such service is in the public interest and authorizes the Authority to enter into the Service Contract.
- I. An Authority may contract for a service available through an existing contract between a contractor and a New York State agency or authority (including OGS), the City of New York or Nassau County (except for NYCT, SIRTOA and MaBSTOA, as to Nassau County only) if: (1) the Authority's Authorized Officer determines that the price and other commercial terms specified in the contract are satisfactory; and (2) if Board authorization would otherwise be required under these Service Contract Guidelines, the Board adopts a resolution by a majority vote of the members of the Board present at a meeting at which a quorum is in attendance , which sets forth the reasons why obtaining such service is in the public interest and authorizes the Authority to enter into the Service Contract.
- J. If an Authority enters into a Service Contract pursuant to these Service Contract Guidelines, and such Service Contract allows all other Authorities to utilize the same Service Contract, then no further action is required. For the avoidance of doubt, the provisions of Article II.C. and Article XI shall apply to change orders to all such Service Contracts.
- K. Nothing contained in these Service Contract Guidelines shall be deemed to alter, affect the validity of, modify the terms of or impair any contract or agreement made or entered into in violation of, or without compliance with, the provisions of these Service Contract Guidelines.
- L. Where applicable federal, state or local laws, ordinances, codes, rules or regulations contain requirements which are in conflict with or which impose greater obligations upon the Authority than these Service Contract Guidelines, then such requirements shall take precedence over those contained herein.
- M. For those Service Contracts for which the Office of the State Comptroller ("OSC") has requested review and approval pursuant to Public Authorities Law §2879-a, if the

Authority has not received OSC approval or disapproval within thirty days of submission to the OSC, the Authority may enter into such Service Contract without further waiting for such review and approval.



Metropolitan Transportation Authority

**Metropolitan Transportation Authority
Mission Statement, Measurements, and Performance
Indicators Report Covering Fiscal Year 2020**

**In Compliance with New York State Public Authorities Law §1269-f and §2824-a
Submitted as Part of the MTA 2020 Annual Report to the Governor**

Note

On March 7, 2020, Gov. Andrew Cuomo declared a state of emergency as a result of the Covid-19 pandemic, which continues at the time of this report. All MTA operations, finances, and performance indicators have been severely impacted by this unprecedented global crisis. One month after the emergency declaration the MTA reported the following declines in ridership and traffic: NYTC Subway down 93 percent; Metro-North down 95 percent; LIRR down 97 percent; and Bridges and Tunnels down 62 percent. All rail and transit agencies continue to run at less than half of normal volume, though traffic at Bridges and Tunnels has recovered to about 85 percent of pre-Covid-19 levels. Despite plummeting revenues and the tragic loss of over 150 employees to the pandemic, the MTA has continued to provide critical transportation services, moving essential workers to wherever they are needed and keeping the regional economy operating. The authority has also undertaken extraordinary measures to safeguard employees and customers, including the first scheduled overnight suspension of subway service in MTA history to enable full subway car disinfections. Note that some data in this report are preliminary and may be subject to reconciliation as data are finalized over the course of the year. For that reason, some 2019 data in this report may differ from those reported earlier in 2019. All agency performance metrics are updated regularly on the [Performance Dashboards](#) under the “Transparency” section at www.mta.info. Details on MTA Capital Program projects, managed by MTA Construction & Development, can be found on the website under “Transparency” at the [Capital Program Dashboard](#).

MTA Mission Statement

The Metropolitan Transportation Authority (MTA) preserves and enhances the quality of life and economic health of the region it serves through the cost-efficient provision of safe, on-time, reliable, and clean transportation services.

Stakeholder Assessment

The main stakeholders of the MTA are its customers; the businesses, residents, and taxpayers of our service area and the State; the MTA’s employees and unions; and its government partners. A set of goals for each group has been defined, along with performance indicators to measure the attainment of these goals.

Customers			
Our customers are those who ride our trains and buses or cross our bridges and tunnels. They include the residents of our region, as well as visitors. Our customers expect service that is safe, on-time, reliable, and that provides good value for their money.			
MTA Goals	Performance Indicators		
Ensure our customers’ safety	<ul style="list-style-type: none"> ✓ Customer injury rates ✓ Bus collision rates 		
Provide on-time and reliable services	<table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 50%;"> <ul style="list-style-type: none"> ✓ Subway major incidents ✓ Subway service delivered ✓ Customer journey time performance ✓ Additional platform time ✓ Additional train time ✓ On-time performance (subway and commuter railroads) ✓ Subway terminal delays ✓ Subway wait assessment </td> <td style="vertical-align: top; width: 50%;"> <ul style="list-style-type: none"> ✓ Bus trips completed ✓ Bus Customer journey time performance ✓ Bus additional bus stop time ✓ Bus additional travel time* ✓ Bus service delivered * ✓ Bus average speeds * ✓ Bus wait assessment ✓ Mean distance between failures (subway, railroads, buses) </td> </tr> </table>	<ul style="list-style-type: none"> ✓ Subway major incidents ✓ Subway service delivered ✓ Customer journey time performance ✓ Additional platform time ✓ Additional train time ✓ On-time performance (subway and commuter railroads) ✓ Subway terminal delays ✓ Subway wait assessment 	<ul style="list-style-type: none"> ✓ Bus trips completed ✓ Bus Customer journey time performance ✓ Bus additional bus stop time ✓ Bus additional travel time* ✓ Bus service delivered * ✓ Bus average speeds * ✓ Bus wait assessment ✓ Mean distance between failures (subway, railroads, buses)
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Provide services to people with disabilities	<table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 50%;"> <ul style="list-style-type: none"> ✓ Elevator availability ✓ Escalator availability ✓ Bus passenger wheelchair lift usage (does not include paratransit) ✓ Paratransit ridership ✓ Access-A-Ride on-time performance </td> <td style="vertical-align: top; width: 50%;"> <ul style="list-style-type: none"> ✓ Access-A-Ride on-time performance* ✓ Access-A-Ride provider no-shows * ✓ Access-A-Ride ride time * ✓ Access-A-Ride call center, calls answered* ✓ Passenger complaints * </td> </tr> </table>	<ul style="list-style-type: none"> ✓ Elevator availability ✓ Escalator availability ✓ Bus passenger wheelchair lift usage (does not include paratransit) ✓ Paratransit ridership ✓ Access-A-Ride on-time performance 	<ul style="list-style-type: none"> ✓ Access-A-Ride on-time performance* ✓ Access-A-Ride provider no-shows * ✓ Access-A-Ride ride time * ✓ Access-A-Ride call center, calls answered* ✓ Passenger complaints *
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Repair, replace, and expand transportation infrastructure	<ul style="list-style-type: none"> ✓ Capital Program commitments ✓ Capital Program completions 		

Note: * New NYCT Bus service indicators and Paratransit service indicators were first published in 2019. All indicators are updated regularly on Performance Metrics under Transparency at www.mta.info.

Businesses, Residents, and Taxpayers

The businesses, residents, and taxpayers in our service area want the MTA to spend its resources efficiently and appropriately, while enhancing the mobility of the region.

MTA Goals	Performance Indicators
Perform services in an efficient manner	<ul style="list-style-type: none"> ✓ Farebox operating ratio ✓ Operating cost per customer ✓ Total support to mass transit
Maximize system usage	<ul style="list-style-type: none"> ✓ Ridership ✓ Traffic volume
Repair, replace, and expand transportation infrastructure	<ul style="list-style-type: none"> ✓ Capital Program commitments ✓ Capital Program completions

Employees and Unions

Our employees and unions expect a safe workplace, skills training relevant to their roles, and opportunities for growth.

MTA Goals	Performance Indicators
Ensure our employees' safety	<ul style="list-style-type: none"> ✓ Employee lost time and restricted-duty rate
Maintain a workforce that reflects the regional availability of all races, nationalities, and genders for our industry	<ul style="list-style-type: none"> ✓ Female representation in MTA workforce ✓ Minority representation in MTA workforce

Government Partners (Federal, State, and Local Governments)

Our government partners expect us to enhance regional mobility by providing excellent service, while spending our resources in a cost-effective and appropriate manner.

MTA Goals	Performance Indicators
Provide on-time and reliable services	<ul style="list-style-type: none"> ✓ Subway major incidents ✓ Subway service delivered ✓ Customer journey time performance* ✓ Additional platform time ✓ Additional train time * ✓ On-time performance (subway and commuter railroads) ✓ Subway terminal delays ✓ Subway wait assessment <ul style="list-style-type: none"> ✓ Bus trips completed ✓ Bus Customer journey time performance * ✓ Bus additional bus stop time ✓ Bus additional travel time* ✓ Bus service delivered * ✓ Bus average speeds * ✓ Bus wait assessment ✓ Mean distance between failures (subway, railroads, buses)
Maximize system usage	<ul style="list-style-type: none"> ✓ Ridership ✓ Traffic volume
Perform services in an efficient manner	<ul style="list-style-type: none"> ✓ Farebox operating ratio ✓ Operating cost per customer
Repair, replace, and expand transportation infrastructure	<ul style="list-style-type: none"> ✓ Capital Program commitments ✓ Capital Program completions

MTA New York City Transit (“NYCT”)

Performance Indicators	2019 Actual	2020 Actual
NYCT Subway Service Indicators		
Weekday Major Incidents – Subways (monthly average)	45.3	24.3*
Customer Journey Time Perf. (% within 5 min of scheduled)	84.7%	85.8%
Additional Platform Time (average beyond scheduled)	0:01:10	0:01:07
Additional Train Time (average beyond scheduled)	0:00:43	0:00:20
Weekday Service Delivered – Subways	96.6%	96.4%
Weekday Terminal On-Time Performance – Subways	80.3%	88.6%
Weekday Terminal Delays – Subways (monthly average)	34,301	17,150
Mean Distance Between Failures – Subways (miles)	127,743	146,297
Weekday Wait Assessment – Subways	74.9%	75.6%
Elevator Availability – Subways	96.3%	96.8%
Escalator Availability – Subways	89.4%	92.4%
Total Ridership – Subways	1,697,787,002	639,536,812
Weekday On-Time Performance – Staten Island Railway	95.6%	97.6%
Mean Distance Between Failures – Staten Island Railway (miles)	77,187	53,946
NYCT Bus Service Indicators		
Percent of Completed Trips – NYCT Bus	99.3%	98.1%
Customer Journey Time Perf – NYCT & MTA Bus (% within 5 min of scheduled)	72.3%	77.4%
Additional Bus Stop Time – NYCT & MTA Bus (average beyond scheduled)	0:01:44	0:01:33
Additional Travel Time – NYCT & MTA Bus (average beyond scheduled)	0:00:46	0:00:00
Bus Customer Wheelchair Lift Usage – NYCT Bus	1,412,076	969,490
Service Delivered – NYCT & MTA Bus (% scheduled buses, peak hrs.)	97.3%	96.1%
Bus Speeds – NYCT & MTA Bus (average route speed, end-to-end)	8.0 mph	8.4 mph
Total Ridership – NYCT Bus	556,950,541	208,840,769
Mean Distance Between Failures – NYCT & MTA Bus (miles)	7,967	8,390
Wait Assessment – NYCT & MTA Bus	77.8%	80.0%

Notes: Performance indicators were impacted by the 2020 Covid-19 pandemic. Some 2019 results have been updated. Major incidents exclude March and April 2020 due to use of projections. Subway 2020 ridership is prior to post-close adjustment. All indicators are updated regularly on Performance Metrics under Transparency at www.mta.info.

Performance Indicators	2019 Actual	2020 Actual
NYCT Paratransit Service Indicators		
Total Paratransit Ridership – NYCT Bus	10,893,983	7,108,104
AAR On-Time-Performance * Pick up within (30 min) / (15 min)	30 min: 96% 15 min: 86%	30 min: 98% 15 min: 91%
AAR Appointment OTP Trips (30 min early to 1 min late)	40%	** 39%
AAR Actual Ride Time at or Better than Planed Ride Time	80%	86%
AAR Customer Experience – Frequent Rider Experience *	72%	** 74%
AAR Call Center (% of calls answered)	96%	97%
AAR Passenger Complaints (per 1000 completed trips)	5.0	2.8
AAR Registrants	161,526	161,776
NYCT Safety Indicators		
Customer Injury Rate – Subways (per million customers)	2.94	4.32
Customer Accident Injury Rate – NYCT Bus (per million customers)	1.64	1.89
Collisions with Injury Rate – NYCT Bus (per million vehicle miles)	6.39	4.93
Employee Lost Time and Restricted-Duty Rate – NYCT Subways (per 100 employees)	3.73	4.78
Employee Lost Time and Restricted-Duty Rate – NYCT Bus (per 100 employees)	6.01	6.78
NYCT Workforce Indicators		
Female Representatives in NYCT Workforce	18.6%	18.6 %
Minority Representatives in NYCT Workforce	78.8%	79.3%
NYCT Financial Indicators		
Farebox Operating Ratio	52.8%	23.6%
Operating Cost per Passenger	\$4.51	\$11.63
NYCT Capital Program Indicators		
Commitments in \$ Millions (% of annual goal)	\$2,302 (62%)	\$2.879 (34%)
Completions in \$ Millions (% of annual goal)	\$4,140 (99%)	\$2.504 (87%)

Notes: *These new AAR indicators were first published in 2019. ** Data for Jan. to Feb. 2020 only. AAR ridership includes customers, personal care attendants, and guests. Financial indicators include NYCT Subways, Buses, and Paratransit and are preliminary. Actual 2020 service indicators will be updated in April 2021. The Operating Cost per Passenger excludes debt service. The Capital Program Commitments/Completions have been adjusted to include “rollovers” from previous years achieved in 2020. Projects details are available on the Capital Programs Dashboard under Transparency at www.mta.info.

MTA GOAL: Ensure Customer Safety

PERFORMANCE INDICATORS: Customer Injury Rate

The “customer injury rate” for NYCT Subways was 4.32 per million customers in 2020, a 46.9 percent jump from the more typical rates of 2.94 per million in 2019 rate and 2.99 per million in 2018. This unusual increase is attributable to the impact of low ridership due to the Covid-19 crisis on the relative number of injuries. NYCT Subways continues its efforts to improve customer safety through safety messaging, train announcements, incident reports, and the training and deployment of station staff. In 2020, such efforts were bolstered by an unprecedented systemwide car and station disinfection program, provision of masks and sanitizer to customers, Covid-19 safety messaging, and other initiatives to combat the pandemic and maximize customer safety.

For NYCT Bus, the “customer accident injury rate” increased by 15.2 percent in 2020, from 1.64 per million customers to 1.89 per million. This was primarily due to a 62.5 percent drop in ridership, due to the Covid-19 pandemic. The actual number of customer injuries fell from 915 in 2019 to 591 in 2020. Between March and August, MTA Bus implemented free rear-door boarding to ensure safer social distancing between customers and bus operators. Since ridership data are linked to fareboxes, data during this period were based on estimates. The majority of customer injuries were due to trips or slips while alighting or stumbles due to bus motion. The agency uses accident trends to improve safety programs, training, and messaging.

NYCT Bus saw a decrease in its 2020 “collision injury rate” of 22.8 percent over the previous year to 4.93 injuries per million vehicle miles. Throughout the year, NYCT Bus continued to incorporate relevant accident findings into its safety and training initiatives. These initiatives focus on basic operating procedures in bus stop areas.

NYCT Bus continued its Vision Zero IV program, an eight-hour training session that emphasizes challenges in dealing with pedestrians and cyclists. In 2020, the program was combined with “de-escalation” training, which also addresses assaults on bus operators related to Covid-19 rules. All bus operators will be cycled through this new curriculum over a two-year period. To monitor bus operators, the agency uses indicators such as speed-camera violations, red-light

violations, cellphone infractions, and customer complaints. In a joint agreement with all labor unions, NYCT Bus continues its “zero-tolerance” policy on use of cellphones and electronic devices while operating a bus. The agency also worked with its labor representatives to see that operators who receive speed-camera violations are disciplined and must pay the fine.

MTA GOAL: Provide On-Time and Reliable Services

PERFORMANCE INDICATORS: On-Time Performance, Mean Distance Between Failures, Major Incidents, Service Delivered, Terminal Delays, Wait Assessment, Bus Trips Completed, Bus Average Speeds, Bus Additional Travel Time

Prior to the Covid-19 pandemic, NYCT Subways had seen significant year over year improvements in key performance metrics, due largely to the success of the Subway Action Plan and the “Save Safe Seconds” campaign. The pandemic brought drastic ridership decreases, which in turn impacted 2020 performance metrics. Weekday Terminal Delays fell by 50 percent from 34,301 per month in 2019 to 17,150 in 2020. On-Time Performance (OTP) rose 8.3 percent to 88.6 percent. Weekday Service Delivered declined slightly to 96.4 percent.

Other indicators show Weekday Major Incidents dropping from 45.3 per month on average to 24.3 per month. Subway MDBF improved by 14.5 percent from 127,743 miles in 2019 to 146,297 miles in 2020. Weekday Wait Assessment (WWA) ticked up slightly from 74.9 percent to 75.6 percent in 2020. Subway Additional Train Time also improved from 0:00:43 to 0:00:20. At the Staten Island Railway (SIR), Weekday OTP rose by 2.0 percent to 97.6 percent.

As indicated in the table on pages 4 and 5, NYCT Bus and MTA Bus report combined data in some instances. For complete MTA Bus performance data, see page 28 of this report. The combined agencies posted a MDBF of 8,390 miles in 2020, a 5.3 percent improvement over the previous year. NYCT continues to replace its remaining over-age bus fleet under the 2015-2019 MTA Capital Program. The percentage of NYCT Bus “Trips Completed” decreased slightly to 98.1 percent in 2020. “Additional Bus Stop Time” improved by 11 seconds over the previous year.

For both bus agencies, “Additional Travel Time” fell to zero in 2020; “Customer Journey Time” improved by 5.1 percent to 77.4 percent; and “Wait Assessment” increased by 2.2 percent to 80.0 percent. “Service Delivered” for both agencies decreased slightly by 1.2 percent in 2020 to 96.1 percent, while average bus speeds increased by 5.0 percent to 8.4 mph.

MTA GOAL: Provide Services to People with Disabilities

PERFORMANCE INDICATORS: Elevator Availability, Escalator Availability, Bus Passenger Wheelchair Lift Usage, Paratransit Ridership, AAR Service Indicators

By April 2020, Paratransit weekday trips had fallen to 64.6 percent of pre-pandemic levels, before returning to about 70 percent of typical levels by the year’s end. Even as Covid-19 infection rates increased towards the end of the year, service increased, and performance remained stable. The agency undertook a number of measures to ensure driver and customer safety. Paratransit stopped all shared rides and suspended appointment trip requests which would have led to excessively early drop offs. As a result, 2020 on-time performance (OTP) exceeded the goals for both the 30-minute and 15-minute windows. The metrics for reporting appointment performance were suspended during the year.

Overall, AAR ridership (which includes customers, personal care attendants, and guests) decreased by 34.8 percent to 7,108,104 in 2020, while the number of registrants remained relatively unchanged at 161,776. Broker-related complaints decreased from 4.6 to 2.1 per 1,000 completed trips, pick-up OTP improved from 96 percent to 98 percent within the 30-minute window, and from 86 percent to 91 percent within the 15-minute window. The “Customer Experience” metric cannot be calculated in a comparative way, due to the suspension of appointment time bookings. Complaints decreased from 5.0 to 2.8 per 1,000 completed trips, due largely to the above reduction in trips requested and the implementation of Covid-19 safety measures.

In addition to the suspension of shared rides, precautionary measures included mask requirements, daily disinfection of all dedicated vehicles, and daily temperature checks for all dedicated drivers. Broker services follow similar disinfection requirements. In response to the pandemic, the agency curtailed feeder service, reduced the prescribed time gap between drop-offs and the return trips and provided special transportation for customers who were Covid-19 positive or symptomatic. The agency extended Phase 1 of the On-Demand E-Hail Pilot Program.

At the start of the pandemic, all six Access-A-Ride assessment centers were closed, and all in-person eligibility assessments were suspended. Three assessment centers reopened between November and December with new safety protocols, including a capacity limit of 25 percent of pre-pandemic levels. A fourth center is reopening early 2021 in an effort to safely resume normal eligibility assessments.

In other metrics, “Wheelchair Lift Usage” at NYCT Buses decreased by 31.3 percent to a total of 0.97 million customers. The agency’s low-floor buses provide easier boarding and more reliable service for customers with wheelchairs. At NYCT Subways, “Elevator Availability” was up slightly to 96.8 percent, while “Escalator Availability” rose 3.0 percent to 92.4 percent.

MTA GOAL: Repair, Replace, and Expand Transportation Infrastructure

PERFORMANCE INDICATORS: Capital Program Commitments and Completions

All MTA Capital Program projects are now managed by MTA Construction & Development (MTA C&D). The agency committed \$2.9 billion of its Capital Program funds for NYC Transit in 2020, or 34 percent of the annual goal. Major commitments included: Communications Based Train Control (CBTC) on the 8th Ave. Line in Manhattan and Brooklyn; purchase of standard and hybrid-electric standard buses with the latest safety and customer service technologies; mainline track and switch replacement at multiple locations; station improvements, including ADA projects and elevator and escalator replacements at various locations.

Commitments in 2020 also included multiple projects advancing the repairs to NYC Transit facilities and infrastructure damaged by Superstorm Sandy, along with resiliency projects to prevent potential damage from future storms. The most notable Sandy repair and resiliency project committed in 2020 was for the Rutgers Tube, which carries the F Line under the East River between Manhattan and Brooklyn.

MTA C&D achieved NYC Transit capital program completions in 2020 worth \$2.5 billion, representing 87 percent of the annual goal. Major completions included: the Canarsie Tube restoration and Canarsie Line Core Capacity program, restoring critical assets damaged by Superstorm Sandy, along with new flood resiliency measures; track and switch replacements at various locations; station improvements and ADA projects at various locations, including ADA accessibility at Chambers Street on the Nassau Line in Manhattan and at the Eastern Parkway-Brooklyn Museum and 86th Street stations in Brooklyn. Numerous elevator and escalator replacement projects were completed at various subway locations, along with improvements to bus depots at Manhattanville and Queens Village. Details of all projects can be found at the Capital Program Dashboard at www.mta.info.

MTA GOAL: Perform Services in an Efficient Manner

PERFORMANCE INDICATORS: Farebox Operating Ratio, Operating Cost per Passenger

The NYCT financial indicators combine NYCT Subways, NYCT Buses, and Paratransit. Despite plummeting ridership, NYCT has continued to operate throughout the pandemic, carrying essential workers to wherever they are needed. The subsequent loss of revenue has had a major impact on 2020 financial indicators. The preliminary farebox operating ratio in 2020 was 23.6 percent, less than half that of the previous year. Preliminary operating cost per passenger, or cost per ride, is calculated in the second quarter of each year, prior to the July Financial Plan. At the time of this report, the preliminary 2020 cost per passenger was \$11.63, more than double that of the previous year. The operating cost per passenger excludes debt service.

MTA GOAL: Maximize System Usage

PERFORMANCE INDICATORS: Ridership

As a result of the pandemic, total NYCT Subway ridership fell by an unprecedented 62.3 percent in 2020, from 1.697 billion rides in 2019 to just 639.5 million for the year. Prior to the pandemic, subway ridership had begun to reverse a three-year downward trend, pushing back towards the record levels of 2015. Total NYCT Bus ridership decreased by 62.5 percent in 2020 to around 209 million riders, compared to 557 million riders the previous year.

MTA GOAL: Ensure Our Employees' Safety

PERFORMANCE INDICATORS: Employee Lost Time and Restricted-Duty Rate

The NYCT Subways employee “lost-time and restricted-duty” accident rates increased in 2020 by 28.1 percent, from 3.73 per 100 employees in 2019 to 4.78 in 2020. In carrying out their essential public duties throughout the pandemic, the MTA workforce suffered over 150 lives lost to Covid-19, the majority among NYCT’s frontline workers. The MTA’s extensive efforts to safeguard employees include distribution of PPE; safety messaging and training; a Covid-19 hotline; temperature screenings; social distancing protocols; schedule adjustments; free testing and vaccination programs; and more. NYCT Subways continues its regular safety program for employees, including safety communications, safety audits, training, and accident investigations, along with the FASTRACK program to provide a safer working environment for maintenance and repair crews.

The “lost-time and restricted-duty” rate at NYCT Bus increased to 6.78 per 100 employees from 6.01 the previous year. Both NYCT and MTA Bus undertook extensive efforts to safeguard employees during the pandemic, including safety protocols; distribution of PPE; disinfection of buses and facilities; testing and vaccination programs; and more.

Both bus operations continued initiatives aimed at protecting bus operators from assault, including installation of bus operator shields across the entire fleet; installation of onboard security cameras; training in de-escalation tactics; and review of customer complaints to identify employees for further counseling or training. Both NYCT Bus and MTA Bus maintain robust safe-driving campaigns and continue to analyze employee injury data to identify trends and reduce lost-time accidents.

MTA GOAL: Maintain a Workforce that Reflects Regional Availability of All Races, Nationalities, and Genders

PERFORMANCE INDICATORS: Female and Minority Representation in the Workforce

Female representation agency-wide remained essentially unchanged in 2020 at 18.6 percent, continuing to fall below the estimated percentage of women available for work within NYCT's recruiting area. A contributing factor is the low percentage of women who apply for what are generally considered non-traditional jobs. NYCT will continue to increase its outreach and recruitment efforts to improve female representation within its workforce. Minority representation grew slightly from 78.8 percent in 2019 to 79.3 percent in 2020.

MTA Long Island Rail Road (“LIRR”)

Performance Indicators	2019 Actual	2020 Actual
Service Indicators		
On-Time Performance	92.4%	95.9%
Elevator Availability	98.8%	98.8%
Escalator Availability	96.7%	95.7%
Total Ridership	91,105,137	30,310,190
Mean Distance Between Failures (miles)	185,829	241,175
Safety Indicators		
FRA-Reportable Customer Injury Rate (per million customers)	2.34	5.2
FRA-Reportable Employee Lost Time Rate (per 200,000 worker hours)	3.3	3.5
Workforce Indicators		
Female Representatives in LIRR Workforce	14.8%	14.6%
Minority Representatives in LIRR Workforce	37.1%	37.0%
Financial Indicators		
Farebox Operating Ratio	50.2%	17.7%
Operating Cost per Passenger	\$16.80	\$51.16
Capital Program Indicators		
Commitments in \$ Millions (% of annual goal)	\$255.8 (128%)	\$1,066.6 (74%)
Completions in \$ Millions (% of annual goal)	\$214.8 (100%)	\$816.7 (100%)

Notes: Performance indicators were impacted by the 2020 Covid-19 pandemic. The 2020 Farebox Operating Ratios are preliminary and will be updated by the MTA Division of Management & Budget in April 2021. Capital Program Commitments/Completions include any “slipped” projects from prior years that were achieved in 2020.

MTA GOAL: Ensure Customer Safety

PERFORMANCE INDICATORS: Customer Injury Rate

In 2020, LIRR's customer injury rate increased by 122 percent compared to the previous year. This increase is largely attributable to the dramatic decrease in ridership caused by the Covid-19 crisis. The lower ridership counts increase the ratio of reportable customer injuries. Penn Station remains the location with the greatest number of customer injuries. This is due to the volume of customers traveling through the busiest terminal in the LIRR system, as well as the infrastructure and operating constraints at this location. LIRR's "Let's Travel Safely Together" information campaign, produced in partnership with Amtrak, New Jersey Transit, and NYC Transit, remained an integral part of LIRR's safety program in 2020.

MTA GOAL: Provide On-Time and Reliable Services

PERFORMANCE INDICATORS: On-Time Performance, Mean Distance Between Failures

LIRR's On-Time Performance (OTP) for 2020 was 95.9 percent, an increase of 3.5 percent from the previous year. Performance improvements were seen among all categories of delay. This can be attributed both to progress on infrastructure improvements and to lower ridership with fewer events caused by uncontrollable human factors. The agency's 2020 Mean Distance Between Failures (MDBF) increased by 29.8 percent to 241,175 miles from 185,829 in 2019. The MDBF for both diesel and electric fleets improved significantly. The improvements were due to the removal of low performing M3 railcars from passenger service and addition of newer M9 railcars, as well as to reduced wear and tear on equipment, a decrease in minor delays, and an increase in operational flexibility—all related to the 2020 service reductions caused by the pandemic. The railroad continues to optimize fleet performance through its Reliability Centered Maintenance (RCM) program, Enterprise Asset Management (EAM) implementation, acquisition of the new M9 fleet, and other performance initiatives.

MTA GOAL: Provide Services to People with Disabilities

PERFORMANCE INDICATORS: Elevator Availability, Escalator Availability

Elevator availability was maintained at 98.8 percent in 2020. Escalator availability decreased slightly to 95.7 percent, down 1.0 percent from 2019. The decrease in the elevator availability was due to major escalator outages at Penn Station, where an escalator/elevator renewal capital project was underway during 2020.

MTA GOAL: Repair, Replace, and Expand Transportation Infrastructure

PERFORMANCE INDICATORS: Capital Program Commitments and Completions

The LIRR's 2020 capital commitments totaled more than \$1,066.6 million, or 74 percent of the year's goal. The railroad's 2020 capital completions totaled more than \$816.7 million, or 100 percent of the year's goal. Major completions during the year included: the Meadowbrook substation replacement; the Long Island City Yard restoration; construction completion of the Mid-Suffolk Electric Yard; the Penn Station-33rd Street Corridor, Phase 1; the 2020 Annual Track Program; and completion of the Positive Train Control (PTC) system by the federally mandated deadline.

MTA GOAL: Perform Services in an Efficient Manner

PERFORMANCE INDICATORS: Farebox Operating Ratio, Operating Cost per Passenger

The LIRR's farebox operating ratio declined from 50.2 percent in 2019 to 17.7 percent in 2020, as estimated in the "MTA 2021 Final Proposed Budget, November Financial Plan." This was a direct result of the Covid-19 pandemic, which resulted in significantly lower ridership and farebox revenue. The LIRR's operating cost per passenger increased from \$16.80 in 2019 to \$51.16 in 2020. This increase stemmed largely from significantly lower ridership as a result of Covid-19 and higher operating expenses, with labor costs being the primary driver.

MTA GOAL: Maximize System Usage

PERFORMANCE INDICATORS: Ridership

The LIRR finished the year with significantly reduced ridership, reflecting the drastic impacts of the Covid-19 pandemic. Total 2020 ridership was 30.3 million customers, decreasing by 66.7 percent from a record ridership of 91.1 million the previous year. Non-commutation ridership decreased by 60.6 percent in 2020 to 16.0 million riders, outperforming the railroad's 2020 commutation ridership, which declined by an unprecedented 71.8 percent, with 14.3 million riders for the year.

MTA GOAL: Ensure Our Employees' Safety

PERFORMANCE INDICATORS: Employee Lost Time Case Rate

The rate of FRA-reportable employee lost-time injuries increased 6.0 percent in 2020 compared to the previous year. The highest number of employee accidents continues to be in the "slips, trips, and falls" category. Most injuries are soft tissue injuries. To maximize employee safety, LIRR continues its efforts to raise awareness among employees and encourage collaborative problem solving. These efforts have involved many labor-management initiatives, including a Confidential Close Call Reporting System (C3RS); monthly department safety meetings; and "on-track" safety labor-management partnership meetings. LIRR also conducts quarterly Safety FOCUS Day meetings, during which employees take time to discuss specific safety issues provided by the LIRR Corporate Safety and other departments. The aim is to engage employees in the field and improve safety performance based on their feedback. As part of the Safety Management Systems approach, LIRR also conducted a risk-based assessment to identify and mitigate safety hazards at all LIRR yards. In 2020, LIRR's operating departments began implementing safety improvements based on the assessment's findings.

MTA GOAL: Maintain a Workforce that Reflects Regional Availability of All Races, Nationalities, and Genders

PERFORMANCE INDICATORS: Female and Minority Representation in the Workforce

The percentage of women in LIRR's workforce decreased slightly in 2020 to 14.6 percent from 14.8 percent the previous year. This falls below the estimated percentage of women available for work in LIRR's recruitment area. Many of the positions available in 2020 are considered nontraditional jobs for women and, as a result, attract a low percentage of female applicants. The percentage of minority representation decreased slightly from 37.1 percent in 2019 to 37.0 percent in 2020. This is above the estimated percentage of minorities available in LIRR's recruitment area. LIRR continues to focus on efforts to improve the representation of women and minorities in its workforce.

MTA Metro-North Railroad (“Metro-North”)

Performance Indicators	2019 Actual	2020 Actual
Service Indicators		
On-Time Performance (East of Hudson)	94.4%	97.9
On-Time Performance (West of Hudson)	89.8%	94.4
Elevator Availability	99.2%	99.4%
Escalator Availability	91.5%	99.9%
Total Rail Ridership (East & West of Hudson)	86,594,370	27,169,873
Total Ridership on Connecting Services by MNR Contractors	598,416	146,878
Mean Distance Between Failures (miles)	238,464	278,951
Safety Indicators		
FRA-Reportable Customer Injury Rate (per million customers)	0.99	1.10
FRA-Reportable Employee Lost Time Rate (per 200,000 worker hours)	1.99	2.22
Workforce Indicators		
Female Representatives in MNR Workforce	13%	13%
Minority Representatives in MNR Workforce	39%	39%
Financial Indicators		
Farebox Operating Ratio	52.1%	19.4%
Operating Cost per Passenger	\$16.76	\$46.08
Capital Program Indicators		
Commitments in \$ Millions (% of annual goal)	\$424.6 (49.6%)	\$180.5 (36%)
Completions in \$ Millions (% of annual goal)	\$476.7 (111%)	\$52 (17%)

Notes: The 2020 Farebox Operating Ratios are based on preliminary estimates and will be updated by the MTA Division of Management & Budget in April 2021. Due to the pandemic and restricted resources, many Capital Program completions were stalled. Seventy percent of the commitment number in 2020 pertains to the 270 Park Ave. GCT Train shed work, for which capital has not been released, but is expected in the first half of 2021.

MTA GOAL: Ensure Customer Safety

PERFORMANCE INDICATORS: Customer Injury Rate

The FRA-reportable customer injury rate at Metro-North increased in 2020 by 11.1 percent to 1.10 injuries per million customers. To support customer safety, Metro-North expanded its TRACKS (Together Railroads and Communities Keeping Safe) public program on grade-crossing and rail safety, which has now reached more than 394,000 people.

The agency also trained 1,238 first responders in 2020 for rail emergencies through classes and simulations. Other safety efforts included public outreach, events, and messaging through a range of media; employee training in mental health issues and suicide prevention; and a partnership with Waze to alert drivers of Metro-North grade crossings through the Waze GPS navigation app.

Throughout the pandemic, Metro-North has carried out extensive customer protection efforts, including messaging, mask distribution, disinfection of trains and stations, social distancing protocols, and more.

MTA GOAL: Provide On-Time and Reliable Services

PERFORMANCE INDICATORS: On-Time Performance, Mean Distance Between Failures

Metro-North's systemwide OTP for 2020 was above goal at 97.9 percent, a new record high. The railroad modified its operating schedule in April to accommodate reduced ridership due to the Covid-19 pandemic. The Hudson Line performed at 98.2 percent OTP, the Harlem Line at 98.7 percent, and the New Haven Line at 97.8 percent, also a new record high.

The railroad's MDBF improved to 278,951 miles in 2020, also a new record high. Car availability was excellent, resulting in a 99.9 percent "consist compliance rate," which is the percentage of cars required for daily service and customer seating. West-of-Hudson OTP for 2020 was above the goal at 94.4 percent, due mainly to completion of the new cab signal equipment on the Port Jervis Line.

MTA GOAL: Provide Services to People with Disabilities

PERFORMANCE INDICATORS: Elevator Availability, Escalator Availability

Elevator availability in 2020 was 99.2 percent, down slightly from 2019. Escalator availability was 99.9 percent, up by 8.4 percent. The agency's escalator replacement project continued in 2020, with two escalators returned to service in February 2020 and two escalators taken out of service in the same month, to return to service in 2021.

MTA GOAL: Repair, Replace, and Expand Transportation Infrastructure

PERFORMANCE INDICATORS: Capital Program Commitments and Completions

All MTA Capital Program projects are now managed by MTA Construction & Development (MTA C&D). Metro-North's Capital Program commitments and completions for 2020 were severely impacted by the Covid-19 pandemic. Due to the resulting financial hardships, the MTA placed a moratorium on most of the agency's Capital Program projects. The Metro-North group within MTA C&D continued its previously ongoing capital projects in 2020, while also preparing projects for initiation as funds become available.

Major commitments in 2020 included: superstructure/fender rehabilitation and fire suppression systems at the Harlem River Lift Bridge; emergency shoring at Hudson Line, Harlem Line, and New Haven Line stations; a unified trash facility for Grand Central Terminal and East Side Access; shelter replacement at Nanuet Station; and demolition of the existing parking structure at the Croton Falls Station to accommodate a future parking facility.

Completions in 2020 included significant advances in the railroad's "Way Ahead Plan, including rapid deploy video surveillance enhancements; the Ossining Station roof replacement; the Larchmont Station stair replacement; and the Harlem River Lift Bridge security improvements.

Metro-North, cont.

In addition to these capital projects, Metro-North completed its Positive Train Control (PTC) implementation in 2020, as required by the Rail Safety Improvement Act (PTC Act) of 2008. As of Dec. 30, 2020, the Hudson, Harlem, and New Haven lines were operating in revenue service with fully implemented PTC. The Pascack Valley and Port Jervis Lines on West of Hudson were also commissioned for PTC, and all trains now operating with full PTC. The agency also made a number of infrastructure improvements in 2020, among them significant power and substation projects on the Harlem, Hudson, and New Haven lines and “over the air security” (HMAC) on wayside and fleets.

MTA GOAL: Perform Services in an Efficient Manner

PERFORMANCE INDICATORS: Farebox Operating Ratio, Operating Cost per Passenger

Metro-North’s preliminary 2020 farebox operating ratio was 19.4 percent, representing a 32.7 percentage point decrease over the previous year. Farebox revenues decreased by 67.8 percent and total expenses decreased by 13.7 percent. The lower revenue was due to loss of ridership during to the pandemic. Year-over-year reductions in expenses were the result of lower non-labor expenses and reduced overtime. The 2020 operating cost per passenger was \$46.08, up \$29.32 over the previous year. This anomalous increase reflects the 68.6-percent reduction in ridership due to Covid-19 lockdowns and restrictions starting in March of 2020.

MTA GOAL: Maximize System Usage

PERFORMANCE INDICATORS: Ridership

Due to the Covid-19 pandemic, Metro-North’s systemwide ridership plummeted by 68.6 percent in 2020 to 27.2 million, down from 86.6 million the previous year. Ridership on the railroads’ connecting services—Haverstraw-Ossining Ferry, the Newburgh-Beacon Ferry, and the Hudson Rail Link—fell even further, by 75.5 percent to a combined low of 146,878, partially due to the suspension of ferry service from June through the end of the year. West-of-Hudson ridership was about 0.59 million, 63.2 percent below the previous year.

MTA GOAL: Ensure Our Employees' Safety

PERFORMANCE INDICATORS: Employee Lost Time and Restricted-Duty Rate

The FRA-reportable employee lost-time case rate increased by 11 percent in 2020, from 1.99 per 200,000 worker hours to 2.22. Throughout the pandemic, Metro-North has prioritized employee safety through distribution of masks and other PPE; safety messaging and training; disinfection of railcars and facilities; Covid-19 testing and vaccine programs; temperature checks; and other safety protocols. In addition, the railroad continued its Confidential Close Call Reporting System (C3RS), which has logged more than 5,800 calls since 2015. The agency also continues to monitor locomotive engineers and conductors for obstructive sleep apnea. Additionally, the railroad enhanced its enterprise software system for tracking, analyzing, and reporting safety data, as well as a safety management system that supplements safety metrics with a focus on the “human element.” Ongoing safety programs include regular safety meetings, a safety focus week, safety cleanup days, updating safety rules, and an employee awards programs for safety excellence.

MTA GOAL: Maintain a Workforce that Reflects Regional Availability of All Races, Nationalities, and Genders

PERFORMANCE INDICATORS: Female and Minority Representation in the Workforce

The percentage of minority employees in Metro-North’s workforce remained constant in 2020 at 39.0 percent. The percentage of female representation also remained constant at 13.0 percent. The railroad maintains a program aimed at achieving workforce representation based on the availability of women and minorities within the relevant labor markets serviced by the MTA. Through targeted outreach recruitment and developmental programs, Metro-North will continue to focus on improving minority and female representation in our workforce.

MTA Bridges and Tunnels (“Bridges and Tunnels”)

Performance Indicators	2019 Actual	2020 Actual
Service Indicators		
Paid Traffic	329,396,593	253,184,133
Safety Indicators		
Collisions with Injury Rate (per million vehicles)	0.87	0.70
Employee Lost Time Injury Rate (per 200,000 work hours)	6.0	5.7
Workforce Indicators		
Female Representation in B&T Workforce	21%	21%
Minority Representation in B&T Workforce	56%	57%
Financial Indicators		
E-ZPass Market Share	95.1%	* 94.9%
Total Support to Transit (\$ millions)	\$1,139.0	\$828.6
Capital Program Indicators		
Commitments in \$ Millions (% of annual goal)	\$802.8 (91.5%)	\$183.2 (70.8%)
Completions in \$ Millions (% of annual goal)	\$243.7 (123.3%)	\$660.3 (162.6%)

Notes: Performance indicators were impacted by the 2020 Covid-19 pandemic. MTA B&T performance data are subject to final audit. Traffic numbers are preliminary, with actuals available later. * Year-end unaudited. Capital Commitments in 2019 include a \$76.9 million project originally forecast for 2018 award. Capital completions in 2019 include a \$57.4 million project originally forecast for 2018 completion.

MTA GOAL: Ensure Customer Safety**PERFORMANCE INDICATOR: Customer Collision Injury Rate**

Bridges and Tunnels experienced a decrease in traffic at all crossings due to the impact of the Covid-19 pandemic. The agency reported 180 customer collisions with injuries in 2020, a decrease from 316 in 2019. The rate of collisions with injuries per million vehicles was 0.70 in 2020, a 19.5 percent improvement over the 2019 rate. The agency's fundamental strategy for improving customer safety is to maintain facilities in a state of good repair. Beyond this, the agency's customer safety strategy focuses on the three E's: Engineering, Enforcement, and Education. Engineering identifies collision-prone locations and improves their physical characteristics. Enforcement targets and addresses unsafe driving behaviors. Education aims to correct unsafe driving behaviors through outreach and educational efforts. In addition, Bridges and Tunnels notifies customers of real-time traffic conditions, which helps them make informed decisions and reduces the risk of secondary collisions.

MTA GOAL: Repair, Replace, and Expand Transportation Infrastructure**PERFORMANCE INDICATORS: Capital Program Commitments and Completions**

All MTA Capital Program projects are now managed by MTA Construction & Development (MTA C&D). In 2020, Bridges and Tunnels made 131 task commitments to Capital Program projects, against a goal of 127 task commitments. The total value of the awards was \$183.2 million, representing 70.8 percent of the 2020 goal. Of these, 26 commitments totaling \$76.1 million are related to the "Moving NY Forward" initiative, and 17 commitments totaling \$45.2 million are related to the "Central Business District Tolling Program." Commitments were \$75.6 million below the 2020 plan, due to an MTA procurement pause resulting from the Covid-19 pandemic. By comparison, the agency made commitments in 2019 worth \$802.8 million, or 91.5 percent of the year's goal. Major project commitments in 2020 included:

- Eastbound toll collection at the Verrazzano-Narrows Bridge.
- Bridge deck rehabilitation and overlay at the Robert F. Kennedy (RFK) Bridge.
- Demolition of the Bronx Plaza West widening structure at the RFK Bridge.

The value of Capital Program projects completed in 2020 totaled \$660.3 million, which represents 162.6 percent of the 2020 goal. By comparison, a total of \$243.7 million in projects were completed in 2019, representing 123.3 percent of the goal for that year. Major projects completed in 2020 included:

- New Harlem River Drive ramp, bridge deck rehabilitation, and overlay at the RFK Bridge, completed one month ahead of schedule.
- Cable inspection and rehabilitation at the RFK Bridge, completed three months ahead of schedule.
- Installation of the design-build electronic monitoring and detection systems at the Bronx-Whitestone and RFK bridges.
- Reconstruction of the toll plaza decks and southbound approaches, as well as the replacement of the facility lighting system at the Henry Hudson Bridge, completed one month ahead of schedule. The bridge's skewbacks were also retrofitted.
- Rehabilitation of the tunnel control and communication systems at the Queens Midtown Tunnel, completed seven months ahead of schedule.
- Installation of the design-build smoke detection/alarm systems in the Hugh L. Carey and Queens Midtown tunnels.
- Elevator rehabilitation, tower pier rehabilitation, and mooring platform at the Verrazzano-Narrows Bridge, completed three months ahead of schedule. In addition, the bridge's eastbound toll collection was completed one month ahead of schedule.

MTA GOAL: Perform Services in an Efficient Manner

PERFORMANCE INDICATORS: Total Support to Transit

Bridges and Tunnels provided \$828.6 million in total support for MTA transit services in 2020. This was a 27.2 percent decrease from the \$1,139.0 million provided in 2019, primarily due to the Covid-19 pandemic's impact on traffic at Bridges and Tunnels crossings.

MTA GOAL: Maximize System Usage

PERFORMANCE INDICATORS: Traffic Volume

Bridges and Tunnels recorded approximately 253.2 million paid crossings in 2020, about 76 million fewer crossings than in 2019, a decrease of 23.1 percent. E-ZPass usage at year-end 2020 was 94.9 percent, compared to 95.1 percent in 2019, effectively flat year over year. The decrease in crossings was due largely to the impact of the Covid-19 pandemic.

MTA GOAL: Ensure Our Employees' Safety

PERFORMANCE INDICATORS: Employee Lost Time and Restricted-Duty Rate

Bridges and Tunnels experienced 63 lost-time injuries in 2020, compared to 79 in 2019. As a result, the "employee lost-time" injury rate decreased to 5.7 per 200,000 work hours in 2020, down from 6.0 in 2019. Ongoing safety efforts contributed to this positive trend, including:

- Continuous evaluations and disinfections of facilities, ventilation, workspaces, and vehicles to safeguard against Covid-19.
- Collaborated across all agency departments to mitigate Covid-19 risks through employee communications, mask usage, social distancing, random testing, and vaccination.
- Conducted safety training for all field employees, including traffic management safety training for all Bridge and Tunnel Officers (BTOs) and supervisors.
- Identified ergonomic issues that are major contributors to lost-time injuries and mitigated them using a range of initiatives, including an employee wellness program.
- Undertook a number of administrative initiatives, including joint labor/management safety task forces; more effective case management of "Injuries on Duty;" a focus on incident audits to address the root causes of safety issues; and the revision of agency "Policies and Procedures."

MTA GOAL: Maintain a Workforce that Reflects Regional Availability of All Races, Nationalities, and Genders

PERFORMANCE INDICATORS: Female and Minority and Other Representation in the Workforce

Minority employees represented 57.0 percent of the Bridges and Tunnels workforce in 2020, an increase of 1.0 percent from the previous year. Women represented 21.0 percent of agency employees in 2020, unchanged from the previous year. Bridges and Tunnels maintains a program aimed at achieving workforce representation, based on the availability of women and minorities within the labor markets serviced by the MTA. The program includes targeted outreach/recruitment efforts to attract qualified women and minority candidates; a monitoring program to identify and address the under-representation of women and minorities; and retention and mobility programs providing the skills and opportunities women and minority employees require for successful career paths within the agency.

MTA Bus Company (“MTA Bus”)

Performance Indicators	2019 Actual	2020 Actual
Service Indicators		
Percent of Completed Trips	98.9%	97.4%
Bus Passenger Wheelchair Lift Usage	97,207	64,134
Total Ridership	120,426,633	45,916,750
Mean Distance Between Failures (miles)	7,117	7,892
Safety Indicators		
Customer Accident Injury Rate (per million customers)	1.21	1.43
Collisions with Injury Rate (per million vehicle miles)	5.56	3.45
Employee Lost Time Rate (per 100 employees)	6.05	7.35
Workforce Indicators		
Female Representation in MTA Bus Workforce	13%	13%
Minority Representation in MTA Bus Workforce	80%	81%
Financial Indicators		
Farebox Operating Ratio	28.4%	13.1%
Operating Cost per Passenger	\$6.86	\$16.3
Capital Program Indicators*		
Commitments in \$ Millions (% of annual goal)	\$176.2 (76.6%)	\$25.4 (62.0%)
Completions in \$ Millions (% of annual goal)	\$86.1 (595.5%)	\$2.6 (59.2%)

Notes: The 2020 Farebox Operating Ratios are based on preliminary estimates and will be updated in April 2021. For the purposes of this report, NYCT Bus and MTA Bus are treated separately, though certain operations and performance indicators are combined, as noted in the NYCT data on page 4 of this report. The Performance Dashboard at www.mta.info combines data for both bus operations. *Capital Program Commitments/Completions include “slipped” projects from prior year goals achieved in 2020. MTA Bus 2020 April and May percent of completed trips are not included due to the effect of Covid-19.

MTA GOAL: Ensure Customer Safety

PERFORMANCE INDICATOR: Customer Injury Rate

MTA Bus saw an increase of 18.2 percent in its customer accident injury rate for 2020, as compared to 2019. This was primarily due to a 61.8 percent drop in ridership, caused by the Covid-19 pandemic. The actual number of customer injuries fell from 915 in 2019 to 591 in 2020. Between March and August, MTA Bus implemented free rear-door boarding to ensure safer social distancing between customers and bus operators. Since ridership data are linked to fareboxes, data during this period were based on estimates. The majority of customer injuries were due to trips or slips while alighting or stumbles due to bus motion. The agency uses accident trends to improve safety programs, training, and messaging.

The collisions with injury rate decreased from 5.56 per million vehicle miles in 2019 to 3.45 per million vehicle miles in 2020, down 37.9 percent from the previous year. This decrease was largely due to the drop in citywide traffic volume caused by Covid-19. MTA Bus continued to incorporate relevant accident findings into its safety and training initiatives. These initiatives focus on basic operating procedures in bus stop areas, including scanning mirrors, observing all sides of the bus, pulling in and out of bus stops properly, and positioning the bus correctly in the bus stop.

In 2020, MTA Bus continued its Vision Zero IV program in collaboration with NYCT Bus, an eight-hour training session which emphasizes challenges in dealing with pedestrians and cyclists. In 2020, the program was combined with “de-escalation” training, which now addresses assaults on bus operators related to Covid-19 rules. All bus operators will be cycled through this new curriculum over a two-year period. To monitor bus operators, the agency uses indicators such as speed-camera violations, red-light violations, cellphone infractions, and customer complaints. In a joint agreement with all labor unions, NYCT Bus continues its “zero-tolerance” policy on use of cellphones and electronic devices while operating a bus. The agency also worked with its labor representatives to see that operators who receive speed-camera violations are disciplined and must pay the fine.

MTA GOAL: Provide On-Time and Reliable Services

PERFORMANCE INDICATORS: Mean Distance Between Failures, Bus Trips Completed

MTA Bus had a Mean Distance Between Failures (MDBF) of 7,892 miles in 2020, an increase of 10.9 percent from the previous year. MTA Bus started delivery of new articulated diesel buses in fourth quarter of 2019 and continues to replace its remaining over-age bus fleet under the 2015-2019 Capital Program. The percentage of bus trips completed decreased slightly to 97.4 percent in 2020.

In 2019, the MTA began public outreach and planning for its comprehensive Bus Plan, which entails a complete reimagining of New York’s entire public bus system, including both MTA Bus and NYCT Bus. As part of that plan, the MTA launched a new Bus Performance Dashboard at www.mta.info, which combines performance data for the two bus agencies. The dashboard also provides new performance metrics to better reflect the customer experience. For combined NYCT and MTA Bus metrics, see pages 4 and 5 of this report.

MTA GOAL: Provide Services to People with Disabilities

PERFORMANCE INDICATORS: Bus Customer Wheelchair Lift Usage

The “Bus Passenger Wheelchair Lift Usage” for MTA Bus in 2020 was 64,134 customers, a decrease of 34.0 percent from 97,207 customers the previous year. The drop in wheelchair lift usage was mainly caused by the Covid-19 pandemic.

MTA GOAL: Repair, Replace, and Expand Transportation Infrastructure

PERFORMANCE INDICATORS: Capital Program Commitments and Completions

MTA Bus committed \$25.4 million in capital project funds in 2020, representing about 62.0 percent of the goal. Notable 2020 commitments included the CNG upgrade and rehabilitation projects at the College Point Depot, and the storeroom expansion at the LaGuardia Depot. Notable completions for the year included the new bus wash at the College Point Depot.

MTA GOAL: Perform Services in an Efficient Manner

PERFORMANCE INDICATORS: Farebox Operating Ratio, Operating Cost per Customer

The farebox operating ratio (which includes farebox revenue, student fares, and senior citizen fares) was 13.1 percent in 2020, down from 28.4 percent in 2019. This anomalous decline was due to the COVID-19 pandemic and the associated economic slowdown, along with a suspension of local bus fare collection through the end of August to ensure safer social distancing between customers and bus operators. The same factors contributed to an unprecedented 253-percent jump in operating cost per customer, from \$6.86 in 2019 to \$24.25 in 2020.

MTA GOAL: Maximize System Usage

PERFORMANCE INDICATORS: Ridership

Due to the effect of Covid-19, total MTA Bus ridership decreased 61.9 percent in 2020 to 45.9 million riders, as compared to 120.4 million riders in 2019. Throughout the pandemic, both MTA Bus and NYCT Bus have continued to provide the transit services critical to essential workers and the welfare of the entire region.

MTA GOAL: Ensure Our Employees' Safety

PERFORMANCE INDICATORS: Employee Lost Time and Restricted-Duty Rate

MTA Bus saw 21.5 percent increase in the employee lost-time accident rate in 2020 due to Covid-19, as well as a rise in assaults on bus employees. Both NYCT and MTA Bus undertook extensive efforts to safeguard employees during the pandemic, including safety protocols and messaging; distribution of PPE; disinfection of buses and facilities; testing and vaccination programs; and more. Both bus operations continued initiatives aimed at protecting bus operators from assault, including installation of bus operator shields; ongoing installation of onboard security cameras; enhanced training in de-escalation tactics to prevent assaults; and review of customer complaints to identify employees for further counseling or training. Both NYCT Bus and MTA Bus maintain robust safe-driving campaigns and continue to analyze employee injury data to identify trends and reduce lost-time accidents.

MTA GOAL: Maintain a Workforce that Reflects Regional Availability of All Races, Nationalities, and Genders

PERFORMANCE INDICATORS: Female and Minority Representation in the Workforce

Female representation agency-wide continues to be below the estimated percentage of women available to work within MTA Bus's recruiting area. The percentage of women in the agency's workforce remained unchanged at 13.0 percent during this reporting period. MTA Bus will continue to increase its outreach and recruitment efforts to improve female representation within its workforce. The percentage of minority representation increased slightly in 2020 from 80.0 percent to 81.0 percent, exceeding the estimated percentage of minorities available to work within MTA Bus's recruiting area.

MTA Construction & Development (“MTA C&D”) - Expansion Projects

Performance Indicators	2019 Actual	2020 Actual
Workforce Indicators		
Female Representatives in MTA C&D Workforce	38%	36%
Minority Representatives in MTA C&D Workforce	55%	54%
Capital Program Indicators*		
Commitments in \$ Millions (% of planned value)	\$646 (102%)	\$709 (28%)
Completions in \$ Millions (% of planned value)	\$29.6 (100%)	\$76 (11%)

Notes: MTA performance data are subject to periodic adjustment. Capital Program Commitments/Completions include projects that “slipped” from prior year goals but were achieved in 2020. For details on Capital Program projects visit the [Capital Programs](#) section under “Transparency” at www.mta.info.

MTA GOAL: Repair, Replace, and Expand Transportation Infrastructure

PERFORMANCE INDICATORS: Capital Program Commitments and Completions

MTA Construction & Development (MTA C&D) now manages all Capital Program projects for the MTA agencies, as reported in each agency’s section of this report. In addition, MTA C&D manages the major Expansion Projects reported in this section. In 2020, impacts from the Covid-19 pandemic and related financial uncertainties delayed many of the projects scheduled for the year. The Expansion Projects had a total commitment goal of \$2,537.4 million for 2020 and committed approximately \$708.8 million, or 28 percent of goal. Significant 2020 commitments included:

- Award of \$90.4 million for catenary system construction to support East Side Access (ESA) and Regional Investments (Harold Interlocking) work.

MTA C&D, cont.

- Exercising a \$284.0 million option for the design-build construction completion of the LIRR Expansion project.

MTA C&D achieved 10.7 percent of its 2020 Expansion Project completion goal of \$708.3 million, with the completion of two 7 Line Extension contracts. These included the project's consultant construction management contract and the contract for noise attenuation at Site P. For details on all of the MTA's five-year Capital Programs and the status of current capital projects visit the [Capital Programs](#) section under "Transparency" at www.mta.info.

MTA GOAL: Maintain a Workforce that Reflects Regional Availability of All Races, Nationalities, and Genders

PERFORMANCE INDICATORS: Female and Minority Representation in the Workforce

The agency's current workforce consists of 36.0 percent female and 54.0 percent minority employees, down 2.0 percent and 1.0 percent respectively from the previous year. MTA C&D continues its outreach, recruitment, and retention efforts to support workforce diversity and inclusion.